

Manuscript Details

Manuscript number	FORECO_2017_103
Title	Generalized biomass and leaf area allometric equations for European tree species incorporating stand structure, tree age and climate
Article type	Full Length Article

Abstract

Biomass and leaf area equations are often required to assess or model forest productivity, carbon stocks and other ecosystem services. These factors are influenced by climate, age and stand structural attributes including stand density and tree species diversity or species composition. However, such covariates are rarely included in biomass and leaf area equations. We reviewed the literature and built a database of biomass and leaf area equations for 24 European tree species and 3 introduced species. The final dataset contained 973 equations. Most of the equations were site-specific and therefore restricted to the edaphic, climatic and stand structural conditions of the given site. To overcome this limitation, the database was used to develop regional species-specific equations that can be used in a wide range of stands and to quantify the effects of climate, age and stand structure on biomass or leaf area. The analysis showed considerable inter- and intra-specific variability in biomass relationships. The intra-specific variability was related to climate, age or stand characteristics, while the inter-specific variability was correlated with traits such as wood density, specific leaf area and shade tolerance. The analysis also showed that foliage mass is more variable than stem or total aboveground biomass, both within and between species, and these biomass components have contrasting responses to age and changes in stand structure. Despite the large number of published equations, many species are still not well represented. Therefore, generic equations were developed that include species-specific wood density instead of species identity. Further improvements may be possible if future studies quantify the stand structure of individual tree neighbourhoods instead of using the stand means for all trees sampled with the given stand.

Keywords	allometry; forest growth; pseudo-observations; shade tolerance; specific leaf area; wood density
Corresponding Author	David Forrester
Order of Authors	David Forrester, Heloise Tachauer, Peter Annighoefer, Ignacio Barbeito, Hans Pretzsch, Ricardo Ruiz-Peinado Gertrudix, Hendrik Stark, Giorgio Vacchiano, Tzvetan Zlatanov, Tamalika Chakraborty, Somidh Saha, Gudeta Sileshi
Suggested reviewers	David Chojnacky, Dimitris Zianis, Keryn Paul, Aaron Weiskittel

Submission Files Included in this PDF

File Name [File Type]

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response to reviewers.docx [Response to Reviewers]
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Figure1.pdf [Figure]
Figure2.pdf [Figure]
Figure3.pdf [Figure]
Figure4.pdf [Figure]
Figure5.pdf [Figure]
Figure6.pdf [Figure]
Figure7.pdf [Figure]
highlights.docx [Highlights]
Forrester_etal_AppendixB.docx [Supporting File]

To view all the submission files, including those not included in the PDF, click on the manuscript title on your EVISE Homepage, then click 'Download zip file'.

Research Data Related to this Submission

Data set

<https://data.mendeley.com/datasets/4jytx9s44j/draft?a=5b09bbfa-ca97-4efb-8d64-5fcbc4b34e36>

Specific leaf area, biomass equations and leaf area equations for European tree species

The data set contains specific leaf area data, biomass equations and leaf area equations for 24 European tree species and 3 introduced species. This includes 868 equations from the literature and 105 raw data sets that were either from the literature or our own data. The number of biomass or leaf area measurements used to produce the equations or included as raw data was > 60,000. The specific leaf area data was also obtained from the literature. The equations were used to produce pseudo-observations of mass or leaf area and were combined with the raw data sets to develop new “general” equations for each species and biomass component (foliage, branch, stem, root, aboveground) that can account for factors describing stand structure and age by including those factors as independent variables. These general equations are provided in separate worksheets of this dataset. The main findings and methodology are described in Forrester et al. (in review). Forrester, D. I., Tachauer, I. H. H., Annighoefer, P., Barbeito, I., Pretzsch, H., Ruiz-Peinado, R., Stark, H., Vacchiano, V., Zlatanov, T., Chakraborty, T., Saha, S., Sileshi, G. W. in review. Biomass and leaf area allometric equations for European tree species incorporating stand structure and tree age.

David Forrester
Swiss Federal Research Institute WSL
Zürcherstrasse 111
8903 Birmensdorf
Switzerland
david.forrester@wsl.ch

Dear Dr. Fredericksen,

Thank you for the reviewer comments of our paper and for the opportunity to submit a revised version. We would also like to thank the reviewers for providing insightful comments and suggestions for improvement. Many of the comments refer to using actual data instead of pseudo-observations. We fully agree with the reviewers that this would be better and if this had been possible we would have done it. However, this was not feasible in Europe for many species, so no such study is currently possible unless it is greatly restricted in terms of sites and stand characteristics. Instead, the objective of this project was to review existing European biomass and leaf area equations and then to examine patterns in terms of the effects of stand characteristics. Our paper suggests that the next steps are to develop a database containing actual data, and to replace stand variables with crown variables. These recommendations are consistent with the reviewer comments.

On behalf of my co-authors, I am now resubmitting the manuscript after revising it paying particular attention to the reviewers' recommendations.

We look forward to receiving your response.

Best regards,

David Forrester

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Title: Biomass and leaf area allometric equations for European tree species incorporating stand structure and tree age

Journal: Forest Ecology and Management

Comments from the reviewers:

Reviewer 1

General

This is an interesting, thorough, and well-written with some important findings. However, I have several significant concerns about the current manuscript. First, I find the use of pseudo-data rather problematic despite all the statistical methods that the authors use to try to make it more like actual data. In particular, I find using pseudo-data to evaluate potential relationship with variables beyond just DBH as problematic because of the high likelihood for spurious findings. I recognize the authors try to avoid that by incorporating variability in the underlying pseudo-data and using cross-validation methods, but I still don't believe that is sufficient when using data that really isn't actual data. Couldn't the authors simply complete the analysis using actual rather than pseudo-data? I recognize this wouldn't be as an extensive of analysis, but there seems to be plenty of existing data and the trends evaluated in this current analysis could still be examined, which I think is the most important contribution in comparison to the extensiveness of the underlying pseudo-data.

Response: We agree that the use of pseudo-observations are problematic and we also prefer to use actual data. However, after a thorough search we have come to the conclusion that for some species and/or sites, generating pseudo-observations is the only option available. Actual data are usually not available (sometimes simply lost or the original authors are not interested in searching for them). Therefore, we settled on generating pseudo-observations as this is better than using a much smaller dataset of actual data because that would defeat our purpose of the generalizability of these equations. That is, if we only use actual data, the range of conditions becomes too small and for many of the species we included.

Second, many of the details that I believe are needed to actually understand this analysis seem to be presented in other manuscripts that are currently under review. I personally believe that each manuscript should be able to stand-alone and this is not the case for this current manuscript. Not sure what the optimal solution is here? Could the necessary information be presented on a website that is fully accessible? I think it is rather non-ideal to rely on having the information in other manuscripts or publications as equal access is not the same across various journals.

Response: We do not have other manuscripts that are currently under review. We believe this comment is a misunderstanding. We strongly agree with the reviewer that all the details required to understand this paper must be available. The only "under review" citation was the data that goes with this paper, which is stored in Mendeley. This data paper will be open access if the main paper is accepted and was simultaneously submitted with the manuscript as a Mendeley data paper. This is the

procedure encouraged by Forest Ecology and Management. Our understanding is that all of our pseudo-observations, actual data and equations will be freely available if the paper is published and that these are also available to the reviewer of the manuscript.

Finally, I recognize the authors have provided qualitative justification for the variables examined, but they do seem rather limited in scope and interpretability. Personally, I believe tree height or crown attributes could capture much of the variation explained by stand-level and geographic variables.

Response: We also agree with this comment that crown attributes would have been extremely useful, and probably better than all stand variables. We stated this in the discussion because we suggest that replacing the stand variables with crown variables is an important next step in developing general equations. However, the crown and height information are usually not available. If they were, we would certainly favour them.

I find tree age as difficult to interpret biologically because it can often be confounded by past stand history. Why not use a composite index ($DBH^2 \cdot HT$) or tree slenderness (HT/DBH) to account for the effect of tree social position, stand density, and potentially geographic location? Like tree age, I believe the same can be said for latitude.

Response: We did not use any composite variables, especially with height, because they would be automatically correlated with dbh and if we included the composite variable instead of dbh the equations would be difficult to interpret because height to diameter ratios can vary in opposite directions with too many factors (wind, stand density, age, species composition ...). We are not suggesting that equations including a height-diameter composite variable would not be as accurate as those we provided, but we do expect that they would be harder to interpret in terms of their biological implications. A second problem with height is that it was usually not available for the corresponding dbh that was available. Therefore including height would require its prediction and this would add unnecessary error to the biomass equations.

Why not use more direct measures of site like elevation and climate, which I believe are much more interpretative and interesting than relatively crude measures like latitude?

Response: We agree that these would probably be better. We had not included them because elevation was generally not provided. Climate was also usually not provided and needed to be calculated from latitude and longitude, which adds error to the models. One the advice of the reviewer, we have now included mean annual precipitation and mean annual temperature in the analyses.

Overall, I believe the manuscript has merit, but the concerns outlined should be addressed prior to publication.

Specific

L135-162: These paragraphs seem a bit out of place, unnecessary, and speculative. Seems more of potential Discussion items? The tested variables do make sense, except age, which can introduce additional limitations, particularly for shade-tolerant species capable of surviving long periods of suppression or in stands with past harvesting. I would suggest deleting these paragraphs and revisiting these items in the Methods and/or Discussion.

Response: This text has been moved to the methodology section. We agree that age is problematic for some of the species, including the shade tolerant species. This is why we did not force any of the variables (including age) to be in the equations and only used explanatory variables that were significant and passed all of our statistical tests.

L182: Seems a description of the Study Area is needed? Is this work applicable to all of Europe or just certain locations? Either way, I do think a description of the tree species and typical forest types is warranted.

Response: Two sentences have been added to the first paragraph of the methodology section to indicate the generality of the equations and to refer to Table 1 that shows the ranges of site and stand variables for each species.

L231-249: The idea of "pseudo-data" continues to allure despite its multitudes of limitations. I strongly believe that any conclusions drawn from "pseudo-data" are mostly speculative and not easy to verify. Most importantly, they overly underestimate the observed variance, which I believe can make relationships spurious. So I think better highlighting the limitations of the approach is warranted, which I see is done below this paragraph. However, I believe these points can't be overemphasized. Again, I think the analysis would be stronger using just actual rather than pseudo-data.

Response: As mentioned in a previous response, we fully agree that actual data is strongly preferred to pseudo-observations and we specifically state this in the methodology section. Unfortunately, these data appear to be much more difficult to accumulate in Europe compared with other continents (containing fewer countries). To prevent the underestimation of variance we use the MSE of the published equations to calculate pseudo-observations that took account of this error. This approach is described in the methods section and discussed in the discussion. We certainly don't suggest that this completely solves the problem, but it has been demonstrated to be satisfactory in the papers that we cited in the methods section (e.g. de-Miguel et al., 2014). We also discuss some of the implications in the discussion section.

L244: How were the 33 estimates actually generated? Random diameters or using the observed range of diameters with various quantiles? The latter seems it would be most effective. I see this is discussed a bit more in the preceding paragraph, but it is still unclear the actual approach used in this analysis.

Response: We have added a sentence explaining that "... 33 pseudo-observations were calculated for each equation that were evenly distributed between the smallest and largest diameter used to develop the given equation." Unfortunately the observed distributions of diameters and quantiles were almost never provided (mentioned in the discussion section), otherwise this would have been used.

L248-249: Should define the % of studies that this actually represented. I suspect it would be a rather small value. Did you see what data was available Ecology, 96(5), 2015, 1445 (<http://onlinelibrary.wiley.com/doi/10.1890/14-1889.1/epdf>)?

Response: This was mentioned in the results and is now also mentioned in this part of the text as well (868 equations and 105 raw data sets).

L300-301: I remain confused on how things like age and basal area were determined if they weren't available from the original data and you are using psdeuo-data. If you have the latitude, why not use more direct measures of site like climate?

Response: Stand variables were only ever taken from the papers, and never calculated when no information was provided. Climate has now been included in the analyses.

L355-360: This is nice and worthwhile, but seems a bit overkill given the lack of actual data. At best, I would call it verification.

Response: The section has been renamed by replacing the word validation with verification.

L365: I believe inclusion of DBH and HT is important in biomass equations. Why not use a composite index like DBH2HT or tree slenderness (HT/DBH) ?

Response: We responded to this comment when it was listed in the general comments from this Reviewer.

The issues with such composite variables are described in more detail in Sileshi, G. W., 2014. A critical review of forest biomass estimation models, common mistakes and corrective measures. Forest Ecology and Management 329, 237-264

L381: Was this done using just the fixed-effects or the fixed+random effects? I believe the former is more telling than the latter.

Response: We only used mixed models, so therefore the latter. We have now explained this in the methods section.

L399-403: Likely a regression-based equivalence test would be more effective here. See Tree Phy. 25: 903-913. I believe reporting the area of equivalence is more effective than testing whether the slope and intercept are statistically significant.

Response: This is an interesting study. We have noted it for the next step of this work but have not applied it in this study.

L420-422: Seems a bit odd to citing tables from other manuscripts or publications in the Results. Seems best to make each publication stand-alone.

Response: There appears to have been a misunderstanding. This citation is for the data paper that accompanies this manuscript, which appears to be the preferred approach of Forest Ecology and Management, otherwise we would be happy to provide it as an Excel table as supplementary information.

Table 1: Probably be helpful to report HT. I think it would also be important to distinguish between pseudo- and raw data. Seems you could do that by providing both the number of equations and number of raw datasets by species.

Response: We are not sure what is meant by HT. In the case that it is height: We agree that this is a useful variable to provide. However, we did not include height because it is not often reported and most of the heights in the database were predicted (as explained in the methods section) for the published equations that required height (in order to calculate pseudo-observations). Therefore our height data is probably not representative enough of the majority of equations to be useful in this table and that is the reason why we did not include it in the table.

The number of equations and raw data sets is now included in Table 1.

Table 2: Not sure I understand the caption, "excludes the equations where all broadleaved species, coniferous species or all species were grouped together." If that's the case, what does the equation actually include? Seems worthwhile to split it by species type as well as present overall coefficients.

Response: This text has now been removed to avoid confusion.

Table 3: Be helpful to provide some actual fit statistics (R², RMSE, MB, BIC, etc.) for these various equations.

Response: In the caption we mention that the fit statistics for these equations are provided in a table of the supplementary information (Mendeley data paper).

Figure 2: Probably be more useful to display the authors' of the four equations rather than simply labeling them 1-4. I recognize that they are defined in the other manuscript, but I strongly believe each publication (especially Tables and Figures) should be able to stand alone.

Response: The citations for the papers have been added. As mentioned above, the data is in an accompanying paper, it is not really a separate paper.

Figure 3: How were the equations actually selected for display? Are they all equations for each species? Seems it would be more effective to choose for a wide range of observed geographic distribution. Couldn't you also put uncertainty bands around these to highlight the underlying variability?

Response: Yes, these are all equations for each species. They are used to illustrate the variability. We did not include the fitted equations or uncertainty bands to avoid making these figures too complicated and difficult to read and also because they don't help to make the point.

Figure 6: Should define what is actually meant by "low" and "high".

Response: An explanation has been added to the figure caption (low = mean - 1 standard deviation, high = mean + 1 standard deviation).

Reviewer 2

General comments

The topic of the submitted manuscript is within the scope of Forest Ecology and Management, it is highly relevant and very interesting but should not be published in the present form.

There are two main reasons to reach such a decision:

i) the paper does not address the most important aspects of current debate on allometry i.e., the usefulness of linear regression on log-transformed values (Packard and Birchard 2008 J. Exp. Biol. 211:3581–3587; Xiao, et al. 2011; Ecology 92:1887–1894) and the usefulness of Bayesian analysis for developing tree biomass allometry (Zapata-Cuartas et al. 2012 For. Ecol. Manage. 277:173–179; Zianis et al. 2017 For. Sci. 62:247–259). I think that the major contribution to tree biomass allometric studies should include a comparison between log-linear and non-linear model as well as the performance of classical statistics vs bayesian analysis. My suggestion is that the authors should explicitly state these important limitations in their MS.

Response: While the use of non-linear regression as argued by Pickard may be applicable for fitting a simple allometry (our Model 3), non-linear regression cannot be used for the various models we explored. We agree that comparison of the results with Bayesian analysis is certainly an interesting topic but we view this as an alternative objective to those of our paper

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and not prerequisites for new papers about allometry. There are several debates in the field of allometry but these methodology-related debates are beyond the scope of our paper. All of our data will be freely available as a Mendeley database (if this paper is accepted) and could therefore be used to address these methodological debates in future studies.

ii) inconsistencies in the methodological and statistical structure of the manuscript (both in the scientific and linguistic context) can easily confound and disorient the reader.

Response: We agree that inconsistencies are problematic in papers. But after reading all the comments from this reviewer, we don't understand what they are referring to.

Large part of the Discussion section should be rewritten since the conclusions about several structural-functional characteristics of the compiled species are not directly derived from the analysis (e.g., no validation is presented against the derived predictions)

Response: In the discussion we describe the statistically significant patterns that were found in this set of equations (and raw data sets). Patterns that were not examined, or significant, are not discussed. There was a validation in terms of the k-fold method for the equations. This is described in section "2.5 Verification and quality of fit". Therefore we don't understand what the reviewer would like us to improve.

A non-exhaustive list of specific comments is following.

Specific comments

The title is misleading since the analysis of the MS is based on generalized equations (derived from pseudo-observations) and not on actual raw data collected across several stands differing in structure and tree age. Thus the word generalized should be added before Biomass.

Response: We have added the word generalized to the title.

Line 75-86: Should be removed; not relevant to the main analysis which should have been based on several regression techniques in order to reject or accept a theoretical value.

Response: We agree that this forms a small part of our analysis. But the values of allometric exponents are a topic of fierce debate and it is likely that if we do not at least provide a sentence acknowledging the competing theories our introduction will appear as an inadequate representation of the current state of knowledge. Therefore we have retained two sentences that name the competing hypotheses.

Line 142-153: It should be reported how much of biomass variability across the diameter range is explained by age, TPH, basal area etc. It is most common that the diameter alone explains more than 90%, so further experimental justification for the use of more than one variable is needed.

Response: Following this comment and a comment from reviewer 1, these sentences have been moved to the methods section. The variability that is explained by the different equation forms (Equations 3-13) and therefore the different variables is provided in the supplementary information (e.g. Table A.4) where the statistics for each equation are described in detail. We also included Figures 6 and 7 to indicate the importance of each variable on each biomass component.

Line 165: "...develop a database.." to my understanding the authors presented pseudo-observations derived from the compiled equations in Table A.2; Table A.3 is a reference list of the compiled equations; Tables A.4-6 present parameters for the eqs 3-13. Thus, not a database of allometric biomass equations is presented in the Excel file.

Response: Table A.2 contains all the equations (in column R) as well as all the pseudo-observations or raw data. In the figure caption we now specifically state where the equations are listed. We don't understand what the reviewer means when

suggesting a large set of equations or a large set of pseudo-observations or a long list of papers is not a database. We fully acknowledge that this database is composed of only a small amount (about 10%) of raw data, but we are not familiar with the definition that databases only contain raw data.

Line 169: Even though the authors report that “The review of the literature resulted in a total of 973 equations, including raw data sets obtained from tables...”, table A.2 contains 982 equations. Pseudo-observations should not be mixed with raw data. Pseudo-observations are used to derive generalized equations (according to Pastor et al terminology), and raw data are used to derive original empirical equations. Raw data should be used for validating generalized equations and NOT mixed with pseudo-observations to develop generalized equations since they are much more ‘noisy’ than the pseudo-observations. In any case, the raw data should be reported separately in Table A.

Response: It is not clear which “Pastor et al” the reviewer is citing. However, the papers we mentioned above, including Pastor et al did not define generalized equations as the reviewer defines them. Our description, and that of Pastor et al., are consistent with the other studies that used pseudo-observations and/or generalized equations (e.g. from our reference list: Muukkonen 2007, de-Miguel et al 2014, Jenkins et al., 2003, Chojnacky et al., 2014, Pastor et al., 1984, Paul et al 2016). Our understanding from these papers is that the term generalized equations refers to fitting equations after combining data sets from a wider range of conditions (than each individual data set), not whether pseudo-observations were used or not.

The database includes a column (column AB) that indicates whether the data is raw data or pseudo-observations.

Line 179: “...and can therefore be used in a wide range of forest types.” For aboveground compartment of *Fagus sylvatica* only 9 equations were compiled. In 3 of them, age, basal area and TPH are missing. So, 6 equations could not be used as a basis to predict aboveground biomass for all the beech “forest types”. It should be restated.

Response: This sentence, in our introduction, was describing our objective, not the results. We have reworded it to say “wider” instead of “wide”. In the 3rd paragraph of the discussion we state that the equations should only be used for stands that fall within the range of stand or climate conditions where the published equations were developed.

Lines 195-197: It could be argued that this is not a statistically sound approach since H-D allometry is not that strong (R^2 around or less than 80%). Further justification should be provided and potential ramifications should be reported.

Response: We agree that H-D allometry is often not very strong, especially for larger trees. Therefore, we only used the shape of the height functions and applied this to the minimum and maximum height of the measured trees. This constrains the predictions much more and minimised the potential problem. This has been explained in the text.

Line 198: Was there a statistical test for outliers?

Response: No test was required. The “incorrect parameters” that we mention in this sentence refer to equations where the parameters are obviously incorrect: e.g. the predicted biomass of a tree might be only a few grams, even though the diameter is 10 cm. We have used the term “implausible” to make this clearer.

Lines 212-214: Issues arising from the additivity property should be reported.

Response: We avoided constructing additive equations to avoid these issues. The calculation of pseudo-observations while considering the MSE of the equations was used to provide a more accurate estimate of the error, this has been described in the methods section.

Line 222: the performance of the equation for the studied species should be reported.

Response: The MSE has been added for each equation in Figure B.1. The equations have been provided in Figure B.1 and the raw data is provided in Table A.1.

Line 231: the word published should be changed to compiled.

Response: This has been changed.

Lines 241-243: Not clear; rephrase.

Response: This sentence has been reworded. “Equations with large numbers of pseudo-observations (due to large diameter ranges) would bias the data set towards the values of the age and stand structural variables associated with the given equation.”

Lines 242-244: It is not clear how the age, basal area and trees per hectare calculated for the pseudo-observations.

Response: A new sentence has been added to Figure 1, which this comment is referring to.

Lines 248-249: the authors fail to distinguish between generalized equations (derived from pseudo-observations) and pooled equations (derived from compiled raw dataset).

Response: As explained above, the definition used in the paper is the same as that used in previous studies such as Muukkonen 2007, de-Miguel et al 2014, Jenkins et al., 2003, Chojnacky et al., 2014, Pastor et al., 1984, Paul et al 2016.

Lines 272-290: References about the validity of the approach for generating pseudo-observations should be reported. Why Monte Carlo simulation (or any other Data Generating Process) was not used?

Response: We agree that additional methodological comparisons would be an interesting use for the data set. This was beyond the scope of this study but could be done using the freely available and open access data set. Also, in the paragraph before these lines, the approach is described and we cite a paper that validated this

approach (de-Miguel et al 2014). The first reviewer also commented that the validation approach used was already almost “overkill”.

Lines 306-316: A comparison of linear regression on log-transformed data to non-linear models could provide an “added-value” in the analysis. Rejecting a priori nonlinear models should be justified by the authors.

Response: We agree that it would be interesting to use the data generated in our review to test different methods of fitting the equations. This was not the objective of this paper and did not fit within this paper but could be done with the data, which will be freely available if the paper is published. The use of nonlinear models was not rejected, we just chose to use linear models.

Lines 410-411: already reported in line 169.

Response: Of the 5 numbers provided here (numbers of trees, species, equations, raw data sets and total data sets), only the latter was provided on line 169 (the species a few lines earlier), and it is provided here again because helps to put the others into context.

Line 419: “...the sample sizes were small..” the sample size is related to the approach used. If Bayesian analysis is used then 30 trees is large sample (see Zapata-Cuartas et al. 2012 For. Ecol. Manage. 277:173–179).

Response: This is a good point. We described it as small because it is small in relation to the approach we used.

Lines 422-426: what if non-linear regression or reduced major axis is used? What is the value of the derived exponent?

Response: As mentioned above, comparisons of methods was beyond the scope of this study, but we have provided all the data required to do this in future studies.

Lines 443-445: is it implied that in $(534-317)/534 = 40\%$ of the equations these variables did not influence the biomass-diameter relationship? If this is the case then it should be reported.

Response: No, we specifically did not say this because some of the 40% of equations could not contain the variables because some studies simply didn't provide them for the equations they published.

Lines 448-449: Not clear; rephrase.

Response: This sentence has been reworded.

Lines 452-453: how much of biomass variability is explained by these variables?

Response: This was not specified because it varies between species and components.

Lines 515-518: already reported in lines 135-153.

Response: This sentence has been deleted.

Fig. B1: what do sigmas and t stand for?

Response: They refer to the parameters in Equation 2. This has now been stated.

Fig. B4: It is not common to present graphs without data or predictions.
Empty figures should be removed.

Response: These figures have been simplified. We used the same format for all of the figures showing the pseudo-observations and when no data were available for the species-component combination, we retained the empty panels to make this clear. This can be changed even further but we thought it would be clearer this way.

1 **Generalized biomass and leaf area allometric equations for European tree**
2 **species incorporating stand structure, tree age and climate**

3
4 David I. Forrester^{1,2*}, I. Heloise H. Tachauer³, Peter Annighoefer⁴, Ignacio Barbeito⁵, Hans
5 Pretzsch³, Ricardo Ruiz-Peinado^{6,7}, Hendrik Stark², Giorgio Vacchiano⁸, Tzvetan Zlatanov⁹,
6 Tamalika Chakraborty¹⁰, Somidh Saha^{2,11}, Gudeta W. Sileshi¹²

7
8 ¹Swiss Federal Institute of Forest, Snow and Landscape Research WSL, Zürcherstrasse 111,
9 8903 Birmensdorf, Switzerland

10 ²Chair of Silviculture, Albert-Ludwigs-Universität Freiburg, Germany

11 ³Chair for Forest Growth and Yield Science, Technische Universität München, Germany

12 ⁴Abteilung Waldbau und Waldökologie der gemäßigten Zonen, Georg-August-Universität
13 Göttingen, Germany

14 ⁵LERFoB, AgroParisTech, INRA, F-54000, Nancy, France

15 ⁶Department of Silviculture and Forest Systems Management, INIA-CIFOR Forest Research
16 Centre

17 ⁷Sustainable Forest Management Research Institute (IuFOR), University of Valladolid &
18 INIA

19 ⁸European Commission, Joint Research Centre, Directorate D, Sustainable Resources - Bio-
20 Economy Unit, Ispra, Italy

21 ⁹Department of Silviculture, Forest Research Institute, Sofia, Bulgaria

22 ¹⁰Chair of Site Classification and Vegetation Science, Albert-Ludwigs-Universität Freiburg,
23 Germany

24 ¹¹Institute for Technology Assessment and Systems Analysis, Karlsruhe Institute of
25 Technology, Germany

26 ¹²Plot 1244 Ibex Hill, Lusaka, Zambia

27

28

29 *Corresponding author: WSL Swiss Federal Institute of Forest, Snow and Landscape
30 Research, Zürcherstrasse 111, 8903 Birmensdorf, Switzerland, Email:
31 david.forrester@wsl.ch, Phone: +41 (0) 44 739 2869

32

33

34 **Abstract**

35

36 Biomass and leaf area equations are often required to assess or model forest productivity,
37 carbon stocks and other ecosystem services. These factors are influenced by climate, age and
38 stand structural attributes including stand density and tree species diversity or species

39 composition. However, such covariates are rarely included in biomass and leaf area equations.
40 We reviewed the literature and built a database of biomass and leaf area equations for 24
41 European tree species and 3 introduced species. The final dataset contained 973 equations.
42 Most of the equations were site-specific and therefore restricted to the edaphic, climatic and
43 stand structural conditions of the given site. To overcome this limitation, the database was
44 used to develop regional species-specific equations that can be used in a wide range of stands
45 and to quantify the effects of climate, age and stand structure on biomass or leaf area. The
46 analysis showed considerable inter- and intra-specific variability in biomass relationships. The
47 intra-specific variability was related to climate, age or stand characteristics, while the inter-
48 specific variability was correlated with traits such as wood density, specific leaf area and
49 shade tolerance. The analysis also showed that foliage mass is more variable than stem or
50 total aboveground biomass, both within and between species, and these biomass components
51 have contrasting responses to age and changes in stand structure. Despite the large number of
52 published equations, many species are still not well represented. Therefore, generic equations
53 were developed that include species-specific wood density instead of species identity. Further
54 improvements may be possible if future studies quantify the stand structure of individual tree
55 neighbourhoods instead of using the stand means for all trees sampled with the given stand.

56

57 Keywords: allometry; forest growth; pseudo-observations; shade tolerance; specific leaf area;
58 wood density

59 **1. Introduction**

60

61 Allometric relationships are critical for quantifying many aspects of ecology and forestry
62 including the prediction of tree and stand variables to assess productivity, carbon stocks and
63 other ecosystem services at the tree, stand, landscape or regional levels (Henry *et al.*, 2013;

64 Chave *et al.*, 2014; Paul *et al.*, 2016). They are also required when quantifying or modelling
65 forest functioning, such as how light, water, nutrient and carbon pools and fluxes respond to
66 changes in climate or management.

