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5 **Pravettoni, E-cigarettes May Support Smokers With High Smoking-Related Risk Awareness**
6 **to Stop Smoking in the Short Run: Preliminary Results by Randomized Controlled.** Trial,
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8
9 **Title:** E-cigarettes may support smokers with high smoking-related risk awareness to stop smoking
10 in the short run: preliminary results by randomized controlled trial

11 **ABSTRACT:**

12 **Introduction:** E-cigarettes may be positively used in tobacco cessation treatments. However, neither
13 the World Health Organization nor the American Food and Drug Administration has recognized them
14 as effective cessation aids. Data about the efficacy and safety of e-cigarettes are still limited and
15 controversial.

16 **Methods:** This was a double-blind randomized controlled study. The main focus of this paper is on
17 a secondary outcome of the study, that is the assessment of effectiveness and safety of e-cigarettes in
18 achieving smoking cessation in a group of chronic smokers voluntarily involved in long-term lung
19 cancer screening. Participants were randomized into three arms with a 1:1:1 ratio: e-cigarettes (Arm
20 1), placebo (Arm 2), and control (Arm 3). All subjects also received a low intensity counseling.

21 **Results:**

22 Two hundred and ten smokers were randomised (70 to nicotine e-cigarettes, 70 nicotine-free placebo
23 e-cigarettes, and 70 to control groups). About 25% of participants who followed a cessation program
24 based on the use of e-cigarettes (Arm 1 and Arm 2) were abstinent after three months. Conversely,

25 only about 10% of smokers in Arm 3 stopped. A Kruskal-Wallis test showed significant differences
26 in daily cigarettes smoking across the three arms (K-W = 6.277, p = .043). In particular, participants
27 in Arm 1 reported a higher reduction rate (M = -11.6441, SD = 7.574) than participants in Arm 2 (M
28 = -10.7636, SD = 8.156) and Arm 3 (M = -9.1379, SD = 8.8127)

29 **Conclusions:** Our findings support the efficacy and safety of e-cigarettes in a short-term period. E-
30 cigarettes use led to a higher cessation rate. Furthermore, although all participants reported a
31 significant reduction of daily cigarette consumption compared to the baseline, the use of e-cigarettes
32 (including those without nicotine) allowed smokers to achieve better results.

33 **Implications:** E-cigarettes increased the stopping rate as well as the reduction of daily cigarettes in
34 participants who continued smoking. In fact, although all participants reported a significant reduction
35 of tobacco consumption compared to the baseline, the use of e-cigarettes allowed smokers to achieve
36 a better result. It could be worthwhile to associate this device with new ICT-driven models of self-
37 management support in order to enable people to better handle behavioral changes and side effects.
38 This is true for ready-to-quit smokers (such as our participants) but can also be advantageous for less
39 motivated smokers engaged in clinical settings.

40

41 **Introduction**

42 In the 1980s, Peto and Doll¹ described the severe health consequences of smoking. Nevertheless, the
43 tobacco epidemic is still growing, and quitting smoking is difficult for many people. Tobacco
44 cigarette smoking is also widespread in high-risk groups, such as cancer patients,^{2,3} cancer
45 survivors,^{4,5} and individuals affected by respiratory diseases such as asthma and chronic obstructive
46 pulmonary disease.⁶

47 Currently, nicotine-replacement treatments (NRTs) (patches, gum, nasal spray, and inhalers) are used
48 to support smoking cessation as a first-line treatment.⁴ The use of NRTs allows smokers managing
49 craving and withdrawal.⁷ Research evidenced that NRTs may be considered effective cessation aids
50 particularly when combined with behavioral counseling.⁸ Nevertheless, low compliance is often a
51 critical issue, since after a short period many smokers reduce or interrupt the use of NRTs,^{4,9} thereby
52 decreasing their efficacy. Consequently, NRTs based programs have high (around 93%) relapse rate
53 within 6 months.¹⁰

