

# Brain cancer-activated microglia: a potential role for sphingolipids

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## Abstract:

Almost no neurological disease exists without microglial activation. Microglia exert a pivotal role in the maintenance of the central nervous system and its response to external and internal insults. Microglia have traditionally been classified as, in the healthy central nervous system, “resting”, with branched morphology system and, as a response to disease, “activated”, with amoeboid morphology; as a response to diseases but this distinction is now outmoded. The most devastating disease that hits the brain is cancer, in particular glioblastoma. Glioblastoma multiforme is the most aggressive glioma with high invasiveness and little chance of being surgically removed. During tumor onset, many brain alterations are present and microglia have a major role because the tumor itself changes microglia from the pro-inflammatory state to the anti-inflammatory and protects the tumor from an immune intervention.

What are the determinants of these changes in the behavior of the microglia? In this review, we survey and discuss the role of sphingolipids in microglia activation in the progression of brain tumors, with a particular focus on glioblastoma.

**Keywords:** Ceramide, inflammation, ganglioside, brain tumor, microglia-tumor interaction, microglia activation.

## 1. INTRODUCTION

Since their discovery, which saw the first use of the term “Sphingolipids” (SLs)[1, 2], interest in this important class of lipids has increased steadily. The complete description of highly heterogeneous SLs molecular structures [3], and the enzyme activities of the complex metabolic pathways in which they are involved, however, was achieved only recently, after advanced techniques became available (“Lipid Maps” at <http://www.lipidmaps.org>) [4].

We now know that the role of SLs ranges from that of structural lipids of the cellular membrane to their involvement, with other lipids (cholesterol, triglycerides), in the fluidity regulation, and in the cell-cell interaction and recognition. Nowadays, many of the components of this complex family of molecules are widely recognized as “active lipids”, i.e., true mediators able to respond to external stimuli and in turn to regulate specific downstream metabolic pathways and effectors on specific targets [4]. The questions that remain open and needs further study pertain to the relationship between the mechanisms tuning and regulating all the pathways involved in SLs metabolism and the cell requirements and conditions, their role in pathophysiology and their use in translational medicine. The role of SLs in cancer biology and in immune and inflammatory functions is one of the most intriguing features of these “bioactive lipids”: this is what prompted us to survey the literature in search of a role played by microglia activation in brain cancer.

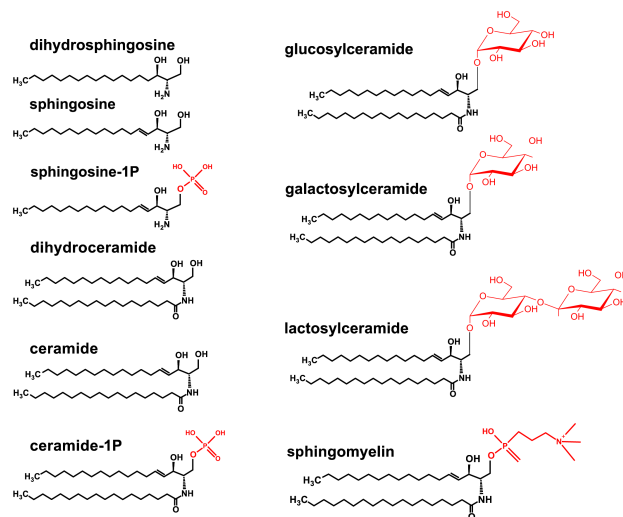
## 1. SLs

### 1.1. Structure of SLs

SLs are a large, heterogeneous class of amino alcohol molecules deriving from the common eighteen carbon amino-alcohol backbone of sphinganine or its unsaturated analog sphingosine, (Sph) (2S, 3R, 4e)-2-amino-octadec-4-ene-1,3-diol). Sph, which is the simplest SL and the most

common form in mammals Fig. (1), undergoes a range of biochemical modifications that give rise to many different and more complex structures. The glycosphingolipids (GSLs) class, which is the most representative, includes several species differing by the number and the order of sugar residues attached to their head-groups. Fig. (1).

There are many features by which variants of the sphingoid backbone present in nature are recognized, for instance the length of the alkyl chain, the number and/or position of unsaturation, the presence of hydroxyl groups. N-acylation on the C2-amino group yields ceramide (Cer), the central hub of SL metabolism, Fig. (1), with fatty



**Fig. (1).** The structure of major SLs and GSLs. The sphingoid base is in the D-erythro (2S, 3R) conformation and d=18:1. In the figure the fatty acyl chain linked to the amino group of sphingosine has 18 carbon atoms but it can vary from 14 to 26, generating the heterogeneous family of SLs.

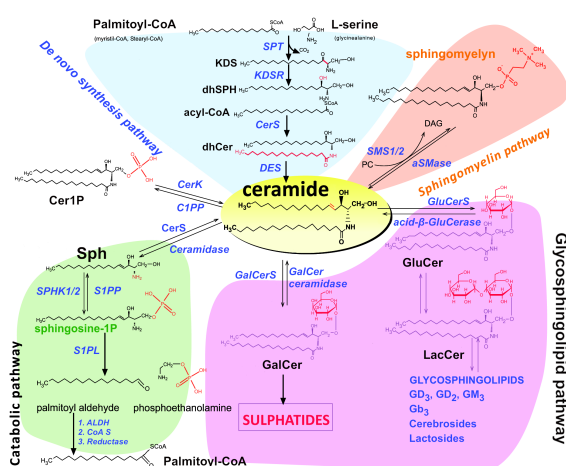
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chains of 14 to 26 carbon atoms saturated or monounsaturated. These molecules are the backbone for more complex SLs which are formed by attachment of the

head groups at the C1-hydroxyl group. For instance, the addition of a phosphate residue gives rise to ceramide-1-phosphate (Cer1P), while the addition of a phosphocholine residue produces sphingomyelins (SMs, the most common sphingolipid of the cell membrane in mammals), and the addition of one or more carbohydrates yields the complex family of GSLs Figs (1-2). GSLs are typified on the basis of their carbohydrate composition, the most important classes being: i) neutral GSLs that contain glucose, galactose, lactose, fucose, N-acetylglucosamine (GlcNAc), N-acetylgalactosamine (GalNAc), ii) acid GSLs that contain a phosphate or sulfate group bound to neutral or charged sugar residues Figs (1-2), iii) gangliosides typified by the presence of one or more sialic acid residues in the carbohydrate moiety, and, finally iv) basic and amphoteric GSLs [4-7] Figs (1-2).