67

68 Allometric relationships are often expressed in the form of Equation 1, implying a 1% change
69 in variable X will result in a $b\%$ change in variable Y .

70

$$71 \quad Y = aX^{b_{y,x}} \quad (1)$$

72

73 The value of the exponent b has been hotly debated (Sileshi, 2014) and hypothesised to relate
74 to mechanical constraints that prevent trees from buckling (Greenhill, 1881; McMahon,
75 1973), hydraulic constraints (Ryan *et al.*, 2006) and biophysical constraints. Contributions
76 regarding the biophysical constraints include geometric scaling (Yoda *et al.*, 1963; Gorham,
77 1979; Pretzsch *et al.*, 2012), which suggests proportionality between different linear
78 dimensions; linear tree dimensions (e.g., diameter) are related to quadratic or area-related
79 dimensions (e.g., leaf area) as linear \propto quadratic^{1/2} and to cubic variables (e.g., biomass) as
80 linear \propto cubic^{1/3} or quadratic \propto cubic^{2/3}. In contrast, the metabolic scaling theory describes
81 resource distribution along hierarchical branching networks (West *et al.*, 1999; West *et al.*,
82 2009) and predicts that $b_{\text{biomass, diameter}} = 8/3$, $b_{\text{leaf area, diameter}} = 4/3$ (Pretzsch *et al.*, 2012).

83 However, b is usually not invariant for these relationships and the frequency distribution of b
84 is not necessarily centred on the value of b predicted by the geometric or metabolic scaling
85 theories (Coomes, 2006; Pretzsch, 2006; Ducey, 2012; Lines *et al.*, 2012; Pretzsch and Dieler,
86 2012; Pretzsch *et al.*, 2012; Pretzsch *et al.*, 2013; Sileshi, 2014). Therefore, while the general
87 allometric exponents may be useful for rough scaling they are less useful for modelling stand

88 growth dynamics or for developing biomass and leaf area equations to upscale from tree
89 measurements.

90

91 The variability in the exponent b is related to the fact that allometric relationships reflect
92 current and past environmental conditions and provide information about within-tree carbon
93 partitioning, which affects a trees' ability to acquire and compete for resources. Therefore,
94 allometric relationships between diameter and biomass (foliage, stems or roots) or leaf area
95 can vary with age (Wirth *et al.*, 2004; Genet *et al.*, 2011; Shaiek *et al.*, 2011), stand density
96 (Monserud and Marshall, 1999), species mixing (Laclau *et al.*, 2008) and site characteristics
97 (Wirth *et al.*, 2004; Russell *et al.*, 2015). As a result, equations developed using trees sampled
98 from a single stand may be unbiased and precise for that situation but they are unlikely to be
99 suitable for other ages or stands that differ in structure, climate or site characteristics
100 (Muukkonen, 2007). Despite this, variables describing age, site and stand structural
101 characteristics such as density, species composition or diversity are rarely included in biomass
102 equations (Zianis *et al.*, 2005) because this would require a larger sample of trees from a
103 range of ages and site conditions.

104

105 In a recent review, only about 24% of equations were found to contain more than one
106 independent variable, usually diameter (Henry *et al.*, 2011). Nevertheless, for some species
107 there are already many published biomass equations (Zianis *et al.*, 2005) and the suitability of
108 each equation for use in different stands can be determined, for example, by sampling some
109 trees and comparing the measured biomass with the biomass predicted by the published site-
110 specific equations (Freese, 1960; Pérez-Cruzado *et al.*, 2015). However, this requires
111 destructive biomass sampling in each target stand. It also requires that there is a published
112 equation suitable for that stand, for which the likelihood declines as the number of published
113 equations declines. An alternative approach is to use all of the published site-specific

114 equations to develop new “regional” allometric equations that include independent variables
115 such as climate, age, stand density and any other important site characteristics.

116

117 Several studies have developed regional species-specific or even generic (species
118 independent) biomass equations (Pastor *et al.*, 1984; Wirth *et al.*, 2004; Lambert *et al.*, 2005;
119 Case and Hall, 2008; Seidl *et al.*, 2010; Shaiek *et al.*, 2011; Chave *et al.*, 2014; de-Miguel *et*
120 *al.*, 2014; Paul *et al.*, 2016). These often combine raw data from many different studies, but
121 such data do not exist for many species or regions, or biomass data that was used to develop
122 site-specific equations has been lost or is unavailable. Therefore, some studies have used
123 pseudo-observations calculated from published equations, such as predicted biomass values
124 for each 1-cm or 5-cm diameter class (Jenkins *et al.*, 2003; Muukkonen, 2007; Chojnacky *et*
125 *al.*, 2014) or a given number of pseudo-observations between the range of diameters sampled
126 to produce the given site-specific equation (Pastor *et al.*, 1984). Regardless of the approach
127 used, most of the resulting regional or generic equations have included only tree-level
128 variables (e.g., diameter, height) and/or species-level variables (e.g., wood density) and
129 therefore average out or group the variability in tree biomass that might otherwise be
130 explained by age, climate, soils, stand density or species mixing (Wirth *et al.*, 2004;
131 Chojnacky *et al.*, 2014; Weiskittel *et al.*, 2015). Such variables could facilitate the
132 development of biomass equations that are applicable to a wider range of sites and stands, and
133 can be used to examine the effects of these factors on stand growth and biomass stocks.

134

135 Despite the large number of published equations, many European species are still not well
136 represented. Therefore, the first objective of this study was to develop a database containing
137 biomass and leaf area equations for 24 European tree species and 3 introduced species
138 (*Pseudotsuga menziesii*, *Robinia pseudoacacia* and *Prunus serotina*) that are currently
139 considered important by European foresters. The review of the literature resulted in a total of

140 973 equations, including raw data sets obtained from tables in publications or from our
141 previous work. These data were used to test the hypotheses that: (1) foliage or branch mass
142 are more variable than stem, coarse root or total aboveground biomass; (2) age, trees per
143 hectare, basal area and climate all influence the relationships between tree diameter and
144 biomass or leaf area; (3) these variables have contrasting effects on different biomass
145 components; (4) there are significant differences between species in terms of their response to
146 age, trees per hectare, basal area and climate, and these differences vary in relation to traits
147 such as specific leaf area, wood density and shade tolerance. Our second objective was to
148 develop regional equations for each species, or species group, and each biomass component or
149 leaf area, which include the independent variables age, trees per hectare, basal area, mean
150 annual precipitation or mean annual temperature and can therefore be used in a wider range of
151 forest types.

152

153 **2. Material and methods**

154 2.1 Selection of equations

155

156 A literature search was used to find biomass and leaf area equations for 27 species (and
157 several species groups) summarised in Table 1. For most species the equations included a
158 wide range of sites across the current species distributions within Europe and are therefore
159 assumed to be representative of the given species within Europe. These ranges, for each
160 species, are indicated in Table 2 as ranges of stand and site characteristics. Species selection
161 was based on the availability of equations, but also reflects the economic importance of the
162 species. Equations were excluded when the diameter range or number of sample trees was not
163 provided. They were also excluded when they did not include diameter at 1.3 m as an

164 independent variable. Equations based on root collar diameter (often 0.1 m) were also
165 excluded. Height-diameter relationships for a given species can vary with age and site
166 characteristics (Watt and Kirschbaum, 2011). Therefore to avoid adding bias that may result
167 from height predictions, equations with height were only used when no diameter-only
168 equations were available from the same study. If height was included in the equation, it was
169 predicted using the diameter-height equation provided in the given study or published
170 diameter-height equations (Merganič *et al.*, 2011; Pretzsch *et al.*, 2013; Özcelik *et al.*, 2014).
171 The predicted range in height was forced to match the height range reported for the sample
172 trees by multiplying all values by a constant, thereby preserving the shape of the height-
173 diameter relationship. Equations with incorrect parameters (identified by their implausible
174 biomass or leaf area predictions) were also not used. While several general equations have
175 been developed for some of the species in Table 1 (Wirth *et al.*, 2004; Muukkonen, 2007), we
176 did not use those general equations and instead aimed to use the equations that were used to
177 develop those general equations.

178
179 Many different biomass components can be defined for trees, but for a given component the
180 definitions can vary between studies. For example, roots may be sampled down to minimum
181 diameters of 1 cm, 0.5 cm or 0.2 cm (Jenkins *et al.*, 2003; Wirth *et al.*, 2004), stem can begin
182 at the base of the tree and extend up to a minimum diameter of 5 cm (Canadell *et al.*, 1988) or
183 it may only include the straight part of the stem (Annighöfer *et al.*, 2012). This will add an
184 error to the regional or generic equations that are produced. In addition to leaf area, we
185 searched for equations that predict the biomass components of foliage, branch wood (total,
186 live or dead, all including the bark), stem (including wood and bark), stem and branch
187 (including stem + branch wood and bark), aboveground (including stem + branch wood and
188 bark + foliage) and roots. Where the studies divided the components even further (e.g., stem

189 wood and stem bark), we used both equations to calculate the stem or we combined the
190 aboveground components to get aboveground mass.

191

192 When a study provided equations for leaf mass but not leaf area, or vice versa, the specific
193 leaf area (SLA, m² kg⁻¹) was used to predict the leaf area from the leaf mass, or vice versa.

194 Here, leaf area strictly refers to one-sided projected area. SLA can decline with tree age and
195 this relationship was obtained for each species using published SLA-age data that was fitted
196 to Equation 2.

197

$$198 \quad SLA(t) = SLA_1 + (SLA_0 - SLA_1)e^{-\frac{(\ln 2)(t/t_{SLA})^2}{1}} \quad (2)$$

199

200 where SLA_0 and SLA_1 are the values of SLA in young and older stands, respectively, and t_{SLA} is
201 the age at which $SLA = \frac{1}{2}(SLA_0 + SLA_1)$ (Sands and Landsberg, 2002). The SLA data are
202 provided as supplementary information Table A.1 in the data paper associated with this study
203 (Forrester *et al.*, in review) and SLA-age relationships are shown in Figure B.1 of appendix B.

204

205 2.2 Calculation of pseudo-observations

206

207 The compiled equations were used to generate pseudo-observations for use in fitting the
208 regional allometric models. Although raw data are strongly favoured above pseudo-
209 observations, raw data were not available for many of the equations in this study. Restricting
210 the data set only to raw data would therefore have severely restricted the ranges of stand
211 conditions, climates, ages and tree sizes available and thus greatly limited our ability to
212 examine the effects of these factors on biomass relationships. Therefore, pseudo-observations
213 were generated for many of the published equations. The equations and their pseudo-

214 observations are provided in Table A.2 of Forrester *et al.* (in review). Several approaches
215 have been used to generate pseudo-observations in biomass studies. One method involves
216 calculating one or more pseudo-observations per 1-cm (or n-cm) diameter class (Muukkonen,
217 2007; Chojnacky *et al.*, 2014; de-Miguel *et al.*, 2014). Another method involves the use of an
218 equal number of pseudo-observations per equation (Pastor *et al.*, 1984; Jenkins *et al.*, 2003).
219 In this study, equal numbers of pseudo-observations were used for each equation instead of
220 pseudo-observations at each n-cm class. This was necessary because some equations had a
221 much larger diameter range than others and they would have had a correspondingly higher
222 number of pseudo-observations. Equations with large numbers of pseudo-observations (due to
223 large diameter ranges) would bias the data set towards the values of the climate, age and stand
224 structural variables associated with the given equation. The distributions of diameter, age,
225 basal area and trees per hectare are shown in Figure 1. The median sample size for all
226 equations was 33. Therefore, 33 pseudo-observations were calculated for each equation that
227 were evenly distributed between the smallest and largest diameter used to develop the given
228 equation. This is larger than the n in some previous studies (e.g., Pastor *et al.*, 1984; Jenkins *et*
229 *al.*, 2003; Chojnacky *et al.*, 2014). The larger n was used to reduce the possibility of
230 overestimating the parameters (and hence biomass), which can result from small sample sizes
231 (Duncanson *et al.*, 2015). Where studies included the raw data in published tables or the raw
232 data were provided by the authors, the raw data were used instead of pseudo-observations
233 (10%; 105 raw data sets but 868 equations).

234

235 As is often the case, there was a bias towards the smaller diameter classes (Figure 1h). To
236 reduce the influence of tree size distribution, Duncanson *et al.* (2015) binned data by
237 calculating the median value of the dependent variable in equally spaced independent variable
238 bins. However, this approach can underestimate the variability of the equations (Jucker *et al.*,
239 2017). Furthermore, binning could not be done in this study because there was more than one

240 independent variable and binning would require a complete matrix of diameter, age, climate
241 and stand variables, which does not exist in this data set.

242

243 While the pseudo-observation datasets could potentially be used to examine the variability
244 between equations, they do not include the variability within a given equation (or site) and
245 therefore will lead to underestimation of errors of regional equations (Case and Hall, 2008;
246 de-Miguel *et al.*, 2014). The within-equation error includes the uncertainty of the parameter
247 estimates as well as the residual error of the equation (Breidenbach *et al.*, 2014). The latter
248 can be approximated by randomly generating pseudo-observations by assuming a normal
249 distribution of residuals with a mean of zero and the variance (mean square error of the
250 residuals; MSE) reported for the given equation (de-Miguel *et al.*, 2014). This recovers the
251 within- and between-equation variability of the original field measurements (de-Miguel *et al.*,
252 2014). In order to illustrate this we show the pseudo-observations calculated from all (four)
253 published equations for estimation of aboveground biomass of the first species listed in our
254 database, *Abies alba* (Figure 2).

255

256 It was possible to obtain usable variance values for only 14% of the published equations and
257 this includes MSE values that were calculated by fitting Equation 3 to each of the raw data
258 sets that were included in the database. This scarcity of model variance information resulted
259 because many studies did not report model errors or it was not clear what the error terms
260 actually were. For example, the names given to the errors varied widely and we generally
261 used values that were called standard deviation, MSE, Root MSE, $S_{y,x}$, se , residual error,
262 residual standard error, residual deviation and mean error. To ensure compatibility, we only
263 used the MSE values from equations fitted to ln-transformed biomass components or leaf
264 area. The MSE values for the 86% of equations for which we did not have a model error value
265 were estimated based on the observation that the MSE values appeared to vary between

266 biomass components and also between broad-leaved and coniferous species. Therefore, we
267 filled in the missing MSE values using the mean MSE values for each of these combinations
268 (Figure B.2). The MSE was only available for 10 leaf area equations and therefore the mean
269 MSE was used for all other leaf area equations. From here on, Dataset 1 refers to the dataset
270 with pseudo-observations that ignore within-equation variability, and Dataset 2 refers to the
271 dataset containing the pseudo-observations that were calculated by taking into account the
272 MSE values. Both datasets contained the raw biomass or leaf area data (105 of the 973
273 equations). The regional equations were fitted using Dataset 1, while Dataset 2 was only used
274 to estimate a more realistic MSE of the regional equations (MSE_{real}).

275

276 2.3 Candidate independent variables

277 The selection of candidate independent variables for biomass equations should be based on
278 clear hypotheses and theory (Sileshi, 2014). Furthermore, when using published equations or
279 published data sets, the independent variables are restricted to variables that were described in
280 the publications and that are measured in a standard/comparable way in each study. This
281 therefore restricts the variables to stem diameter, tree age, stand basal area, trees per hectare,
282 latitude, longitude (and therefore climate) and species proportions. Tree size, in terms of
283 diameter or height, is a clear candidate variable and is included in the vast majority of
284 equations. It indirectly provides information about the trees' history. Age is another strong
285 candidate variable because many studies have shown that allometry and biomass partitioning
286 are affected by age (Wirth *et al.*, 2004; Litton *et al.*, 2007; Genet *et al.*, 2011; Shaiek *et al.*,
287 2011). The number of trees per hectare and mean diameter are allometrically related as
288 described by the self-thinning law (Reineke, 1933; Yoda *et al.*, 1963), so trees per hectare is
289 also a good candidate variable. For a given number of trees per ha, a stand can have a wide
290 range of basal area values. Therefore, basal area or mean diameter may also be required to

291 more adequately describe the stand structure. Biomass relationships may also vary between
292 sites due to differences in climate and soils or because the provenances and genotypes of the
293 given species vary (Litton *et al.*, 2007; Poorter *et al.*, 2012; Reich *et al.*, 2014). Mean annual
294 temperature and mean annual precipitation were predicted from latitude and longitude using
295 the WorldClim database (Hijmans *et al.*, 2005). Provenance and genotype information are
296 often not provided and it was assumed that latitude may approximate some of these effects,
297 and it is provided in nearly all studies.

298

299 Variables such as tree species proportion are too specific to be useful unless the equations are
300 only designed for a specific forest type. This is because there is an extremely high number of
301 potential species combinations and proportions where any given species can occur, and this
302 variability in species composition cannot be quantified using a single stand variable (Forrester
303 *et al.*, in press). Therefore, alternative variables have been proposed that quantify the
304 horizontal (basal area, trees per ha) or vertical stand structure (tree dominance status) and that
305 are weighted, if necessary, by traits assumed to indicate species-specific competitive ability,
306 such as wood density, specific leaf area and shade tolerance (Forrester *et al.*, in press).

307

308 2.4 Regional equations

309

310 Regional equations (Equations 3-21) for each species and component were fitted using linear
311 mixed models. The pseudo-observations (or raw data) belonging to a given published
312 equation were given a unique number to identify the published equation from which they
313 were obtained (this number ranged from 1 to 982). This unique equation number was included
314 as the random variable to account for within study correlation (pseudo-observations from a

315 given published equation are not independent of each other). The fixed effects were the
316 independent variables including tree diameter (d in cm) at 1.3 m, age (A in years), stand basal
317 area (BA in $m^2 ha^{-1}$), the number of trees per hectare (TPH), latitude (in $^\circ$), mean annual
318 temperature ($^\circ C$) and mean annual precipitation (mm). The biomass, leaf area, diameter, age
319 and TPH were all ln-transformed to reduce homoscedasticity and to produce linear
320 relationships with biomass or leaf area. The following functional forms were compared:

321

$$322 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \varepsilon \quad (3)$$

$$323 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \varepsilon \quad (4)$$

$$324 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(A) + \varepsilon \quad (5)$$

$$325 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(TPH) + \varepsilon \quad (6)$$

$$326 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 Latitude + \varepsilon \quad (7)$$

$$327 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 Prec + \varepsilon \quad (8)$$

$$328 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 Temp + \varepsilon \quad (9)$$

$$329 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 \ln(A) + \varepsilon \quad (10)$$

$$330 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 \ln(TPH) + \varepsilon \quad (11)$$

$$331 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 Latitude + \varepsilon \quad (12)$$

$$332 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 Prec + \varepsilon \quad (13)$$

$$333 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 Temp + \varepsilon \quad (14)$$

$$334 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(A) + \beta_3 \ln(TPH) + \varepsilon \quad (15)$$

$$335 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(TPH) + \beta_3 Prec + \varepsilon \quad (16)$$

$$336 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(TPH) + \beta_3 Temp + \varepsilon \quad (17)$$

$$337 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(TPH) + \beta_3 Latitude + \varepsilon \quad (18)$$

$$338 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(A) + \beta_3 Prec + \varepsilon \quad (19)$$

$$339 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(A) + \beta_3 Temp + \varepsilon \quad (20)$$

$$340 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 \ln(A) + \beta_3 Latitude + \varepsilon \quad (21)$$

341

342 where ε is an error term that is assumed to be normally distributed with a mean (μ) of 0 and
 343 standard deviation of σ . In order to correct for the bias that results from back-transforming
 344 predictions of Y , a correction factor (CF) was calculated from the mean of the pseudo-
 345 observations divided by the mean of the values predicted by the regional equation (Snowden,
 346 1991).

347

348 To compare the effect of each independent variable on each biomass component and to
 349 determine whether these responses were related to species traits, Equations 22-24 were used
 350 after standardising all independent variables by subtracting the mean from the variable value
 351 and then dividing it by the standard deviation of the given variable. The standardised
 352 independent variables then each have a mean (μ) of 0 and a standard deviation (σ) of 1. If the
 353 independent variable was ln-transformed, then the transformed values were standardised to μ
 354 = 0 and $\sigma = 1$. Therefore, the slope parameters (hereafter called beta weights) in Equations 22-
 355 24 were used to provide the effect size for each variable. Beta weights (standardised
 356 coefficients) are scale free, and quantify how many standard deviations the dependent variable
 357 (Y) will change per standard deviation increase in the independent variable (X). Thus they can
 358 be used as effect size estimates (Peterson and Brown, 2005; Nieminen *et al.*, 2013). In this
 359 analysis, the effect size of all independent variables was compared in order to identify those
 360 that have the largest influence on biomass or leaf area.

361

362

$$363 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 \ln(A) + \beta_4 \ln(TPH) + \beta_5 Prec + \beta_6 Temp + \beta_7 SLA + \beta_8 \ln(d) \\ 364 \times SLA + \beta_9 BA \times SLA + \beta_{10} \ln(A) \times SLA + \beta_{11} \ln(TPH) \times SLA + \beta_{12} Prec \times SLA + \beta_{13} Temp \times SLA + \varepsilon \\ 365 \quad (22)$$

$$366 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 \ln(A) + \beta_4 \ln(TPH) + \beta_5 Prec + \beta_6 Temp + \beta_7 \rho + \beta_8 \ln(d) \times \rho + \beta_9 \\ 367 BA \times \rho + \beta_{10} \ln(A) \times \rho + \beta_{11} \ln(TPH) \times \rho + \beta_{12} Prec \times \rho + \beta_{13} Temp \times \rho + \varepsilon \quad (23)$$

$$368 \ln(Y) = \ln\beta_0 + \beta_1 \ln(d) + \beta_2 BA + \beta_3 \ln(A) + \beta_4 \ln(TPH) + \beta_5 Prec + \beta_6 Temp + \beta_7 ST + \beta_8 \ln(d) \times ST + \\ 369 \beta_9 BA \times ST + \beta_{10} \ln(A) \times ST + \beta_{11} \ln(TPH) \times ST + \beta_{12} Prec \times ST + \beta_{13} Temp \times ST + \varepsilon \quad (24)$$

370

371 where d , BA , A , TPH , $Temp$, $Prec$ and SLA are as defined earlier for Equations 3-21, ρ is the
372 wood density (oven dry mass per fresh volume; g cm^{-3}) (Zanne *et al.*, 2009) and ST is the
373 shade tolerance from Niinemets and Valladares (2006) (Table B.3). SLA is the mean SLA for
374 the given species obtained from the published values in Table A.1 of Forrester *et al.* (in
375 review). Equation 23, which contains wood density, was also fitted to Datasets 1 and 2
376 without standardising the independent variables. This was done to provide generic (species
377 independent) models that can be applied to species that are not well represented in the data
378 base. These generic equations were fitted to all data in Dataset 1. Equations 3-21 were fitted
379 using the *nlme* package in R (Pinheiro *et al.*, 2013) and using the REML method, which
380 maximises the restricted log-likelihood.

381

382 2.5 Verification and quality of fit

383

384 Verification or validation of the final models based on Equations 3-21 was done based on the
385 K-fold or leave-one-out methods of cross-validation (Arlot and Celisse, 2010; Picard *et al.*,
386 2012) whereby Equations 3-21 were fitted to the pseudo-observations (or raw data sets) from
387 all but one of the published equations, and then the predictions of the resulting regional model
388 were compared with the pseudo-observations (or raw data sets) of the excluded published
389 equation. This was repeated until all of the published equations had been used as validation
390 data.

391

392 To check for collinearity between independent variables, variance inflation factors (VIF) were
393 calculated using the *corvif* function in the *AED* package in R (Zuur *et al.*, 2009). VIF above 5
394 indicate high multicollinearity between independent variables (Sileshi, 2014). While many
395 biomass studies include variables that are highly correlated (e.g., diameter and height), we

396 avoided this to ensure that the parameter estimates represented causal relationships as closely
397 as possible.

398

399 The uncertainty of the model parameters was examined using the percent relative standard
400 errors PRSE (Equation 25; Sileshi, 2014).

401

$$402 \quad PRSE (\%) = 100 \frac{\text{parameter standard error}}{\text{parameter estimate}} \quad (25)$$

403

404

405 PRSE values more than 50% were considered unreliable. Akaike's information criterion
406 (AIC) was used to examine whether any of the regional equations were over-parameterised.
407 However, Equations 3-21 were often fitted to different subsets of data because different
408 published equations provided different combinations of the independent variables. Therefore
409 the Bayesian information criterion (BIC) was also used because it takes the sample size into
410 account.

411

412 The precision of the regional equations was quantified using the square root of the mean
413 square error (RMSE) using Equation 26 calculated from the ln-transformed data.

414

$$415 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (P_i - O_i)^2}{n}} \quad (26)$$

416

417 where O are the observed values and P are the predicted values. The precision was also
418 quantified using the mean absolute percentage error (MAPE) using Equation 27 and the back-
419 transformed predicted values that had been corrected for the bias using the correction factor
420 (CF) described above from Snowden (1991).

421

$$422 \quad MAPE (\%) = \frac{100}{n} \sum_{i=1}^n \frac{|O_i - P_i|}{O_i} \quad (27)$$

423

424 The bias was quantified using Equation 28 (Sileshi, 2014), also using the back-transformed
425 predicted values that had been corrected for the bias using the CF described above from
426 Snowden (1991).

$$427$$
$$428 \text{Bias (\%)} = \frac{100}{n} \sum_{i=1}^n \frac{P_i - O_i}{O_i} \quad (28)$$
$$429$$

430 Linear regression of the observed (y-axis) against the predicted values (all ln-transformed)
431 were used to examine how well the models fit the observed data. This was used to test
432 whether the slope was significantly different from 1 and whether the intercept was
433 significantly different from 0 (Sileshi, 2014) by calculating 95% confidence intervals
434 (standard error of parameter \times 1.96) for the slope and intercept. During the validation, the
435 mean PRSE, RMSE, MAPE and Bias were calculated from all the K-fold validations for the
436 given general equation. All analyses were performed using R software version 3.3.1 (R Core
437 Team, 2016).

438

439 **3. Results**

440

441 The final dataset contained pseudo-observations for 27 species from 868 equations and 105
442 raw data sets (973 in total) (Table 1). This included 60,294 biomass or leaf area samples from
443 the reviewed studies. The equations covered a broad range of stand characteristics, with basal
444 areas ranging from < 5 to $> 75 \text{ m}^2 \text{ ha}^{-1}$ and stand densities ranging from < 200 to 70,000 trees
445 per hectare (Figure 1a, b). However, there was a clear skew towards the smaller tree sizes and
446 younger ages (Figure 1c, h). The equations were distributed across the latitudinal range of
447 Europe with a peak in the middle, at latitudes of about $45 - 50^\circ$ (Figure 1d). While many of
448 the sample sizes were small (< 30 trees) there were also many that contained > 50 trees
449 (Figure 1e).

450

451 All validation equations and final equations are listed in Table A.4 of Forrester *et al.* (in
452 review). The final equations that were valid (e.g., $P < 0.05$ for all variables, $VIF < 5$ and
453 $PRSE < 50\%$) are listed in Table A.5 of Forrester *et al.* (in review). Focusing only on
454 equations in the form of Equation 3, the confidence intervals for the exponent β_I , averaged
455 across all species, often contained the value predicted by the metabolic scaling theory
456 (although it was usually close to the upper limit) and often did not contain the value predicted
457 by the geometric scaling theory (Table 3).

458

459 3.1 Intra-specific variability

460

461 In agreement with our first hypothesis, the foliage mass, and to a lesser extent branch mass,
462 was generally more variable for a given diameter than stem mass (Figure 3, see also Figures
463 B.3-B.25). This is indicated by the greater mean $RMSE_{\text{real}}$ for foliage (0.42) and total branch
464 mass (0.54) compared with stem (0.33), stem + branch mass (0.28) and aboveground mass
465 (0.18), with intermediate RMSE for root mass (0.37) (from Table A.5 of Forrester *et al.*, in
466 review).

467

468 Using the RMSE when calculating the pseudo-observations, as shown in Figure 2, resulted in
469 $RMSE_{\text{real}}$ that were on average 76% larger (0.404 compared with 0.230) than those calculated
470 when the RMSE of the individual equations was not considered when calculating the pseudo-
471 observations.

472

473 For many species, there were enough equations available to test whether the biomass
474 components were influenced by age, basal area, trees per ha, climate or latitude. These
475 variables were significant in 387 out of the 576 regional equations listed in Table A.5 of

476 Forrester *et al.* (in review), which is consistent with our second hypothesis that these variables
477 influence diameter-biomass relationships. The parameter estimates in Table A.5 show that
478 climate, age and the stand variables have contrasting effects on different biomass components
479 and species. Stem, stem + branch and aboveground mass often tended to increase (for a given
480 diameter) with all of these independent variables (except climate and latitude where effects
481 were more variable). In contrast, foliage mass and leaf area sometimes declined (for a given
482 diameter) with increasing age, basal area and trees per ha. For most species or species groups
483 (24 out of 31) equations that contained diameter only (Equation 3) had, on average, similar or
484 higher RMSE, MAPE and Bias than the other equations (Table 4). There was no clear trend as
485 to whether age, basal area, trees per ha, precipitation or temperature was the best independent
486 variable to add to the equations.