54 Harrell and colleagues suggested that the low compliance and the high relapse rate drove the
55 development of new devices.¹¹ In 2004, the e-cigarette was introduced as a new tool to support
56 smoking cessation,¹² considered both safe and efficacious.¹³ However, neither the World Health
57 Organization nor the American Food and Drug Administration has recognized the use of e-cigarette
58 an effective cessation aid.¹⁴ In the UK however, a company recently obtained the authorization to sell
59 them with the label “tobacco cessation device.”¹⁵ Actually, data about the efficacy and safety of e-
60 cigarettes are still limited and controversial. Bullen and colleagues¹⁶ conducted a randomized
61 controlled trial on 657 smokers who were unmotivated to quit, comparing nicotine e-cigarettes,
62 nicotine-free e-cigarettes, and traditional nicotine replacement treatments. At a 6-month follow-up,
63 results showed a low effect of the e-cigarettes, especially when nicotine was not present. More
64 specifically, the group with nicotine e-cigarettes had an abstinence rate less than an 8%. Conversely,
65 the Efficiency and Safety of an eLectronic cigAreTte (ECLAT) study suggested that the use of e-

66 cigarettes increased the likelihood of quitting and reduced the expired carbon monoxide (CO) level
67 of participants.¹⁷ More recently, a longitudinal study on 2028 participants found that e-cigarettes
68 helped people stop smoking when used consistently.¹⁵ The cessation success rate was higher for long-
69 term e-cigarette users (42.4%) than for non-users (14.2%) and short-term users (15.6%). Despite these
70 interesting results, many settings in which e-cigarettes might be a useful tool have yet to be tested.
71 For instance, there are no data on the use of e-cigarettes in high-risk groups, such as chronic smokers.
72 All studies conducted from 2003 to 2016 were correlational or survey studies, or they were
73 exclusively based on unmotivated participants.¹⁷⁻²¹

74 A second important issue relates to safety. Available studies have reported that there are no dangerous
75 short-term side effects.^{22,23} E-cigarettes seem to be less toxic than traditional cigarettes.^{16,17} However,
76 some evidence of potential toxicity has been seen in animal models.²²⁻²⁵ In a study on mice, Sussan
77 and colleagues²⁴ found that e-cigarettes produce an inflammatory response similar to the one observed
78 in mice exposed to tobacco smoking.

79
80 The screening procedure can represent a “teachable moment” for smokers. For instance, Borondy
81 Kitts and colleagues (2016) reported a significant difference in cessation rate between smokers
82 enrolled in clinical screening for lung cancer (abstinence rate: 14.5%) compared to the general
83 population (abstinence rate: 5-7%). The National Lung Screening Trial (NLST) reported similar data
84 on 53452 subjects.²⁶ We believe this to be a serious gap in the literature. However, no previous studies
85 have tested the use of e-cigarettes in smokers enrolled in screening programs.

86 The main aim of the present study was to assess the efficacy of the use of e-cigarettes in a tobacco
87 cessation program with a group of chronic smokers (smoking 10 or more cigarettes daily for 10 years
88 or more)²⁷⁻²⁸ voluntarily involved in long-term lung cancer screening, using a randomized controlled
89 trial. Secondly, we aimed to analyze the impact of e-cigarettes on respiratory symptoms (e.g., dry
90 cough, shortness of breath, mouth irritation, and phlegm). Unlike most previous studies, the present
91 work targeted a specific group of smokers, namely chronic smokers with a moderate to strong

92 motivation to quit. As shown by a different study, motivation is a crucial predictor of smoking
93 cessation behavior.²⁹ In fact, not all smokers enrolled in lung cancer screening want to quit, since
94 their participation may be related to the need to manage smoking-related risks, rather than trying to
95 stop smoking. For the aforementioned reasons, in the present study, the efficacy of e-cigarettes was
96 tested only on motivated (ready-to-quit) smokers.

97

98 This trial had as primary outcome the assessment of the impact of a three-month e-cigarettes program
99 to reduce smoking-related respiratory symptoms (dry cough, breath shortness, mouth irritation and
100 phlegm) as a consequence of reduced tobacco cigarette consumption. The secondary objectives
101 included the assessment of the success rate of smoking cessation attempts in the three groups, daily
102 smoking reduction and the monitoring of safety and toxicity during the study. The present work
103 illustrates data at 3 months, where the primary outcome was not already measured. So we focused
104 here on smoking stopping, smoking reduction and safety issues. We think that timely data publication
105 is important in this research area, since the need of efficacy and safety data about e-cigarettes is vital
106 for clinical and policy decision makers, especially in critical population like the one we considered

107 We hypothesised that e-cigarettes filled with nicotine liquids (arm 1) would be more effective than
108 placebo, nicotine-free e-cigarettes (arm 2) and the control group (arm 3) for smoking reduction and
109 that they would have no greater risk of side-effects.