## 1.2 SL metabolism

Two major synthetic pathways concur in the synthesis



**Fig. (2).** Sphingolipid metabolism. “De novo”, “Salvage”, “Sphingomyelin pathway”, and “catabolic” pathways can be indicated. Abbreviations: Coenzyme A: CoA; serine palmitoyltransferase: SPT; 3-keto-Dihydrosphingosine: KDS; 3-keto-dihydrosphingosine reductase: KDSR; dihydrosphingosine: dhSPH; dihydro ceramide: dhCer; (dihydro)ceramide synthase: CerS; (dihydro) ceramide desaturase: DES; DAG: diacylglycerol; phosphatidylcholine: PC; sphingomyelin synthase: SMS; sphingomyelinase: aSMase; ceramide kinase: CerK; ceramide-1P Cer-1P; ceramide-1P: phosphatase: C1PP, sphingosine: Sph; glucosylceramide synthase: GluCerS; acid- $\beta$ -glucosylceramidase: acid- $\beta$ -GluCerase; galactosylceramide: GalCer; galactosylceramide synthase: GalCerS; galactosylceramide galactosylceramide: GalCer; ceramidase: GalCer ceramidase; glucosylceramide: GluCer; lactosylceramide: LacCer; ganglioside M3: GM3; ganglioside D3: GD3; ganglioside D2: GD2; globotriaosylceramide 3: Gb3; sphingosine kinase: SPHK; sphingosine-1-phosphate phosphatase: S1PP; sphingosine-1-phosphate lyase: S1PL; CoA synthase: CoAS; aldehyde dehydrogenase: ALDH.

of SLs moiety Fig. (2): *de novo* and salvage pathways [8, 9]. The enzyme serine palmitoyltransferase (SPT) catalyzes the first step of the *de novo* biosynthesis of SLs in the endoplasmic reticulum (ER). 3-keto-dihydrosphingosine reductase (KDSR) catalyzes the

synthesis of dihydrosphingosine (dhSPH) Figs (1-2), which then can be either acylated by ceramide synthase (CerS) and desaturated by ceramide desaturase (DES), producing the Cer family. Ceramidase gives rise to Sph which in turn can be phosphorylated, with the production of Sphingosine-1-phosphate (S1P) by sphingosine kinase 1 and 2 (SPHK1; SPHK2). The *salvage* pathway [10] and the hydrolysis of SM (sphingomyelin pathway) are two alternative pathways for Cer synthesis. SM is produced *via* the transfer of phosphocholine from phosphatidylcholine to Cer by one two enzymes: sphingomyelin synthase 1 or sphingomyelin synthase 2 (SMS1-2). The first seems responsible for *de novo* synthesis, whereas the second is likely involved in the re-synthesis from SM catabolism. The attachment of a polar head to Cer, forming SM, occurs in the Golgi where Cer is transported by the Cer transfer protein. A vesicular transport from ER to Golgi instead allows galactosylceramide (GalCer) synthesis which permits the further synthesis (in the *trans Golgi*) of GSLs [11].

All the production of GSLs originates from two Cer products: glucosylceramide (GluCer) and GalCer. The first generates lactosylceramide (LacCer), which is the precursor of asialo-, asialoganglio-, globo-, neolacto-, and lacto- GSLs [12] Fig. (2). SLs are degraded into lysosomes *via* the action of acid sphingomyelinases (aSMase) and glycosidases forming Cers. SMs are also degraded also at the plasma membrane level by the action of the acid or the neutral SMase, depending on the side of the membrane on which the reaction takes place and then Cer is converted to Sph. At this point, all the Sph produced can carry out its intracellular functions or undergo a change by SPHK1 or 2 phosphorylation to generate sphingosine-1-phosphate (S1P), which can act as a second messenger or as an extracellular ligand, or be metabolized [11]. Two enzymes can transform S1P: sphingosine-1-phosphate phosphatases (S1PP), which regenerates Sph sphingosine-1-phosphate lyase (S1PL), which catalyzes the irreversible degradation of S1P to phosphoethanolamine and palmitoyl aldehyde [13] Fig. (2).

## 1.3 SL functions

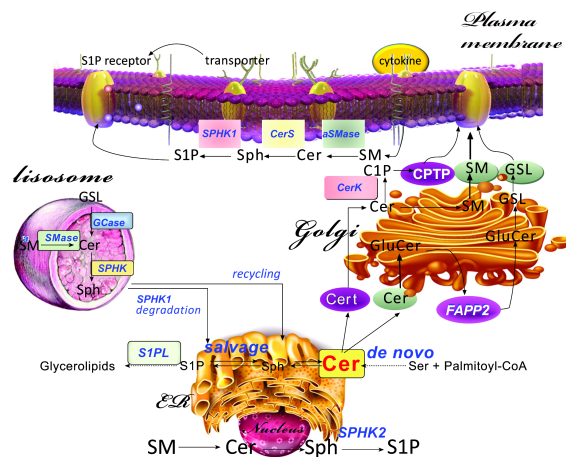
SLs, as fundamental elements of lipid rafts, structurally constitute and functionally influence the organization of cellular membranes and the dynamics and the secretion of exosomes (vesicles implicated in cell-cell signaling and communication). They are, moreover, actively involved as intracellular messengers in the regulation of cell functions. For example, it has been reported that inhibition of SMase2 enhances exosome secretion from neurons and improves the clearance of neurotoxic amyloid- $\beta$  by microglial cells, thus opening new therapeutic perspectives in Alzheimer disease by modulating the metabolism of SLs [14].

SLs heterogeneity is also reflected in the heterogeneity of their functions, to such an extent that relative species equilibrium is decisive in directing cell fate, towards death or survival pathways. The most representative example is the dualism between Cer, a death effector, and S1P, a cell proliferation and survival promoter [15, 16]. However, an

increasing number of scientific studies have now shown a neurotoxic effect of S1P [17] and a cytotoxic effect in pancreas  $\beta$ -cells [18]. A large number of extracellular signals or stimuli elevates intracellular Cer (heat shock, ionizing radiation, oxidative stress, tumor necrosis factor (TNF)- $\alpha$ , nitric oxide (NO), etc.) [19]. Cer acts as a typical second messenger, binding to plasma membrane proteins and regulating their activity, or physically interacting with the cell or mitochondria membranes, inducing the fusion of GSL and cholesterol-containing rafts into large macrodomains of proteins associated with apoptotic signaling mechanisms [20, 21]. As a consequence, Cer can induce cell death by apoptosis [22] necroptosis [23], autophagy [24] and ER stress [25], depending on cell and/or tissue type, subcellular localization of Cer, and/or the availability of downstream targets of Cer [26].

By contrast, S1P can directly promote a number of intracellular activities such as cytoskeletal rearrangement, cell migration, angiogenesis [27], vascular maturation, embryonic development of the heart, immunity and lymphocyte trafficking. S1P can also bind, in an autocrine fashion, to receptors (S1PRs), triggering inositol triphosphate (IP3)-independent calcium mobilization and DNA synthesis [26]. Sph seems to have the same properties as Cer, while Cer1P shares similar functions with S1P [28]. The fate of the cell-towards survival or death-depends on a delicate equilibrium between different pro- or anti-apoptotic SLs, the relative amounts of which are controlled by the expression or activity of enzymes that either produce or degrade them.

