

1 **Exploring scale-effects on water balance components and water use efficiency of toposequence**
2 **rice fields in Northern Italy**

3 [Short title: **Water use efficiency of toposequence rice fields in Northern Italy**]

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12 **KEYWORDS:** rice, water balance terms, water use efficiency, toposequence, percolation, seepage, Darcy's
13 equation

14

15 **ABSTRACT**

16 Water use efficiencies (WUEs) between 20% and 60% are commonly reported for single rice paddies. When
17 larger spatial domains are considered, higher WUE than minimum values observed for individual fields are
18 expected due to water reuse. This study investigates scale effects on water balances and WUEs of four
19 adjacent rice fields located in Northern Italy and characterized by different elevations ($A \cong B > C > D$). Water
20 balance terms for the paddies were quantified during the agricultural season 2015 through the integrated
21 use of observational data and modelling procedures. Following a Darcy-based approach, percolation was
22 distinguished from net seepage. Results showed a net irrigation of about 2,700 and 2,050 mm for fields A
23 and B, and around 640 and nearly 0 mm for C and D. WUE of A, B, C and D amounted, respectively, to 21, 28,
24 66 and >100%. Values for C and D were due to less permeable soils, to seepage fluxes providing extra water
25 inputs and to the shallow groundwater level. When the group of paddies ACD were considered (B was not
26 included since separated by a deep channel), net irrigation and WUE were found to reach 1,550 mm and 39%,
27 confirming the important role of water reuses in paddy agro-ecosystems.

28

29 INTRODUCTION

30 Rice is one of the most important food crops worldwide, the last FAO statistic shows that rice ranked second
31 in food and agricultural commodities, with a global production of more than 700 million tons per year
32 (FAOSTAT, 2013). Italy is the largest rice producer in Europe, with the main production concentrated in the
33 Po river plain (Northern Italy), in a vast area between Lombardy and Piedmont regions (about 250,000
34 hectares).

35 As widely known, rice cropping systems generally require copious amounts of water when the traditional
36 irrigation management is adopted, due to the continuous flooding of rice paddies from seeding to a couple
37 of weeks before harvest in order to maintain a ponded water level of 5-10 cm over the soil (Bouman et al.,
38 2007; Cesari de Maria, 2017). Owing to this peculiar water management, rice usually requires from two to
39 three times more water than other cereals, such as wheat or maize (Tuong et al., 2005). However, water use
40 of flooded rice (i.e. the sum of irrigation and rainfall) may vary significantly due to the site characteristics,
41 ranging from a relatively low value of 650-850 mm for some Asian paddies (e.g. Cabangon et al., 2004; Tabbal
42 et al., 2002) up to a water use of more than 2500 mm observed in European experiments (e.g. Cesari de
43 Maria et al., 2017; Aguilar and Borjas, 2005). Nevertheless, almost all the literature regarding water use and
44 water balance of rice fields relates to studies performed in Asia, where puddling (i.e. harrowing or rotavating
45 under shallow submerged conditions) is performed to reduce soil permeability, and only very few references
46 focus on European rice cropping systems (Cesari de Maria et al., 2017; Zhao et al., 2015; Playán et al. 2008,
47 Aguilar and Borjas, 2005), which are very different with respect to climate, soil types, rice varieties, irrigation
48 management and agronomic practices. Consequently, there is the need to fill this research gap and to provide
49 numbers to European water resource managers and to the public opinion, accusing rice cultivation of being
50 excessively water-consuming.

51 Numerous are the factors influencing the water balance terms in a paddy, such as irrigation management,
52 land preparation method, layout of the field, soil characteristics, agronomic management, crop
53 characteristics, groundwater depth, rainfall amount and timing, and the evaporative power of the

54 atmosphere deriving from climatic conditions. Many water balance terms can be directly measured in the
55 field (i.e., rainfall, irrigation inflow, irrigation outflow, water storage within and above the soil,
56 evapotranspiration) as shown, for instance, in Cesari de Maria et al. (2017). The residual term of the water
57 balance equation (SP) can be considered as the sum of two processes: net percolation, which is the net
58 vertical flux at the bottom of the soil volume (mainly directed downward, since the continuous water flux
59 toward the groundwater table basically prevents capillary rise into the root zone in flooded rice fields), and
60 net seepage, defined as the subsurface flow of water (losses plus incoming fluxes) throughout the bunds
61 (Bouman et al., 2007). In flooded rice fields, seepage is influenced by many factors, such as the position and
62 slope of soil layers, their hydraulic conductivity, the presence of drains and their characteristics, the
63 characteristics of bunds surrounding the field (thickness, presence of cracks, lining) and the water table depth
64 and velocity (FAO, 1979). On the other hand, percolation is mostly influenced by the resistance to water
65 movement in the soil profile, which is mainly governed by the saturated conductivity of the plough pan,
66 (Bouman et al., 2007) and by the difference in water head along the vertical profile.

67 Compared to the other terms of the water balance, SP is the one most affecting rice water requirements. In
68 general, the literature reports that total SP in a paddy may vary between 25-50% of the water inputs (i.e.
69 irrigation plus rainfall) with heavy soil and groundwater depth within 0.5 m (Cabangon et al., 2004; Dong et
70 al., 2004), and 80% in coarse-textured soil with a groundwater table deeper than 1.5 m (Sharma et al., 2002;
71 Singh et al., 2002).

72 Since SP can not be measured directly in field-scale experiments and is usually obtained as the residual term
73 of the water balance, it is a challenge for research to separate SP into the two fluxes. According to Wopereis
74 (1994), the soil vertical profile some days after flooding can be described as a sequence of layers. Following
75 a downward order, the layers are: i) ponding water, ii) muddy layer with low resistance to water flow, iii)
76 plough sole layer with a relevant resistance to water flow, iv) subsoil layer scarcely affected by agronomical
77 practices. After flooding, the muddy layer and the plough sole are saturated; in this condition, the percolation
78 rate P can be predicted by the Darcy's equation (Rizzo et al., 2013). This approach is commonly adopted in
79 the literature and it is implemented, among the others, in the Darcy-based soil-water balance model SAWAH

80 (Simulation Algorithm for Water Flow in Aquatic Habitats; Ten Berge et al., 1992) to calculate the amount of
81 water that percolates from paddy fields.