110 This is the first randomized controlled trial assessing efficacy and safety in a motivated high-risk
111 population. The complete study protocol has been published elsewhere.²⁸ The present work illustrates
112 data at 3 months.

113 **Method**

114 **Study design and participants**

115 The study was a double-blind randomized controlled trial. The first randomization was on 30
116 September 2015, and the last follow-up was on 31 January 2016. The Ethical Committee of the

117 European Institute of Oncology approved the study. All enrolled participants complied and signed
118 the informed consent form. The study was in accordance with the principles stated in the Declaration
119 of Helsinki (59th WMA General Assembly, Seoul, 2008).

120

121 **Procedure**

122 A sample size of 210 participants was chosen to allow for smoking reduction rate assessment. Starting
123 with the expected intrinsic motivation of participants, the study aimed to have at least 80% retention
124 at 6 months and 70% at 12 months. Considering these figures, we expected to maintain a statistical
125 power to detect a reduction of 5 cigarettes per day in our smokers (being the cigarettes per day mean
126 about 20 in the COSMOS population). Thus, using a two-sided two-sample t-test with a significance
127 level (alpha) of 0.05, a sample size of 49 participants per arm we expected to achieve 80% power to
128 detect a mean reduction of 5 cigarettes/day between any of the two experimental arms and the control
129 arm, assuming a mean consumption of 20 cig/day in the control arm and common standard deviation
130 within group of 8.7.

131 Two hundred and ten smokers (132 male and 78 female) with a mean age of 62.8 (SD = 4.587) agreed
132 to take part in the study.

133 Overall, the average age at which participants smoked their first cigarette was 17.4 years (SD =
134 3.681), and the number of daily smoked cigarettes was 19.38 (SD = 7.844). The mean value of the
135 CO for ppm was 14.84 (SD = 6.094) (min: 3 ppm, max: 33 ppm). Participants were enrolled at the
136 IEO within the COSMOS II (Continuous Observation of SMOKing Subjects) screening program.
137 COSMOS II enables early detection of lung cancer using a low-dose computed tomography (CT)
138 scan and blood tests. The inclusion criteria of the COSMOS II program includes people aged 55 or
139 over who have smoked an average of 10 cigarettes a day or more for at least the past 10 years.
140 Consequently, all COSMOS II participants have a long smoking history and are at higher risk of
141 developing a smoking-related cancer³⁰.

142 In addition to the general COSMOS II inclusion criteria, in the present study we considered further
143 inclusion and exclusion criteria, as follows.

144 *Inclusion criteria:*

- 145 - Having smoked at least 10 cigarettes a day for the past 10 years;
- 146 - High motivation to stop smoking (High or Very High at the motivational questionnaire);
- 147 - Not enrolled in other smoking cessation programs.

148 *Exclusion criteria:*

- 149 - Severe cardiovascular and respiratory diseases;
- 150 - Use of psychotropic medication;
- 151 - Current or past history of alcohol abuse;
- 152 - Any use of NRTs or e-cigarettes.

153 The use of NRTs was assessed during the interview and smokers who were using NRTs or had used
154 NRTs in the previous 6 months were excluded. The use of e-cigarettes was defined as smokers who
155 had ever regularly used e-cigarettes for more than 1 week alone or in combination with tobacco
156 cigarettes.

157 **Randomization**

158 A randomization list using a permuted block design (40 blocks of 6 subjects randomly assigned to 1
159 of the 3 treatment arms) had been previously prepared by an independent personnel unit and labeled
160 with progressive numbers applied to the packaging containing e-cigarettes and liquid cartridges with
161 or without nicotine (Arm 1 and Arm 2).

162 According to this procedure, participants were randomized among three arms:

163 *Arm 1 (E-Cigarette and Support) (n = 70):* Each participant received an e-cigarette kit and 12
164 10-mL liquid cartridges (8 mg/mL nicotine concentration) free of charge. Participants were
165 asked to consume no more than 1 ml of the liquid a day. The daily usage of the e-cigarette
166 was assessed via a monthly telephone interview (around 10 minutes) and a daily self-

167 assessment diary. During the first week, participants used e-cigarettes ad libitum, combined
168 with smoking regular cigarettes. At the end of the first week, participants were solicited by
169 the researcher to use only the e-cigarette for the next 11 weeks.