#### 1.4 Subcellular compartmentalization of SL metabolism

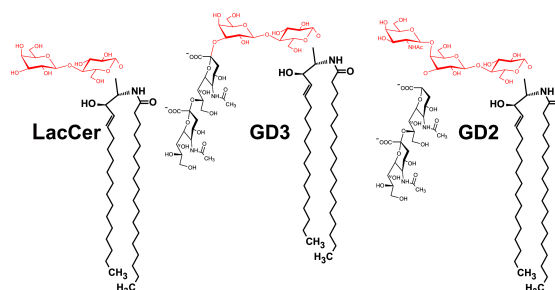


**Fig. (3)** Subcellular compartmentalization of sphingolipid metabolism. Abbreviations: Sphingosine-1-phosphate: S1P; sphingosine: Sph; ceramide: Cer; sphingomyelin: SM; sphingosine kinase 1: SPHK1; Ceramide Synthase: CerS; sphingomyelinase: aSMase; ceramide kinase: CerK, ceramide-1-phosphate transfer protein: CPTP; glucosylceramide: GluCer; glycosphingolipid: GSL; Ceramide transfer protein: CERT; four-phosphate adaptor protein 2: FAPP2; glucocerebrosidase: GCase; sphingosine-1-phosphate lyase: S1PL; endoplasmic reticulum: ER.

Depending on their chemical structure, SLs exert their action on the biological membranes or leave their site of generation and move into cytoplasmic and extracellular spaces, exploiting specific transport mechanisms. For this reason, the roles of many SLs are closely connected with the specific subcellular localization of their synthesis enzymes Fig. (3).

In particular, their mobility is based on the number of hydrophobic chains and on the charge at neutral pH. Cer, with two aliphatic chains and neutral head-group, can flip-flop membranes but is unable to move in the cytoplasm. Indeed, it reaches the Golgi apparatus through two different ways: by the action of transfer protein CERT, if SM generation is needed, or by using vesicular transport if GluCer, or, in general, GSLs, must be synthesized.

Vesicular transport is also involved in the mobilization of SMs and GSLs to the plasma membrane, from where they can be addressed to lysosomes for entering the endosomal *salvage* pathway. Due to their more hydrophilic nature, SLs with just one aliphatic chain are soluble in the cytosol and can freely move among intracellular membranes. Sph, not having any charge, can flip-flop membranes meanwhile, and its phosphorylated metabolite, S1P, is linked to specific transporters to pass through the lipid bilayer [4]. In particular, it has been demonstrated that S1P traffic is linked to ATP binding cassette (ABC) transporters; indeed, ABCC1 down regulation causes S1P export reduction in human LAD2 mast cells [29, 30]. SLs are highly present in the nucleus where they exert various functions. For instance, SM is



**Fig. (4)** Structure of Lactosyl ceramide precursor of the 0 series and of GD3 and GD2, gangliosides of the b series involved in tumor aggression and poor prognosis. Abbreviations: lactosylceramide: LacCer; ganglioside D3: GD3; ganglioside D2: GD2.

located in the nuclear envelope where it has a role in DNA synthesis, chromatin assembly, and RNA stability, and some ganglioside are located in the nuclear envelope and have  $\text{Ca}^{2+}$  homeostatic and storage functions. Cer, Sph, and other Sph derivatives are localized in the chromatin/nucleosome portion. Ceramide has a role in apoptosis and protein degradation, and Sph acts on SF-1 gene transcript and host-pathogen interaction, whereas S1P and dhS1P are involved in histone acetylation and ROS generation.

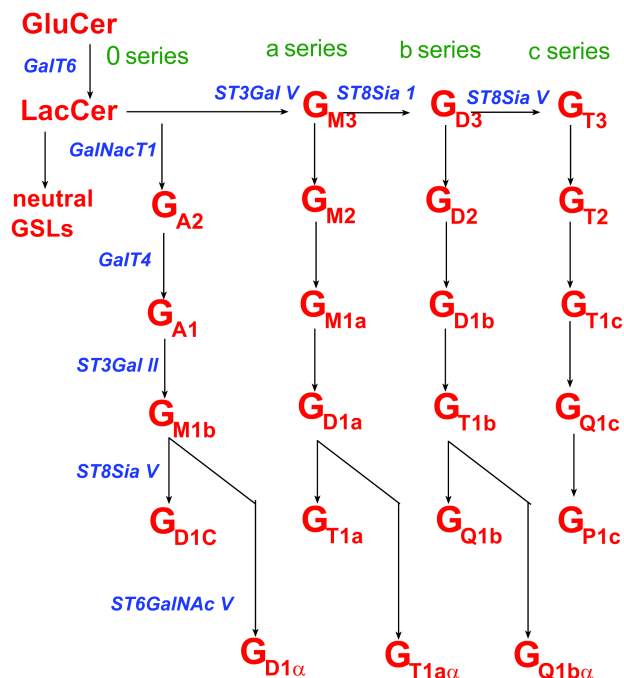
Finally, Cer1P has perinuclear localization and a role in cytosolic Phospholipase A2 (cPLA<sub>2</sub>) activation [31].

### 1.5 Complex GSLs

Complex GSLs, or gangliosides, are a subclass of GSLs containing one to five sialic acids linked to the sugar chain.

The head groups of gangliosides are negatively charged at pH 7, as sialic acid residues are deprotonated Fig. (4).

The gangliosides family contains more than 60 gangliosides, differing from each other mainly in the position and number of sialic acid residues [7].



**Fig. (5)** Gangliosides are characterized by the number and positions of sialic acids that define their classification into 0, M, D, T, Q, and P (zero to five sialic acids) and a, b, and c (one, two, or three sialic acids on internal Gal residue) series. In addition, the  $\alpha$ -series is defined by the presence of a sialic acid on the internal Galp-Nac residue. Abbreviations: glucosylceramide GluCer; lactosylceramide: LacCer; glycosphingolipids: GSLs; Polypeptide N- Acetylgalactosaminyltransferase 1: GalNac-T1; polypeptide N- Acetylgalactosaminyltransferase 4: GalT4; ST3  $\beta$ -Galactoside Alpha-2,3-Sialyltransferase 2: ST3Gal II; ST8 Alpha-N-Acetyl-Neuraminide Alpha-2,8-Sialyltransferase 5: ST8Sia V; ST6 N-Acetylgalactosaminide Alpha-2,6-Sialyltransferase 5: ST6GalNAc V; ST3 Beta-Galactoside Alpha-2,3-Sialyltransferase 5: ST3Gal V; ST8 Alpha-N-Acetyl-Neuraminide Alpha-2,8-Sialyltransferase 1: ST8Sia I; ganglioside: G.

The biosynthesis of gangliosides starts with the transfer to the terminal non-reducing position of LacCer (Glu and Gal saccharides residues) of a sialic acid residue by specific sialyltransferases (ST3Gal V, ST8Sia I, and ST8Sia V) Fig. (4) [32]. LacCer, GM<sub>3</sub>, GD<sub>3</sub>, and GT<sub>3</sub> are the precursors for 0-, a-, b-, and c-series of gangliosides, and are characterized, respectively, by 0, 1, 2 or 3 sialic acids on the Gal residue Fig. (5). Further addition of GalNAc to the Gal residue of

these precursors gives the GA<sub>2</sub>, GM<sub>2</sub>, GD<sub>2</sub> and GT<sub>2</sub> gangliosides Figs. (4-5). A second Gal residue is then linked to the GalNAc of the chain in formation, followed by the sequential addition of two sialic acid residues (producing: GD<sub>1c</sub>, GT<sub>1a</sub>, GQ<sub>1b</sub>, and GP<sub>1c</sub>) Fig. (5). Complex GSLs are very abundant in the nervous tissue [33] and play an important regulatory role in neural stem cells (NSCs) [34].