82 A widely adopted indicator to measure the efficiency of an irrigated system is the water use efficiency (WUE),
83 which is obtained as the ratio of evapotranspiration to water inputs (i.e. irrigation plus rainfall). Tuong and
84 Bhuiyan (1999) report that WUE of flooded rice can be as low as 20%, even though with remarkable variations
85 (upper bound of the range is 60%). Since *SP* generally increases the field irrigation requirements of a rice
86 paddy, WUE of a single field is strongly influenced by the water amount lost by *SP*. When focusing on larger
87 spatial domains, WUE is expected to increase compared to the minimum values found for single paddies, due
88 to the possible water reuse within the system. Hafeez et al. (2007) and Wallace (2000) report increased values
89 of water use indicators considering, respectively, the district and the catchment scales. At a larger scale than
90 the single field, *SP* outflowing from fields can be partially collected by ditches, thus increasing the irrigation
91 discharge available for downhill paddies. Additionally, *SP* can also represent a direct water input to fields at
92 a lower elevation, due to seepage through the bunds. In rain-fed rice, Tsubo et al. (2006) observed that water
93 losses by lateral movements were greater in the upper part of the rice field toposequence, and that these
94 lateral fluxes represented a possible water gain for fields at a lower elevation, affecting also their field WUE.
95 Similarly, Schmitter et al. (2015) found that the field position in the toposequence influenced the crop water
96 productivity in case of irrigated rice, due to subsurface lateral fluxes that represented an extra water input
97 for the lower lying fields. Moreover, lower paddies may benefit from a higher groundwater level that plays
98 an important role in reducing percolation fluxes when groundwater is shallow (Cesari de Maria et al., 2016;
99 2017; FAO, 1979).

100 Hence, in paddy areas, topography is a factor activating water exchanges and reuse between paddies. For
101 this reason, WUE of a single rice field in a toposequence tends to be poorly representative of the overall
102 efficiency of the paddy system, especially in areas characterized by shallow groundwater, due to the strong
103 interactions that may occur among fields. In spite of its relevance, this issue is still not much investigated in
104 the literature and, to the authors' knowledge, no experiments leading to a quantification of water fluxes and
105 WUE for paddies in a toposequence have been conducted in European rice areas.

106 The aim of this paper is to provide procedures and elements for the investigation of scale-effects when
107 considering the water balance terms and the water use efficiency of flooded rice systems in a toposequence.
108 To reach the objective, the water balance terms of four rice paddies characterized by different elevations
109 and located in the most important paddy area in Italy (Lomellina rice district, Northern Italy) were quantified
110 through the integrated use of observational data and modelling procedures. Net irrigation discharges,
111 percolation and seepage fluxes of the four fields are discussed with respect to their position in the
112 toposequence, together with WUEs considering both the single-field scale and the group of rice paddies.

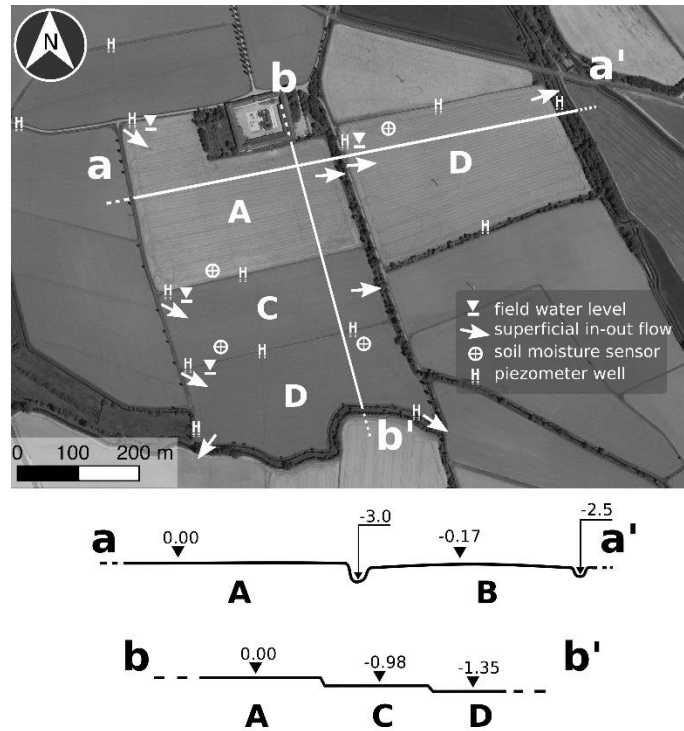
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114 **MATERIAL AND METHODS**

115 **Description of the site**

116 The study was carried out in the Cerino rice farm (Semiana, Pavia) located in Lomellina, a historical rice
117 district situated in the western Lombardy plain, southwest of the city of Milan. The area is characterized by
118 a humid subtropical climate (Cfa) according to the Köppen climate classification. Considering the period 1993-
119 2015, the mean temperature in the months from April to September is around 19.6°C, while the total rainfall
120 is about 310 mm. The average daily reference evapotranspiration (ET_0) of the same period, as calculated from
121 Allen et al. (1998), is 3.6, 4.5, 5.2, 5.8, 4.2, 3.1 mm d⁻¹, respectively.

122 The monitoring activity was performed in 2015 in three adjacent paddy fields, named A, C and D, and in an
123 additional field, named B, separated from the others by a deep drainage channel. The area of the four fields
124 (A, B, C, D) is, respectively, 7.8, 8.2, 4.8, and 5.8 ha. The elevation of the fields decreases from A (94.0 m
125 a.s.l.), to C (about 1 m below A), and D (about 0.5 m below C); B has an elevation of 93.8 m a.s.l.. Figure 1
126 shows a plan view and cross sections of the study area. In the rice district of Northern Italy, bunds are
127 permanent (not ploughed) and decades of clogging due to the flooding practice generally made them rather
128 impervious. In the experimental farm, bunds surrounding the block of fields ACD (apart from the southern
129 one), and field B (apart from the northern one) are about five meters large at the seedbed level, and often
130 flanked by farm roads, as can be seen in Figure 1. The remaining bunds are thinner, with a thickness of about
131 two meters at the seedbed level.