487

488 3.2 Inter-specific variability

489

490 Wide inter-specific variability was observed in biomass components, especially foliage mass
491 (Figure 4). The biomass components for which there was a lot of intra-specific variability also
492 showed a lot of inter-specific variability. For example, for a diameter of 60 cm, the predicted
493 foliage mass (kg per tree) varied by 767% from about 15 kg in *C. betulus* to 130 kg in *P.*
494 *abies*, while stem mass varied by 160% from about 1000 kg in *P. pinaster* to 2600 kg in *C.*
495 *betulus*.

496

497 The inter-specific variability in the biomass – diameter relationship was generally high for
498 foliage mass and lower for stem mass (Figures 4 & 5). Examination of the effect sizes (beta-
499 weights) showed that diameter always had the greatest influence on biomass (Figures 6 & 7).

500 Increasing basal area generally reduced leaf area, leaf mass and branch mass. Increasing age

501 generally increased stem mass but reduced root mass; for a given diameter older trees had less
502 root mass than younger trees (Figure 6). Foliage biomass or leaf area increased with
503 temperature or precipitation for low trait values (SLA, wood density and shade tolerance) but
504 decreased for high trait values. For the other component-independent variable combinations,
505 there were often contrasting responses ranging from increases to decreases in biomass. This is
506 consistent with our third hypothesis that stand characteristics and age have contrasting effects
507 on different biomass components.

508

509 Wood traits (wood density, SLA or shade tolerance) were significantly correlated with inter-
510 specific variability for more than half of all possible combinations of biomass components
511 and independent variables, consistent with our fourth hypothesis. For example, the effect of
512 diameter on leaf mass declined as all wood traits increased (Figure 6).

513

514 Equation 23 was also fitted for all species before the data were standardised. This enabled the
515 development of a generic equation for each biomass component and leaf area that could be
516 used for any species in our data set. However, it should be noted that the species-specific
517 regional models are expected to be the most accurate and the generic model fitted to Equation
518 23 is intended to be used only for rarer species until those species are better represented.

519

520 **4. Discussion**

521

522 4.1 Intra-specific variability

523

524 For a given diameter, there was considerable intra-specific variability and this was greater for
525 the shorter lived components such as foliage and branches than for longer lived components
526 like stems. This finding is consistent with our first hypothesis and with previous studies

527 (Wirth *et al.*, 2004; Saint-André *et al.*, 2005; António *et al.*, 2007; Genet *et al.*, 2011; Xiang *et*
528 *al.*, 2011; Clough *et al.*, 2016; Xiang *et al.*, 2016). Shorter lived tissues such as foliage,
529 branches and fine roots may turn over more readily and rapidly as conditions become more or
530 less favourable thereby making them more variable for a given diameter than structural
531 support components such as stems.

532

533 It follows therefore that the intra-specific variability not only reflects tree age and genetics but
534 that it can also indicate how trees have responded to previous growing conditions. When
535 biomass equations are developed, it is common to average out this variability or to group it all
536 together (Sileshi, 2014; Weiskittel *et al.*, 2015) by developing regional or generic models that
537 include a single independent variable (e.g., dbh or a compound variable that includes dbh;
538 Chave *et al.*, 2014) or by assigning the variability to the random variables in mixed models
539 (de-Miguel *et al.*, 2014). Alternatively, this study shows that such intra-specific variability
540 can be partitioned to some of the independent variables that are causing this variability such
541 as age, basal area, trees per ha, climate and latitude, instead of to random effects, consistent
542 with our second hypothesis. An advantage of this approach is that the effects of climate, age,
543 stand basal area and trees per ha on biomass stocks can be predicted and the size and direction
544 of their effects are clearly evident from the model parameters.

545

546 It is worth noting that the difference in biomass predictions for a given diameter often differed
547 by more than 50% for the same species and component, and sometimes by more than 100%
548 (e.g., Figure 3). This highlights the risk of applying species-and-site specific published
549 biomass equations to stands where they were not developed. Even for the regional equations
550 developed in this study, it is critical to note the ranges in all independent variables, because
551 these should include the values of the target stands to avoid extrapolating beyond the range of
552 data used to develop the equations.

553

554 Not only the magnitude, but also the direction of the response to these independent variables
555 differed between components, consistent with our third hypothesis and with previous meta-
556 analyses (Litton *et al.*, 2007; Poorter *et al.*, 2012). For example, foliage mass declined with
557 increasing basal area while stem mass did not change (Figure 6). In relatively open and low
558 basal area stands, biomass partitioning can be more to foliage to maximise light absorption
559 because competition for soil resources is relatively low and there is less incentive for height
560 (and stem) growth to outcompete neighbours. On the other hand, in dense stands there is less
561 space for expanding crowns or fine-root systems and more biomass may be partitioned to the
562 stems in order to grow taller to maintain a competitive position within the canopy (Poorter *et*
563 *al.*, 2012). Stand density or species composition have also been found to influence biomass
564 relationships in other studies (Monserud and Marshall, 1999; Wirth *et al.*, 2004; Laclau *et al.*,
565 2008). Roots were more variable in terms of their response to basal area, but it was not
566 possible to divide this component into fine, medium and coarse roots, which prevents the
567 patterns in root mass from being examined in detail.

568

569 The response to age was also consistent with previous studies that have found declines in
570 foliage mass or leaf area and increases in stem mass as trees age (Porte *et al.*, 2000; Porté *et*
571 *al.*, 2002; Saint-André *et al.*, 2005; Wutzler *et al.*, 2008; Genet *et al.*, 2011; Shaiek *et al.*,
572 2011). Age had a varied effect on root mass, consistent with previous work where central
573 European *F. sylvatica* fine medium and coarse roots were not influenced by age (Genet *et al.*,
574 2011) but increased with age in another study (Wirth *et al.*, 2004; Wutzler *et al.*, 2008). Fine
575 roots of *Eucalyptus* were also reduced with age for a given diameter (but not medium or
576 coarse roots) (Saint-André *et al.*, 2005). The variability in this study is likely to reflect the
577 variable definition of roots used in the reviewed studies and that different components (fine,
578 medium and coarse roots) do not necessarily respond to age or stand structure in the same

579 way, similar to the contrasting aboveground responses of stem mass versus foliage mass
580 (Saint-André *et al.*, 2005).

581

582 4.2 Inter-specific variability

583

584 The considerable inter-specific variability in dbh – biomass (or crown or height) relationships
585 has been found to correlate with species traits such as wood density, such that for a given
586 diameter, biomass increases (or height declines) for species with higher wood density
587 (Ketterings *et al.*, 2001; Chave *et al.*, 2005; Dietze *et al.*, 2008; van Breugel *et al.*, 2011;
588 Ducey, 2012; Chojnacky *et al.*, 2014). This study shows that this pattern not only exists for
589 dbh-biomass relationships, but that relationships between biomass and age, basal area, trees
590 per ha and climate also vary between species in relation to the species-specific wood density,
591 shade tolerance or specific leaf area. For example, increasing basal area reduced foliage mass
592 more for low wood density species but increased it for high wood density species. This may
593 reflect a lower tolerance to competition of the low wood density species (Kunstler *et al.*,
594 2016). The increase in stem mass with increasing age was greater for species with low wood
595 density or low SLA. Since wood density is globally negatively correlated with a species'
596 competitive effect on neighbours as well as its ability to tolerate competition (Kunstler *et al.*,
597 2016), this may reflect a higher partitioning of biomass to stems (or height) as trees age in
598 response to competition. Similarly, the contrasting responses of height, crown length or crown
599 diameter to stem diameter or stand structural characteristics were correlated with wood
600 density or shade tolerance for 17 European species (Forrester *et al.* in press).

601

602 The inclusion of wood density in biomass equations can make them generic (e.g., Equation
603 15), so that they can also be used for rarer species (e.g., *Prunus avium*, *Tilia*, *Sorbus* or *Pinus*
604 *cembra*) that are not well represented in datasets (Chave *et al.*, 2004; Dietze *et al.*, 2008; van

605 Breugel *et al.*, 2011). While the species-specific regional equations are likely to be more
606 accurate for the range of stand conditions where they were developed, the generic equations
607 where species identity is quantified as wood density may be a short term solution for rare
608 species until they are better represented with biomass samples. Of the three generic equations
609 used in this study (Equations 22-24), our focus was on Equation 23, which was based on
610 wood density, because this trait is easier to obtain than shade tolerance (Equation 24) and is
611 assumed to be less variable within a species than SLA (Equation 22). However, even wood
612 density can vary between individuals of the same species (e.g., Niinemets, 2015).

613

614 4.3 Important considerations about the dataset

615

616 A source of error for the general equations produced in this study was the variability in
617 definitions for a given biomass component. Different studies typically have different
618 questions and therefore good reasons for varying the definitions. However, this would have
619 inflated the error of the general equations.

620

621 The large dataset used for this study helps to make the equations in Tables A.5 and A.6 (in
622 Forrester *et al.*, in review) more widely applicable. However, despite the wide range in
623 conditions these equations are still subject to at least three sources of uncertainty. The first is
624 the inherent variability in the population (e.g., RMSE), which we aimed to partition, at least
625 partly, to stand variables, climate and age. The second is the sample size (van Breugel *et al.*,
626 2011; Roxburgh *et al.*, 2015). Many of the published equations were developed from small
627 sample sizes (Figure 1e), which can lead to overestimates of biomass due to the
628 overestimation of parameters (Duncanson *et al.*, 2015). While the median sample size was 33
629 observations for the published equations used in this study, previous studies have
630 recommended at least 40-50 or more, depending on the type of tree species (Roxburgh *et al.*,

631 2015). Therefore, the pseudo-observations derived from the published equations that were
632 based on small data sets may have been slightly overestimated and there is no way of
633 knowing how this could have influenced the regional or generic equations developed in this
634 study. The accuracy of biomass models is inherently dependent on the accuracy of the raw
635 data, or in our case, the pseudo-observations.

636
637 The third source of uncertainty is related to the diameter distributions because biomass
638 predictions are most precise near the mean stem diameter that was used to fit the equation
639 (van Breugel *et al.*, 2011). If the equation is applied to a population with a different diameter
640 distribution, then the precision will be reduced (Snowdon *et al.*, 2002; van Breugel *et al.*,
641 2011). That is, the uncertainty in the predictions depends on the characteristics of the
642 population where the biomass equations are applied and not only on the sample size and
643 characteristics of the population used to develop the equation (Roxburgh *et al.*, 2015).
644 Therefore, when deciding which trees to sample for biomass, it is pertinent to consider the
645 diameter distribution of the population where the final equation will be applied (Roxburgh *et*
646 *al.*, 2015). While the diameter distributions of the pseudo-observations are shown in Figure
647 1h, and could also be calculated for each species-component using the data in Table A.2 of
648 Forrester *et al.* (in review), the studies where the equations were obtained rarely described the
649 diameter distributions of their sample trees or of the population where the equations were
650 expected to be applied.

651
652 The MSE_{real} of the general models, which were developed after incorporating the error of the
653 published equations (e.g., Figure 2) were probably overestimates of the error. This is because
654 many studies did not provide information about age, basal area or trees per ha. Therefore, the
655 variability in biomass resulting from those variables could not be partitioned to those
656 variables in the fitted equations, thereby inflating their MSE_{real} . However, even when the

657 stand variables are provided, they are means for the whole stand and are therefore not specific
658 to individual sample trees. In reality, within-stand variability probably results in individual
659 trees experiencing different neighbourhood basal areas, trees per ha and species compositions.
660 Therefore, the MSE_{real} in Tables A.5 and A.6 of Forrester *et al.* (in review) are provided only
661 as estimates of the model errors in case they are required for calculating the prediction errors.
662 To improve the MSE_{real} , and to account for within-stand variability, future studies may benefit
663 from using a neighbourhood index approach to quantify the structural variability within single
664 stands so that instead of assigning the same value of each structural variable to all sample
665 trees from a given stand, each tree is assigned the actual structural information for its
666 neighbourhood (e.g., Thorpe *et al.*, 2010; Forrester, 2015). This would also make better use of
667 the biomass data, which is typically very expensive and time consuming to collect relative to
668 the collection of neighbourhood index information.

669

670 4.4 Next steps

671

672 Vertical stand structure has been shown to be one of the most important variables for
673 predicting live-crown lengths in a range of European tree species (Forrester *et al.*, in press)
674 and may also be worth considering when predicting leaf mass or leaf area. Vertical structure
675 can be quantified using the relative height, calculated as the height of the sample tree divided
676 by the mean height of the stand (or neighbourhood). In the same study the stand basal area
677 variable was weighted by the wood density of the species within the plots while assuming that
678 the wood density approximated any differences in the species competitive abilities (Forrester
679 *et al.*, in press). This was done so that the equations could be applied to stands with
680 contrasting species compositions without directly including the species composition in the
681 equations. A similar approach may be required for biomass equations if it is found that
682 species interactions influence biomass relationships (e.g., Laclau *et al.*, 2008) and those

683 effects of species interactions are not adequately explained using stand variables such as
684 relative height, basal area and trees per ha.

685

686 Alternatively, instead of using stand variables, a single tree variable could be used that is
687 influenced by climate, species mixing and all stand structural characteristics. For example,
688 crown diameter and height were found to be adequate for predicting biomass for a wide range
689 of species from a wide range of forest types (Jucker *et al.*, 2017) and other studies have also
690 found that crown characteristics were good for predicting leaf area (Ledermann and
691 Neumann, 2006). The reason for the strong correlations between crown dimensions and tree
692 biomass are that crown dimensions are sensitive to many stand structural and climatic
693 characteristics and can therefore potentially replace all of those variables in biomass
694 equations. Also, the crown and height variables probably reflect the past growing conditions
695 experienced by the tree better than the current stand structural conditions. Unfortunately,
696 crown dimensions are time consuming to measure directly, are measured in many different
697 ways and are rarely available for all trees in a stand, which prevents them from being a
698 current practical solution. However, this may change in future as methods such as terrestrial
699 laser scanning (Seidel *et al.*, 2011) and remote sensing of crowns and heights becomes more
700 practical. Therefore, while there are increasingly more variables being added to equations
701 (Henry *et al.*, 2011), we suggest that these should be variables known to be sensitive to stand
702 structure.

703

704 It is worth considering that the more independent variables an equation contains, the higher
705 the probability that it cannot be used in other studies because some of those independent
706 variables are unavailable. Many of the studies reviewed provided only the “optimal” equation,
707 although the combination of independent variables in those equations varied between species
708 or studies. Therefore, this study focused on relatively simple equations (Equations 3-21) and

709 all equations for the given species and component that were valid are provided (not only the
710 best equations) so that potential users can select the equations based on their available data
711 and objectives.

712

713 In conclusion, there was considerable inter- and intra-specific variability in biomass
714 relationships. Intra-specific variability could be explained using age or stand characteristics
715 while the inter-specific variability was related to traits such as wood density, specific leaf area
716 and shade tolerance. Using this information, regional biomass equations were developed for
717 27 species and several species groups that summarise much of the information about
718 European biomass equations in the literature, and that can be used in a wider range of forest
719 types than the individual site-specific equations in the publications. However, while nearly
720 1000 equations or raw datasets were found for the species in this study, many individual
721 species were not well represented. This was in terms of the range of ages or stand conditions
722 where the data was collected, but it can also be illustrated by considering the number of
723 equations per land area where the given species occurs (Table 1). Based on this calculation,
724 many of the species with a high number of equations (*P. sylvestris*, *F. sylvatica*, *P. abies*)
725 have a low value (< 10 equations per land area) because they are so widely distributed. Our
726 data set has been provided as Supplementary information with this study (Table A.2 in
727 Forrester *et al.*, in review) so that regional species-specific or generic equations can be refined
728 for specific regions or any other purposes that have not been considered in this study. While
729 this dataset can easily be updated as new equations are published, a much more valuable
730 database could be developed by adding more of the historic raw data on which the original
731 published equations were based, as currently done in the US (Weiskittel *et al.*, 2015) and
732 elsewhere (Falster *et al.*, 2015), and by targeting new biomass sampling to fill in the gaps of
733 the database in terms of the distributions of independent variables (diameter, stand structural

734 variables etc.), species representation relative to their abundance and sample distributions that
735 are as close as possible to the diameter distributions in the target stands.

736

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738

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748

749 **6. References**

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Table 1. The number of published equations or data sets found for each component.

Species	Equations per species distribution*	Number of equations/raw data sets								
		Foliage mass or leaf area	Live branch	Dead branch	Live & dead branch	Stem	Stem & branch	Aboveground	Root	Total***
<i>Abies alba</i>	6.7	1				3	1	4	1	0/10
<i>Acer pseudoplatanus</i>	(>110)	3			5	5	7	1	1	1/21
<i>Alnus glutinosa</i>	48.6	3			3	4	3	4	1	0/18
<i>Alnus incana</i>	(>100)	4			4	3	4	4	1	0/20
<i>Betula pendula</i>	8.7	2			5	5	5	3		6/14
<i>Betula pubescens</i>	5.4	3	1	1	4	4	4	8	1	0/26
<i>B. pendula</i> or <i>B. pubescens</i> **	10.1	7	3	3	13	13	17	13	3	12/60
<i>Carpinus betulus</i>	14	1			4	3	4	1	1	0/14
<i>Castanea sativa</i>	27.3	4			5	6	5	9	1	0/30
<i>Fagus sylvatica</i>	9.9	10	2	1	13	12	14	9	10	3/68
<i>Fraxinus excelsior</i>	58.7	7			7	7	10	4	2	3/34
<i>Larix decidua</i>	36.1	4	3	3	5	5	4	5	1	0/30
<i>Picea abies</i>	6	28	12	12	19	15	16	18	7	16/111
<i>Pinus cembra</i>	(>10.0)							2		0/2
<i>Pinus nigra</i>	8.6	2			2	3	1	5	2	3/12
<i>Pinus pinaster</i>	25	14	1	1	10	14	6	14	5	6/59
<i>Pinus sylvestris</i>	3.5	22	7	7	16	15	18	15	9	2/107
<i>Populus alba</i>	(>30)	1			1	1	1	1	1	0/6
<i>Populus tremula</i>	25.4	3			3	4	3	3		0/16
<i>P. alba</i> , <i>P. tremula</i> and <i>P. tremula hybrids</i> **		5			10	11	11	6	1	12/31
<i>Prunus avium</i>	(>10)	2								0/2
<i>Prunus serotina</i>		1			2	2	3	1		0/9
<i>Pseudotsuga menziesii</i>	342.6	40	17	14	23	26	18	19	4	15/138
<i>Quercus ilex</i>	13.5	5			4	5	5	7	3	5/24
<i>Quercus petraea</i>	9.1				4	5	11		1	13/8
<i>Quercus robur</i>	9	3			6	6	8	2	2	13/14
<i>Q. petraea</i> or <i>Q. robur</i> **	11.3	3	1		13	13	24	2	4	27/33
<i>Robinia pseudoacacia</i>	51.9	3			6	6	5	4	3	0/27
<i>Sorbus aucuparia</i>	(>25)	1			1	1	1	1		0/5
<i>Tilia cordata</i> or <i>platyphyllas</i>	15					1	1	1		0/3

* The number of equations divided by the percentage of Europe's forest area where that species occurs (from Köble and Seufert, 2001). The numbers in parentheses are for species that had no area available in Köble and Seufert (2001), so their area was assumed to occupy < 0.2%, which would result in the numbers in parentheses.

**Several species from the same genera were grouped because some studies did not differentiate the species. For such groups, the data from each species was combined (not only the studies that did not differentiate the species).

***Number of raw data sets / number of equations.

Table 2. Characteristics of the species and the mean (minimum/maximum) characteristics of the stands where those equations or data sets were obtained.

Species	Wood density (g cm ⁻³)*	Shade tolerance*	Specific leaf area (m ² kg ⁻¹)**	Diameter (cm)	Age (years)	Basal area (m ² ha ⁻¹)	Trees per ha	Latitude (°)	Longitude (°)	Mean annual temperature (°C)##	Mean annual precipitation (mm)##
<i>Abies alba</i>	0.35	4.6	9.08	34 (5.7/80)	32.7 only			47.0 (42.7/56.0)	8 (0.8/15.7)	8.4 (6.3/11.3)	999 (718/1144)
<i>Acer pseudoplatanus</i>	0.51	3.73	19.51	8.4 (0.1/88.2)	13.4 (4.8/143.8)	20.4 (17.7/30.6)	21179 (8202/39870)	50.9 (45.6/56.0)	6.8 (-8.7/19.3)	7.6 (5.6/8.6)	810 (697/1287)
<i>Alnus glutinosa</i>	0.44	2.71	14.93	14.9 (1/47.3)	26.3 (11/49)	22.3 (12.2/32.5)	8756 (1114/16399)	56.1 (42.4/59.5)	11.9 (1.6/16)	6.5 (5.8/7.8)	712 (569/1011)
<i>Alnus incana</i>	0.44	2.3	14.93	9.3 (0.7/24.6)	19.5 (6.5/40)	27.6 (10.3/33.4)	13730 (1854/27719)	60.4 (57.3/61.8)	16.8 (10.8/26.1)	4.4 (2.9/6.7)	678 (630/753)
<i>Betula pendula</i>	0.53	2.03	9.84	7.7 (1/25.5)	12.4 (6.5/20)	14.9 (7.7/19.8)	13202 (466/22684)	57.8 (49.9/62.0)	12.1 (5.3/26.1)	6 (3/9.5)	717 (630/809)
<i>Betula pubescens</i>	0.53	1.85	9.84	4.8 (0.5/15)	9.2 (8/12)	14.6 (10.6/21.3)	28919 (3060/69527)	61.3 (52.0/69.7)	22.8 (10.4/27.3)	3.3 (-1.1/8.2)	576 (414/723)
<i>B. pendula</i> or <i>B. pubescens</i> #				8.5 (0.5/38)	17.5 (6.5/44)	15.5 (7.7/21.3)	20170 (466/69527)	60.7 (49.9/69.7)	17.7 (-3.1/27.3)	3.8 (-1.1/9.5)	659 (414/1287)
<i>Carpinus betulus</i>	0.71	3.97	19.83	24 (0.1/75)	14.9 (12/32)	23.3 (21.3/35.1)	7921 (168/15466)	45.5 (36.4/52.0)	26.5 (7.6/52)	9.7 (1.4/13.7)	672 (418/1523)
<i>Castanea sativa</i>	0.46	3.15	14.34	21.4 (1/64.2)	57.4 (12.3/100)	30.9 (28.4/43.2)	3002 (1597/3970)	40.7 (37.5/46.1)	-4.4 (-7.6/15)	12.3 (6.8/15.8)	740 (468/1275)
<i>Fagus sylvatica</i>	0.59	4.56	21.54	27.1 (0.5/84)	64.2 (8/145)	24.1 (2.9/73.8)	3726 (196/16815)	49.4 (42.3/56.0)	6.2 (-4.2/16.2)	8.9 (1.4/11.3)	766 (537/1523)
<i>Fraxinus excelsior</i>	0.56	2.66	17.08	7.7 (0.1/69.3)	12.2 (4.6/114.2)	21.4 (17.7/28.8)	17082 (1000/35620)	50.9 (45.2/59.5)	11.4 (-3.3/19.3)	8.3 (6.7/10.9)	802 (549/1325)
<i>Larix decidua</i>	0.47	1.46	12.18	30.4 (4/90.1)	24 (20/28)	28.6 (28.6/28.6)	2390 (2280/2500)	46.8 (43.9/50.6)	-12.6 (-91.9/15.7)	6.7 (2.9/11.3)	856 (744/1126)
<i>Picea abies</i>	0.37	4.45	5.76	22.8 (0.4/82)	47.9 (17/152)	34.9 (2.4/62)	4301 (308/17388)	54.1 (42.7/67.0)	1.2 (-91.9/26.7)	5.6 (-0.3/11.3)	769 (521/1280)
<i>Pinus cembra</i>	0.42	2.87	4.6	32.2 (7.7/56.3)				46.1 (46.1/46.1)	11.1 (11.1/11.1)	11.3 (11.3/11.3)	874 (874/874)
<i>Pinus nigra</i>	0.42	2.1	***	29.5 (6/77.3)	21.1 (13/62)	39.9 (39.9/39.9)	2500 (2500/2500)	41.9 (40.6/51.2)	20.6 (-1.6/33.7)	10.9 (9.1/13.4)	727 (522/931)
<i>Pinus pinaster</i>	0.41	2.21	2.97	24.9 (1/64)	26.9 (7.6/64)	38.8 (17.1/66.7)	2183 (223/23614)	41.2 (32.8/44.7)	10.6 (-8.1/117)	12.8 (10/15.9)	818 (381/1298)
<i>Pinus sylvestris</i>	0.42	1.67	4.28	18.4 (1/76)	48.7 (10/165)	25.2 (16/37.6)	4645 (223/17388)	55.9 (41.2/69.3)	14.1 (-4.2/26.7)	6.2 (0.8/11.3)	702 (492/1340)
<i>Populus alba</i>	0.35	2.3	9.84	5.4 (0.1/10.7)	8 (8/8)			44.5 (44.5/44.5)	26.7 (26.7/26.7)	11 (11/11)	550 (550/550)
<i>Populus tremula</i>	0.37	2.22	9.84	11.3 (1/40)	18.4 (10/46)	32.6 (26.1/37.5)	9549 (1246/17805)	58.7 (51.2/61.0)	12.5 (5.3/17)	5.7 (3.1/9.5)	712 (622/809)
<i>P. alba</i> , <i>P. tremula</i> and <i>P. tremula</i> hybrids#				13.5 (0.1/44.7)	16.5 (7/46)	30.3 (10.6/37.5)	5834 (440/17805)	55.1 (44.5/61.0)	13.2 (7.5/26.4)	8 (5.1/11)	672 (550/920)
<i>Prunus avium</i>	0.47	3.33	12.43	5.5 (1/10)			167 (167/167)	43.8 (43.8/43.8)	1.7 (1.7/1.7)	12.9 (12.9/12.9)	724 (724/724)
<i>Prunus serotina</i>	0.68	2.46	***	23.7 (0.9/49.6)	31.9 (27.5/45)	37.7 (37.7/37.7)		43.9 (39.6/45.3)	-13.3 (-80/8.9)	12.4 (10.9/12.9)	1012 (993/1069)
<i>Pseudotsuga menziesii</i>	0.43	2.78	5.98	26.6 (1/163)	40.2 (10/262)	46.4 (0.5/75)	3893 (235/12000)	46.1 (35.3/56.0)	-108.6 (-123.9/11.7)	7.7 (4.2/10.9)	1121 (347/2315)
<i>Quercus ilex</i>	0.82	3.02	4.83	17.9 (1/90)	78.7 (31/133)	27.2 (16.3/38.8)	6271 (1814/10865)	37.9 (32.9/43.7)	3.6 (-5.4/15)	16.2 (8.2/21.7)	456 (59/760)
<i>Quercus petraea</i>	0.56	2.73	14.81	20.4 (3/77.1)	61 (20/198)	19.9 (7.7/35.1)	4523 (1419/10700)	51.5 (48.7/54.4)	3 (-3.1/8)	7.7 (6.8/9.5)	987 (745/1287)
<i>Quercus robur</i>	0.56	2.45	14.81	29 (4/67.5)	75.2 (20/122)	32.4 (14.3/41.9)	1105 (375/6137)	46.6 (42.7/51.3)	-1.3 (-8/7.5)	10.3 (7.8/11.7)	953 (746/1284)
<i>Q. petraea</i> or <i>Q. robur</i> #				24 (1/77.1)	64.2 (10/198)	26.8 (7.7/41.9)	2235 (163/10700)	48.9 (42.7/54.4)	3 (-8/15.3)	8.9 (1.4/11.7)	936 (626/1523)
<i>Robinia pseudoacacia</i>	0.68	1.72	24.84	11.3 (0.1/40.1)	15.3 (8/27.5)	31.2 (24.8/37.7)	3551 (3551/3551)	43.1 (35.1/51.2)	32.3 (-83.4/109)	10.4 (8.6/12.9)	712 (462/1915)
<i>Sorbus aucuparia</i>	0.63	2.73	***	5.5 (1/10)	11 (11/11)			59.5 (59.5/59.5)	10.8 (10.8/10.8)	6.7 (6.7/6.7)	753 (753/753)
<i>Tilia cordata</i> or <i>platyphyllas</i>	0.42	4.18	22.11	26.6 (4/58.6)	73.6 (73.6/73.6)			34.6 (24.8/54.4)	8.1 (-3.1/13.7)	6.9 (6.8/6.9)	870 (662/1287)

*See Table B.3 for more information (Niinemets and Valladares, 2006; Zanne *et al.*, 2009).

**Means calculated from data in Table A.1 of Forrester *et al.* (in review)

*** Specific-leaf area data was not available for these species

#Several species from the same genera were grouped because some studies did not differentiate the species. For such groups, the data from each species was combined (not only the studies that did not differentiate the species).