## 2. Microglia

In 1919 Pio del Río-Hortega, a student of Cajal, developed the concept, introduced by his mentor a few years earlier, of the third element in the central nervous system (CNS). Whereas, neurons and astrocytes were previously considered the only elements present in the CNS, Cajal had introduced the glia, both “oligodendrocytes” and “microglia”, to differentiate them clearly from astrocytes, in 1897 [35]. del Río-Hortega gave a first functional definition of the different morphologies of the microglia indicating that the rod-shaped cells were resting cells. On the other hand, the ameboid phenotype was present in a pathological brain [35] and despite his scientific dispute with Cajal del Río-Hortega’s contribution is pivotal for understanding the CNS organization.

Pío del Río-Hortega, also proposed that microglia cells are of mesodermal origin. Indeed, hematopoietic progenitors (as distinct from hematopoietic stem cells) are derived of the yolk-sac from primitive erythromyeloid precursors as early as 8 days post conception and enter the CNS in a single wave [36-39]. After leaving the yolk-sac, monoblast/monocyte lineage precursors not only colonize the CNS but also reach the fetal liver (producing Kupffer cells), and epidermis (producing Langerhans cells). Microglia are defined as CD11b<sup>+</sup> /CD45<sup>low</sup> (or CD45<sup>int</sup>), whereas macrophages are identified as CD11b<sup>+</sup> /CD45<sup>high</sup> [40].

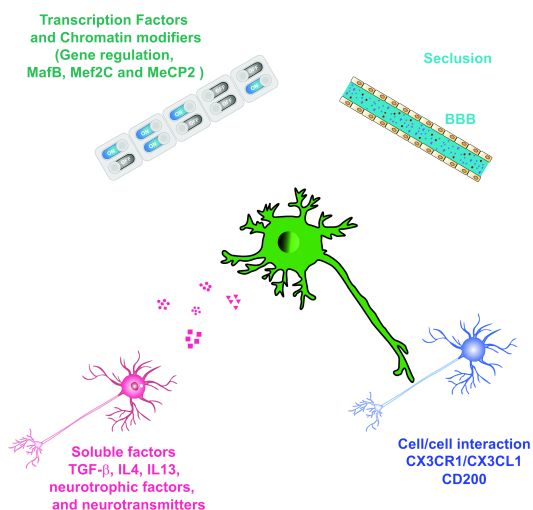
The original understanding [or view]—dual activity based on branched morphology (in the healthy brain) and activated with amoeboid morphology (during a pathology)—has now been laid aside. [39]. Nowadays, the function of microglia in the healthy and developing brain is understood to be much more complex and important than before, including that microglia are also present in the non-pathological and disease free CNS.

We can divide microglia activation into classical (M1) and alternative (M2) depending on the physio-pathological context. The M1 pro-inflammatory phenotype is the Toll-like receptor (TLR) 4 responder, whereas IL-4, 10 and 15 can stimulate M2 phenotype [41-43]. Microglia cells, however, have a high degree of plasticity, which makes us consider many sub-phenotypes of M2; at least 3: a, b, and c [41] that have different activators IL-4 and IL-13, TLR and IL-1R, and glucocorticoid respectively [44-46].

In the vertebrate CNS, development is accompanied by a consistent apoptosis that involves a major population of neurons and can affect 50% of the neurons in the peripheral nervous system or in some discrete areas of the CNS [47, 48].

Microglia have been found near apoptotic neurons during development, where they exert a double function: they remove the apoptotic debris [49, 50] (they are not the only cells performing this function), and they induce programmed cell death (especially in Purkinje cells) by releasing

superoxide ion [51]. Microglia also promote also neuron survival by releasing trophic factors [52], and it is involved in the neurogenic areas such as sub-ventricular zone (SVZ) [53, 54] in the control of the size of the NSC pool [55]. During development, moreover, microglia are involved in the guidance of the developing vasculature and in the maturation and editing of neuronal circuits [39]. The different functions of the microglia are related to their level in the brain: their levels can vary by up to five-fold, and in the mouse brain they range from 5% of the total number of cells



**Fig. (6).** Schematic representation of the different activity of the microglia in the CNS. The drawing describes the different check points (that control microglial immune activation while nurturing homeostatic functions) to which microglia undergo: cell-cell interaction, seclusion due to the blood brain barrier, control with soluble factors release from neuron or glia, alteration of gene expression. Abbreviations: blood brain barrier: BBB; Transforming growth factor- $\beta$ : TGF- $\beta$ ; interleukin 4: IL-4; interleukin 13: IL-13; MAF BZIP Transcription Factor B: MafB; Myocyte Enhancer Factor 2C: Mef2C; Methyl-CpG Binding Protein 2: MeCP2; C-X3-C Motif Chemokine Receptor 1: CX3CR1; C-X3-C Motif Chemokine Ligand 1: CX3CL1; CD200 Molecule: CD200.

in the cortex and 12% in the substantia nigra [56]. Microglia perform a regulatory activity at least in two neurogenic areas of the mouse brain such as SVZ [57] and hippocampus [58].

The present view of the role and action of microglia involves the presence of restraints of microglia activity, also indicated as checkpoints. These sorts of barriers include cell-to-cell signals such as the adaptive response of the immune cell, intrinsic factors to the cells, the presence of anatomical barriers in the CNS, and the distinctive composition of the CNS microenvironment.

As mentioned earlier the activity during pathological condition is not regarded these days as an activation but rather as a shift in gene expression with signal-dependent transcription factors (SDTF). Consequently, different pathologies make the microglia adopt different phenotypes able to respond to the environment such as pathogen-associated molecular patterns, confrontations with tumors and apoptotic cells and contact with tissue debris, not

necessarily protectively [59]. Seclusion from the blood circulation Fig. (6) determines a limited presence of defined phenotypes of leukocytes in the CNS under physiological conditions [60]. Transforming growth factor- $\beta$  (TGF- $\beta$ ) Fig. (6), which is secreted by astrocytes allows the appropriate immune-activated signature of the microglia [61]; interleukin 4 (IL-4) and IL-13, which are expressed at low levels in physiological conditions are up-regulated after pathological perturbation [62, 63]. Neurotrophic factors Fig. (6) and neurotransmitters seem to be involved in microglia immunophenotype modulation; indeed, when neurotrophin-3 (NT3), brain-derived neurotrophic factor (BDNF), or nerve growth factor (NGF) are neutralized by antibodies there is an activation of the major histocompatibility complex-II, indicating an immune activation [64]. ATP, adrenaline, glutamate,  $\gamma$ -aminobutyric acid (GABA), dopamine, and adenosine can induce a response of microglia determining the appropriate immunophenotype related to the specific receptor activated [65].