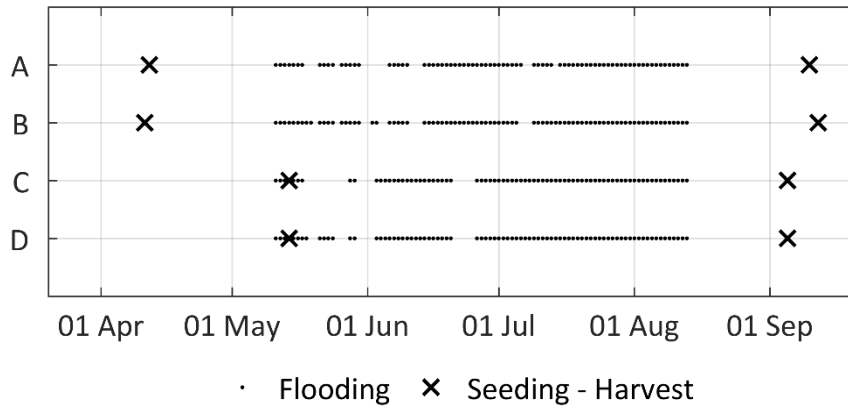
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133 *Figure 1 - Plan view and cross sections of the monitored fields with the position of the installed instruments*

134

135 Before sowing, the four paddies were harrowed and subsequently rolled. Fields A and B were planted with
 136 the rice variety Sole CL, while fields C and D with the variety CL 15. Both the varieties are characterized by a
 137 growing cycle of 135-140 days.

138 A different irrigation management was adopted for the four paddies: water seeding and continuous flooding
 139 (WFL) was applied in C and D, while dry seeding and delayed flooding (i.e. direct seeding on dry soil followed
 140 by a delayed flooding when the crop reaches the 3-4 leaf stage; DFL) was adopted for A and B. After
 141 submersion, the ponding water was maintained in all the fields for approximately the entire growing cycle,
 142 except for short periods when agronomic operations were conducted. In particular, a first dry period was
 143 necessary in fields C and D to allow the root development and, successively, dry periods were performed for
 144 all the fields to allow the application of fertilizers and pesticide treatments. Figure 2 illustrates flooding
 145 periods (dots) and seeding and harvesting dates (x) of the four paddies. Despite the different irrigation
 146 management of fields A and B compared to C and D, the overall length of the flooding period was
 147 approximately the same for the four fields.



149

150 *Figure 2 - Flooding periods and seeding and harvesting dates for paddies A, B, C and D in the agricultural*
 151 *season 2015 at the Cerino rice farm (Semiana, Pavia)*

152

153 **Experimental setup and data collection**

154 For investigating the water dynamics within the four fields, a sensors network was set up in each field (Figure
 155 1) to monitor the following water fluxes and storages: irrigation inflow, irrigation outflow, ponding water
 156 height, soil water content, and water table depth. All the measurements were continuously recorded by
 157 different data loggers during the whole crop cycle, with a time step of 10 to 30 minutes.

158 Irrigation inflows and outflows at each field were measured by long-throated flumes equipped with a level
 159 gauge (Chiaradia et al., 2015). The flumes were self-made, and dimensioned to fit the expected maximum
 160 discharge of 80 l s^{-1} (rectangular-shaped, 1.2 m long, 0.3 m wide and 0.4 m high). Flow rate curve was
 161 estimated by using the WinFlume software (U.S.B.R., USA). Upstream water levels were measured in stilling
 162 wells by pressure transducers having a full scale of 0.30 Bar (Keller, Switzerland). A total of nine long-throated
 163 flumes were installed: four to measure irrigation inflows at the four fields, and five for monitoring the
 164 irrigation outflows (field D has two irrigation outlets).

165 The ponding water height was measured by pressure transducers (full scale of 0.30 Bar; Keller, Switzerland)
 166 installed in the four fields. The soil water content was monitored in each field at four soil depths (10, 30, 50,
 167 70 cm) by multi-level FDR (Frequency Domain Reflectometry) probes (EnviroSCAN, Sentek, Australia).

168 Water table depth was monitored through a total of fourteen piezometers made by windowed 1 1/5" PVC
169 pipes installed into holes drilled using a manual auger. Twelve piezometers were equipped with a pressure
170 transducer (Keller, Switzerland ; STS, USA; Van Essen Instrument, The Netherlands), while the two remaining
171 were monitored weekly through manual measurements. For each monitoring time step, the measured
172 groundwater levels were interpolated on a regular grid with 10 m x 10 m cells (Natural Neighbor Interpolation
173 method; MATLAB, The Mathworks, USA).

174 Between March and May 2015, a geophysical survey with an EMI device (GSSI Profiler-EMP400) and a
175 traditional soil survey (40 drillings carried out with a manual auger) were conducted to obtain a preliminary
176 description of soil physical characteristics and distribution. For each drilling, disturbed soil samples were
177 taken at three depths (0-30, 40-80 and 90-130 cm) for textural analysis. Soil of the four fields shows a
178 significant uniformity in the tilled horizon (top 30 cm), with a sandy loam texture, whereas a higher textural
179 variability (from sandy to silty clay loam) is evident below this horizon. All the information collected in the
180 preliminary soil survey was used to identify areas potentially characterized by different soil types and to
181 select the more representative sites for an in-depth soil characterization. In November 2015, five two-meter-
182 deep soil profiles were opened with an excavator and characterized (two profiles in field A, two in field B,
183 and one in field C). Disturbed soil samples were taken from each soil horizon for chemical-physical soil routine
184 analysis, and undisturbed soil samples were collected at the same positions for the determination of bulk
185 density. Large undisturbed soil cores (height 15.0 cm, ϕ 14.6 cm, two replicates) were taken from the less
186 conductive layer (LCL) of each profile (often being the hardpan) for the laboratory determination of the
187 saturated soil hydraulic conductivity. Depth and thickness of LCL were observed for each profile by
188 considering different features (e.g. soil compaction, transition between layers showing reductive features –
189 above- and oxidative conditions –below).

190 The soil survey highlighted a narrow area crossing the central part of field B, characterised by a relatively
191 coarser soil with respect to the other two portions of the field. Unfortunately, a soil profile was not opened
192 in this area, but information about LCL position and texture could be obtained by drillings.

193 In field D, drainage is strongly impeded and water is ponding on the soil surface for nearly the whole year;
194 therefore, it was impossible to open a profile in November 2015. However, the preliminary soil survey and
195 the installation of piezometric wells allowed to detect a thick and compact clayey layer about 1.2 meters
196 below the soil surface, that acts as the less conductive layer and is most likely responsible for the poor
197 drainage of field D.

198 Finally, hourly meteorological data (air temperature and humidity, rainfall, wind speed, solar radiation) were
199 obtained by the closest regional weather station, 12 km far from the experimental site.