The climate data was obtained from Hijmans *et al.* (2005).

Table 3. Mean β_1 of Equation 3 and its 95% confidence interval for all of the final equations in Table A.5 of Forrester *et al.* (in review).

Component	Mean (95% confidence interval)
Aboveground	2.41 (2.12 / 2.70)#
Stem and branch mass	2.37 (2.09 / 2.65)* #
Stem mass	2.39 (2.08 / 2.70) #
Live branch mass	2.15 (0.16 / 4.14)
Total branch mass	2.31 (1.72 / 2.89) #
Foliage mass	1.83 (1.13 / 2.53)* #
Root mass	2.27 (1.74 / 2.80) #

*interval does not contain the β_1 predicted by the metabolic scaling theory (2.67)

#interval does not contain the β_1 predicted by the geometric scaling theory (3)

Table 4. Mean values of statistics for Equation 3 (with only diameter as an explanatory variable) compared with all other equations, which also included stand and climatic variables (Equations 4-21). PRSE = percent relative standard errors (Equation 25), AIC = Akaike's information criterion, BIC = Bayesian information criterion, RMSE = square root of the mean square error (Equation 26), MAPE = mean absolute percentage error (Equation 27) and Bias = bias calculated using Equation 28.

Species	Equation	Mean AIC	Mean BIC	Mean RMSE	Mean MAPE	Mean Bias
All species	All except 3	539	570	0.264	22	11.4
	3	955	979	0.279	23.2	12.6
Broadleaved species	All except 3	497	525	0.296	34.1	23.6
	3	501	522	0.286	24.3	13.6
Coniferous species	All except 3	-134	-105	0.231	16.5	6.4
	3	227	250	0.259	20.8	9.8
<i>Abies alba</i>	3	-211	-200	0.085	5.4	-0.3
<i>Acer pseudoplatanus</i>	All except 3	54	70	0.284	20.3	1
	3	32	44	0.255	18.3	2.5
<i>Alnus glutinosa</i> or <i>Alnus incana</i>	All except 3	-64	-44	0.159	12.2	1.1
	3	131	148	0.223	18	6.6
<i>Alnus glutinosa</i>	All except 3	-92	-80	0.13	10.2	0.3
	3	-40	-29	0.204	16.8	4.5
<i>Alnus incana</i>	All except 3	-311	-298	0.047	3.7	-0.2
	3	-138	-127	0.135	9.6	-0.3
<i>B. pendula</i> or <i>B. pubescens</i>	All except 3	-41	-27	0.869	39.5	7.8
	3	-8	5	0.285	31.5	22.8
<i>Betula pendula</i>	All except 3	-140	-127	0.097	7.2	0.8
	3	-43	-33	0.2	17.9	7
<i>Betula pubescens</i>	All except 3	-213	-201	0.062	5.5	-0.9
	3	-195	-183	0.138	10.6	0.5
<i>Carpinus betulus</i>	All except 3	-144	-131	0.142	12.1	-3.1
	3	-59	-48	0.197	16.2	-2.8
<i>Castanea sativa</i>	All except 3	6	20	0.283	23.5	5.1
	3	12	25	0.257	17.5	-3.1
<i>Fagus sylvatica</i>	All except 3	-91	-73	0.306	36	21.6
	3	-56	-41	0.205	19	9.2
<i>Fraxinus excelsior</i>	All except 3	114	130	0.322	25.1	3.5
	3	157	170	0.313	25.6	-6.5
<i>Larix decidua</i>	All except 3	-137	-126	0.13	11.2	2.2
	3	-92	-80	0.181	13.5	1.2
<i>Picea abies</i>	All except 3	-87	-66	0.202	14.5	5.5
	3	-84	-67	0.219	15.9	7.1
<i>Pinus cembra</i>	3	-190	-181	0.05	4.2	0.6
<i>Pinus nigra</i>	3	-56	-47	0.134	8.4	0.5
<i>Pinus pinaster</i>	All except 3	-159	-140	0.168	13.2	6.2
	3	-105	-92	0.195	14.7	5.8
<i>Pinus sylvestris</i>	All except 3	-155	-133	0.195	14.9	6.1
	3	-58	-42	0.218	17	5.3
<i>P. alba</i> , <i>P. tremula</i> and <i>P. tremula</i> hybrids	All except 3	3	19	0.22	18.9	11.7
	3	-168	-155	0.147	12.6	6.9
<i>Prunus avium</i> or <i>Prunus serotina</i>	3	-51	-42	0.164	14.3	4.1
<i>Prunus avium</i>	3	-31	-22	0.16	13.3	1.4
<i>Prunus serotina</i>	3	-56	-47	0.17	15.8	7.4
<i>Pseudotsuga menziesii</i>	All except 3	-3	19	0.262	21.8	8.2
	3	92	109	0.262	21.7	7.2
<i>Quercus ilex</i>	All except 3	190	209	0.212	15.4	4
	3	96	109	0.203	15	1.8

<i>Quercus petraea</i>	All except 3	-355	-339	0.085	6.3	-0.6
	3	-162	-153	0.16	14	1.9
<i>Q. petraea</i> or <i>Q. robur</i>	All except 3	-122	-105	0.182	19.3	13.3
	3	-145	-133	0.157	14.3	5.7
<i>Quercus robur</i>	All except 3	-111	-98	0.128	10.1	5.5
	3	-73	-63	0.147	11.7	3.8
<i>Robinia pseudoacacia</i>	All except 3	-151	-138	0.097	8.2	3.8
	3	-84	-73	0.153	11.7	4.1

Figure captions

Figure 1. Frequency distributions of stand variables, including basal area (a), trees per ha (b), age (c) and latitude (d), as well as sample characteristics including the sample size (e), the minimum diameter (dbh) sampled (f), the maximum diameter sampled (g), the distribution of diameter pseudo-observations (h) and the mean square error (i). The frequency indicates the number of equations or raw data sets that included the value on the x-axis, except for (h) which includes all individual pseudo-observations or raw data points. This figure summarizes the data provided in Table A.2 of Forrester *et al.* (in review). In addition to the pseudo-observations, the raw data was also included when available. Note that for clarity, the x-axes do not extend to their maximum for (b) maximum = 70000, (e) maximum = 4213 and (h) maximum = 163.

Figure 2. The pseudo-observations calculated from four published equations for *Abies alba* predicting aboveground biomass (kg/tree) without (a) or with (b) the model error taken into account. The equation numbers in the legend refer to the equations in Table A.2 of Forrester *et al.* (in review). In the legends 1=(Fattorini *et al.*, 2004; Gasparini *et al.*, 2006), 2=(Nord-Larsen and Nielsen, 2015), 3=(Ruiz-Peinado *et al.*, 2011), 4=(Tabacchi *et al.*, 2011).

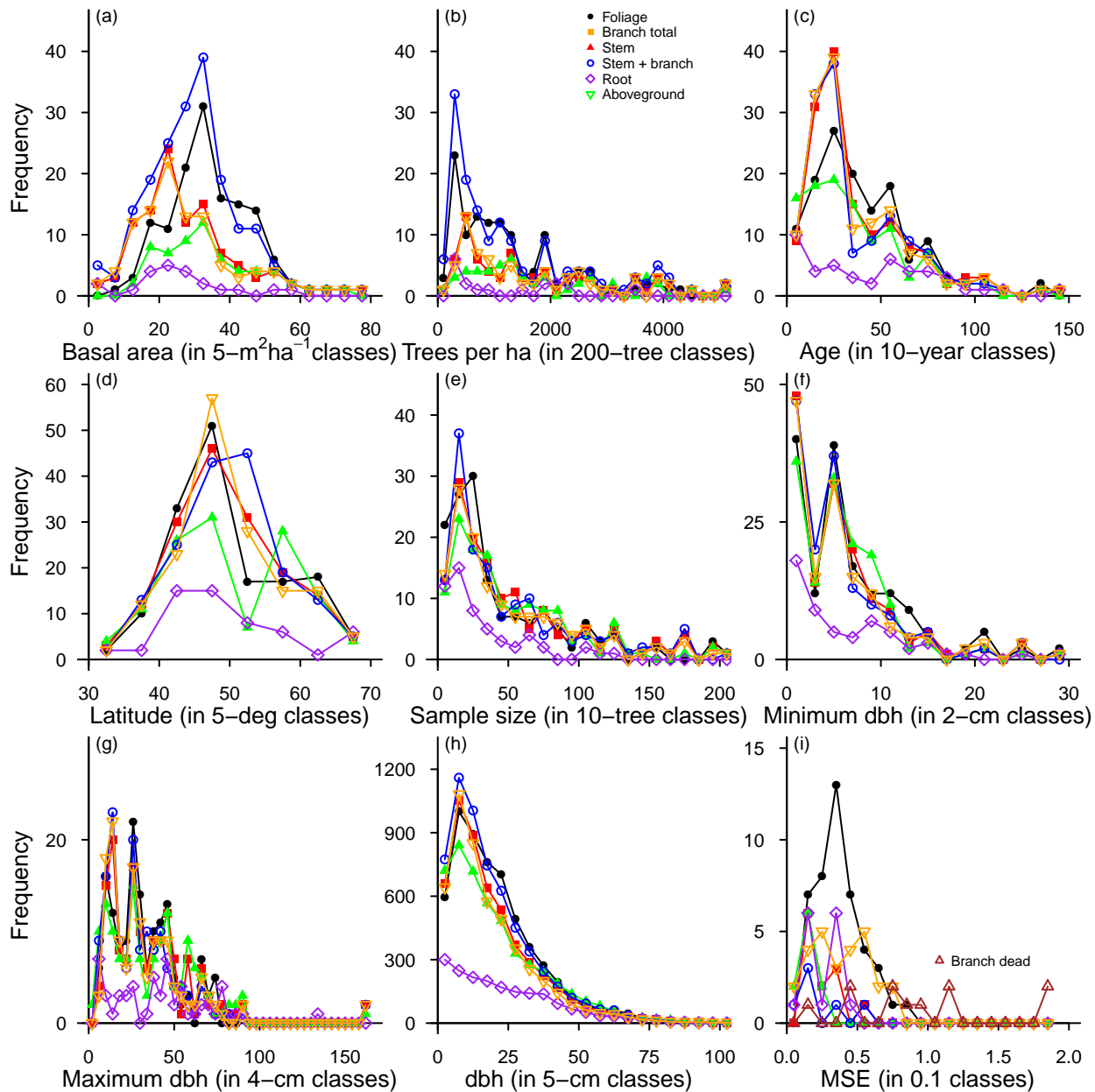
Figure 3. The pseudo-observations for individual tree foliage mass (a,b) and stem mass (c,d) for two contrasting species that were well represented in the data set, *Fagus sylvatica* (a,c, deciduous, broad-leaved) and *Pinus sylvestris* (b,d, evergreen conifer). The numbers in the legends represent the equation number in the database (Table A.2 in Forrester *et al.*, in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. One raw data set was excluded from (b) to improve clarity.

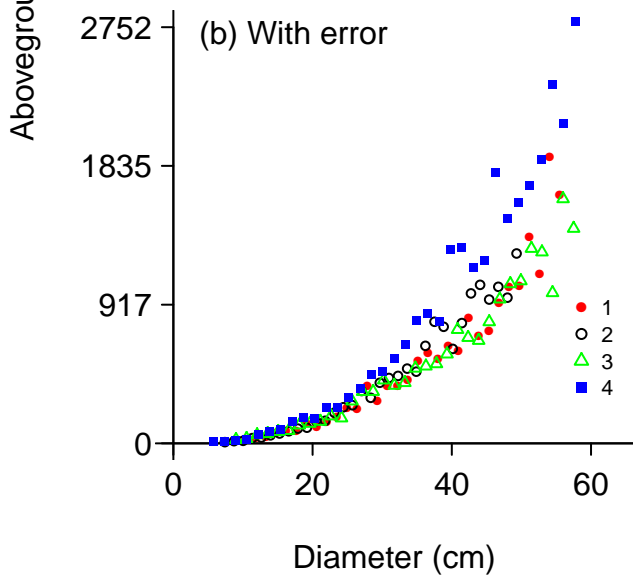
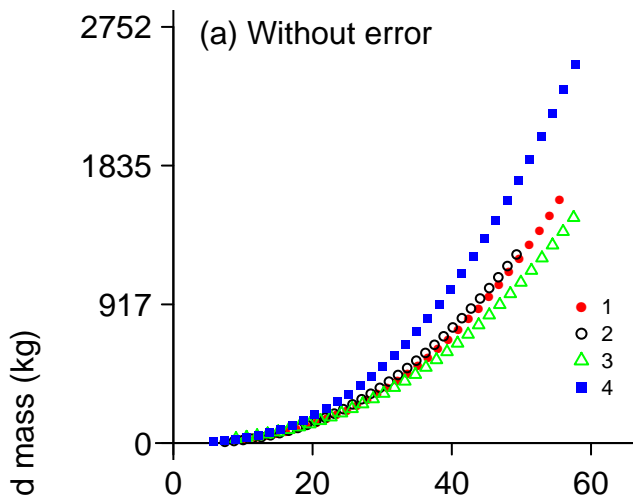
Figure 4. The relationships between foliage mass and diameter for various European species. The lines are Equation 3 fitted to all available data for the given species (Table A.2) and are provided in Table A.5 (Forrester *et al.*, in review). The dotted lines show the same relationships after dividing the data into broad-leaved species (dotted blue line), conifers (dotted orange line) or all species (dotted green line). The inset is the same relationship for species with small ranges of pseudo-observations.

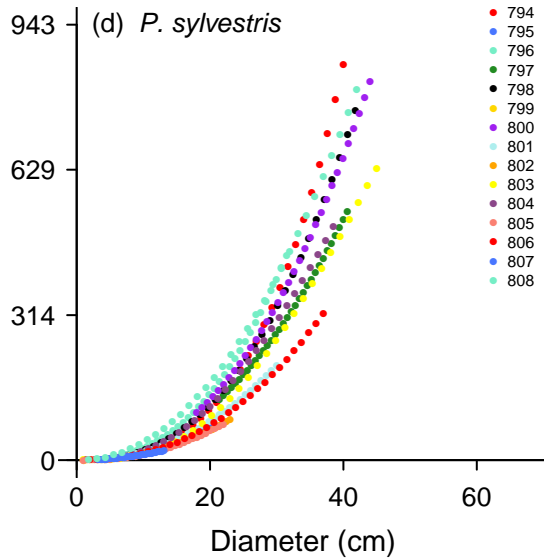
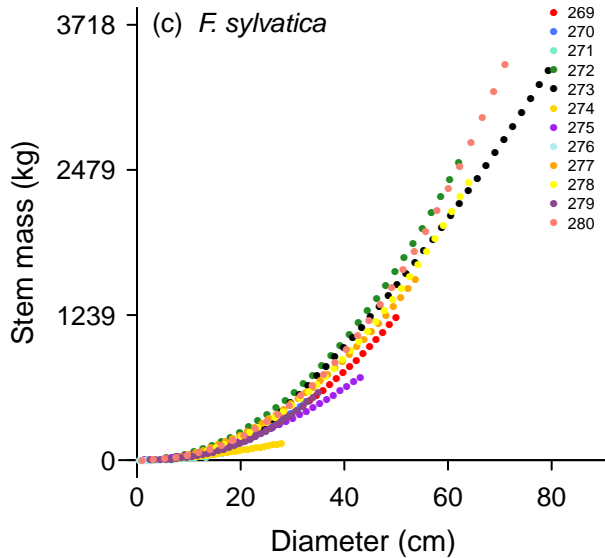
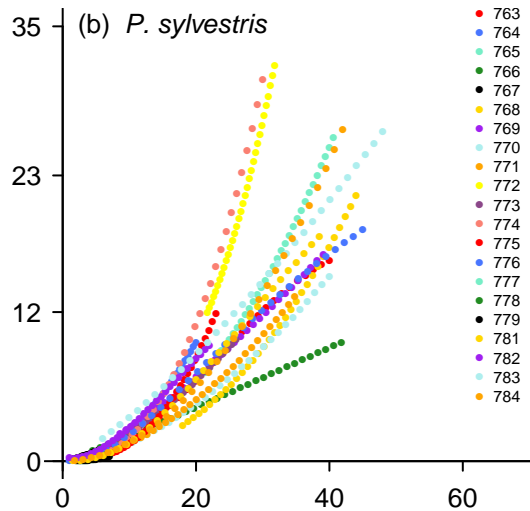
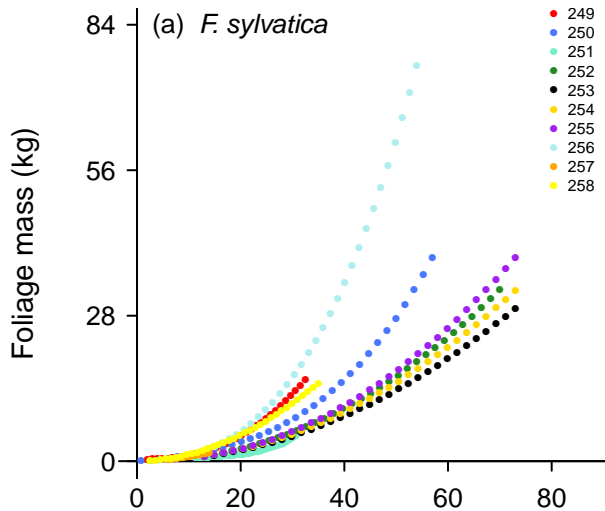
Figure 5. The relationships between stem mass and diameter for various European species. The lines are Equation 3 fitted to all available data for the given species (Table A.2) and are provided in Table A.5 (Forrester *et al.*, in review). The dotted lines show the same relationships after dividing the data into broad-leaved species (dotted blue line), conifers (dotted orange line) or all species (dotted green line). The inset is the same relationship for species with small ranges of pseudo-observations.

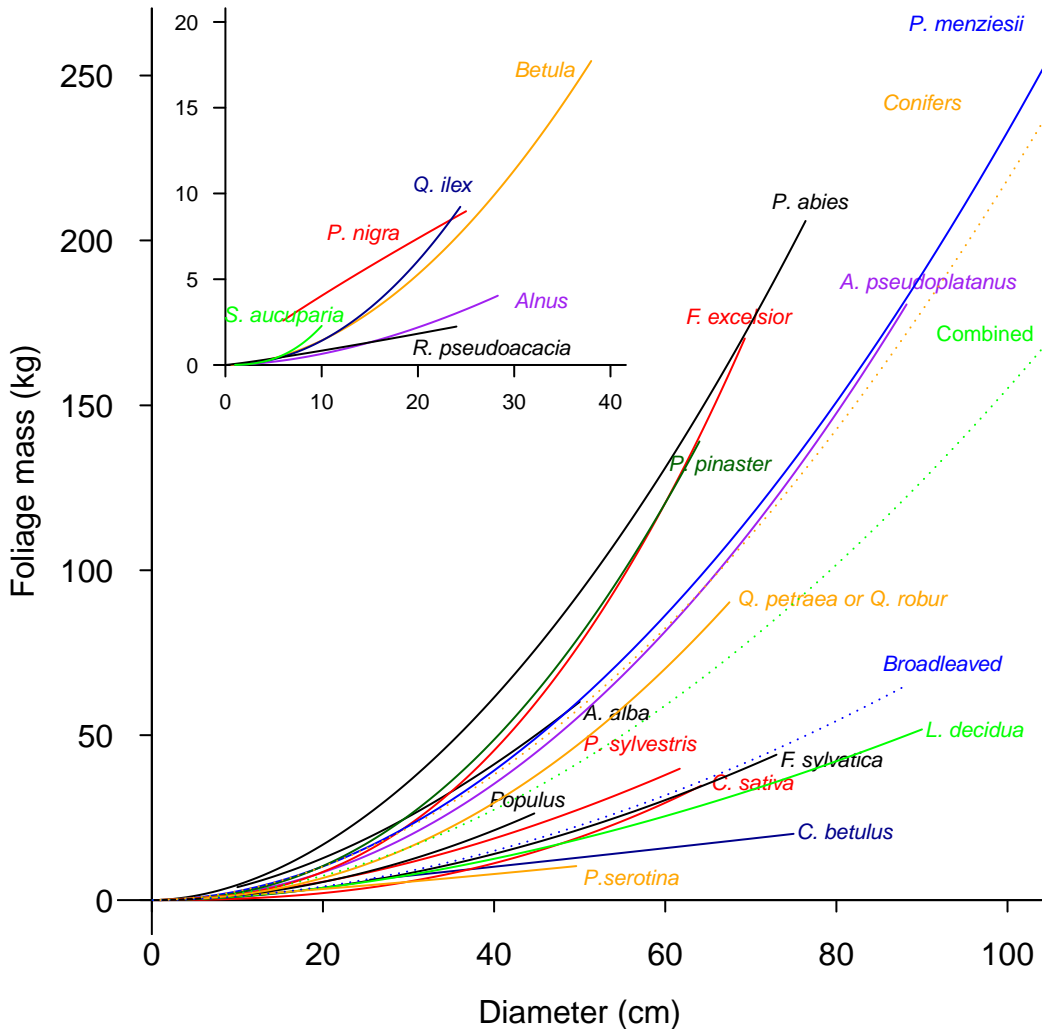
Figure 6. The effect sizes (beta weights) of independent variables used to predict foliage mass (a-c), stem mass (d-f) and root mass (g-i) using Equations 22-24. The effect sizes are quantified as the slopes of the variables on the x-axis, all of which have been standardised (mean = 0 and standard deviation = 1). “I-ns” indicates that there was no significant interaction between the given x-axis variable and the trait (specific leaf area (SLA), wood density, shade tolerance). “ns” indicates that the x-axis variable was not significant in the model. The statistical information is in Table A.6 (Forrester *et al.*, in review). For the trait levels, Low = Mean – 1 standard deviation, Mean = Mean of all species, High = Mean + 1 standard deviation.

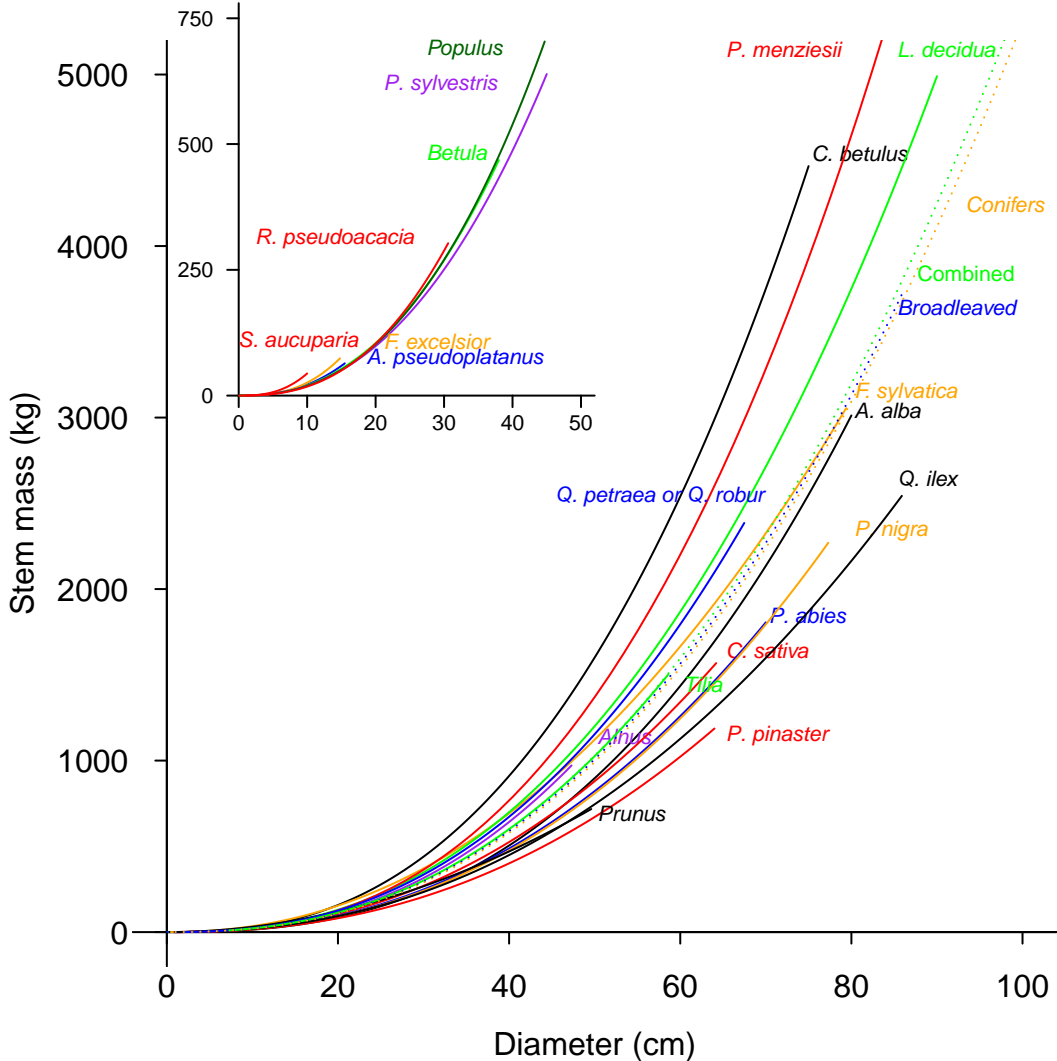
Figure 7. The effect sizes (beta weights) of all independent variables used to predict aboveground mass (a-c), stem + branch mass (d-f) and total branch mass (g-i) using Equations 22-24. The effect sizes are quantified as the slopes of the variables on the x-axis, all of which have been standardised (mean = 0 and standard deviation = 1). “I-ns” indicates that there was no significant interaction between the given x-axis variable and the trait (specific leaf area (SLA), wood density, shade tolerance). “ns” indicates that the x-axis variable was not significant in the model. The statistical information is in Table A.6 of Forrester *et al.* (in review). For the trait levels, Low = Mean – 1 standard deviation, Mean = Mean of all species, High = Mean + 1 standard deviation.