Cell-cell interactions Fig. (6), especially with neighboring neurons, are now known to be another checkpoint for microglia with regard to their activation after the first *in vitro* evidence [66] where the fractalkine receptor (CX3CR1), which is ubiquitously expressed on microglia, were activated by its ligand (CX3CL1), produced by definite types of neurons [67]. The cell-cell interactions between microglia, neurons, oligodendrocytes, astrocytes and endothelial cells were recently found to be mediated by CD200 ligand and its receptor [68].

Another important mechanism of microglia immune activity is driven by transcription factors and the chromatin modifiers MafB, Mef2C and MeCP2 [69, 70] Fig. (6).

In this framework, we can postulate for a transcriptional control of microglia identity, with many various genes that can be activated by the factors mentioned above. Many lineage-determining transcription factors (LDTF) such as PU.1, which works as a main controller of the myeloid lineage [71], SALL1, a zinc finger transcriptional repressor which is important for neurogenesis and responsible of microglia morphology [72], and MAFB, which promotes an anti-inflammatory phenotype in macrophages [73] and has a role in establishing the physiological phenotype of the microglia. During disease, by contrast, other genes classified as SDTFs are activated in microglia by the environment. Some of them mediate inflammation: two examples are the NF- $\kappa$ B family, which plays key roles in inflammation and apoptosis [74] and is activated by ROS [75] and saturated fatty acids [76], and the AP-1 family, associated with immune activation, cell growth, differentiation and apoptosis [77], interferon regulatory factors (IRF). Within these latter, IRF8 was identified as a crucial regulator of microglia numbers [78] in mice and motility [79], the p53 tumor suppressor whose expression and activity increases in microglia in response to DNA damage, cellular stress, and oxidative stress [80]. The STAT family is activated in microglia by lipopolysaccharides (LPS, a big molecule made of lipid and polysaccharide that was found in the outer membrane of gram-negative bacteria) [81]. In other cases, the SDTFs exert an anti-inflammatory and tissue-supportive action on microglia: for instance Msh-like homeobox (MSX) which are implicated in brain development and neurogenesis

and in the mouse are highly expressed in chemical amyotrophic lateral sclerosis (ALS) models [82], NURR1 which inhibits LPS-induced expression of pro-inflammatory cytokines [83], and estrogen receptors which exert an anti-inflammatory effect [84].

Very interestingly SDFs can regulate cholesterol and lipid metabolism; indeed, the beneficial effects of PPAR $\gamma$  agonists are produced by inhibiting pro-inflammatory activity and promoting the phagocytic activity of microglia [85]. The non-steroid nuclear receptors liver X receptors (LXRs) and retinoid X receptors (RXRs) play key roles in cholesterol homeostasis and inflammation and it has been suggested that they play a protective role by promoting the phagocytosis of amyloid- $\beta$  by microglia [86].

### 3. Brain Tumor

Tumors are the second most common cause of death, after cardio-circulatory disease, in the developed countries. Tumors arise when a few cells, or even just one, acquire(s) the capacity for uncontrolled and unlimited proliferation. This alteration is caused, in most of the cases, by genetic alterations such as abnormal DNA methylation, disorganized chromatin architecture, and histone modification patterns [87-89]. Many aggressive brain tumors in both adults and children, including ependymomas, glioblastomas and medulloblastoma present somatic mutations and structural alterations of either functional regulatory elements or epigenetic regulators [87, 88, 90-92].

The heterogeneity of brain cancer results both from the range of to their genetic compositions and from the prevailing local microenvironmental conditions: therefore metabolic factors and environmental factors, including the blood circulation, could have an impact on the mechanisms of the development of brain cancer [93, 94].

The global prevalence of primary malignant brain tumors (PMBTs) is near 11 persons per 100,000 per year [95]; however, the incidence of brain metastases, however, is 10 times more [96] and they mostly derive from breast cancer, melanoma, and lung cancer [97]. There are two theories that offer explanations for the origin of a tumor. The stochastic model asserts that cancer cells are very heterogeneous but essentially all of them could be a tumor founding cell, luckily this happens rarely, while in the hierarchical hypothesis -now widely supported [98] -only a small subpopulation of cancer cells can proliferate to any extent and sustain the growth of the clone. Cancer stem cells (CSCs) share plenty of properties with normal stem cells including self-renewal and the capacity to differentiate; however, they can (a) engraft producing experimental tumors, (b) recapitulate the tumor origin, immunophenotypically and morphologically, in xenografts, and (c) be serially transplanted. These properties apply to different type of tumors, including the solids [99].

Until the end of the 20th century, the classification of brain tumors was based largely on their histogenesis, but in recent decades an integrated classification that includes genetic analysis has been introduced [100]. The current classification of tumors describes them as: diffuse astrocytic and oligodendroglial, ependymal, choroid plexus, neuronal and mixed neuronal-glia, pineal region, histiocytic, embryonal, of the cranial and spinal nerves, meningiomas,

mesenchymal, nonmeningothelial, melanocytic, lymphomas, germ cell, and of the sellar region [101].

The most common malignant tumors of the CNS are gliomas and include astrocytomas, oligodendrogliomas, ependymomas, and a variety of rare histologies [100].

#### 3.1 Glioblastoma

The glioblastomas (GBMs) are the most common brain tumors (they comprise astrocytomas, oligodendrogliomas, ependymomas). The grade IV astrocytoma, is the most aggressive and common brain tumor, with an incidence of 3.2 per 100,000 and more than 15% of all primary brain tumors and 45% of malignant primary brain tumors [101]. With 18 months of average survival rate (even with an aggressive therapeutical approach), this tumor represents the most life-threatening brain tumor according to World Health Organization (WHO) figures. The malignancy of this tumor is mostly due to the high occurrence of relapse, cell infiltration and rapid invasion, and they can be classified on the basis of different gene expression patterns in the classical, neural, proneural, and mesenchymal subtypes [102]. "The Cancer Genome Atlas (TCGA)" made it possible to begin a molecular analysis of the genetic causes of GBMs. More than 140 mutated genes were found in GBMs, including tumor protein p53 (TP53), phosphatase and tensin homolog (PTEN), platelet-derived growth factor receptor  $\alpha$  polypeptide (PDGFR $\alpha$ ), epidermal growth factor receptor (EGFR), phosphoinositide-3-kinase catalytic alpha (PIK3CA), phosphoinositide-3-kinase regulatory 1 (PIK3R1), neurofibromin 1 (NF1),  $\alpha$ -thalassemia/mental retardation syndrome X-linked (ATRX), isocitrate dehydrogenase 1 (IDH1), leucine-zipper-like transcriptional regulator 1 (LZTR1), retinoblastoma 1 (RB1), and tyrosine-protein phosphatase non-receptor type 11 (PTPN11) which are the most frequently altered genes in GBMs (primary and recurrent) [90, 103-105]. Some genes are expressed only in recurrent GBMs: insulin-like growth factor 1 receptor (IGF1R), PR domain containing 2 (PRDM2), latent TGF- $\beta$ -binding protein 4 (LTBP4), and MutS homolog 6 (MSH6) [105], Neurofilament Light (NEFL), and Gamma-Aminobutyric Acid Type A Receptor Alpha1 Subunit (GABRA1) [106].