200

201 **Calculation of balance terms**

202 For each field, a balance equation (Eq. 1) was implemented at an hourly time step from dry seeding till harvest
203 in case of DFL treatments (A and B), and from the first flooding before wet seeding till harvest for WFL ones
204 (C and D). A field control volume ranging from the top of the ponding water to the bottom of the LCL was
205 considered (this means that control volumes are different for the four fields, see Table 1).

206

$$207 \quad \Delta S_{w,t} + \Delta S_{s,t} = Q_{in,t} - Q_{out,t} + R_t - ET_t + SP_t \quad (1)$$

208

209 where: t is the time index (h), ΔS_w is the variation in the ponding water height; ΔS_s is the variation of the soil
210 water storage; Q_{in} and Q_{out} are the irrigation inflow and outflow divided by the field area; R is the rainfall; ET
211 is the evapotranspiration from soil/ponding water (evaporation) and crop (transpiration); SP is the seepage
212 and percolation term discussed in the introduction. All the terms are in mm h^{-1} . Positive signs indicate an
213 increasing storage or a flux entering the soil volume in the time step.

214 Seepage and percolation (SP) can be split as follows:

215

$$216 \quad SP_t = Se_t - P_{bal,t} \quad (2)$$

217

218 where Se (mm h^{-1}) is the seepage flux, positive when the inflow is higher than the outflow, and P_{bal} (mm h^{-1})
 219 is the vertical flux at the bottom of the LCL, that can be both negative (downward) and positive (upward).
 220 However, in flooded fields, a continuous downward flow of water from the soil surface to below the LCL
 221 (called 'percolation') basically prevents capillary rise, and a positive vertical flux is found only in case of high-
 222 pressure groundwater below the LCL. On the contrary, when paddies are dry, the flow at the bottom of the
 223 LCL can become positive (capillary rise) if the water table is sufficiently shallow.

224 Most of the terms in Eq. 1 were measured, while the remaining terms were calculated as described hereafter.
 225 ET was estimated following the FAO single crop coefficient approach (Eq. 3) (Allen et al., 1998), considering
 226 a complete fulfilment of the crop evapotranspiration requirements:

227

$$228 \quad ET_t = K_{c,t} \cdot ET_{0,t} \quad (3)$$

229

230 The reference evapotranspiration (ET_0) was computed from hourly meteorological data following Allen et al.
 231 (2006), while time-varying crop coefficients (K_c) were obtained for the two irrigation managements, WFL ($K_{c_{ini}}$
 232 = 0.8, $K_{c_{mid}}$ = 1.1, $K_{c_{end}}$ = 0.6) and DFL ($K_{c_{ini}}$ = 0.6, $K_{c_{mid}}$ = 1.1, $K_{c_{end}}$ = 0.6) from a former experiment carried out
 233 on rice under the same irrigation treatments in a study site nearby (12 km) (Cesari de Maria et al., 2017;
 234 Chiaradia et al., 2015).

235 The SP term was not monitored and it was obtained by applying Eq. 1. Furthermore, if one of the two
 236 components, Se or P_{bal} , can be estimated independently, then Eq. 2 can be applied to estimate the other one.
 237 An estimation of the percolation P_{bal} was obtained, in this study, by applying the 1D Darcy's equation (Eq. 4)
 238 during the field submersion (ponding water on the soil surface > 0), when most of the percolation is expected
 239 to occur:

240

$$241 \quad P_{D,t} = b \cdot K_s \cdot \frac{\Delta H_t}{L} \quad (4)$$

242

243 where: P_D is the percolation (mm h^{-1}) calculated from the Darcy's law, K_s is the saturated hydraulic
 244 conductivity of LCL (cm d^{-1}), L is the thickness of LCL (cm), b is a conversion factor ($10/24 \text{ mm d cm}^{-1} \text{ h}^{-1}$), and
 245 ΔH (cm) is the difference in the total hydraulic head at the two LCL sides:

246

$$247 \quad \Delta H_t = H_{2,t} - H_{1,t} \quad (5)$$

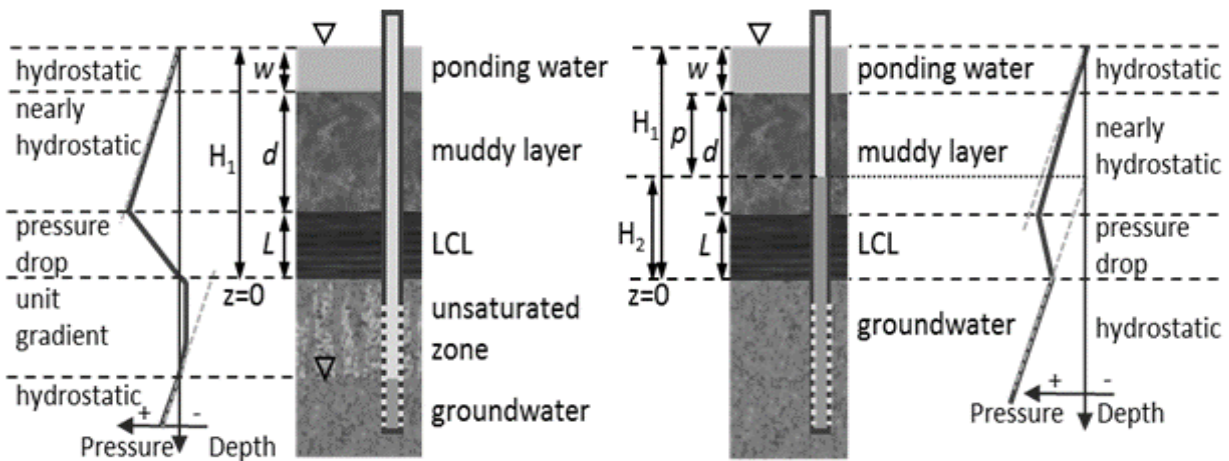
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249 where H_1 (cm) and H_2 (cm) are the total hydraulic heads at the top and the bottom of the LCL, respectively.

250 For fields characterized by different soil zones (i.e. A and B), the total percolation was obtained by weighing
 251 the percolation fluxes obtained for the different soil zones by their surface areas.

252 The LCL properties (K_s and L) were investigated for the soil profiles representative of the different soil zones;
 253 in particular, K_s was determined on large undisturbed soil cores by applying the core method in laboratory
 254 (e.g. Reynolds and Elrick, 2002). The hydraulic heads H_1 and H_2 were estimated for each soil zone along the
 255 flooding period as described hereafter.