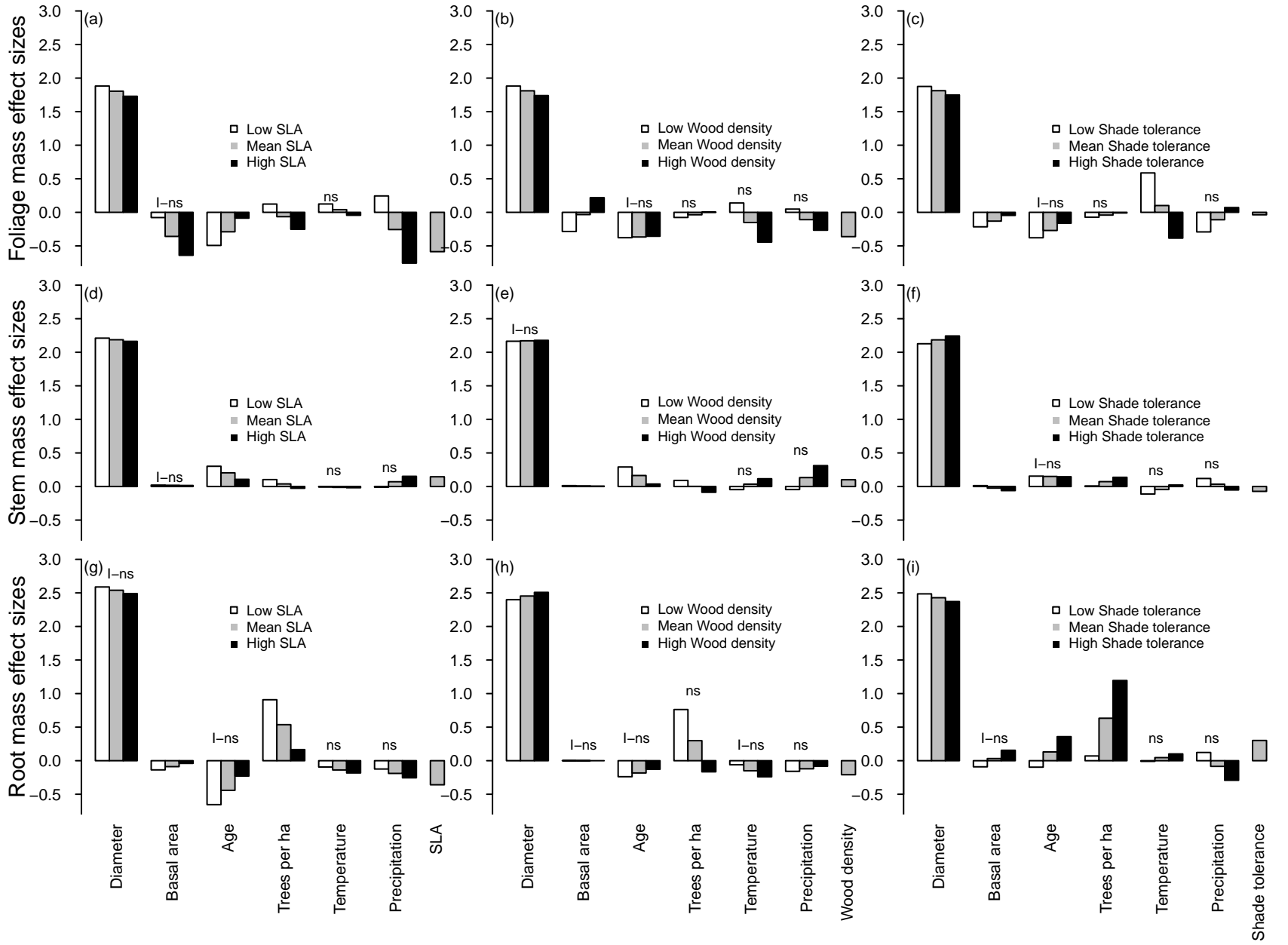


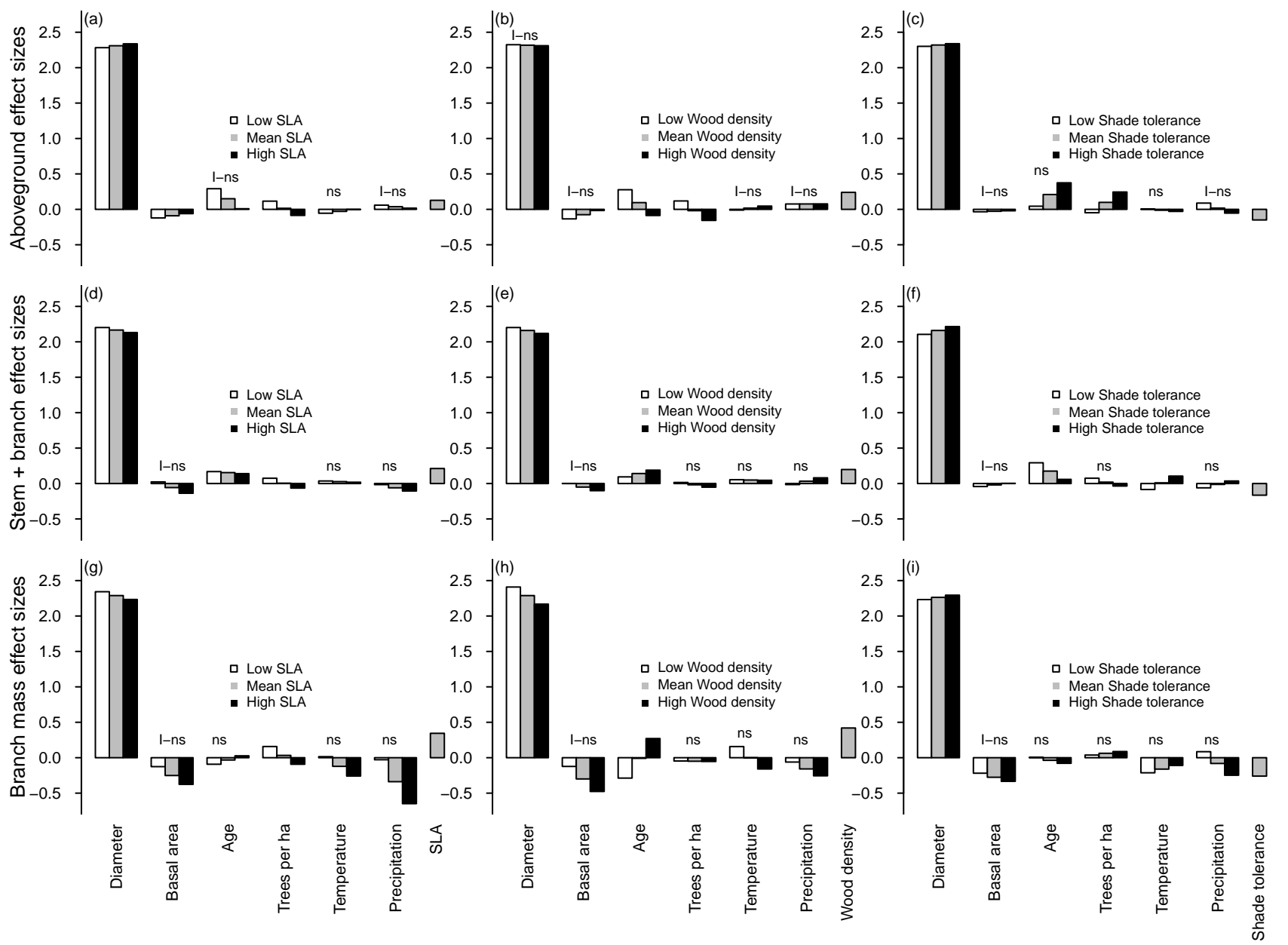












A database containing nearly 1000 European biomass equations was developed
Biomass and leaf area allometry were influenced by stand structure
Species traits were correlated with interspecific differences in responses to stand structure

Appendix B

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Biomass and leaf area allometric equations for European tree species incorporating stand structure, tree age and climate

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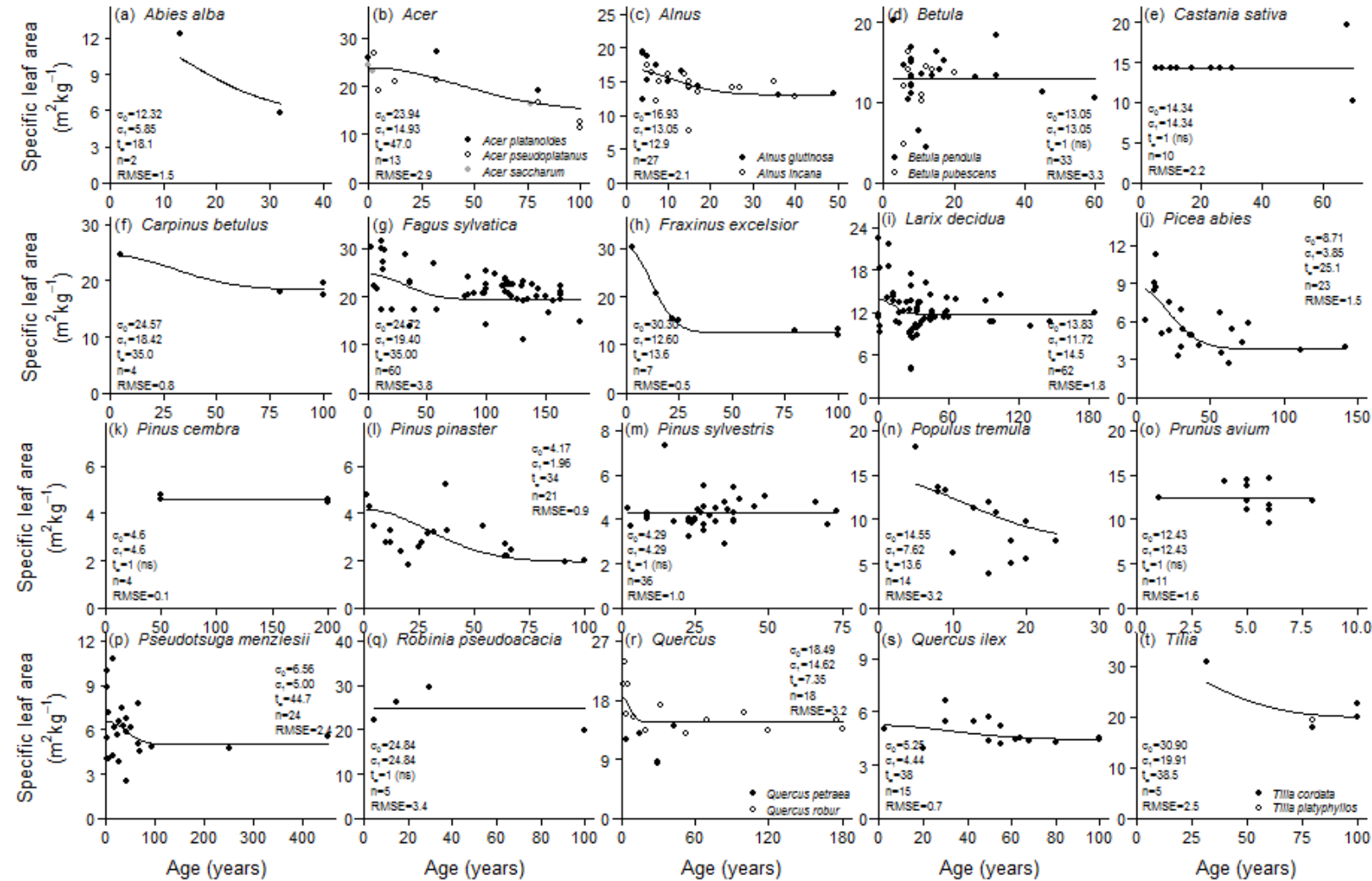


Figure B.1. Relationship between specific leaf area and age. Fitted lines and the parameters shown in each panel (σ_0 , σ_1 , t_σ ; see Equation 2) are based on Equation 2 and the data collected from the literature that is provided in Table A.1 in Forrester et al. (in review). n is the sample size and RMSE is the Root Mean Square Error (Equation 26).

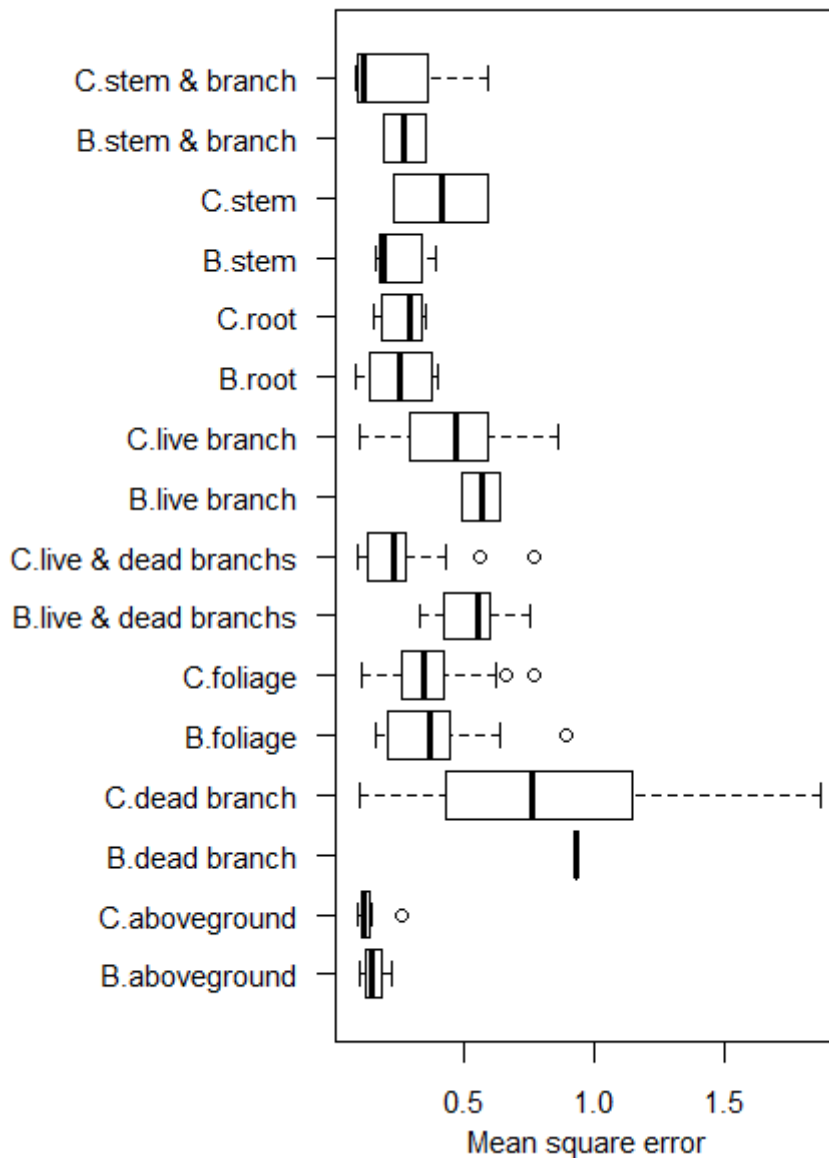


Figure B.2. Boxplot of the mean square error (MSE) of the published equations in Table A.2 in Forrester et al. (in review), when available. The MSE for all raw data sets were also calculated (and used in this figure) by fitting the raw data to Equation 3. On the y-axis C = conifer and B = broadleaved.

Biomass and leaf area allometric equations for European tree species incorporating stand structure, tree age and climate

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Table B.3. Shade tolerance, specific leaf area and wood density values for each species used in this study, and their sources.

Species	Shade tolerance (Niinemets and Valladares, 2006)	Specific leaf area (m ² kg ⁻¹) (from Table A.1 in Forrester et al., in review)	Wood density (g cm ⁻³)*	Source for wood density within Global wood density database*
<i>Abies alba</i>	4.6	9.08	0.353	(Brzeziecki and Kienast, 1994)
<i>Acer pseudoplatanus</i>	3.73	19.51	0.508	(Forestry Compendium; Brzeziecki and Kienast, 1994)
<i>Alnus glutinosa</i>	2.71	14.93	0.439	(Brzeziecki and Kienast, 1994)
<i>Alnus incana</i>	2.3	14.93	0.439	(Brzeziecki and Kienast, 1994)
<i>Betula pendula</i>	2.03	9.84	0.525	(Brzeziecki and Kienast, 1994)
<i>Betula pubescens</i>	1.85	9.84	0.525	(Brzeziecki and Kienast, 1994)
<i>Carpinus betulus</i>	3.97	19.83	0.706	(Brzeziecki and Kienast, 1994; Schütt et al., 1994)
<i>Castanea sativa</i>	3.15	14.34	0.463	(Gutierrez Oliva and Plaza Pulgar, 1967; Lavers et al., 1983; Rijdsdijk and Laming, 1994)
<i>Fagus sylvatica</i>	4.56	21.54	0.585	(Brzeziecki and Kienast, 1994)
<i>Fraxinus excelsior</i>	2.66	17.08	0.560	(Brzeziecki and Kienast, 1994)
<i>Larix decidua</i>	1.46	12.18	0.474	(Brzeziecki and Kienast, 1994)
<i>Picea abies</i>	4.45	5.76	0.370	(Brzeziecki and Kienast, 1994)
<i>Pinus cembra</i> **	2.87	4.6	0.417	
<i>Pinus nigra</i>	2.1	na	0.417	(Gutierrez Oliva and Plaza Pulgar, 1967; Lavers et al., 1983)
<i>Pinus pinaster</i> ***	2.21	2.97	0.412	(Gutierrez Oliva and Plaza Pulgar, 1967; Lavers et al., 1983)
<i>Pinus sylvestris</i>	1.67	4.28	0.422	(Brzeziecki and Kienast, 1994)
<i>Populus alba</i>	2.3	9.84	0.353	(Brzeziecki and Kienast, 1994)
<i>Populus tremula</i>	2.22	9.84	0.374	(Forestry Compendium; Brzeziecki and Kienast, 1994)
<i>Prunus avium</i>	3.33	12.43	0.474	(Brzeziecki and Kienast, 1994)
<i>Prunus serotina</i>	2.46	na	0.684	(Annighöfer et al., 2012)
<i>Pseudotsuga menziesii</i>	2.78	5.98	0.428	(Forestry Compendium; Alden, 1997)
<i>Quercus ilex</i>	3.02	4.83	0.820	(Gutierrez Oliva and Plaza Pulgar, 1967)
<i>Quercus petraea</i>	2.73	14.81	0.560	(Brzeziecki and Kienast, 1994)
<i>Quercus robur</i>	2.45	14.81	0.560	(Brzeziecki and Kienast, 1994)
<i>Robinia pseudoacacia</i>	1.72	24.84	0.675	(Cheng et al., 1992; Alden, 1995)
<i>Sorbus aucuparia</i>	2.73	na	0.629	(Brzeziecki and Kienast, 1994)
<i>Tilia cordata</i>	4.18	22.11	0.422	(Brzeziecki and Kienast, 1994)

*The sources for wood density values were obtained from the wood density data base (Zanne et al., 2009)

***P. cembra* was assumed to have the mean wood density of the other *Pinus* used in this study.

****P. pinaster* was assumed to have the mean shade tolerance of the other *Pinus* used in this study.

An “na” in the specific leaf area column indicates that specific leaf area data could not be obtained for these species.

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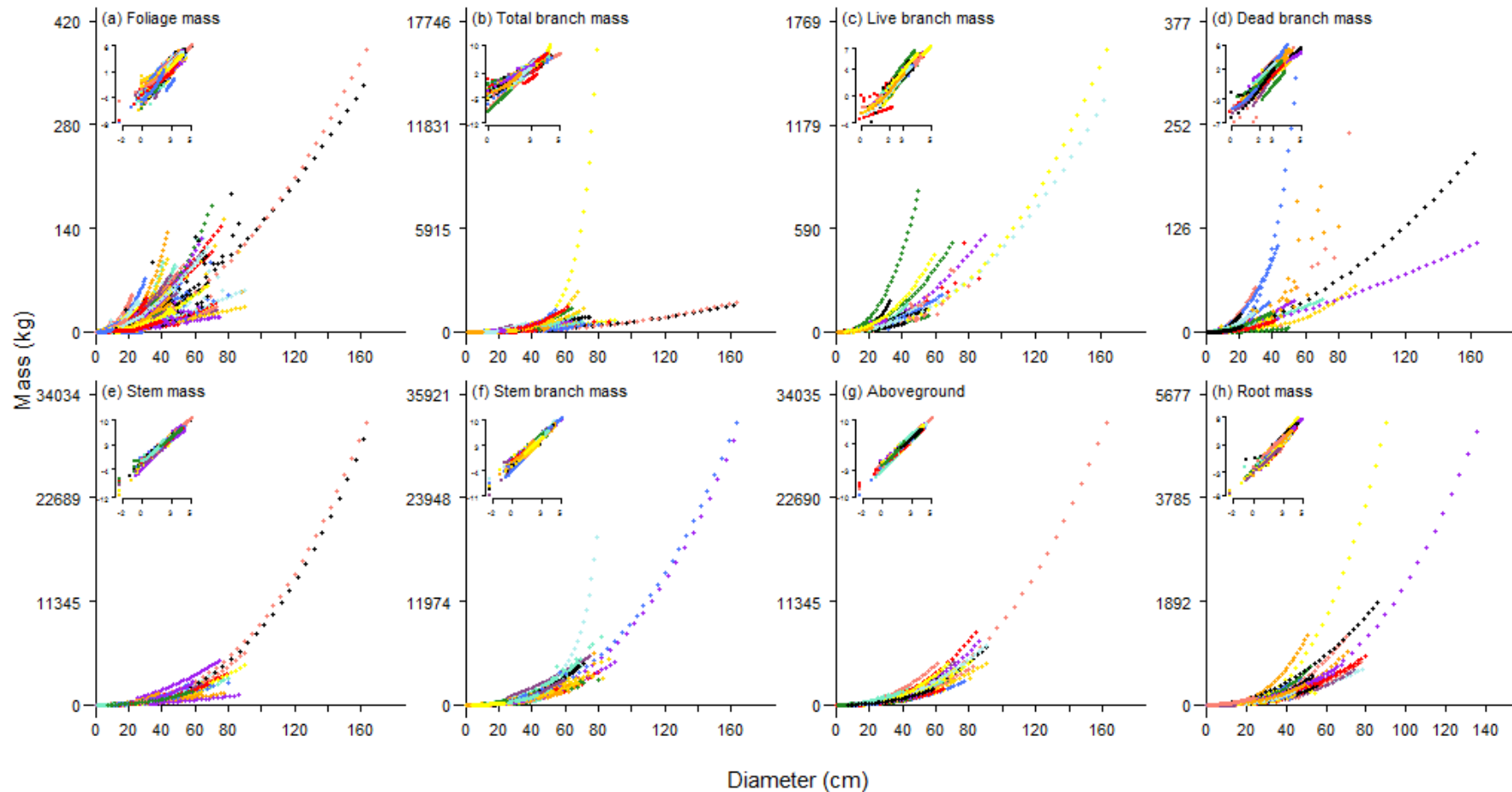


Figure B.3. The pseudo-observations for all species and all biomass components against stem diameter. The data is provided in the database (Table A.2 in Forrester et al. in review), which also identifies the sources of the published equations used to produce the pseudo-observations. Insets show the same data on the $\ln - \ln$ scale.

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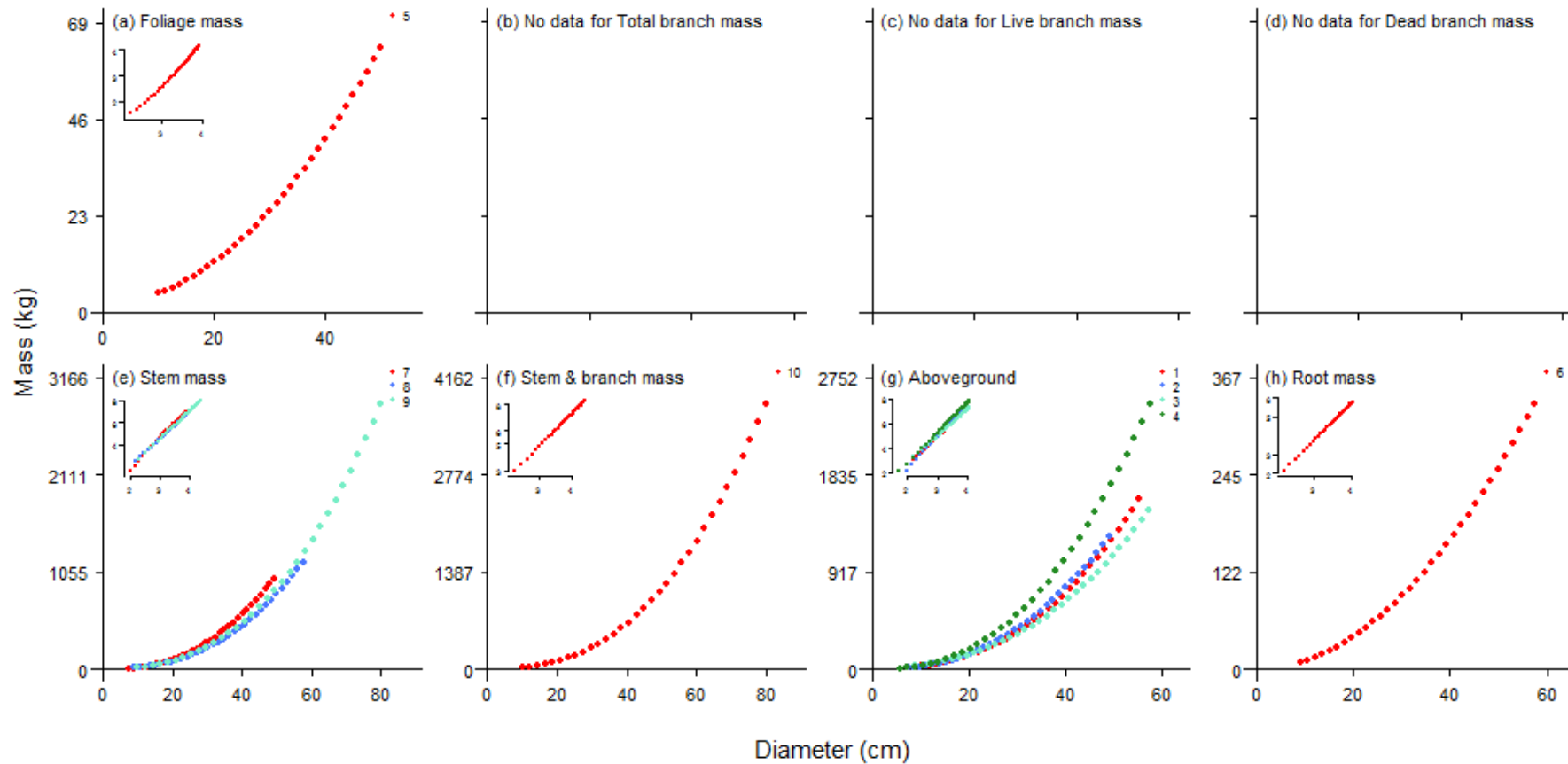


Figure B.4. The pseudo-observations for *Abies alba* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (b,c,d) indicate that no data were available.

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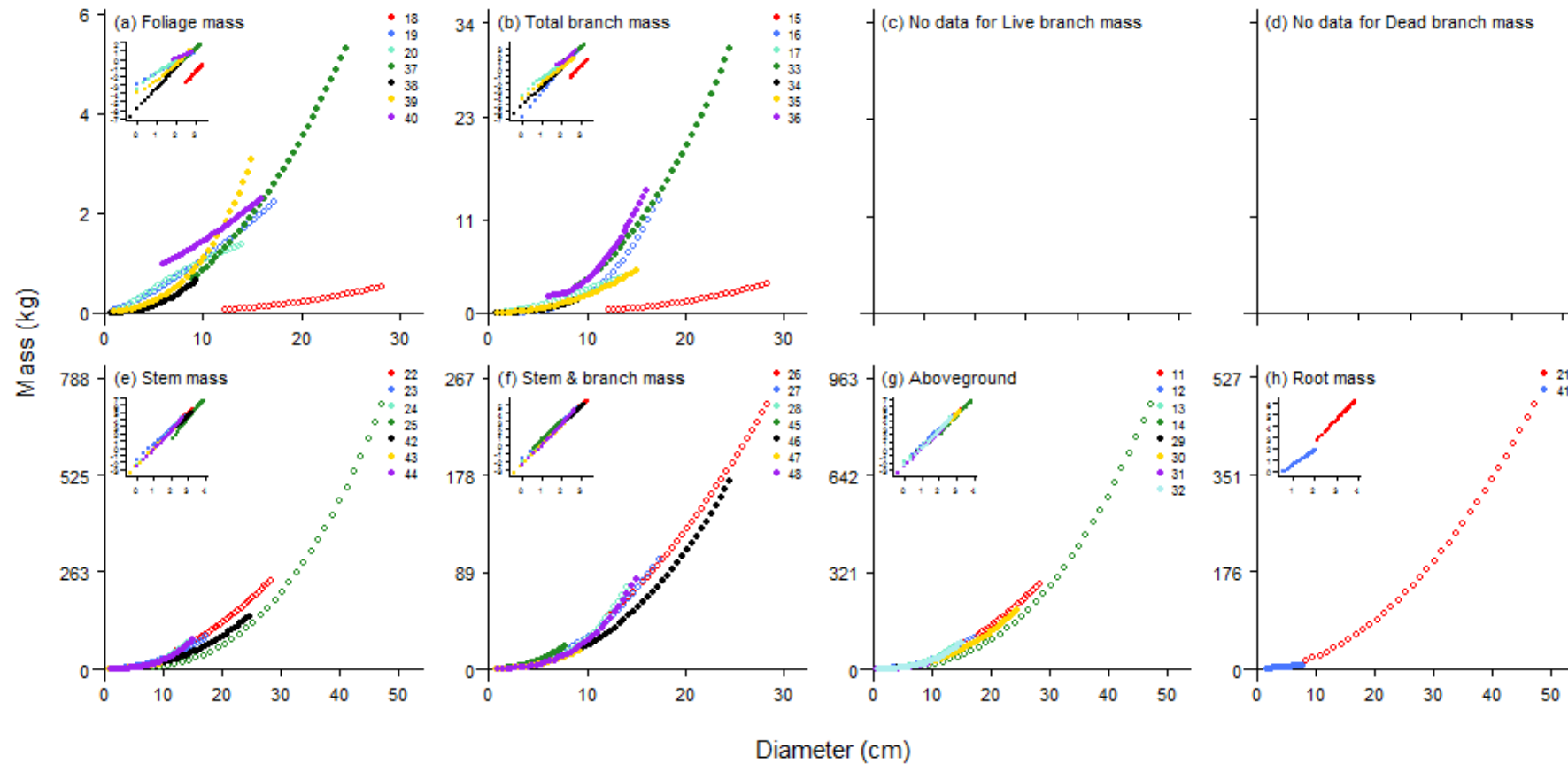