170 *Arm 2 (Placebo and Support) (n = 70):* Each participant received an e-cigarette kit and 12 10-
171 mL liquid that did not contain nicotine (placebo condition) free of charge. Participants were
172 asked to consume no more than 1 ml of the liquid a day. The daily usage of the e-cigarette
173 was assessed by a monthly telephone interview (around 10 minutes) and a daily self-
174 assessment diary. During the first week, participants used e-cigarettes ad libitum, combined
175 with smoking tobacco cigarettes.

176 Participants of the two groups did not receive liquid again if they ran out before the end of the
177 11 weeks.

178 *Arm 3 Treatment (Control/Support-Only) (n = 70):* Participants in this group did not use e-
179 cigarettes.

180 Participants in all arms also received a low-intensity telephone counseling that included interviews at
181 weeks 1, 4, 8, and 12. The amount and the modality of counseling was equivalent across arms. The
182 counselor provided information, supported participants' motivation, and helped them coping with
183 possible roadblocks. Each phone call lasted about 10 minutes. The counseling was provided by the
184 same trained psychologist. Additional information on the trial is published elsewhere.³¹

185

186 **Measures**

187 *E-cigarette Kit*

188 The VP5 electronic cigarettes kit was chosen. It offered a good quality/price ratio and proven
189 reliability and safety. The e-cigarette (eGO-CE4 PIEFFE) included a rechargeable 900 mAh battery
190 (at least 250 recharges) (3.3-4.2 working voltage), and the atomizer had a long wick with a capacity
191 of 1.6 ml. It had a blue LED button, and it permitted about 800 puffs before the battery needed to be

192 recharged. Nicotine and nicotine-free liquids were produced by BioFumo, who fully collaborated
193 with us in providing an ad-hoc product for this study. In fact, the liquid nicotine concentration (8
194 mg/mL) and packages used were not available for commercial use. The nicotine concentration was
195 defined by the Pharmacy Division of the IEO, according to the average number of daily cigarettes
196 smoked by the participants (at least 10 cigarettes a day), avoiding excessive doses of nicotine. The
197 flavor of the liquid was tobacco, called “Tobacco 7 Foglie.” The tobacco flavor was chosen in order
198 to avoid or to reduce confounding effects connected to individual smell perception. Therefore, a
199 flavor close to that of traditional cigarettes was chosen. An electronic cigarette kit was provided free
200 of charge to all participants. The VP5 electronic cigarettes kit was given to participants at the baseline
201 assessment. At this moment, it was assessed the previous usage of other cessation aids (not only NRTs
202 and e-cigarette) during the last year.

203 Also, participants were asked to refer to dedicated personnel (by phone, email or on-site) for any issue
204 that might arise in relation to e-cig use. In particular, they were explicitly invited to use only the liquid
205 provided, without purchasing further charges and to return all the bottles at follow-up (whether used
206 or not used) which had been delivered at the beginning. The researcher in charge explicitly asked
207 participants to report any of their experiences (including issues or doubts) regarding liquid and e-cig
208 use during counseling calls, and at follow-up in order to avoid the possibility that participants might
209 use the e-cigarettes incorrectly.

210 *Physical assessment*

211 **Level of CO:** The exhaled CO was measured using the Micro+™ Smokerlyzer® (Bedfont Scientific
212 Ltd), which has less than 5% H2 cross-sensitivity. According to clinical evidence, a value from 1 ppm
213 to 5 ppm is considered within the normal limits.

214 **Respiratory symptoms:** Self-reported measures were used to assess respiratory symptoms such as
215 cough, shortness of breath, mouth irritation, and phlegm frequency (e.g., “Have you had a cough in
216 the last week?”).

217 **Abstinence:** continuous smoking abstinence (self-reported abstinence over the previous month,).
218 **The Leicester Cough Questionnaire (LCQ):** a 19-item self-report questionnaire to assess the impact
219 of an acute and chronic cough on quality of life, is composed of two subscales: physical and
220 psychological.³² The overall score ranges from 3 to 21, with higher scores indicating better quality of
221 life.