256



257

258 *Figure 3 - Scheme of the application of the 1D Darcy's equation in case the layer below LCL is, respectively,*

259 *unsaturated (left-hand side) or saturated (right-hand side); qualitative pressure head profiles are also*

260

illustrated

261

262 Since the muddy layer above the LCL is typically incoherent and highly conductive (Wopereis et al., 1994), its
263 effect on the flux can be neglected in computing Eq. 4. When the LCL is deeper than 30 cm, a soil layer may
264 be placed between the incoherent muddy layer and the LCL, but also its effect on the percolation can be
265 neglected since its K_s is usually higher than the LCL by far.

266 With respect to Figure 3, when the reference level $z=0$ is placed at the bottom of the LCL, the total hydraulic
267 head at the top of the LCL (H_1) can be approximated as:

268

$$269 \quad H_{1,t} = w_t + d + L \quad (6)$$

270

271 where: w (cm) is the height of the ponding water, d (cm) is the depth of the LCL from the soil surface, and L
272 (cm) is its thickness; all the quantities on the right-hand side of Eq. 6 were measured in the field.

273 The total hydraulic head at the bottom of the LCL (H_2) was computed according to the water table position
274 with respect to LCL, as shown in Figure 3.

275 In case of unsaturated soil below the LCL (Figure 3, left-hand side), a negative water pressure occurs below
276 the LCL, whereas the LCL is supposed to be fully saturated (i.e. water pressure ≥ 0). Therefore, being H_2 the
277 water pressure at the interface between these layers, its value can be assumed equal to zero.

278 In case of a complete saturation of the soil profile (Figure 3, right-hand side), the soil below the LCL is
279 saturated, its pressure head is positive and increases with depth following a hydrostatic pressure gradient
280 and H_2 is equal to the distance between the groundwater level and the bottom of the LCL (Eq. 7):

281

$$282 \quad H_{2,t} = d + L - p \quad (7)$$

283

284 where $p = (z_s - z_{w,t})$ (cm) is the distance between the soil surface and the groundwater table computed
285 for each time step. In this case, P_D can become positive if $H_2 > H_1$.

286 Obviously, ΔH values change for the four fields at each monitoring step, as the ponding water height and the
287 groundwater depth fluctuates.

288 Since a measure of K_s was unavailable for the LCL of the soil unit in the central part of the field B, an
 289 estimation was carried out by calibrating this parameter in order to obtain, at the end of the agricultural
 290 season, a P_D value equal to the SP cumulative flux, assuming that no net seepage occurred for field B as a
 291 consequence of its topographic position (in analogy to what was observed for field A).

292 Finally, K_s and thickness of LCL in field D were set to reproduce the negligible observed percolation flux; K_s ,
 293 in particular, was set to a very low value.

294 Table 1 reports the main soil physical and hydrological properties of the LCLs used in the computation of
 295 percolation (P_D) through the Darcy's approach. For each row of parameters, Table 1 also reports the fraction
 296 of the area of the field they apply to (according to the soil survey described in the previous Section).

297

298 *Table 1 – Main soil physical and hydrological properties of LCLs of the fields (d - depth, L - thickness, K_s -*
 299 *saturated hydraulic conductivity, Texture following USDA classification, BD - bulk density)*

Field	Field fraction	d (cm)	L (cm)	K_s (cm d ⁻¹)	Texture	BD (g cm ⁻³)
A	0.23	30	5	0.342	Sandy Loam	2.05
	0.77	55	25	1.020	Loam	2.00
B	0.30	20	5	0.017	Sandy Loam	1.98
	0.37	20	15	1.700*	Sandy Loam	-
	0.43	40	15	0.145	Loam	1.93
C	1.00	45	7	0.057	Loam	1.98
D	1.00	120	40	0.001*	Clay Loam	-

300

* estimated

301

302 The approach for computing P_D was applied only in periods when paddy fields were submerged (ponding
 303 water on the soil surface > 0). In this case, Se was estimated from the cumulative $SP - P_D$ curve by manual
 304 calibrating a stepwise linear interpolant, the slope of which is equal to the Se value in each time step. Se
 305 values estimated for each step were also evaluated in the light of the observational data collected in the field.

306 P_{bal} was consequently set as $SP - Se$. During non-flooding periods (ponding water on the soil surface = 0), the
 307 net seepage was assumed to be negligible ($Se = 0$), and the net flux at the bottom of LCL was obtained as the
 308 residual term in the water balance equation ($P_{bal} = SP$; Eq. 1); in this case, positive P_{bal} indicates a capillary
 309 rise flux into the soil unit volume. In non-flooding periods, $P_D = P_{bal}$ was furthermore imposed in order to
 310 maintain a complete P_D series.

311 When the group of paddies ACD is considered, terms of the water balance are calculated from those of the
 312 three fields, weighted by their surfaces.

313 All the equations reported in this Section were implemented in a MATLAB script.

314

315 **Computation of Water Use Efficiencies**

316 The water use efficiency (WUE, %) was calculated for each paddy, as well as for the group of paddies ACD,
 317 with the modified index proposed by Dunn and Gaydon (2011):

318

$$319 \quad WUE = 100 * \frac{ET}{Q_{in} - Q_{out} + R} \quad (8)$$

320

321 where ET , Q_{in} and Q_{out} are expressed in mm over the whole agricultural season. The irrigation outflow (Q_{out})
 322 was subtracted from the total water inputs ($Q_{in} + R$) because the irrigation outflow is discharged into the
 323 irrigation network and is consequently reused for the irrigation of paddy fields located downslope. It shall be
 324 noted that the WUE of a group of fields does not correspond to the average of the WUEs of single fields. In
 325 particular, the WUE of a group of paddies is reported on the left-hand side of Eq. 9, while the area-weighted
 326 average of the single WUEs is illustrated on the right-hand side of Eq. 9:

327

$$328 \quad \frac{\sum A_i \cdot ET_i}{\sum [A_i (R + Q_{in,i} - Q_{out,i})]} * 100 \neq \sum \left(\frac{A_i}{A} \cdot \frac{ET_i}{R + Q_{in,i} - Q_{out,i}} \right) * 100 \quad (9)$$

329

330 where A_i is the area of the single fields (m^2), while A is the area of the group of fields (m^2). All the remaining
 331 terms (ET , R , Q_{in} , Q_{out}) are also expressed in meters.