Figure B.5. The pseudo-observations for *Alnus glutinosa* (open circles) and *Alnus incana* (closed circles) biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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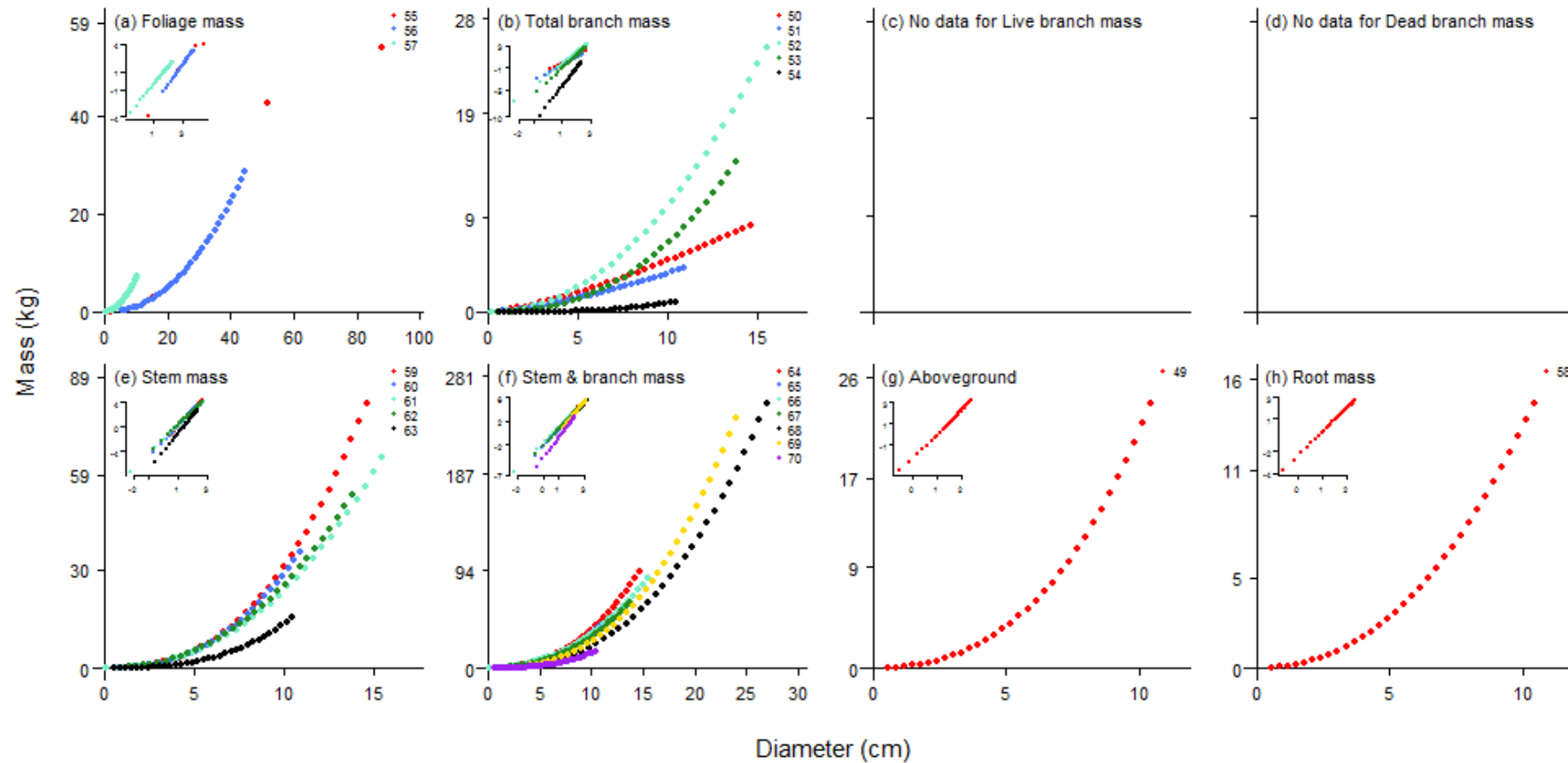


Figure B.6. The pseudo-observations for *Acer pseudoplatanus* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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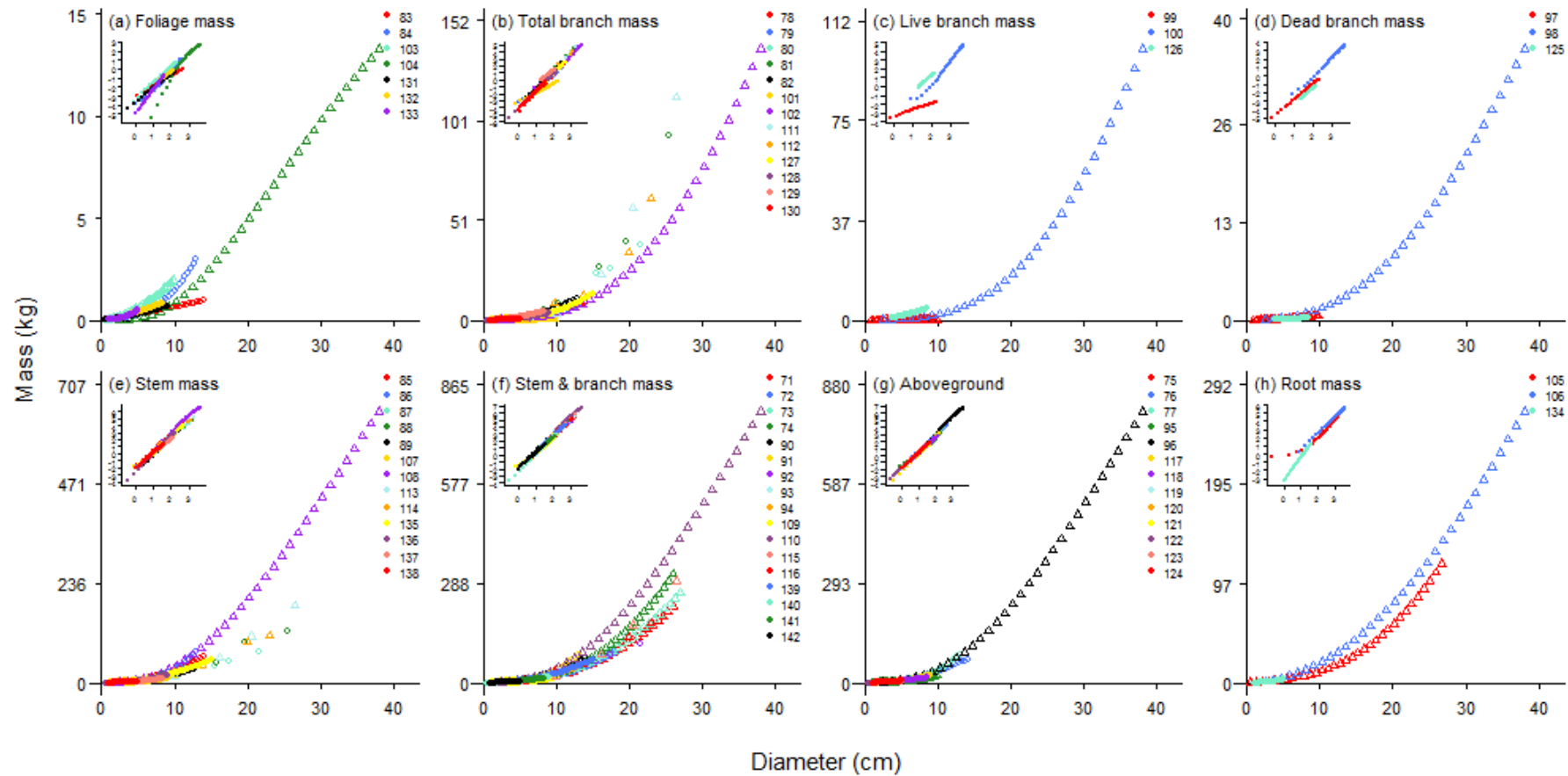


Figure B.7 The pseudo-observations for the biomass components of *Betula pendula* (open circles), *Betula pubescens* (closed circles) and sources that did not differentiate between these two species (triangles) plotted against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale.

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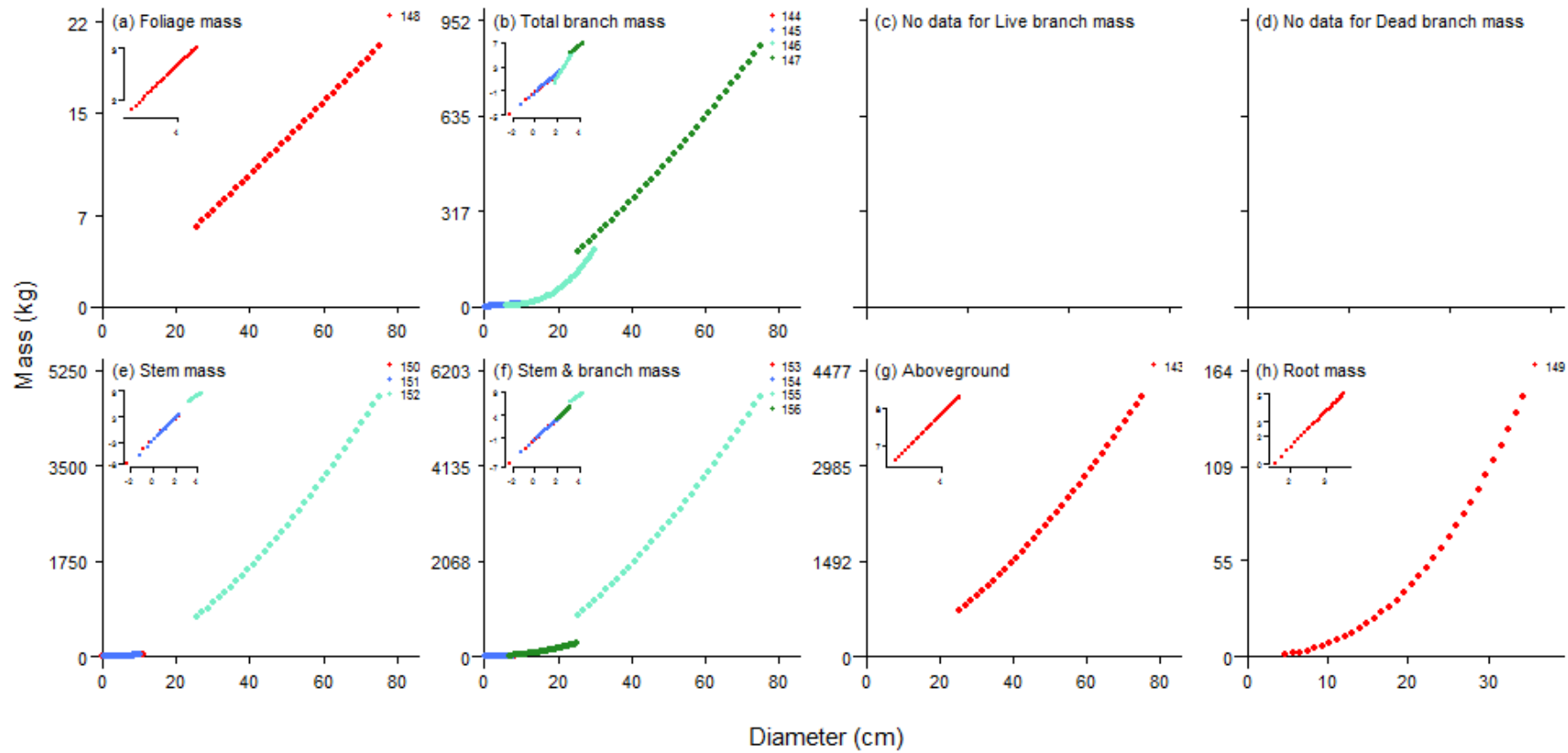


Figure B.8. The pseudo-observations for *Carpinus betulus* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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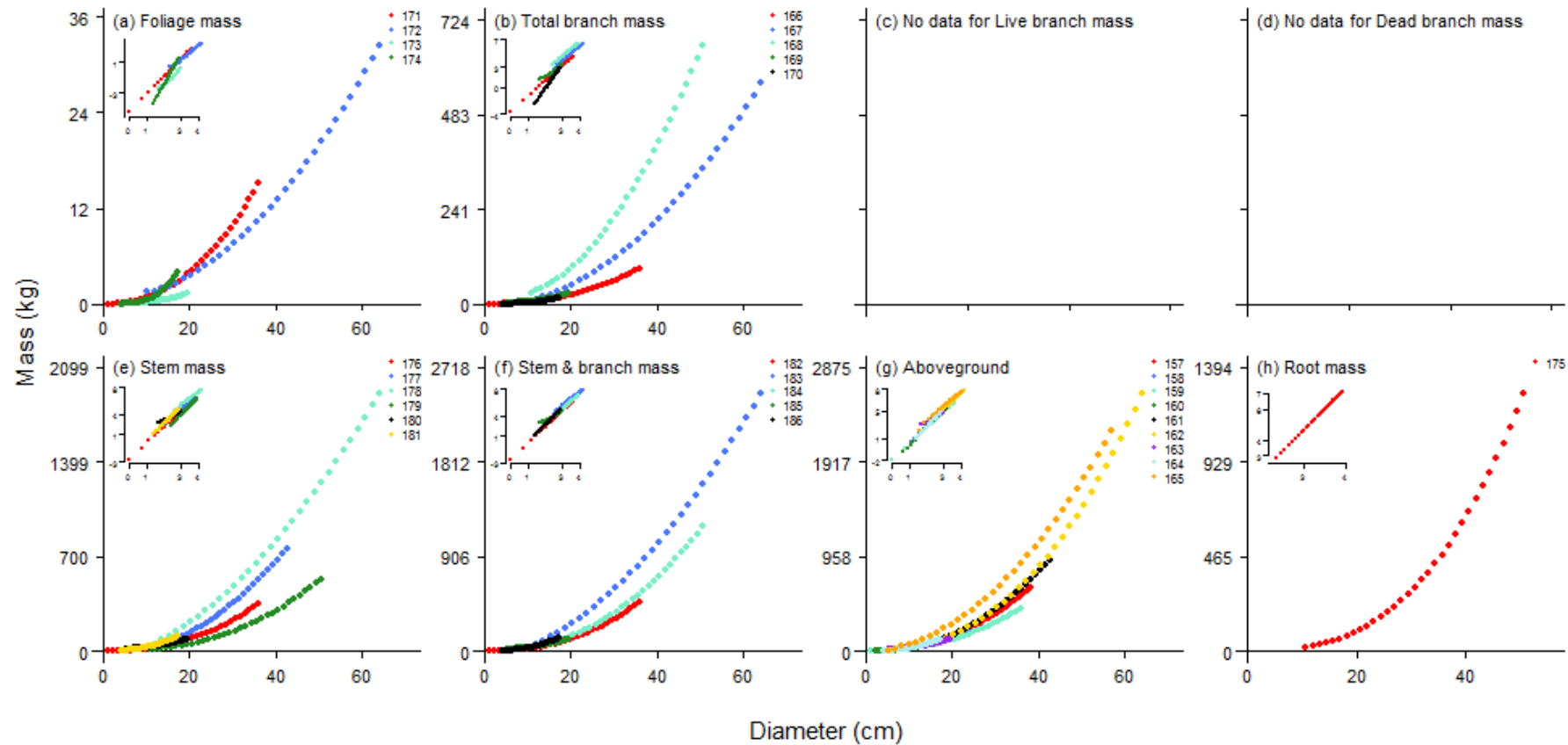


Figure B.9. The pseudo-observations for *Castania sativa* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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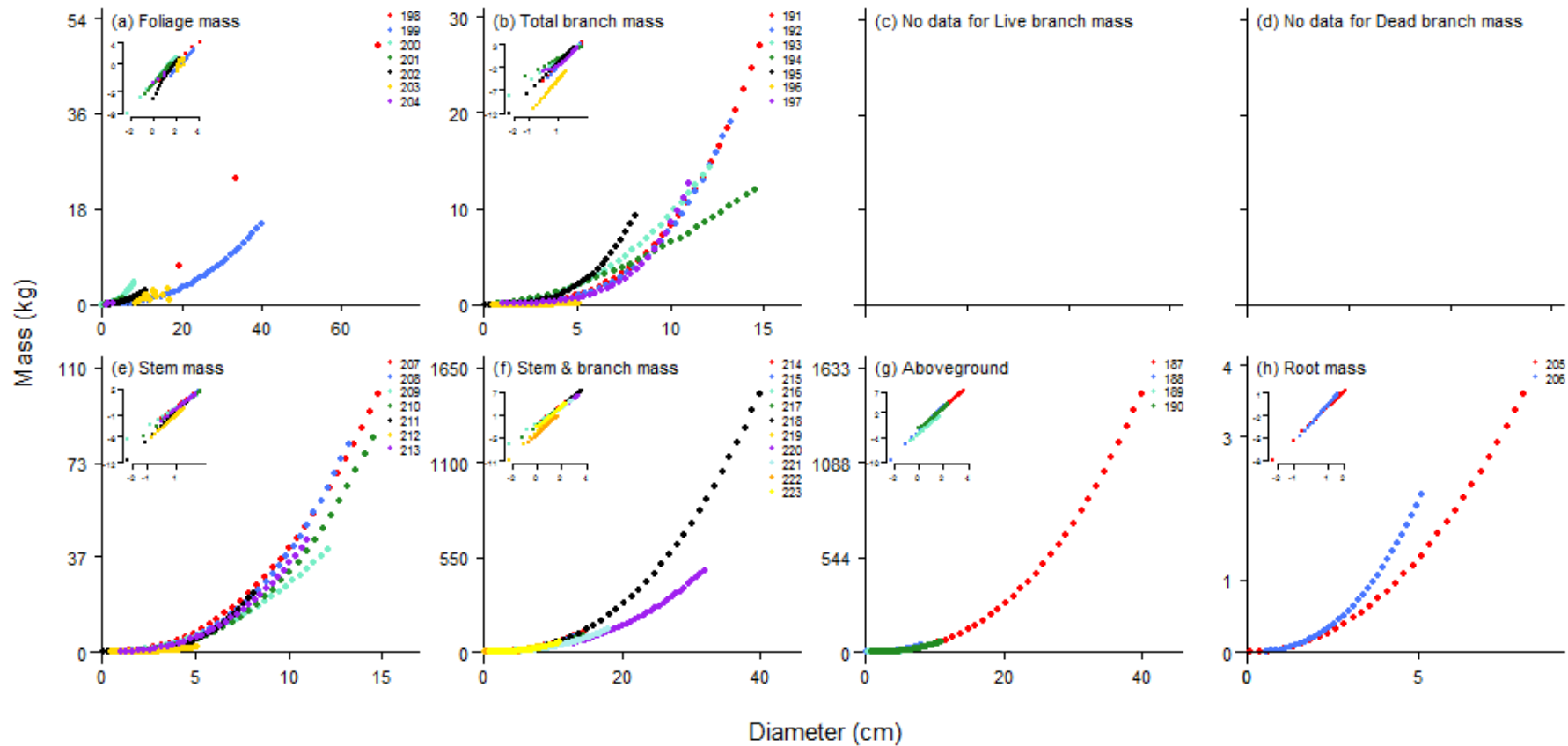


Figure B.10. The pseudo-observations for *Fraxinus excelsior* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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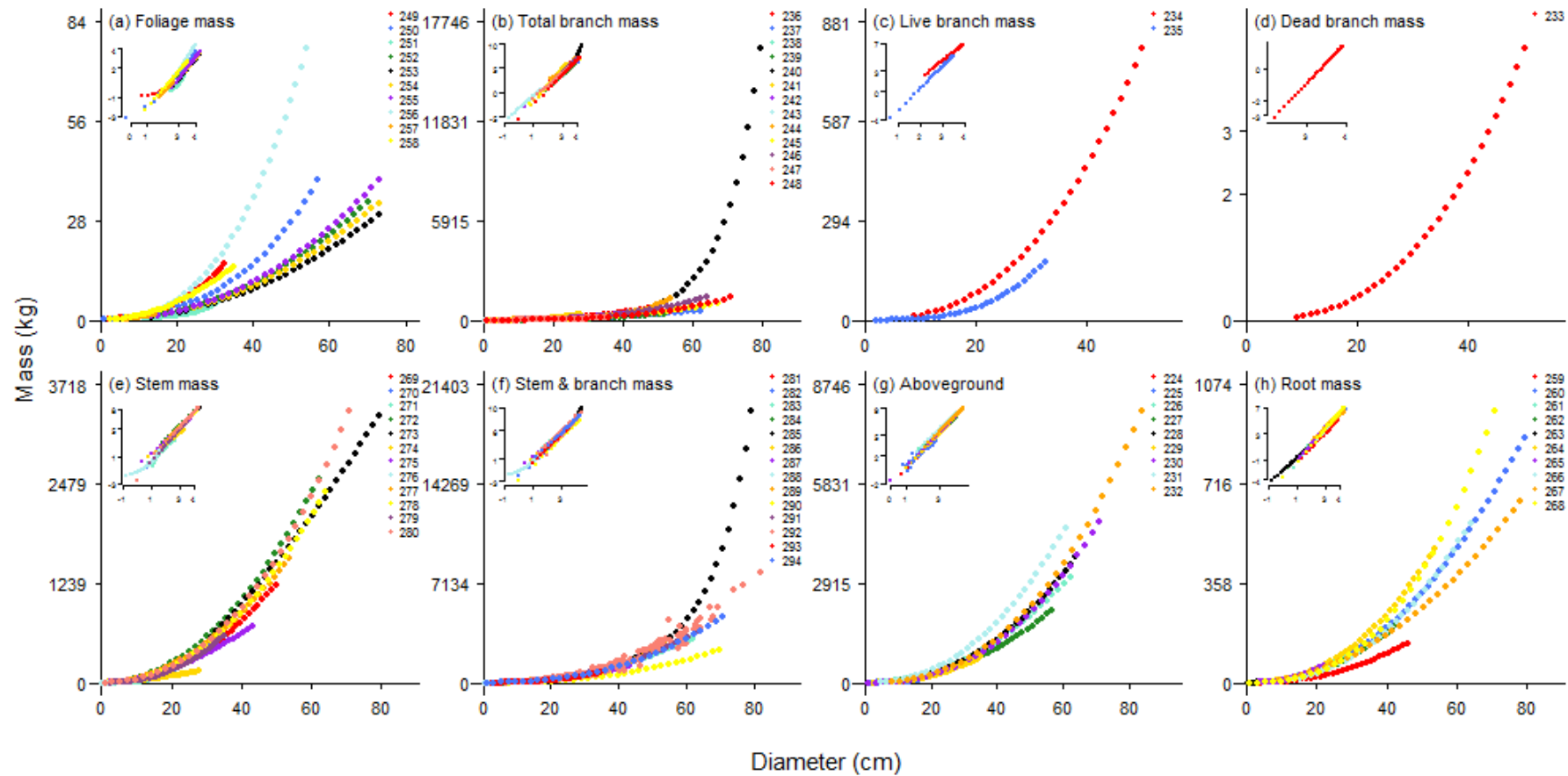


Figure B.11. The pseudo-observations for *Fagus sylvatica* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale.

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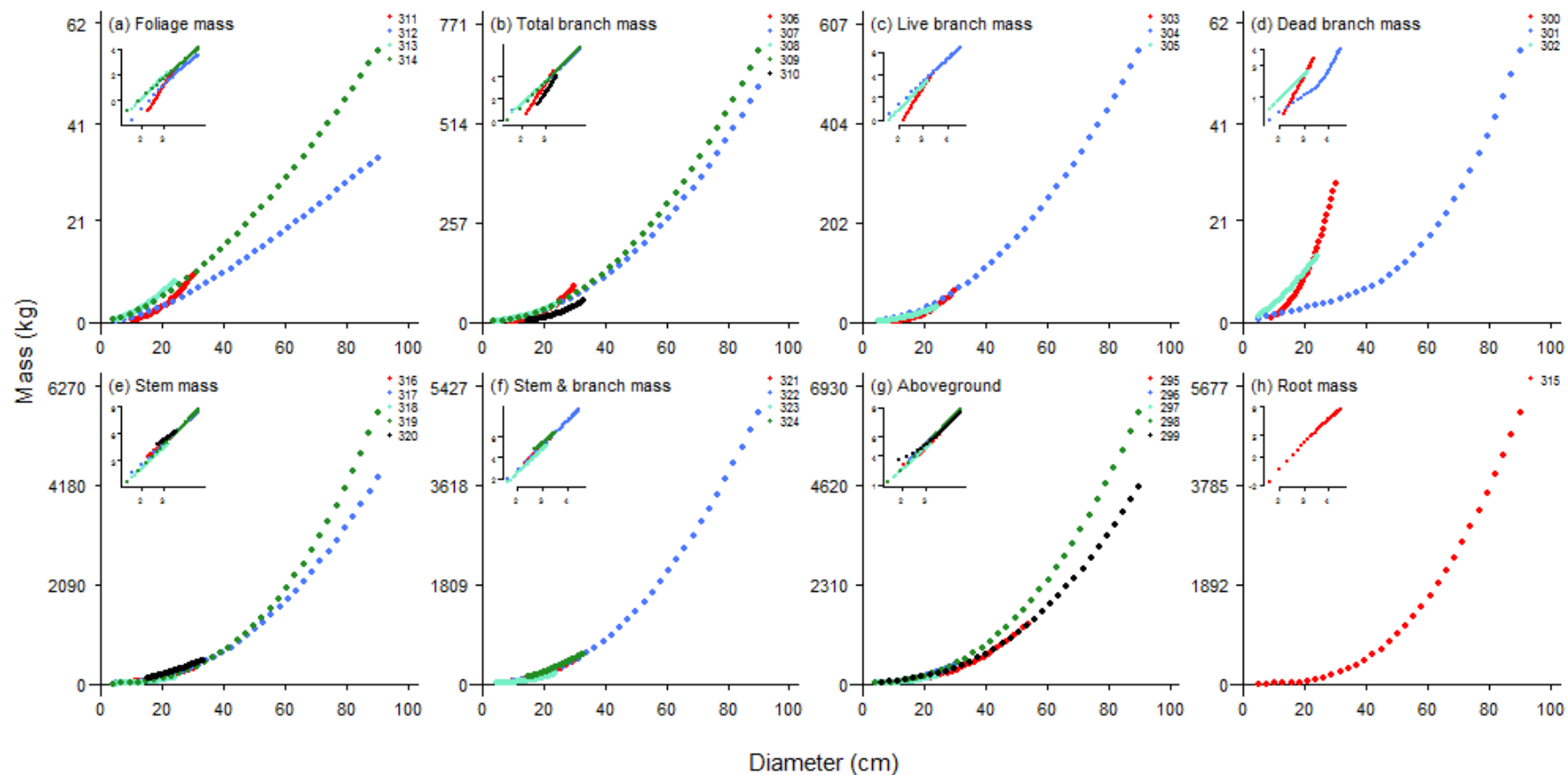


Figure B.12. The pseudo-observations for *Larix decidua* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale.

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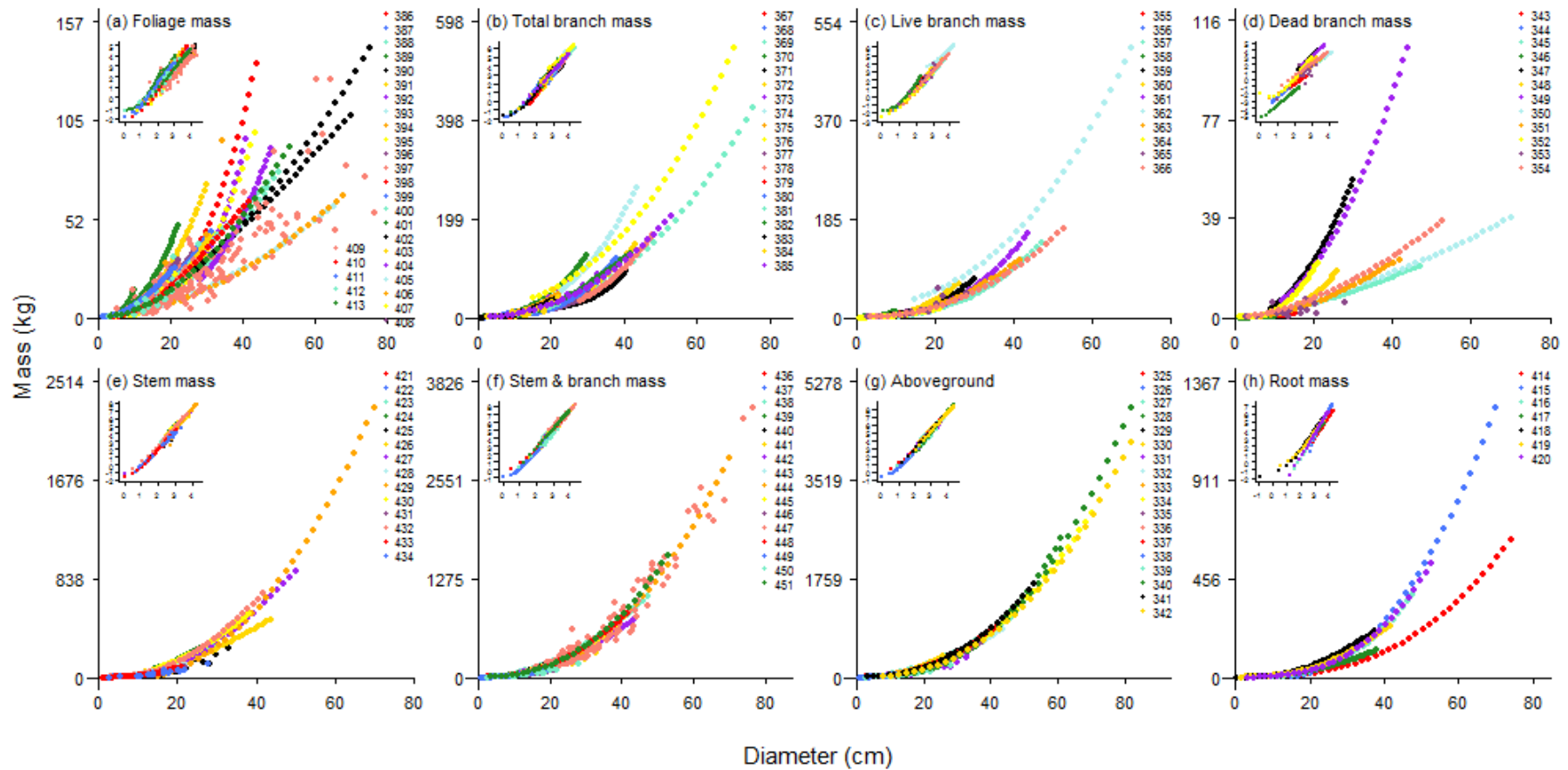


Figure B.13. The pseudo-observations for *Picea abies* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale.