222 *Psycho-cognitive assessment*

223 **Fagerstrom Test for Nicotine Dependence:** a 6-item self-administered questionnaire assessing
224 nicotine dependence.³³

225 **Motivational questionnaire:** a 4-item, self-administered questionnaire assessing motivation to quit.
226 The total score enables classification of smokers into 1 of 4 motivational categories: 4-6 = low (not
227 yet seriously considering giving up smoking); 7-10 = middle (the person evaluated both the benefits
228 of quitting and the risks of smoking); 11-14 = high (there are moments in which the person is
229 determined to quit smoking); 15-19 = very high (the person is ready to give up smoking). The total
230 score classifies the patient into 1 of 4 motivational categories (from “not ready to quit” to “highly
231 motivated”).³⁴

232 **Hospital Anxiety and Depression Scale (HAD):** a self-administered questionnaire composed of two
233 7-item scales (anxiety and depression scales), which can be used as two separate measures of
234 emotional distress. The HAD evaluates symptoms of anxiety and depression, avoiding misattribution
235 due to the physical components of the illness.³² The HAD is a short self-report questionnaire used to
236 assess both anxiety and depression. It was first targeted at hospitalized people because it avoids
237 evaluating physical symptoms that may confuse depression with the consequences of a physical
238 impairment. Now, it is widely used both in clinical and nonclinical populations. It was previously
239 adopted in studies on smoking cessation.³⁵

240 All the aforementioned measurements were recorded at baseline, 3 months, 6 months, and 1 year.

241 Also, during the phone interviews (at weeks 1, 4, 8, and 12) the use of cigarettes and e-cigarettes

242 liquid per day have been monitored, as well as the use of other cessation aids. These variables were
243 also used to check for any differences among arms at the baseline in order to verify homogeneity.

244 **Data Analysis**

245 Nonparametric statistics were used to compare groups. More specifically, a Chi-squared test was
246 used to assess differences in respiratory symptoms, e-cigarette side effects, and any other categorical
247 variables. Mann-Whitney U (for 2 samples) and Kruskal-Wallis H (for 3 samples) tests were used to
248 evaluate statistical differences in cigarette consumption and frequencies of participants who stopped
249 smoking. We opted for nonparametric tests since our measures were not normally distributed. As
250 suggested by Sawilowsky and Clifford-Blair³⁴ (1992), the use of nonparametric statistics in these
251 cases increases the likelihood of avoiding type II errors, preventing false negatives. Our aim was to
252 minimize the risk of failure to detect the effect of the different treatments. All the analyses were
253 performed with the SPSS package (version 23.0, IBM, USA, 2014).

254

255 **Results**

256 At the baseline, the levels of anxiety and depression were not significantly different between the three
257 groups. Generally, participants reported normal values, indicating the absence of clinical depression.
258 Likewise, no differences among groups were found in the physical and psychological domains based
259 on the LCQ scores. Some common e-cigarette side effects were reported (see Table 1).

260

261 *Table 1 here*

262

263 At month 3, we collected complete data about 170 participants. No statistical differences in the
264 number of missing data were present between arms ($\chi^2(2) = .835$, $p = .659$). Participants in Arm 1
265 and Arm 2 had a similar compliance in the use of e-cigarettes. In fact, considering the number of
266 empty flacons they gave back at the end of the study we didn't find any significant difference, though

267 the placebo group used on average less liquid (Arm 1 M = 10.9 empty flacons; Arm 2 M = 9.8 empty
268 flacons).

269 Across study arms, 20% of participants (N = 34) stopped smoking at month 3. The percentage was
270 significantly higher in the nicotine (N = 15; 25.4%) and nicotine-free (N = 13; 23.4%) e-cigarette
271 groups than in the control group (N = 6; 10.34%) ($\chi^2(2) = 4.899, p = .044$).

272 Next, we compared reduction of cigarette consumption in participants who had used e-cigarettes
273 (Arm 1 and Arm 2) and those who only received counseling (Arm 3). The Mann-Whitney U test
274 reported significant differences between conditions (e-cigarettes vs. control) at month 1 (U = 2.508,
275 $p < .010$) and at month 3 (U = 2.130, $p < .022$). The use of the electronic device actually helped
276 participants reduce daily cigarettes. At month 3, also the reduction rate showed interesting results.
277 Participants in Arm 3 reported smoking an average of 10.034 cigarettes/day, while participants in
278 Arm 1 and Arm 2 showed a lower consumption (7.671 and 9.091, respectively). However, while the
279 difference between Arm 1 and Arm 3 was statistically significant, differences between Arms 1 and 2
280 and between Arms 2 and 3 were not.

281 Considering the mean difference in cigarette consumption between the baseline and month 3, the
282 Kruskal-Wallis H test for 3 independent samples showed a significant difference among Arms 1, 2,
283 and 3 (see table 2): Participants in Arm 1 reported a higher reduction rate (M = -11.644, SD = 7.574)
284 than participants in Arm 2 (M = -10.763, SD = 8.156) and Arm 3 (M = -9.138, SD = 8.8127).