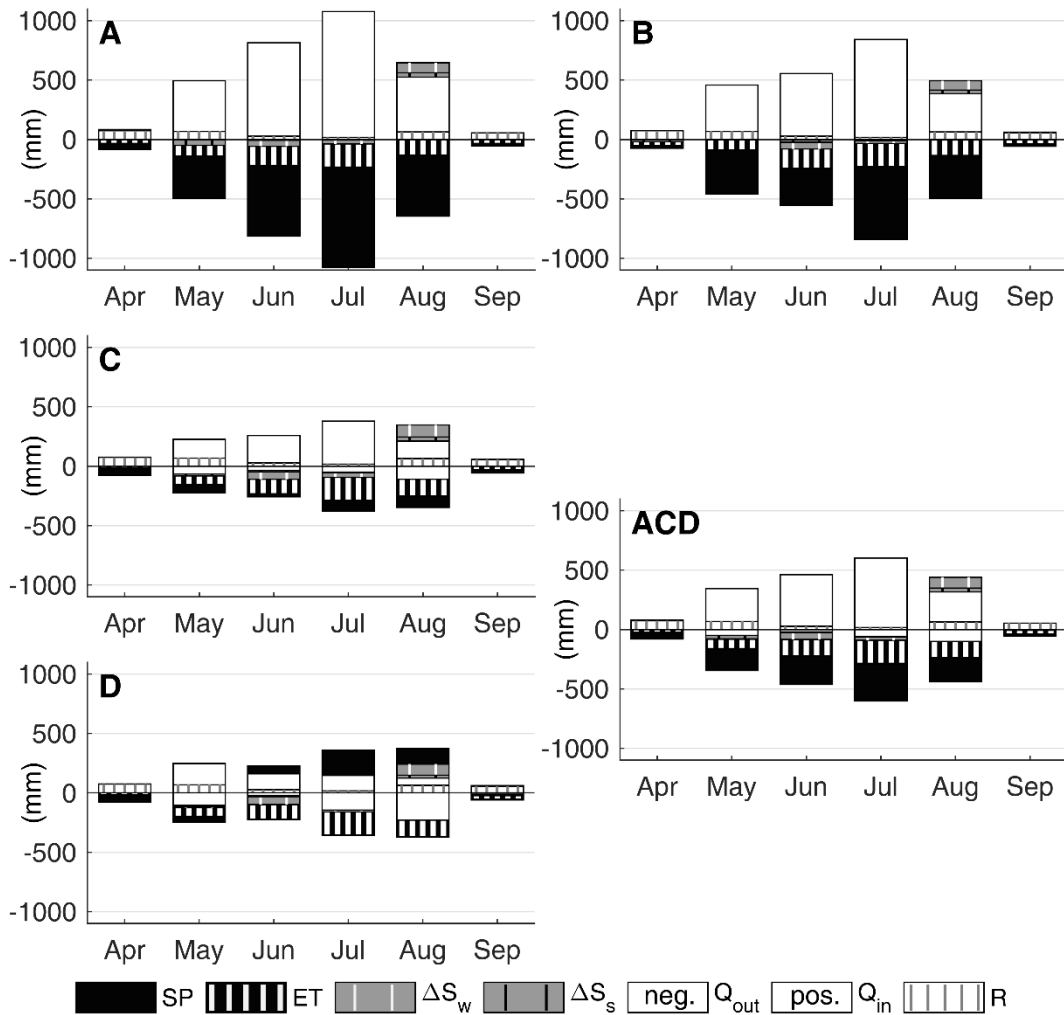
332

333 **RESULTS AND DISCUSSION**

334 **Monthly water balance terms**

335 Water balance terms are presented in Figure 4 at a monthly time scale, water volumes entering the fields
 336 have positive values, while outgoing volumes have negative values. Some terms are only slightly visible (e.g.
 337 ΔS_s and ΔS_w), but all are reported for sake of completeness. During the flooding period, average groundwater
 338 depths at the experimental site were found to be around 0.8, 1.2, 0.1 and 0.6 m in fields A, B, C, and D,
 339 respectively.

340



341

342 *Figure 4 - Monthly cumulated water balance terms for fields A, B, C, D and the group of fields ACD: SP -*
 343 *seepage and percolation, ET – evapotranspiration, ΔS_w - change in water storage on the field, ΔS_s - change*

344 *in water storage within the soil, Q_{out} – irrigation outflow (negative), Q_{in} – irrigation inflow (positive), R -*
345 *rainfall*

346

347 In Figure 4, fields A and B (DFL) show a very similar pattern characterized by large water fluxes mostly due,
348 inward, to the irrigation supply (Q_{in}) and, outward, to the seepage and percolation term (SP).
349 Evapotranspiration (ET) is smaller than Q_{in} and the irrigation outflow (Q_{out}) is nearly absent. The amounts
350 reached by Q_{in} and SP during the season follow the number of flooding days, reaching a maximum in July
351 (Figure 2). The maximum of ET , occurring in July too, is due to the meteorological factors affecting ET_0 . Finally,
352 the storage terms (ΔS_s and ΔS_w) have negative values at the start of the season (i.e. water is stored on and
353 within the soil) and positive at drying (i.e. the stored water is released). Field C (WFL) shows common
354 features to A and B, but with remarkably smaller Q_{in} and SP fluxes. Moreover, Q_{out} is often rather relevant in
355 this field, especially in August. The pattern of fluxes in field D (WFL) is different with respect to the other
356 monitored fields. Indeed, Q_{in} has the same magnitude of ET and it is often smaller than Q_{out} ; the reason of
357 this is a relevant and positive SP flux. The maximum of Q_{in} occurs in May, which is the only month where SP
358 is negative in D, while the maximum positive SP is reached in July. Finally, when the group ACD is considered,
359 water fluxes and storages appear to have an average behaviour between those shown for A and C.

360

361 **Breakdown of the SP term into seepage and percolation fluxes**

362 The complex behaviour identified for the balance terms in the previous Section can be better explained by
363 dividing SP , calculated as the residual of the water balance (Eq. 1, Figure 4), into its vertical and horizontal
364 components, namely percolation (P_{bal}) and seepage (Se), respectively (Eq. 2).