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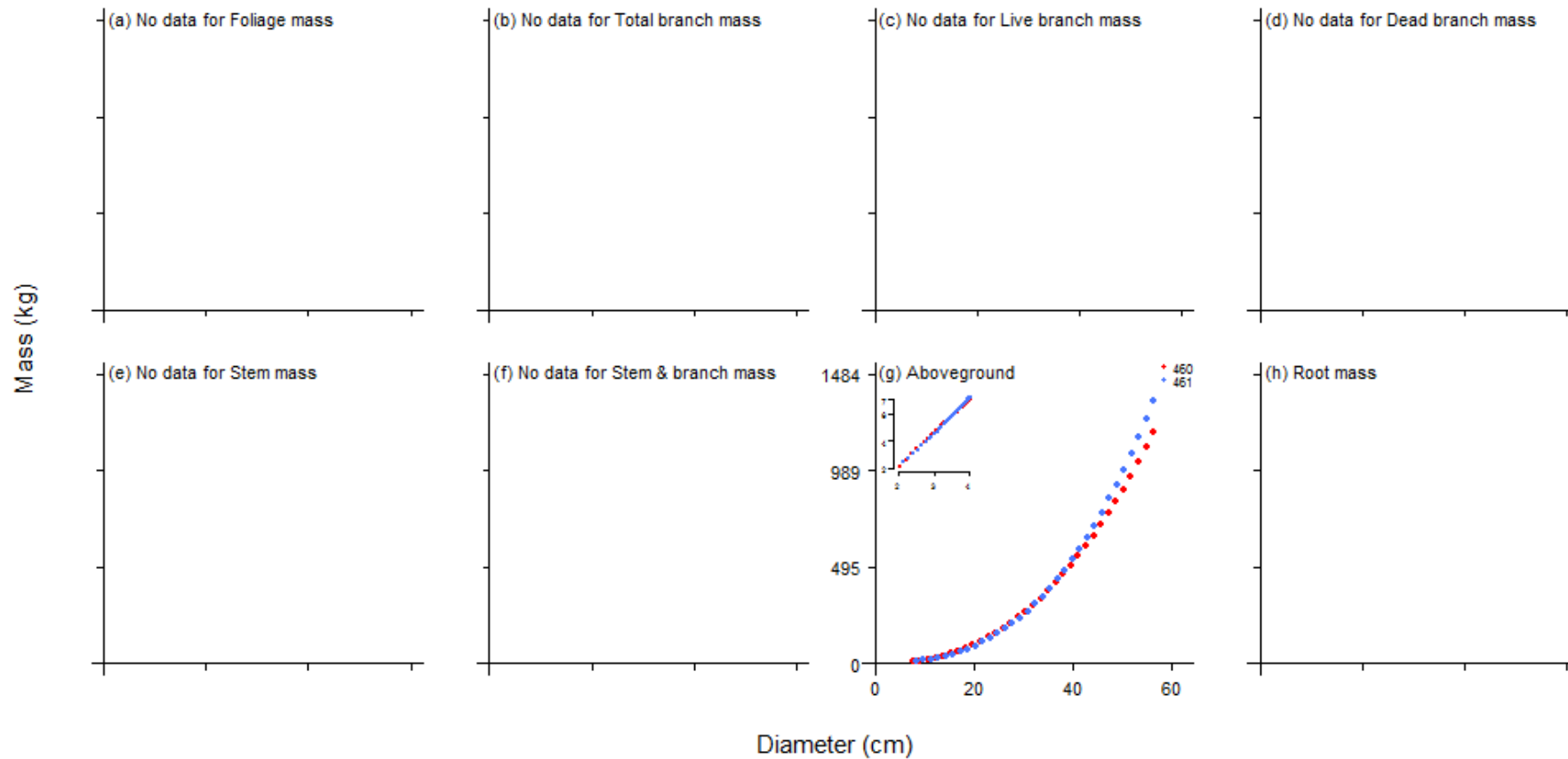


Figure B.14. The pseudo-observations for *Pinus cembra* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (all except g) indicate that no data were available.

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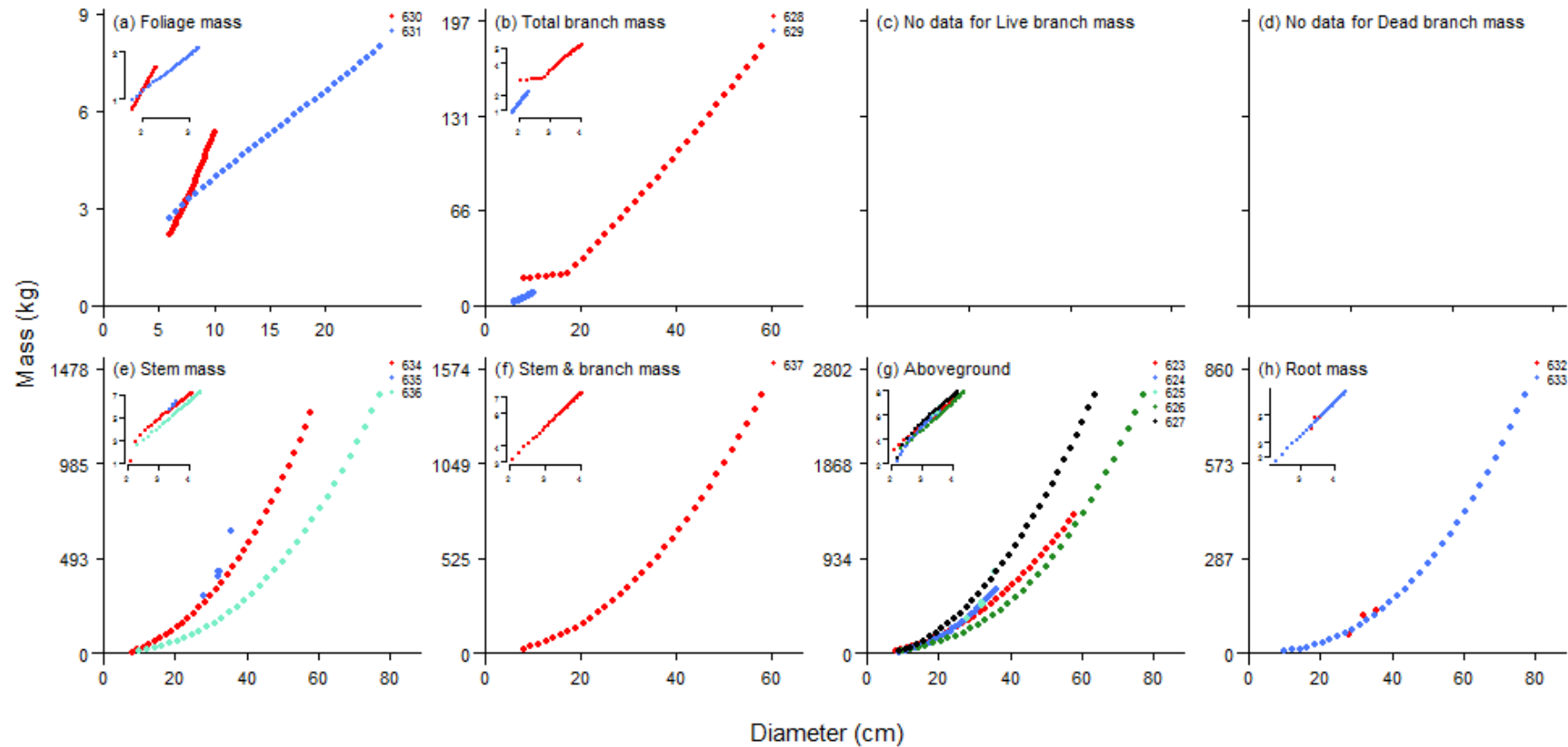


Figure B.15. The pseudo-observations for *Pinus nigra* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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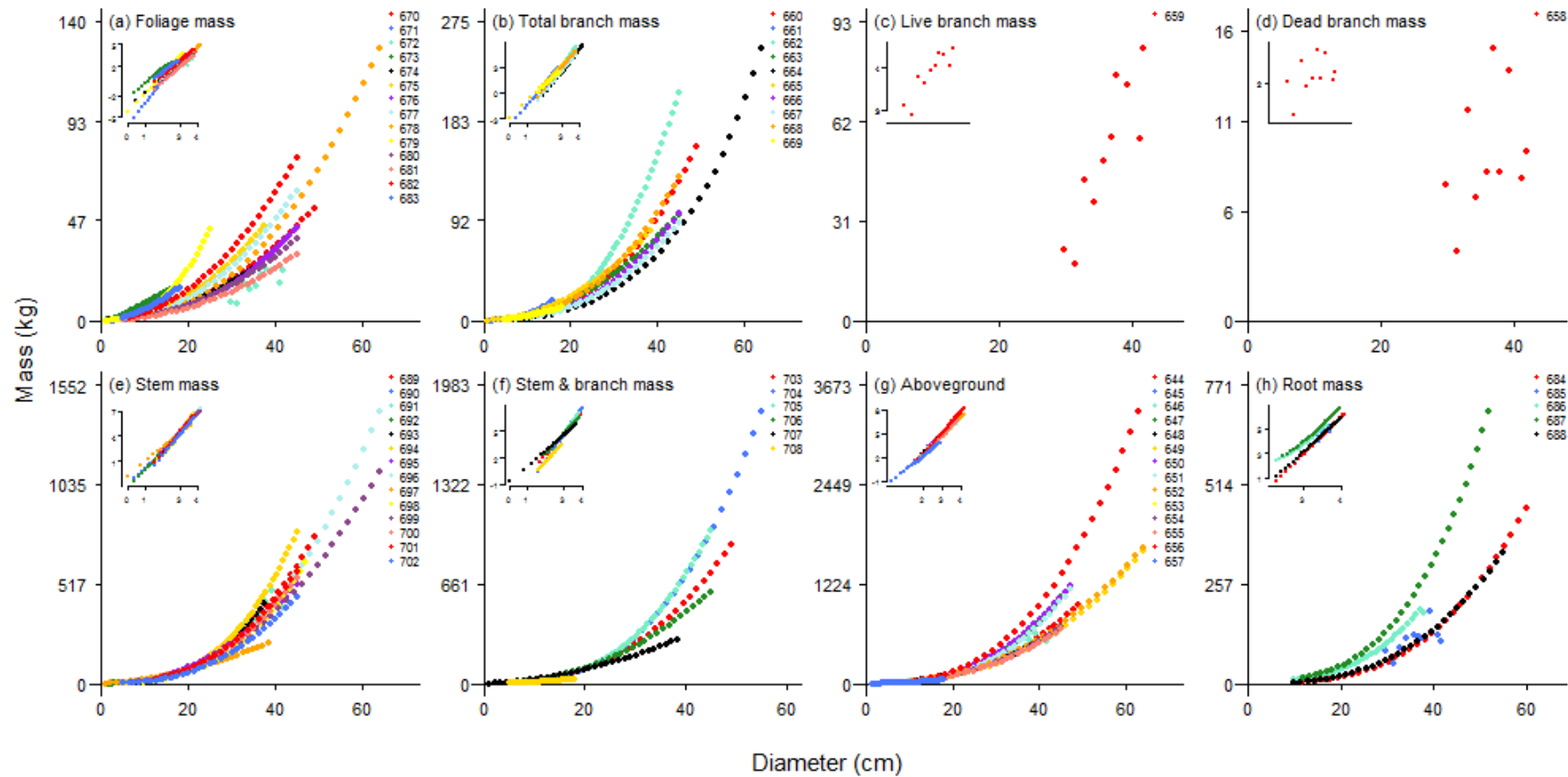


Figure B.16. The pseudo-observations for *Pinus pinaster* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale.

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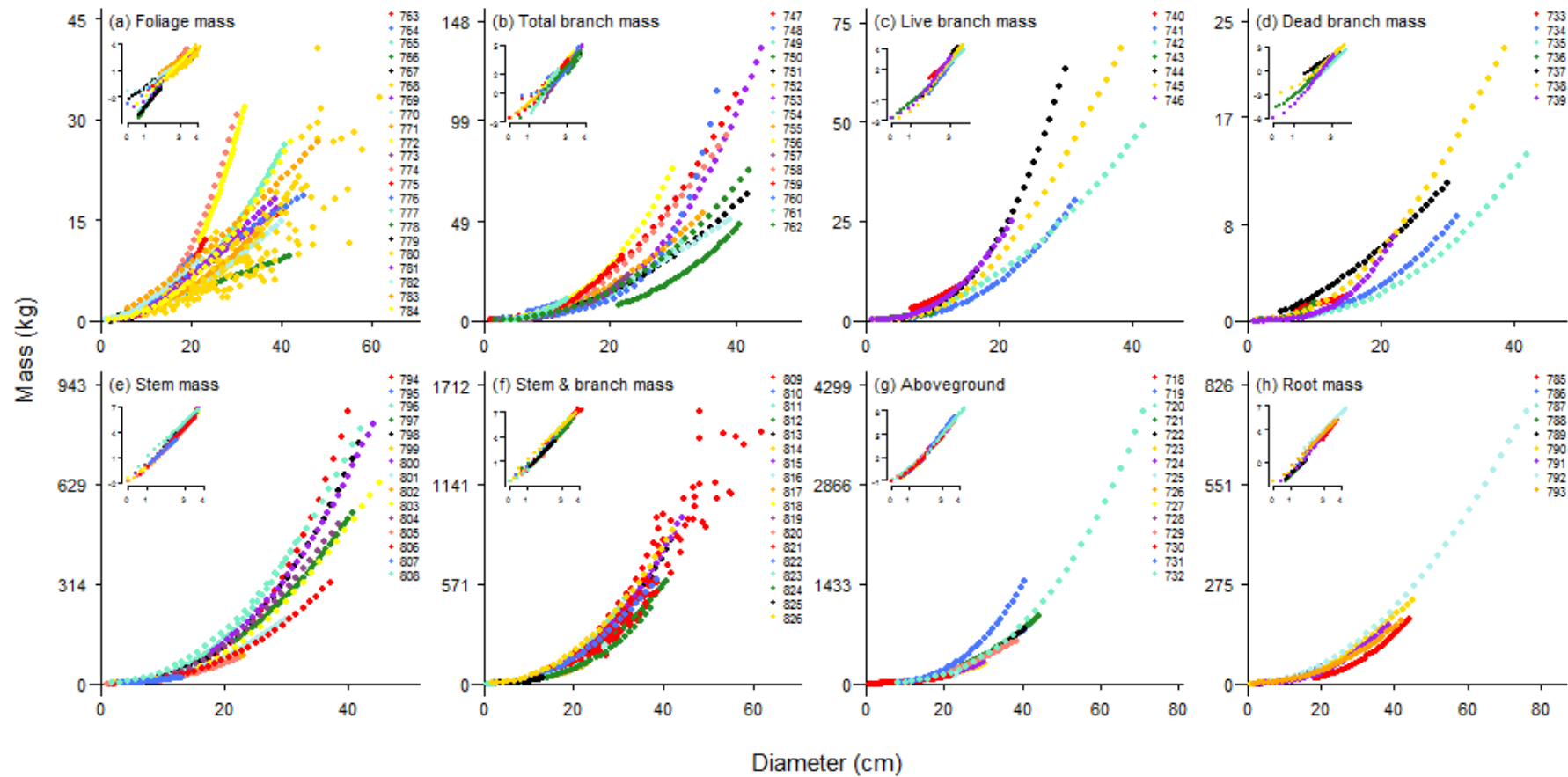


Figure B.17. The pseudo-observations for *Pinus sylvestris* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale.

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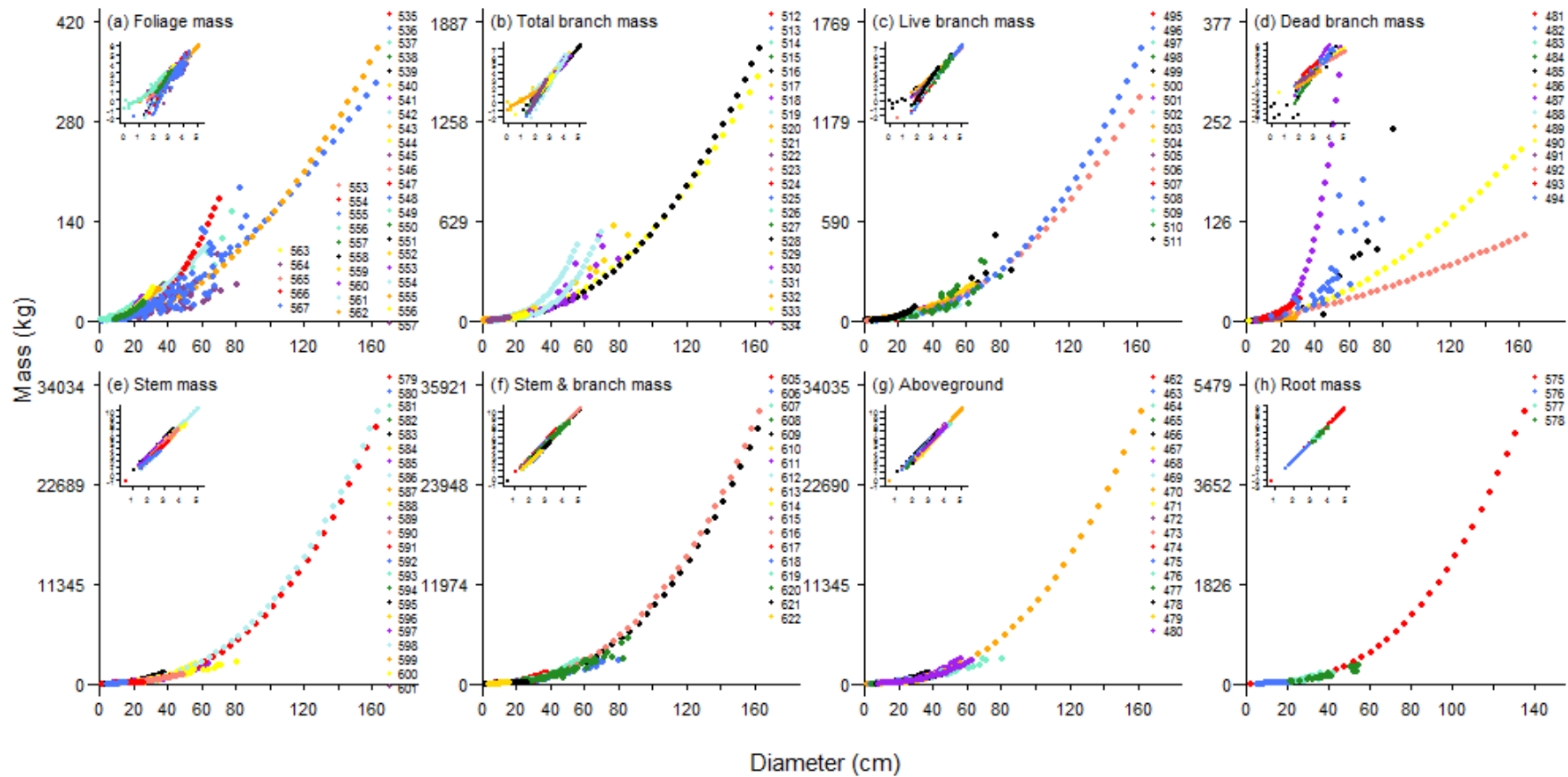


Figure B.18. The pseudo-observations for *Pseudotsuga menziesii* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale.

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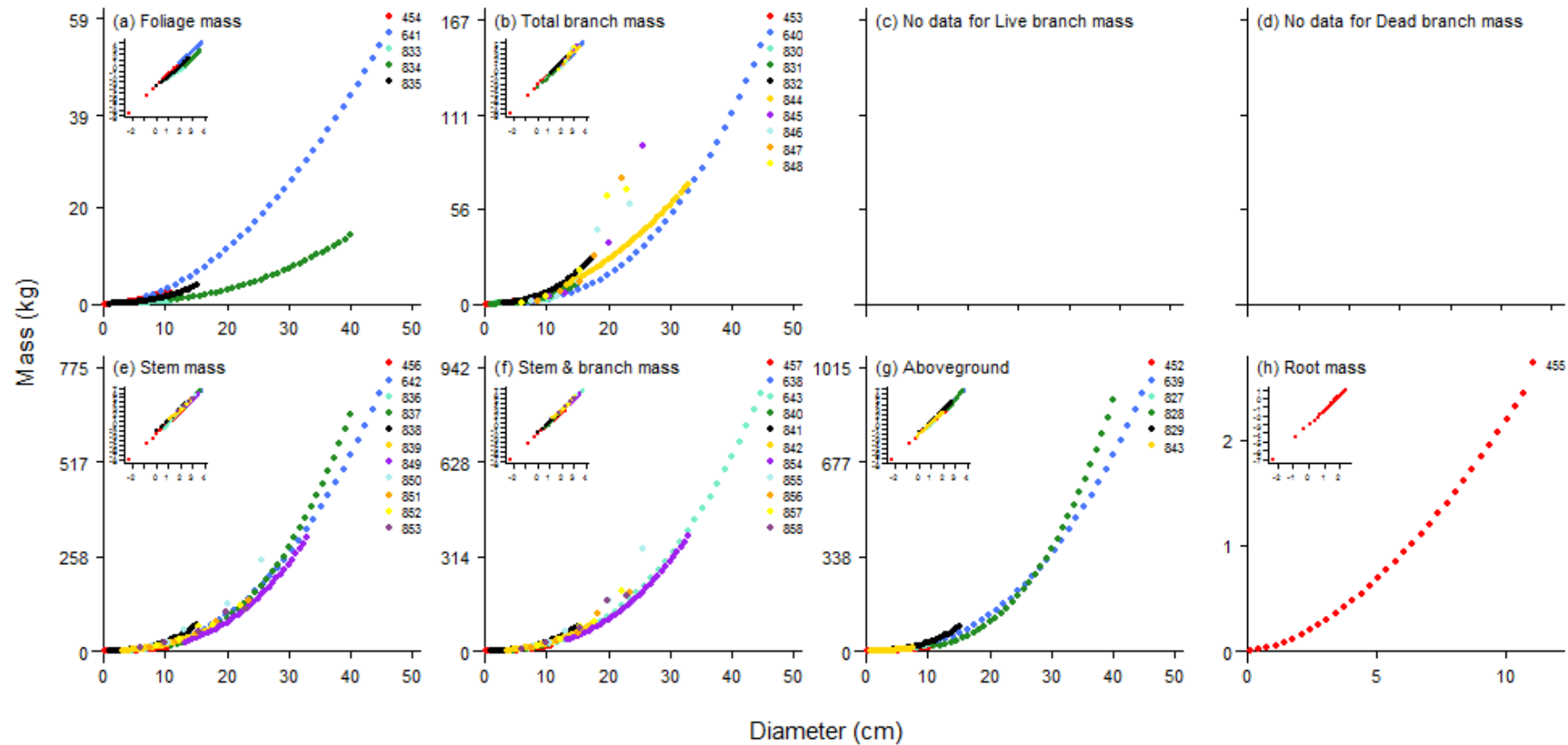


Figure B.19. The pseudo-observations for *Populus* (*P. nigra*, *P. tremula*, *P. tremula x tremuloides*) biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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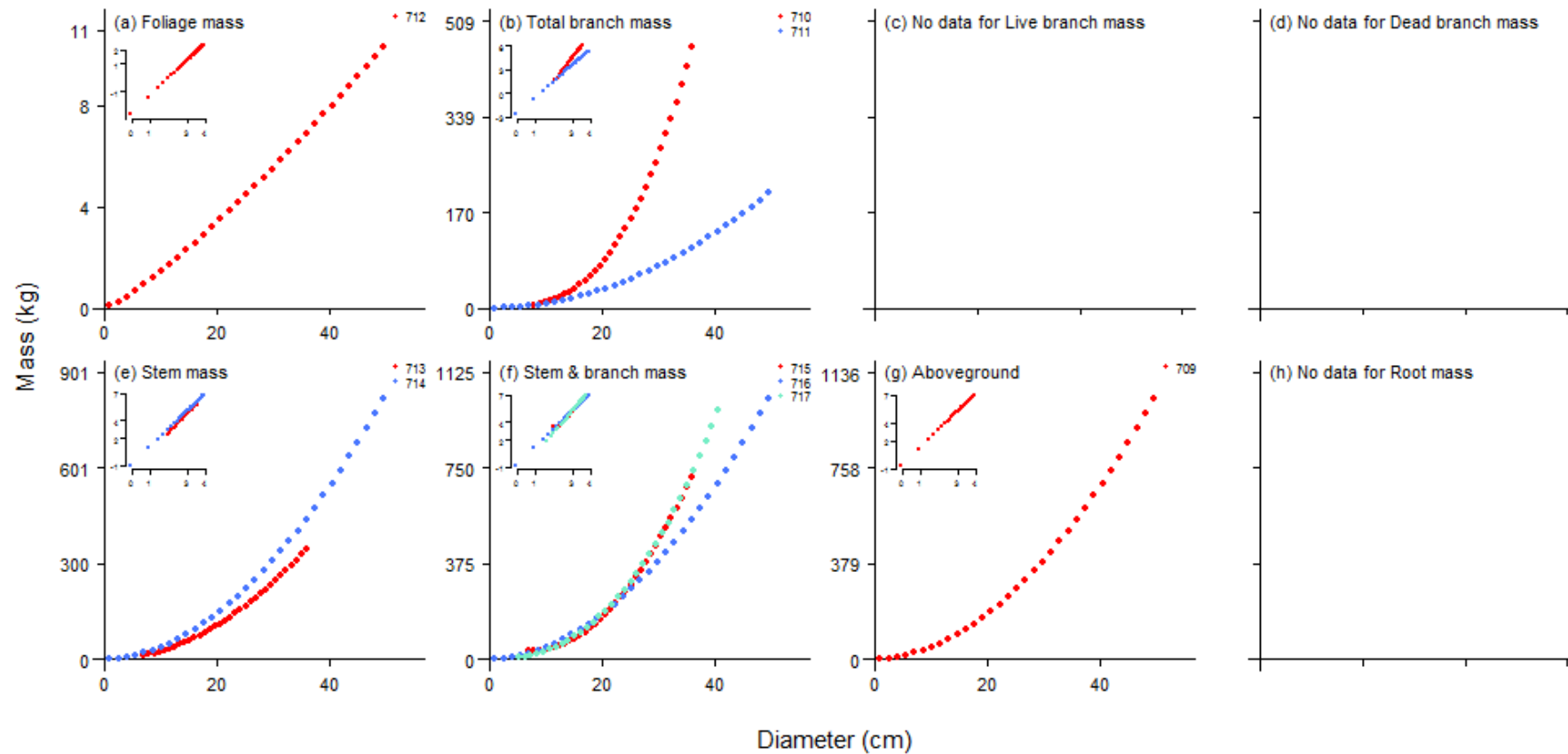


Figure B.20. The pseudo-observations for *P. serotina* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d,h) indicate that no data were available.