PTEN loss in chromosome 10 and EGFR gain in chromosome 7 are present in the classical form of GBMs; loss of NF1, gain of TNF- $\alpha$  and the high presence of TNF- $\alpha$  and mesenchymal markers such as mesenchymal-epithelial transition (MET) are indicator of the mesenchymal subtype; proneural GBMs is characterized by point mutations in IDH 1 and 2 and gains in PDGFR $\alpha$ ; the neural subtype (this, though, is controversial) is characterized by the expression of NEFL, GABRA1, and others [106].

Knowledge of the genetic alterations underlying of GBMs has a potential impact on the therapeutic approaches that could be used in the future (hopefully soon) for the treatments of these tumors; indeed, a comparison of the survival of the patients showed that alteration in EGFR but also in some cyclins such as cyclin-dependent kinase 4 (CDK4) and CDK inhibitor 2A (CDKN2A) are more present in patients with poorer prognosis [90]. Moreover, in GBMs are present not only direct changes in DNA sequence in many but also epigenetic modifications. These alterations,

which include chromatin histone modifications (SUMOylation, phosphorylation, ubiquitination, and acetylation), DNA methylation, and non-coding RNAs, exert a pivotal function in gene regulation during cell development. Defects in epigenetic changes have been involved in human illness [107] including the development and progression of brain tumor [108-110].

More than 80% of secondary GBMs but only 5% of primary GBMs have IDH mutations, which are recognized as a better prognostic subgroup within GBMs patients. Slightly less than 50% of GBMs show both an EGFR gene amplification, and a splice variant [111]. IDH mutational status is at the cutting edge of the new classification for gliomas, and for glioblastomas, which are now re-classified as IDH-mutant or IDH-wildtype [112]. 90% of the GBMs arise rapidly de novo in elderly patients and they are considered primary tumors. Secondary GBMs progress from a lower grade of diffuse or anaplastic astrocytoma and they are present mainly in younger patients, and luckily they have a significantly better prognosis. Primary and secondary GBMs are very similar in terms of histological characteristics, but their epigenetic and genetic profiles are different. IDH mutations, for instance, are present in secondary GBM [113].

### 3.2 Role of GSLs in tumors

Modifications of cell-surface sialylated structures are associated with cancer cell metastatic potential and invasiveness, and with a poor prognosis [114]. Over-expression of b series gangliosides has been reported in a variety of neuro-ectoderm-derived cancers [115, 116]. In particular GD3 and GD2 are overexpressed in tumors of the CNS, including astrocytomas, meningiomas, medulloblastomas, and neuroblastomas [117-119], whereas GD1b, GT1b, and GQ1b are less expressed in neuroblastoma tumors with respect to the normal brain and are correlated with an aggressive phenotype and a limited prognosis [120, 121]. Ganglioside antigens on the tumor cell surface, or released into the surroundings as microvesicles [122], act as an immunosuppressor and protect the tumor against the host immune system [123, 124]. This action may be caused by the prevention of the interaction between IL-2 and its receptor and consequently the inhibition of T cell proliferation [125]. Furthermore, GD2 and GD3 gangliosides can induce T cell death by stimulating their production of IL10 [126], by interaction with CD70 and CD27, or by inhibition of NF- $\kappa$ B nuclear localization in dendritic cells [126, 127]. GD1a prevents expression of stimulatory molecules such as CD80 and CD40 on the APC surface and decreases the production of cytokines such as IL6, IL12, and TNF- $\alpha$  [128].

Other gangliosides such as mono-sialoganglioside GM3, appear to have an opposite effect, inhibiting angiogenesis via changes to the vascular endothelial growth factor (VEGF) receptors. Brain cancers expressing elevated levels of GM3 are normally less vascularized and grow more slowly than those that express low levels of GM3 [129]. Moreover, GM3, GM1, GD1a, and GT1b inhibit proliferation of human neuroblastoma cells through the inhibition of EGFR phosphorylation [130].

It seems clear that the interplay between the molecular mechanisms of gangliosides and brain tumor carcinogenesis

needs further clarification. This is particularly important in the view of the fact that cancer therapy currently includes the targeting of GD3 and GD2.

### 4. Microglia-tumor interaction

In gliomas, glioma-infiltrated microglia can be implicated in the promotion of tumor development by their stimulating the immunosuppressive microenvironment and by their invasiveness [131]. For instance, the infiltrating microglia in GBM represents 30–50% of the tumor mass. It has been hypothesized that microglia do not contrast tumor cells but rather that they help cancer cells to invade the neighboring tissue [132]. Some authors have observed a colocalization of tumor-associated microglia/macrophages (TAM/Ms) and CD133- positive glioma stem-like cells (CD133+ GSLCs) in the marginal area of human glioma samples [133].

The capacity to evade the immune cells by generating an immunosuppressive microenvironment is the most important trademark of gliomas, in particular, GBMs [134]. This microenvironment can be built by the production of many immunosuppressive cytokines by cancer cells, namely TGF- $\beta$ , interleukins (IL)-6, IL-10, and prostaglandin E2 (PGE2), or by basic fibroblast growth factor (bFGF) and IL-1 and/or by inhibiting T-cell responses. All these factors trigger the immune cells to activate a tumor-supportive phenotypes such as M2 [134]. Microglia help the glioma by secreting anti-inflammatory and pro-tumor factors, especially in GBMs [134]. The capacity to shift between the pro-inflammatory to the anti-inflammatory makes the microglia a key element for the physio-pathological status of the CNS [46, 131].

The recruitment and anti-inflammatory activation of microglia to M2 phenotype has been shown to be mediated by various chemoattractants produced by glioma cells, namely monocyte chemoattractant protein 1 (MCP-1), Stromal cell-derived factor (SDF-1), Macrophage colony-stimulating factor (M-CSF), Granulocyte-macrophage colony-stimulating factor (GM-CSF), and EGF, the inhibition of these ligands or their receptors could represent a therapeutic targets [135, 136].

The observation that microglia were able to induce an increase in the migratory capacity of glioma cells by the release of chemoattractants in the medium [137] indicates that the resident immune cells of the CNS also exert an important role in the invasiveness of the tumor. The effect of microglia on cancer progression, however, is also directed in the maintenance of a permissive microenvironment increasing the vascularization by secreting high levels of VEGF [138]. Finally, the immunosuppressive status of the tumor microenvironment that is achieved by the release of soluble factors and by the cell-cell interaction is also a very important aspect of the interaction between tumor and microglia.