365 Figure 5 illustrates SP fluxes cumulated over the agricultural season (bold black line), and P_D fluxes (bold grey
366 line), estimated by applying the Darcy's law. The figure also illustrates the cumulative patterns of Se (dotted
367 black line) and P_{bal} (thin black line) fluxes obtained by partitioning SP . On the x-axis, periods of flooded
368 conditions, as obtained by water level sensors positioned in each field, are additionally reported (the
369 correspondence between these periods and those reported in Figure 1, having the aim to illustrate the water

370 management operated by the farmer, is not always perfect as periods in Figure 1 do not account for short
371 time submersions due to heavy rainfall events on nearly saturated soil).

372 In case of field A, a very good correspondence was found between SP and P_D fluxes, with respect to both the
373 cumulative value of the two variables at the end of the season and the seasonal trend. Actually, no incoming
374 seepage was expected in this field due to its high topographic position, and Figure 5a suggests that also
375 outward seepage can be considered negligible. Consequently, Se was assumed to be null throughout the
376 season, and thus $P_{bal} = SP$.

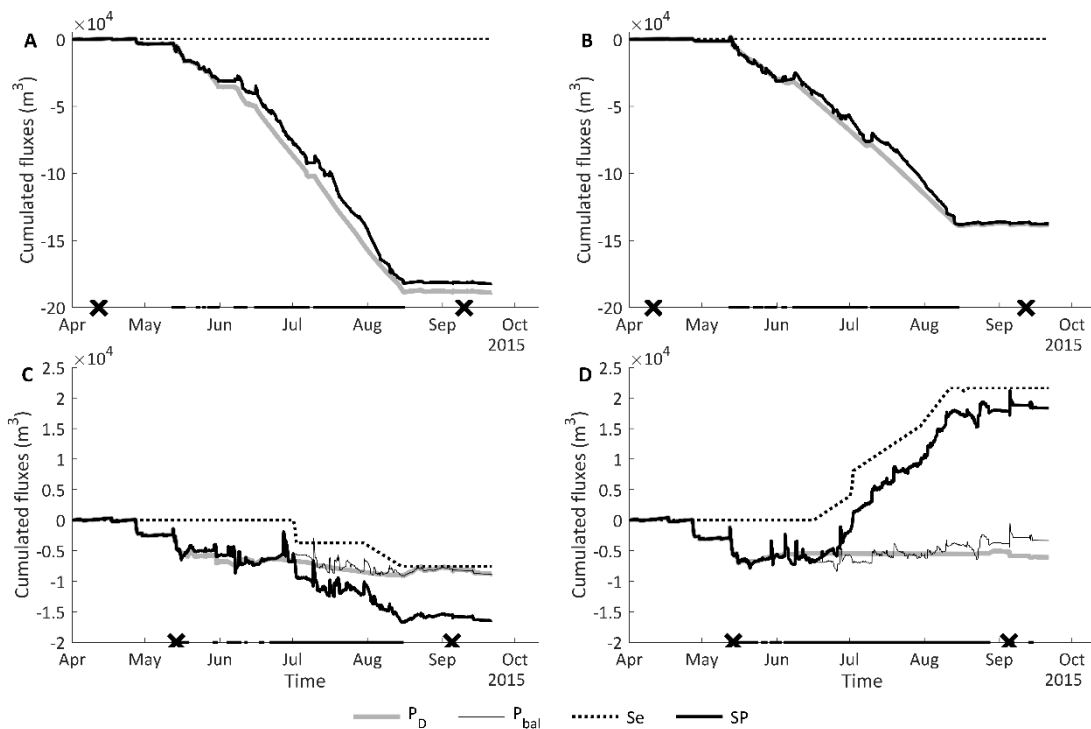
377 For field B (Figure 5B), the matching between P_D and SP cumulative values at the end of the season is due to
378 the calibration of the K_s value of the coarser soil crossing the central portion of the field. Anyway, the overall
379 seasonal patterns of the two fluxes have a very good match, with SP that does not show sharp short-term
380 deviations from P_D estimation. This allowed to discard the hypothesis of relevant seepage fluxes affecting B;
381 these fluxes were set to zero in analogy with field A, also due to a similar position of the two fields with
382 respect to the adjacent fields and to the groundwater level.

383 The cumulated patterns of SP and P_D for field C (Figure 5C) are divergent, due to the presence of relevant
384 seepage fluxes. By comparing the cumulative ($SP - P_D$) curve for the fields C and D (not shown), a good
385 correspondence between the sudden slope changes in the two lines was evident in different time periods. In
386 particular, changes were found to have the same behaviour but different sign (i.e. a decrease in the curve of
387 field C corresponded to an increase in the cumulative ($SP - P_D$) curve for field D), and this suggested a water
388 flux from C to D in these periods. The first occurrence corresponded to a large break of the embankment
389 between the two fields (about 30 l s^{-1} on average from 1-July-2015 to 2-July-2015) also observed in the field,
390 while the second was a proper seepage flux (about 3 l s^{-1} from 30-July-2015 to 15-Aug-2015). Both can be
391 noted by observing the Se line in Figure 5C and 5D.

392 Field D was characterized by an irrelevant P_D flux compared to the other three fields (Figure 5D); thus, SP was
393 mostly due to Se during the flooding periods. In addition to the two seepage fluxes coming from C, the
394 cumulated SP flux showed an additional rise from 16-June-2015 (one day after the main submersion in A) to
395 19-Aug-2015 (4 days after the drying of fields A and C) with an average flux of 3.0 l s^{-1} . This period was

396 characterised by a groundwater level measured by piezometers on the bund between C and D higher than
 397 the ponding water in D (not shown). Therefore, a groundwater flux entering D above its LCL was added to
 398 the Se term. Finally, a flux outgoing from D to the adjacent drainage channel occurred from 11-Aug-2015 to
 399 17-Aug-2015 (6.0 l s^{-1}), reducing the slope of the SP curve just before the field drying (mid-August). After the
 400 removal of the identified Se fluxes from SP , the cumulated values of P_{bal} and P_D matched fairly well also for
 401 fields C and D (Figure 5C e 5D).

402



403

404 *Figure 5 – Daily cumulated fluxes of SP, P_D , Se and P_{bal} for fields A, B, C and D; panels have different Y scales.*

405 *On the X axis, black dots show periods with water ponding in the fields, while seeding and harvesting dates*

406 *are marked with X*

407

408 **Seasonal water balance and WUE at different scales**

409 Table 2 reports the cumulated fluxes on a seasonal basis. Values are referred to the period from seeding to
 410 harvest for fields A and B (152 and 155 days respectively), and from the very first submersion of the season
 411 (just before seeding) to harvest for fields C and D (117 days). Since the periods are different, also the total
 412 amount of precipitation (R) and evapotranspiration (ET) differs slightly among the fields. The ΔS_s and ΔS_w

413 terms are very small and they are reported in Table 2 for sake of completeness. Contrarily, relevant amounts
414 and strong differences among the fields appear when comparing Q_{in} , Q_{out} and WUE.