285

286 *Table 2 here*

287

288 However, excluding from the reduction analysis the participants who discontinued smoking, we failed
289 to find any statistical difference, even though in Arm 1 we found the highest reduction (M = - 9.164
290 in Arm1; M = -8.262 in Arm2; M = -7.875 in Arm3).

291 Considering respiratory symptoms, a significant reduction in all conditions was found, probably due
292 to the decreased number of daily cigarettes smoked by most participants, independent of study arms.

293 In particular, about 21.5% of participants reported a decrease in coughing, about 18.50% reported
294 less catarrh, and about 14.5% reported an improvement in breathing.

295 Focusing on e-cigarettes tolerability, our participants reported few side effects (see table 3). In
296 particular, at month 1 the most relevant complain was “burning throat”. It was reported by about 23%
297 of participants using liquid containing nicotine (while only about 4% of participant reported the same
298 complain using nicotine-free liquid). However, at month 3 we observed a drastic decrease of the
299 symptom (see table 4). Cough was also reported at month 1 by about 10% of participants, both using
300 nicotine and nicotine-free liquid. Also in this case, the symptom decreased during time.

301 *Table 3 here*

302

303 **Discussion**

304

305 In this study, we tested the efficacy of e-cigarettes as cessation treatment in a sample of chronic
306 smokers involved in a screening program. Our main result is that the use of e-cigarettes helped
307 participant stop smoking since about one-quarter of participants who followed a cessation program
308 based on e-cigarettes (both with and without nicotine) and a low-intensity counseling were abstinent
309 after three months. Conversely, about 10% of smokers stopped following a program based only on a
310 low-intensity counseling.

311 Furthermore, e-cigarettes increased the reduction rate in participants who continued smoking. In fact,
312 although all participants reported a significant reduction of daily cigarette consumption compared to
313 the baseline, the use of e-cigarettes (including those without nicotine) allowed smokers achieving a
314 better result. The few side effects reported, which were also reported in other studies,³⁶⁻³⁹ were well
315 managed by participants and showed no increase during the treatment. Consequently, our findings
316 confirm the efficacy as well as the safety of e-cigarettes in a short-term period.

317 Although participants in Arm 1 generally achieved better results, the placebo condition was
318 effectively as well, in some case leading to comparable outcomes. This result has not been described
319 before and provides suggestions for potentially fruitful new lines of research.

320 Future studies should analyze costs and benefits related to the use of nicotine-free e-cigarettes in high-
321 risk patients who smoke. In particular, the efficacy of combining clinical counseling and nicotine-
322 free e-cigarettes for high-risk patients should be discussed. In our view, it could have pivotal
323 implications in clinical practice. We believe that nicotine-free e-cigarettes might be a first-line choice,
324 particularly for subjects who have severe diseases (for example, those with heart problems) and
325 cannot use nicotine or receive other medical treatments. However, the lack of differences between
326 nicotine and nicotine-free device effects on smoking might also be linked to the low dosage of
327 nicotine we adopted. In fact, using a device working at 10 W with an 8 mg/mL nicotine concentration
328 we obtained quite a low dosage (less than 0.1 mg per puff) with respect to the nicotine normally
329 assumed daily by a chronic smoker⁴⁰. This may explain why results in Arm 1 (nicotine e-cigarettes)
330 and Arm 2 (nicotine-free e-cigarettes) are so similar. Increasing nicotine concentration probably may
331 enlarge this difference, although we need targeted research to establish which protocol may optimize
332 the risk/benefits ratio.

333 In conclusion, taking into consideration the perspective of personalized medicine, e-cigarettes based
334 protocols associated with new ICT-driven models of self-management may be implemented to
335 support people to better handle behavioral changes and side effects.⁴¹⁻⁴⁵ This is true for ready-to-quit
336 smokers (such as our participants) but could also be advantageous for less motivated smokers engaged
337 in clinical settings.