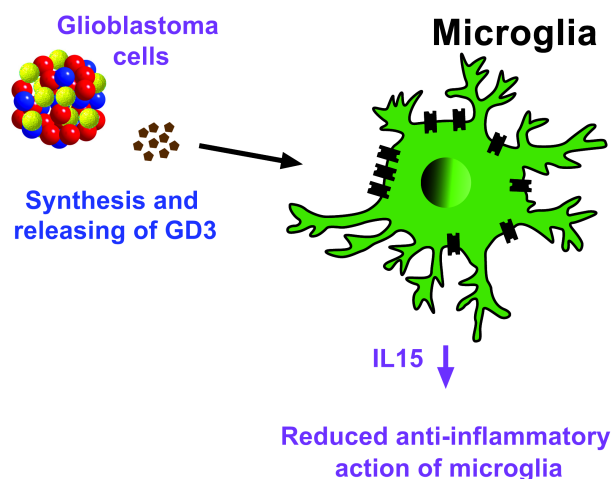
#### 4.1 Microglia and SLs activation in brain tumor

The richness of SLs in CNS suggests the hypothesis that these molecules play a primary role in brain functions. Indeed, their implication in various neurological diseases has already been demonstrated: more than 30 years ago, for instance, it was suggested that lower levels of lipids in the brain and an alteration of their metabolism were related to

Alzheimer disease, and these results were confirmed a few years ago [139]. At the present, SLs are believed to play a major role in many CNS diseases [140] including those related to inflammatory conditions [141, 142].

One of the first pieces of evidence of the activating role of SLs on microglia was reported about 20 years ago. Gangliosides can induce the production of NO and TNF- $\alpha$  and expression of cyclooxygenase-2 (COX-2) [143]. The authors also showed that the activation was dose-dependent and that different types of gangliosides indicated a differential efficacy. For instance, GT1b affected the synthesis of NO and TNF- $\alpha$  and expression of COX-2, whereas GM1 and GD1a increased the levels of COX-2 with little impact on NO and TNF- $\alpha$  release. These actions seem to be related to the activation of gangliosides *via* the stimulation of mitogen-activated protein kinase (MAPKs) and NF- $\kappa$ B [143].

The role of gangliosides in many cellular functions implies that they also play an important role in neuronal diseases. Gangliosides modify the expression of Toll-like



**Fig. (7).** Schematic representation of the microglia activation by GD3 ganglioside. IL15 is an important factor for the regulation of brain inflammation by microglia. This interleukin is produced by microglia thanks to the regulation of many factors one of which is likely GD3. Abbreviations: ganglioside D3: GD3; interleukin 15: IL-15.

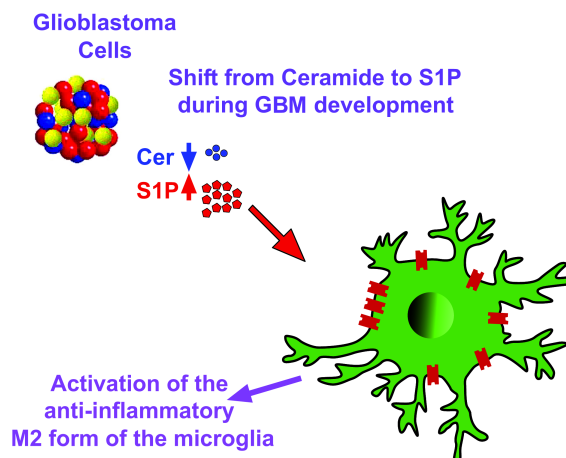
receptor 4 (TLR4) in microglia and astrocytes, acting as a sensor for gangliosides and generating intracellular inflammatory signaling in the brain [144].

As mentioned earlier SLs have a role in brain development and maintenance, acting on stem cells present in the brain *via* direct binding to the Protein kinase c  $\zeta$  (PKC $\zeta$ ) [145].

Gangliosides are overproduced in some tumor types, including gliomas [146, 147]. Tumor samples express higher amounts of GM2, GD2, and GD3 than the normal brain [147]. Moreover, neurospheres obtained from GBMs have a

high level of GD2 expression and release a higher GD3 amount in the medium than normal NSCs [148]. The high level of blood GD2 concentration in neuroblastoma patients is related to tumor progression and a short survival outcomes [149]. It was also found that gangliosides, including GD1a, GD1b, GD3, and GM3, enable cancer cell to evade the immune system within the tumor microenvironment [150]. In *in vivo* experiments, tumor-derived GM1b were able to inhibit the development of anti-tumor immune responses [151].

GD3 seems, very interestingly, to exert anti-inflammatory properties, inhibiting IL-15 [152]. In microglia cultures, treatment with GD3 reduced the levels of IL-15, causing a down-regulation of NO production by microglia with no effects on cell viability. On the other hand, GD3 binds to IL-15, decreasing T-cell proliferation [152]. A recent work [153] explored this concept by using microglia-glioma co-cultures, and the most impressive result was that IL-15 reduced tumor size in glioma-transplanted animals. Moreover, the microglia and infiltrating monocytes, isolated from the brains of glioma-bearing mice and treated with IL-15, showed a shift of phenotype towards the pro-inflammatory state, with a significantly increased expression of pro-inflammatory genes and reduced expression of anti-



**Fig. (8).** Microglia activation by means of S1P interaction with S1P receptors. The shift in production of Cer/S1P is indicated. The latter is responsible of microglia activation to the anti-inflammatory M2 form. Abbreviations: ceramide: Cer; sphingosine-1-phosphate: S1P, glioblastoma: GBM.

inflammatory genes [153].

Taking all this information together, we can hypothesize that the glioma cells can produce GD3 and release it in the milieu, influencing the surrounding cells: including microglia. Microglia respond by reducing the levels of IL-15, which determines a down-regulation of NO and cause an anti-inflammatory action Fig. (7).

Cer, the central sphingolipid metabolite, plays a pivotal role in bringing about of cell death induced by different agents such as chemotherapeutics, radiotherapy or pro-



apoptotic TNF- $\alpha$  family ligands [154]. Ceramidase can catabolize Cer, producing Sph which is transformed into the soluble sphingosine 1-phosphate (S1P) by SPHK1 or SPHK2. In contrast to Cer, S1P is a strong proliferative, promigratory, and pro-survival factor [155] which is secreted by GBM cells during hypoxia [156].

In most cases, S1P exerts its function by activating G protein-coupled receptors named S1PR1–5. S1PR1, S1PR2, and S1PR3 enhances GBM cells invasiveness *in vitro* [157] or bind to and modify the activity of histone deacetylases [155]. S1PR1-5 are also highly expressed in activated microglia [158].

It has already been demonstrated in different diseases that Cer plays a pro-inflammatory role in, for instance, airway inflammation, pulmonary edema, inflammatory bowel disease, inflammation during labor, post myocardium ischemic and cystic fibrosis [159-168]. But, the role of SLs in microglia was depicted only a few years ago when Jung and colleagues [162] showed that Cer with acyl chain length C2 to C8 was able to block the inflammation induced by LPS. This study showed for the first time the anti-inflammatory effect of Cers in microglial activation *in vitro* and *in vivo*. Concerning the molecular mechanisms of this anti-inflammatory effect, the authors demonstrated that C2-Cer inhibited NF- $\kappa$ B, AP-1, and many signaling molecules such as PI3K/Akt, MAPKs, and Jak1/STAT1- all of which are also involved in inflammation- and that the role of C2-Cer is the inhibition of NADPH oxidase activity. It seems that these actions are exerted throughout the interaction with TLR4 of microglia cells (BV2) [162].