415 In fields A and B, water infiltrates at a high rate; thus, fields can be dried for treatment application just by
416 stopping the irrigation inflow for one or two days. Due to these high infiltration rates, Q_{out} was always close
417 to zero. On the contrary, the farmer used the irrigation outlets of C and D during the season to control the
418 water level in the field and to dry the fields as required by treatments. In case of field D, Q_{out} was practically
419 equal to Q_{in} , due to the presence of lateral fluxes. High irrigation inputs and negligible discharges led to high
420 net irrigations ($Q_{in} - Q_{out}$) required by A (2710 mm) and B (2050 mm), whereas net irrigation input was only
421 640 mm in C and almost no irrigation was required in D.

422 Considering only the irrigation management adopted in the fields, DFL applied to A and B resulted in lower
423 WUEs (21 and 28%, respectively) compared to the traditional WFL technique adopted in C and D (66 and
424 275%, respectively). Although some studies comparing WFL and DFL report small water savings in case of DFL
425 (e.g. Cesari de Maria et al., 2017; Borrell et al., 1997), DFL showed a much lower WUE than WFL in this study,
426 due to the topographic position of fields A and B and to the high permeability of their soils. Since farmers
427 often select fields where applying DFL management on the basis of the permeability of their soils and the
428 groundwater table depth (tillage operations must be conducted in these fields by means of common
429 agricultural machinery), DFL applied in the real agricultural world may often not achieve WUEs observed in
430 experimental tests.

431 Leaving aside the peculiar condition of field D, WUEs observed for fields A, B and C are in good agreement
432 with results of other studies. With respect to experiments performed in Northern Italy, WUE according to
433 data from Zhao et al. (2015) ranged from a minimum of 26% and a maximum of 56%, similarly to values
434 computed from Karpouzas et al. (2005), varying between 28% and 60% in two subsequent years. On the other
435 hand, values closer to the lower bound are reported by Cesari de Maria et al. (2017) and Aguilar and Borjas
436 (2005) who observed WUEs between 17% and 27% for flooded rice paddies. As found for many other
437 countries, a lower WUE of paddies compared to other cereals can be observed also in Northern Italy. In
438 particular, the WUE of maize, which is the major crop cultivated in the Po river plain, usually ranges between

439 30 and 50% when border irrigation is applied and to 70 to 80 % in case of sprinkler irrigation (data from
 440 regional reports).

441

442 *Table 2- Seasonal water balance terms (mm) and WUE (%) for fields A, B, C, D and for the group of paddies*
 443 *ACD, computed from seeding to harvest for field A e B and from the first submersion (a few days before*
 444 *seeding) to harvest for fields C and D*

Field	R	Q_{in}	Q_{out}	Net irr.	ΔS_s	ΔS_w	ET	SP	WUE
A	273	2716	6	2710	10	0	630	-2327	21
B	273	2067	17	2050	13	0	635	-1660	28
C	198	899	261	638	6	0	575	-277	66
D	198	504	501	3	19	0	575	387	275
ACD	273	1544	230	1315	6	0	611	-972	39

445

446 Since the very high WUE of field D is due to extra water inputs provided by the continuous flooding of fields
 447 A and C, water balance terms and WUE were computed also considering the group of paddies A, C and D as
 448 a whole (ACD in Table 2). Due to the internal reuse of seepage fluxes occurring between paddies, the net
 449 irrigation of the group of fields ACD (around 1550 mm) was indeed lower than that measured for A and B,
 450 while WUE was found to be around 40%.

451

452 CONCLUSIONS

453 This study focused on the quantification of water balance terms and water use efficiency (WUE) of four rice
 454 fields, characterized by different elevations ($A \cong B > C > D$) and located in the main Italian rice basin (Lomellina
 455 region), during the agricultural season 2015. Irrigation water management was dry-seeding and delayed
 456 flooding (DFL) in fields A and B, and water seeding and continuous flooding (WFL) in fields C and D. Since
 457 fields A, C and D lay on the same slope (while B is separated by a deep drainage channel) and their water
 458 dynamics are often interconnected, the three fields were also considered as a whole (ACD) in the study.

459 During the entire agricultural season, a higher net irrigation was required by the upslope fields A (2,710 mm)
460 and B (2,050 mm) compared to downslope fields C (638 mm) and D (nearly 0 mm). The small values for the
461 fields C and D are not only due to a low soil permeability and a shallow groundwater table, but also to the
462 occurrence of incoming seepage fluxes from upslope fields.

463 By measuring or estimating the different terms of the water balance for each field, the seepage and
464 percolation flux (*SP*) was computed as the residual term of the water balance equation. Following a Darcy-
465 based approach, percolation could be distinguished from net seepage. Throughout the flooding periods, *SP*
466 was found to be practically coincident with the percolation term for the upslope fields (A and B), and no
467 significant seepage fluxes were identified for these fields. On the contrary, seepage provided a large amount
468 of water to the downslope field D, which was characterized by an irrelevant vertical percolation due to both
469 a low soil permeability and a very shallow groundwater table, most likely maintained by the percolation of
470 the upslope fields (A and C). Field C behaved halfway between fields A and D. The net irrigation for the group
471 of paddies ACD reached 1,550 mm, due to the water reuse within paddies in the toposequence.

472 WUEs of the upslope fields (A and B) were 21-28%, field D showed a WUE > 100%, while an intermediate
473 value was found for field C (66%). Considering the irrigation management adopted in the fields, DFL applied
474 to A and B resulted in lower WUEs compared to the traditional WFL technique adopted in C and D. This was
475 due to the fact that, in the specific case study, topography was the dominant factor in determining the value
476 of WUE, overwhelming all the other factors, including the irrigation management. The group ACD showed a
477 relatively high WUE (39%), due to water reuse among fields promoted by the topographic gradient. This
478 demonstrates that none of the fields could be considered representative for the entire paddy area, and thus,
479 to quantify the WUE of a sequence of fields on a slope, the monitoring scale must be enlarged to include all
480 the fields in the area.

481

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486

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