338 **Limits of the Study**

339 The number of initial dropouts, i.e., participants who explicitly declared the willingness not to
340 continue within the first month (1 participant in Arm 1, 2 in Arm 2, and 6 in Arm 3) was particularly
341 high in in the control group. It might suggest that motivation to participate to the study was related to
342 the possibility of using the e-cigarettes rather than an actual willingness to stop smoking. During the

343 study we had some missing data (12.4% at month 1; 21.9% at month 2; 18.1% at month 3) that limit
344 our results. Monthly, we monitored the use of e-cigarettes during the counseling calls and the follow-
345 up to manage potential problems. However, we didn't assess systemically any quantitative measure
346 about the actual use. For this reason, only qualitative considerations can be done about the different
347 use of e-cigarettes between subjects in Arm 1 and Arm 2.

348 Furthermore, the number of smoked cigarettes was recorded as participants' self-reports, which might
349 have led to a measurement bias. The impossibility of assessing carbon monoxide in an expired breath
350 at month 3 because of the study design cannot disambiguate the aforementioned possible explanation.
351 However, if present, this effect was constant in all 3 arms, thus not affecting the exhibited effects.
352 Finally, this paper focused on a secondary outcome, since the primary one was supposed to be
353 assessed at six months.

354 **Protocol**

355 Clinicaltrials.gov NCT02422914; <https://clinicaltrials.gov/ct2/show/NCT02422914>

356 **Funding**

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358 **Authors' Contributions**

359 CL, MM, GP, PM, and GV conceived and designed the study. CL coordinated the study, CL and GP
360 acquired legal authorizations, and MM, KM, and SS managed participants. Statistical analyses were
361 provided by CL and KM, while data management was provided by RB. E-cigarettes and liquids were
362 managed by JC and EOS. Drafting and writing of the manuscript were handled by MM, KM, CL, and
363 GP. All authors have read and approved the final manuscript.

364

365 **Conflicts of interest**

366 The authors declare no conflicts of interest.

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Table 1. The table shows participants baseline values and the Kruskal-Wallis H test between arms

Descriptive statistics of the sample characteristics	Arm1 (E-Cigarette and Support) (n=70)	Arm 2 (Placebo) (n=70)	Arm 3 (Control/Support-Only) (n=70)
	M(SD)	M(SD)	M(SD)
<i>Behavioral and Physical factors</i>			
Starting age	17.5 (3.748)		16.9 (3.612)
CO (ppm value)	15.2(5.275)	14.6(5.942)	14.6(6.993)
<i>LCQ</i>			
Physical Domain	5.6(0.991)	5.3(1.237)	5.4(1.269)
Psychological Domain	5.5(1.161)	5.3(1.399)	5.4(1.437)
<i>Psychological factors</i>			
FTND scoring	4.5(1.788)	4.4(1.878)	4.1(1.954)
Motivational scoring	12.6(2.234)	13.3(2.808)	13.1(2.491)
<i>HAD</i>			
Anxiety scoring	5.2(3.882)	5.7(3.927)	5.1(3.749)
Depression scoring	3.4 (2.888)	3.1(2.646)	3.8(3.000)

Daily cigarette

Baseline 19.2(6.123) 19.6(8.300) 19.3(8.939)

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505 **Table 2.** The table shows the number of daily tobacco cigarettes smoked at different evaluation points

Number of tobacco cigarettes smoked	Arm1 (E-Cigarette and Support)	Arm 2 (Placebo and Support)	Arm 3 (Control/Support-Only)
	<i>M(SD)</i>	<i>M(SD)</i>	<i>M(SD)</i>
Baseline	19.2(6.123)	19.6(8.300)	19.3(8.939)
1 Month	7.3(6.123)	8.8(7.397)	10.4(6.768)
2 Month	7.2(7.200)	8.5(6.234)	9.5(7.892)
3 Month	7.6(7.545)	9.1(7.557)	10.1(6.058)
Reduction	-11.7(7.574)	-10.8(8.156)	-9.1(8.812)

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512 **Table 3.** The table shows main side effects of the e-cigarette at 1 and 3 months.

Table 1.1 Side Effects at 1 Month and 3 Months	Burning throat	Cough	Nausea	Headache	Insomnia	Stomachache	Confusion	Dyspnea
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1 Month

Arm 1 (E-Cigarette and Support)	22.9%	11.4%	4.3%	4.3%	1.4%	2.9%	1.4%	-
Arm 2 (Placebo)	4.3%	10%	5.7%	1.4%	1.4%	-	-	1.4%

3 Month

Arm 1 (E-Cigarette and Support)	5.7%	10%	1.4%	-	1.4%	-	1.4%	-
Arm 2 (Placebo)	2.9%	2.9%	2.9%	-	-	-	-	1.4%

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CONSORT Flow Diagram