On the other hand, it can be hypothesized that during GBMs development there is a shift of production from Cer to S1P which activate the anti-inflammatory status of microglia by means of S1P R, and thus the progression of the tumor Fig. (8).

## 5. Conclusions

Although the role of SLs as modulators in the interaction between microglia and brain tumors is still a matter of intense study, the importance of these molecules begun to be clear. It is possible that they will be important targets for therapeutical intervention in the near future.

## LIST OF ABBREVIATIONS

Sphingolipids. SLs; sphingosine: Sph; glycosphingolipids: GSLs; ceramide: Cer; Ceramide transfer protein: CERT; ceramide-1-phosphate: Cer1P; sphingomyelins: SMs; N-acetylglucosamine: GlcNAc; N-acetylgalactosamine: GalNAc; serine palmitoyltransferase: SPT; 3-keto-Dihydrosphingosine: KDS; 3-keto-dihydrosphingosine reductase: KDSR; dihydrosphingosine: dhSPH, Ceramide Synthase: CerS; dihydro ceramide: dhCer; (dihydro) ceramide desaturase: DES; sphingosine-1-phosphate: S1P; dhSphingosine-1-phosphate: dhS1P; sphingosine kinase 1: SPHK1; sphingosine kinase 2: SPHK2; ceramide kinase: CerK; ceramide-1P Cer-1P; ceramide-1P: phosphatase: C1PP; sphingomyelin synthase 1/2: SMS1/2; galactosylceramide: GalCer; glucosylceramide: GluCer; glucosylceramide synthase: GluCer; glucosylceramide GluCer; acid- $\beta$ -glucosylceramidase: acid- $\beta$ -GluCerase;

galactosylceramide synthase: GalCerS; galactosylceramide ceramidase: GalCer ceramidase; lactosylceramide: LacCer; ganglioside: G; ganglioside M3: GM3; ganglioside D3: GD3; ganglioside D2: GD2; globotriaosylceramide 3: Gb3; acid sphingomyelinases: aSMase; sphingosine-1-phosphate phosphatases: S1PP; sphingosine-1-phosphate lyase: S1PL; ceramide-1-phosphate transfer protein: CPTP; Polypeptide N-Acetylgalactosaminyltransferase 1: GalNAc-T1; polypeptide N-Acetylgalactosaminyltransferase 4: GalT4; ST3  $\beta$ -Galactoside Alpha-2,3-Sialyltransferase 2: ST3Gal II; ST8 Alpha-N-Acetyl-Neuraminide Alpha-2,8-Sialyltransferase 5: ST8Sia V; ST6 N-Acetylgalactosaminide Alpha-2,6-Sialyltransferase 5: ST6GalNAc V; ST3 Beta-Galactoside Alpha-2,3-Sialyltransferase 5: ST3Gal V; ST8 Alpha-N-Acetyl-Neuraminide Alpha-2,8-Sialyltransferase 1: ST8Sia I tumor necrosis factor: TNF- $\alpha$ ; Transforming growth factor- $\beta$ : TGF- $\beta$ ; blood brain barrier: BBB; interleukin 4: IL-4; interleukin 13: IL-13; interleukin 15: IL-15; MAF BZIP Transcription Factor B: MafB; Myocyte Enhancer Factor 2C: Mef2C; Methyl-CpG Binding Protein 2: MeCP2; C-X3-C Motif Chemokine Receptor 1: CX3CR1; C-X3-C Motif Chemokine Ligand 1: CX3CL1; CD200 Molecule: CD200; nitric oxide: NO; inositol triphosphate: IP3; ATP binding cassette: ABC; sialyltransferases: ST; central nervous system: CNS; sub-ventricular zone: SVZ; neural stem cells: NSCs; interleukin: IL; neurotrophin-3: NT3; brain-derived neurotrophic factor: BDNF; nerve growth factor: NGF;  $\gamma$ -aminobutyric acid: GABA; fractalkine receptor: CX3CR1; fractalkine receptor ligand: CX3CL1; (CX3CR1); lineage-determining transcription factors: LDTF; signal-dependent transcription factors; SDTFs; interferon regulatory factors: IRF; lipopolysaccharides: LPS; amyotrophic lateral sclerosis: ALS; non-steroid nuclear receptors : liver X receptors: LXR $\alpha$ ; retinoid X receptors: RXR $\alpha$ ; primary malignant brain tumors: PMBTs; glioblastomas: GBMs; The Cancer Genome Atlas: TCGA; tumor protein p53: TP53; phosphatase and tensin homolog: PTEN; platelet-derived growth factor receptor  $\alpha$  polypeptide: PDGFR $\alpha$ ; epidermal growth factor receptor: EGFR; phosphoinositide-3-kinase catalytic alpha: PIK3CA; phosphoinositide-3-kinase regulatory 1: PIK3R1; neurofibromin 1: NF1;  $\alpha$ -thalassemia/mental retardation syndrome X-linked: ATRX; isocitrate dehydrogenase 1: IDH1; leucine-zipper-like transcriptional regulator 1: LZTR1; retinoblastoma 1: RB1; tyrosine-protein phosphatase non-receptor type 11: PTPN11; insulin-like growth factor 1 receptor: IGF1R; PR domain containing 2: PRDM2; latent TGF- $\beta$ -binding protein 4: LTBP4; and MutS homolog 6 (MSH6); Neurofilament Light (NEFL); a  $\gamma$ -aminobutyric acid type A receptor  $\alpha$ 1 subunit: GABRA1; cyclin-dependent kinase 4: CDK4; CDK inhibitor 2A: CDKN2A; tumor-associated microglia/macrophages: TAM/Ms; prostaglandin E2: PGE2; basic fibroblast growth factor: bFGF; monocyte chemoattractant protein 1: MCP-1; stromal cell-derived factor: SDF-1; macrophage colony-stimulating factor: M-CSF; granulocyte-macrophage colony-stimulating factor: GM-CSF; cyclooxygenase-2: COX-2; mitogen-activated protein kinase: MAPKs; Toll-like receptor 4:

TLR4; Protein kinase c  $\zeta$ : PKC $\zeta$ ; lipopolysaccharide: LPS. Phospholipase A2: cPLA<sub>2</sub>; Coenzyme A: CoA; CoA synthase: CoAS; DAG: diacylglycerol; phosphatidylcholine: PC, aldehyde dehydrogenase: ALDH; four-phosphate adaptor protein 2: FAPP2; glucocerebrosidase: GCase; endoplasmic reticulum: ER.

### CONFLICT OF INTEREST

The Authors declare no conflicts of interests.

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