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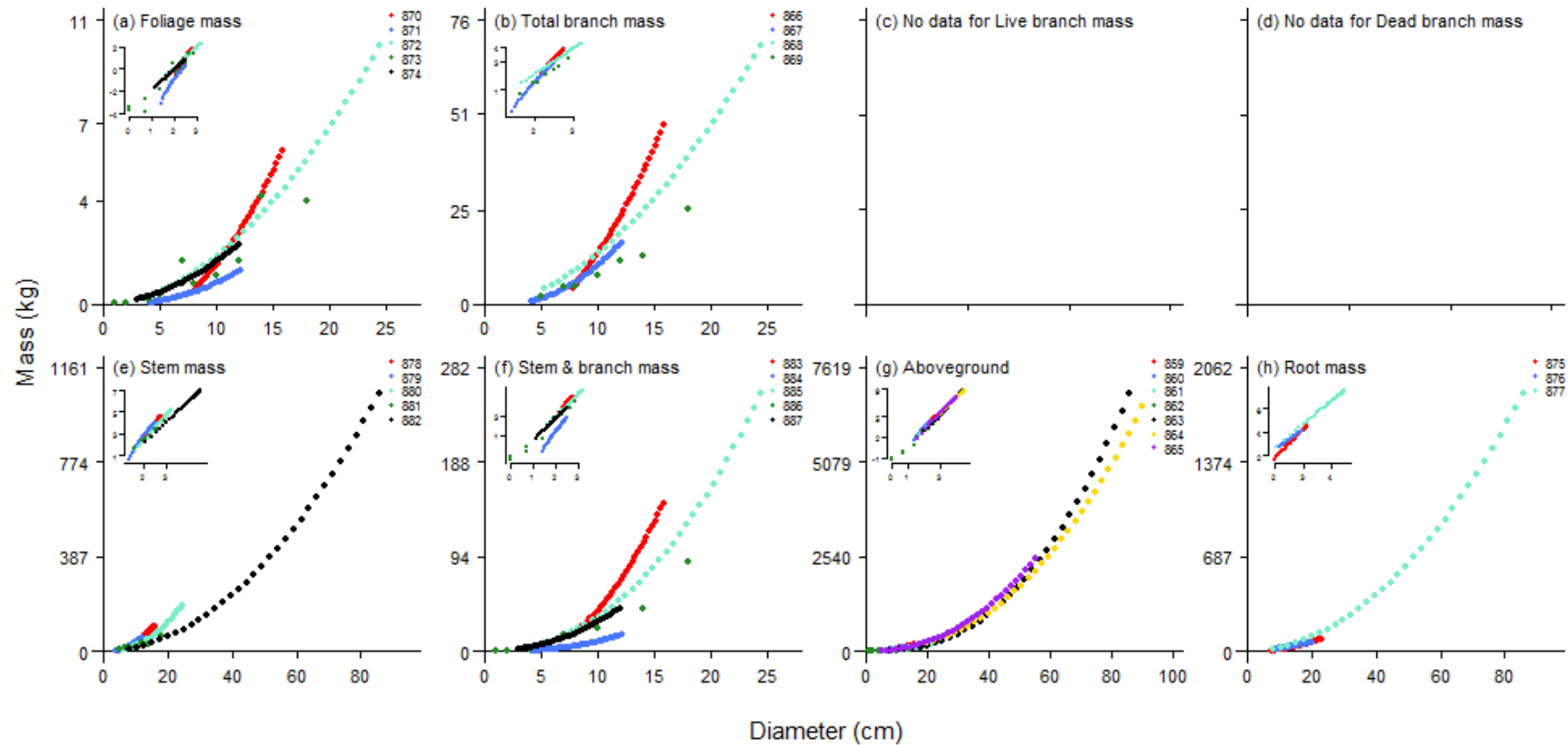


Figure B.21. The pseudo-observations for *Quercus ilex* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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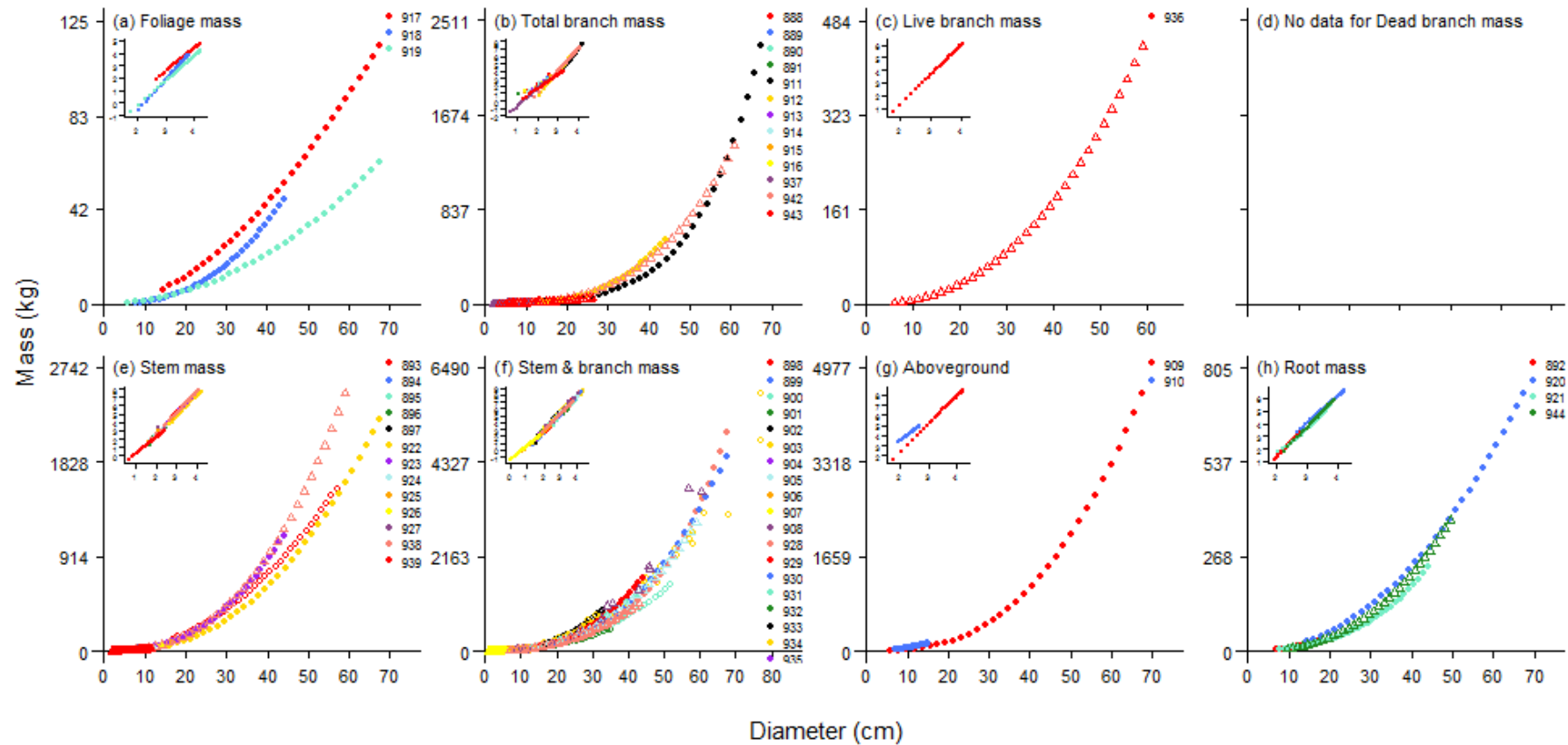


Figure B.22. The pseudo-observations for the biomass components of *Quercus petraea* (open circles), *Quercus robur* (closed circles) and sources that did not differentiate between these two species (triangles) plotted against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. The empty pane (d) indicates that no data were available.

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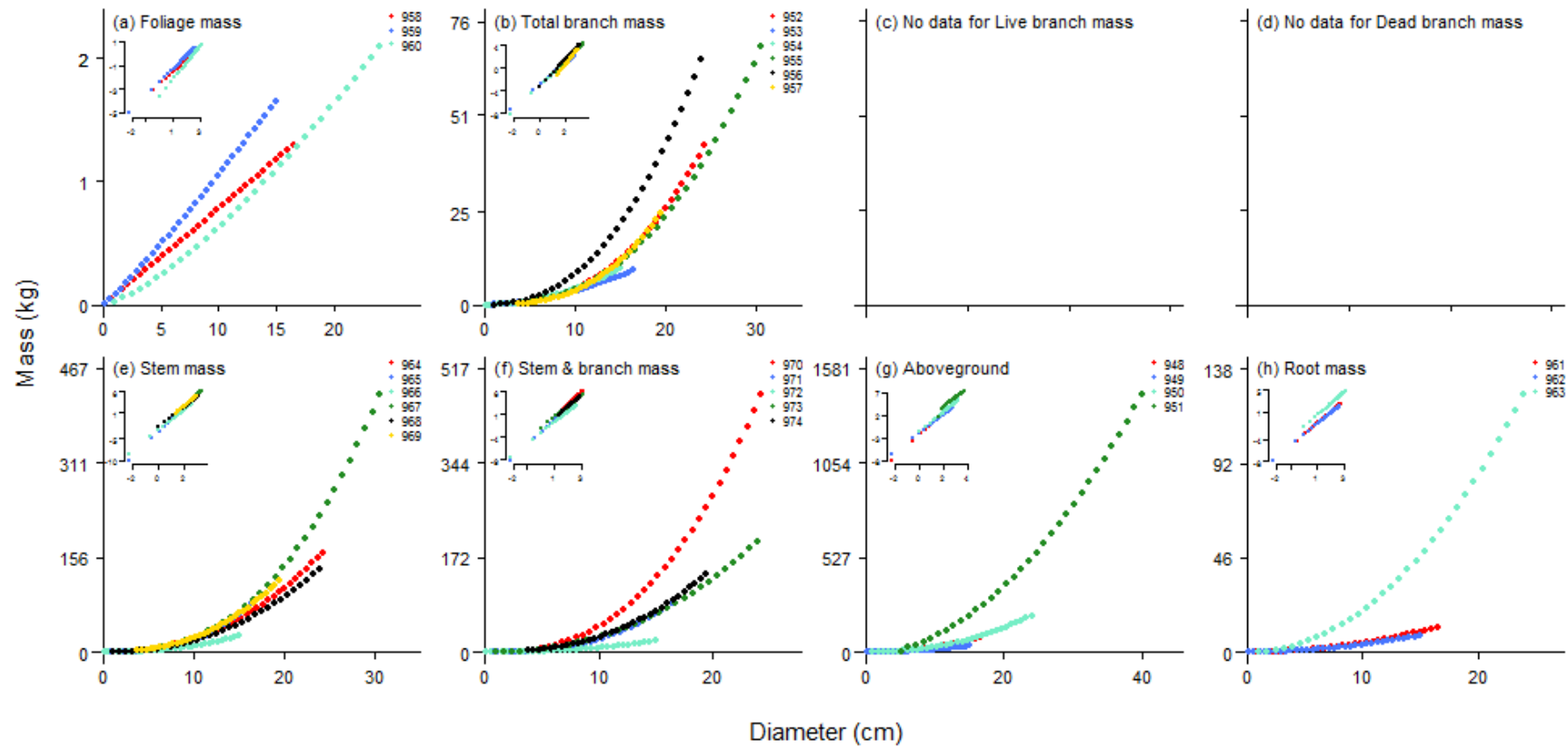


Figure B.23. The pseudo-observations for *Robinia pseudoacacia* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d) indicate that no data were available.

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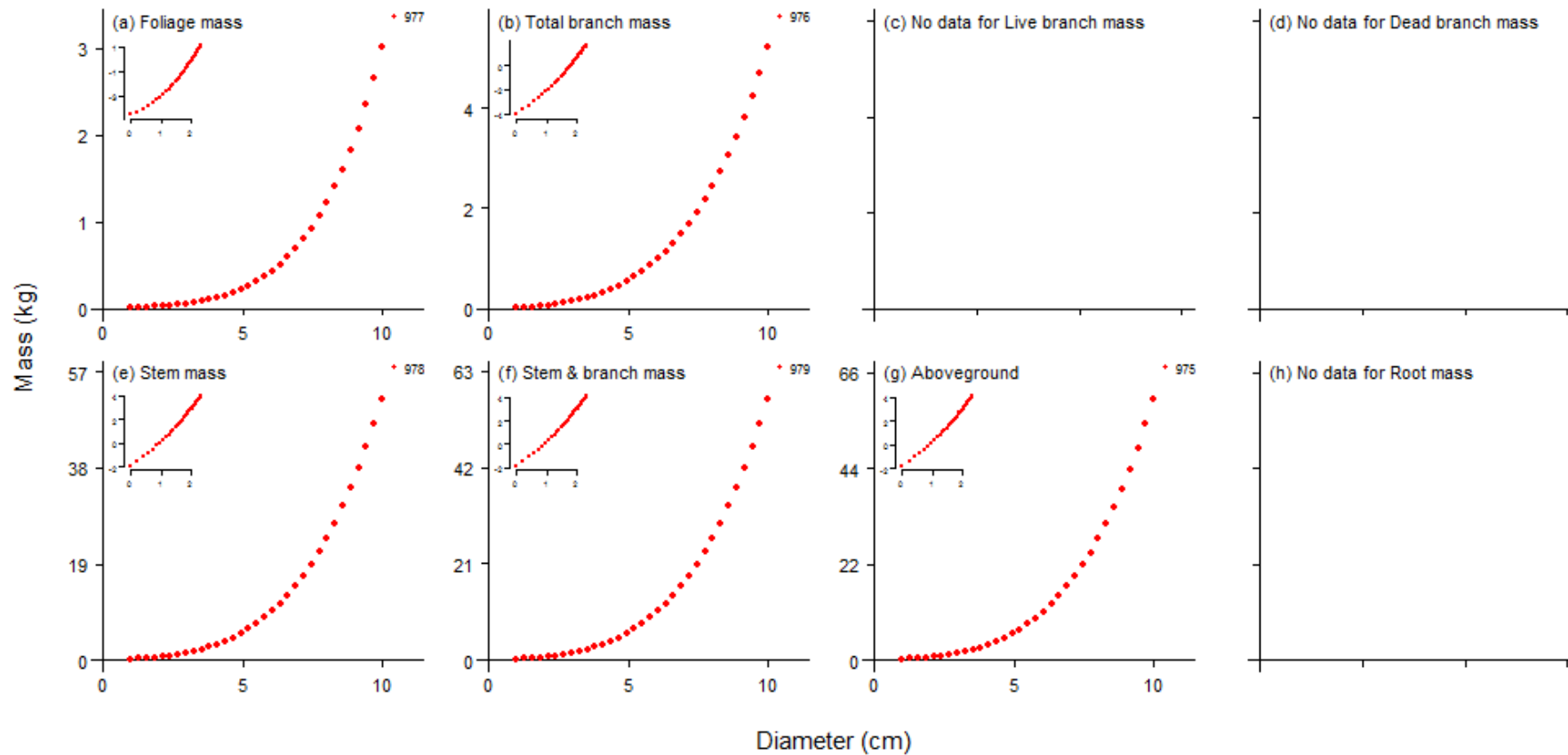


Figure B.24. The pseudo-observations for *Sorbus aucuparia* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes (c,d,h) indicate that no data were available.

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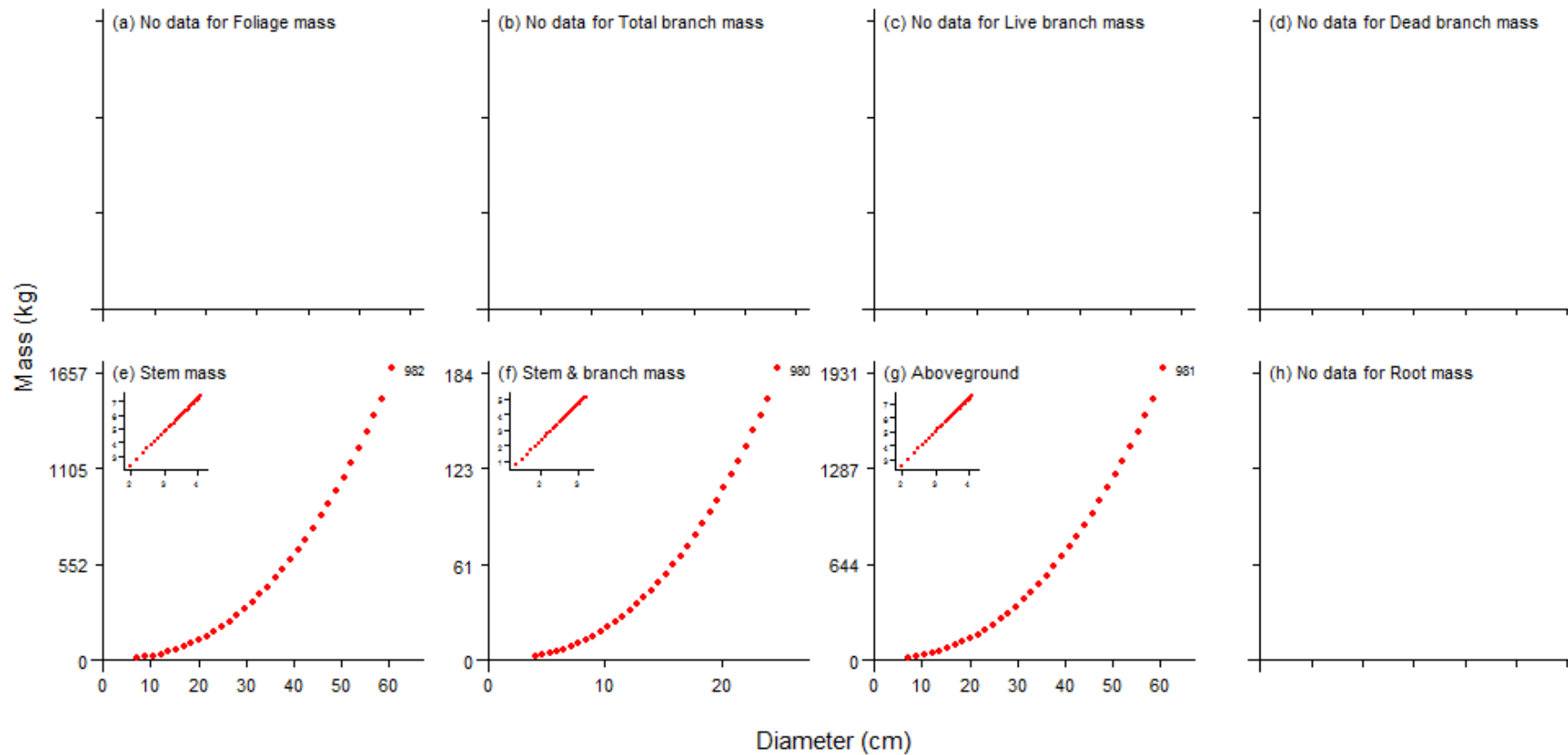


Figure B.25 The pseudo-observations for *Tilia cordata* or *Tilia platyphyllos* biomass components against stem diameter. The numbers in the legends represent the equation number in the database (Table A.2 in Forrester et al., in review) that identifies the source of the published equation used to produce the pseudo-observations. Insets show the same data on the ln – ln scale. Empty panes indicate that no data were available.

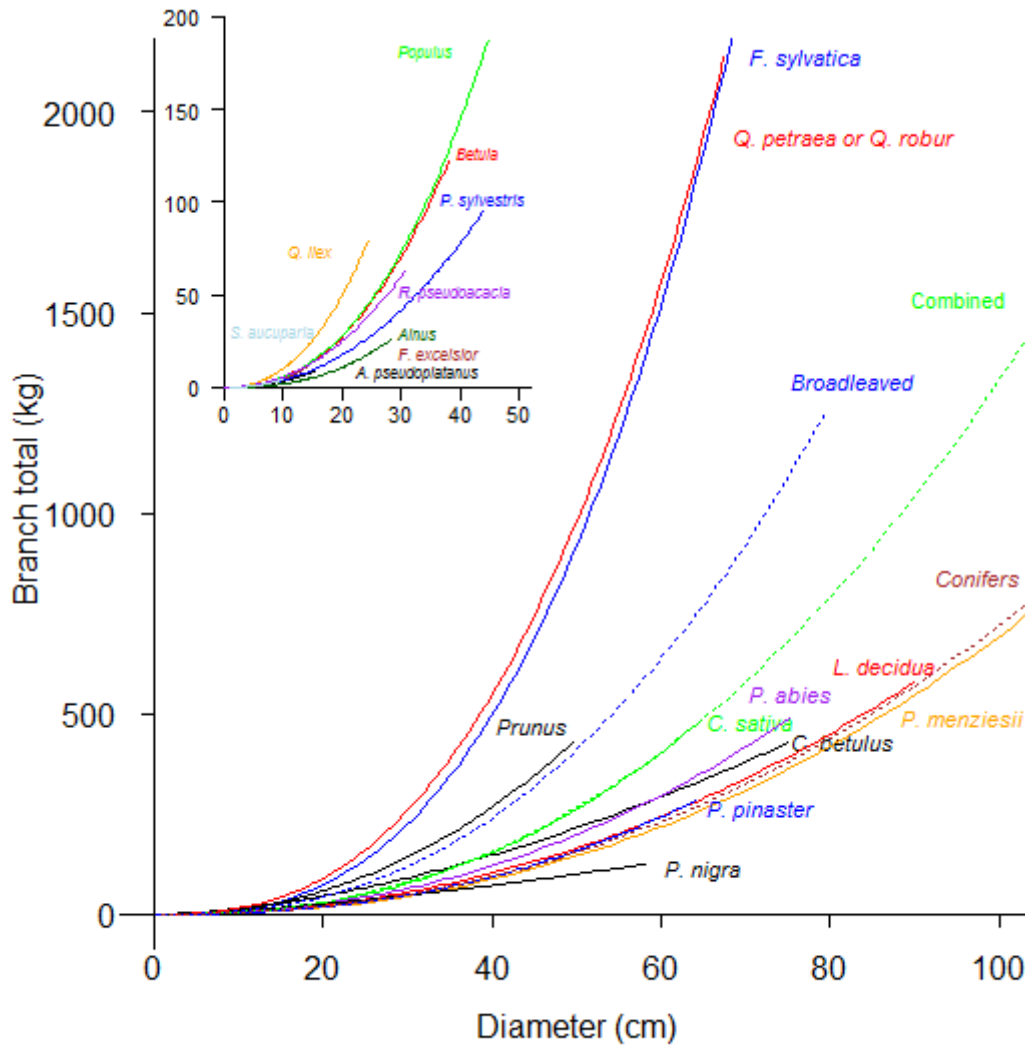


Figure B.26. The relationships between branch mass (live & dead) and diameter for various European species. The lines are Equation 3 fitted to all available data for the given species (Table A.2) and are provided in Table A.5 in Forrester et al. (in review). The dotted lines show the same relationships after dividing the data into broad-leaved species (dotted blue line), conifers (dotted orange line) or all species (dotted green line). The inset is the same relationship for species with small ranges of pseudo-observations.

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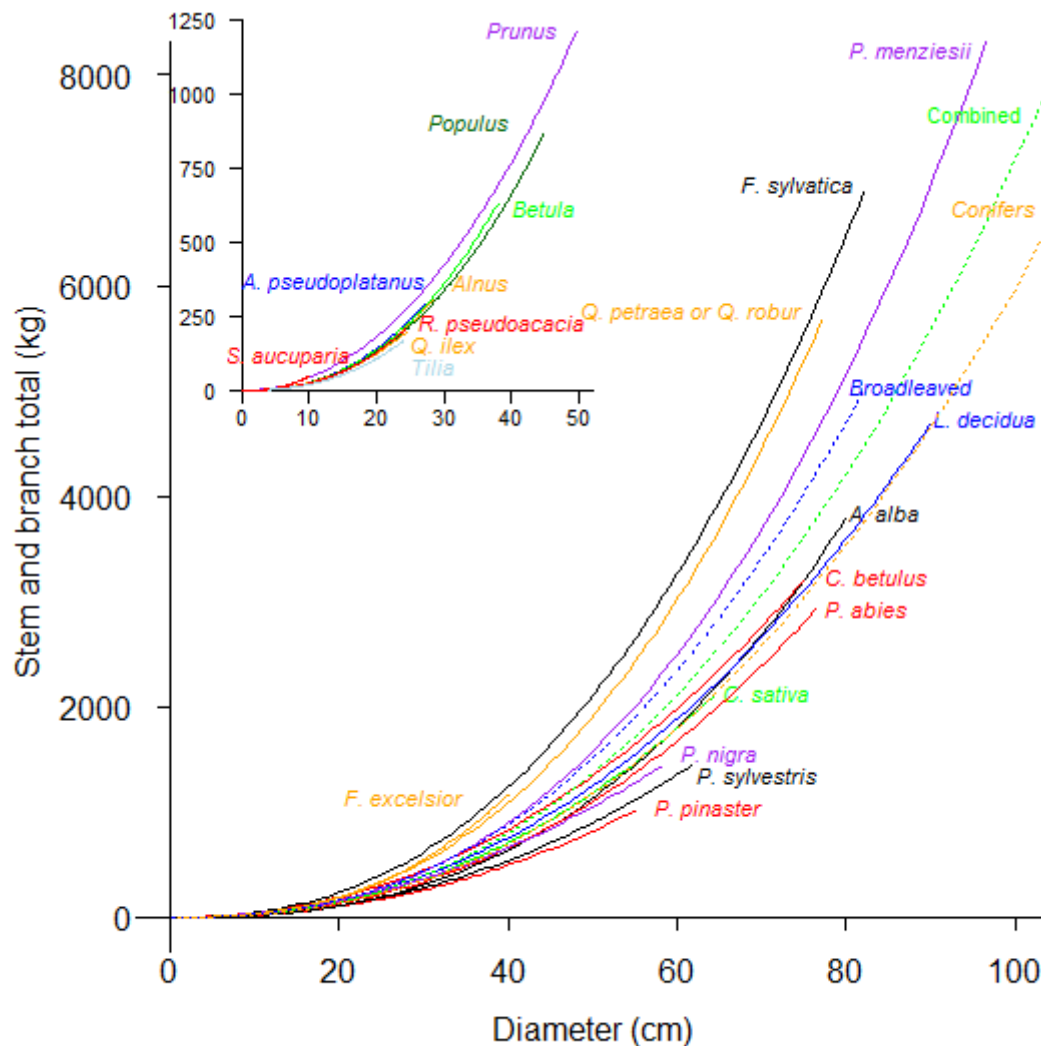


Figure B.27. The relationships between stem + branch mass and diameter for various European species. The lines are Equation 3 fitted to all available data for the given species (Table A.2) and are provided in Table A.5 in Forrester et al. (in review). The dotted lines show the same relationships after dividing the data into broad-leaved species (dotted blue line), conifers (dotted orange line) or all species (dotted green line). The inset is the same relationship for species with small ranges of pseudo-observations.

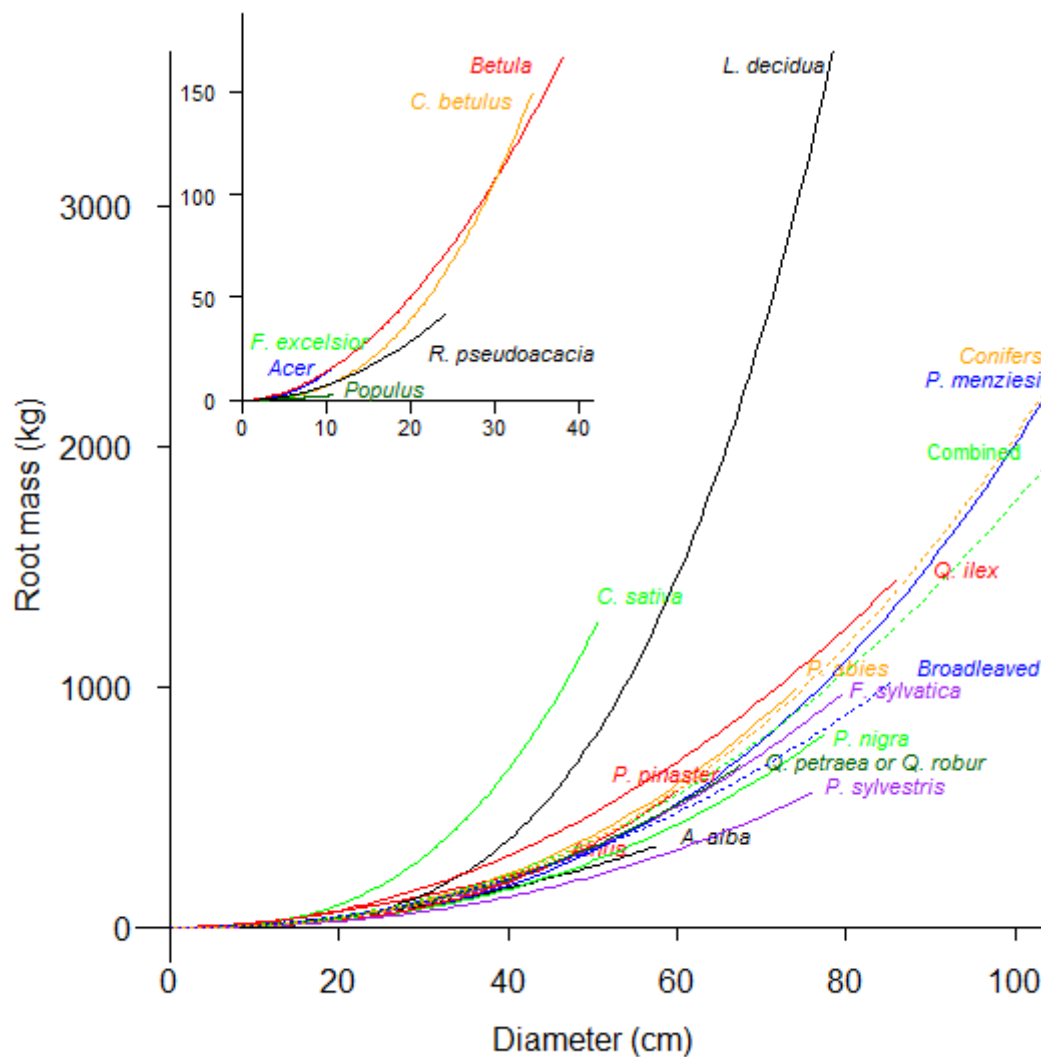


Figure B.28. The relationships between root mass and diameter for various European species. The lines are Equation 3 fitted to all available data for the given species (Table A.2) and are provided in Table A.5 of Forrester et al. (in review). The dotted lines show the same relationships after dividing the data into broad-leaved species (dotted blue line), conifers (dotted orange line) or all species (dotted green line). The inset is the same relationship for species with small ranges of pseudo-observations.

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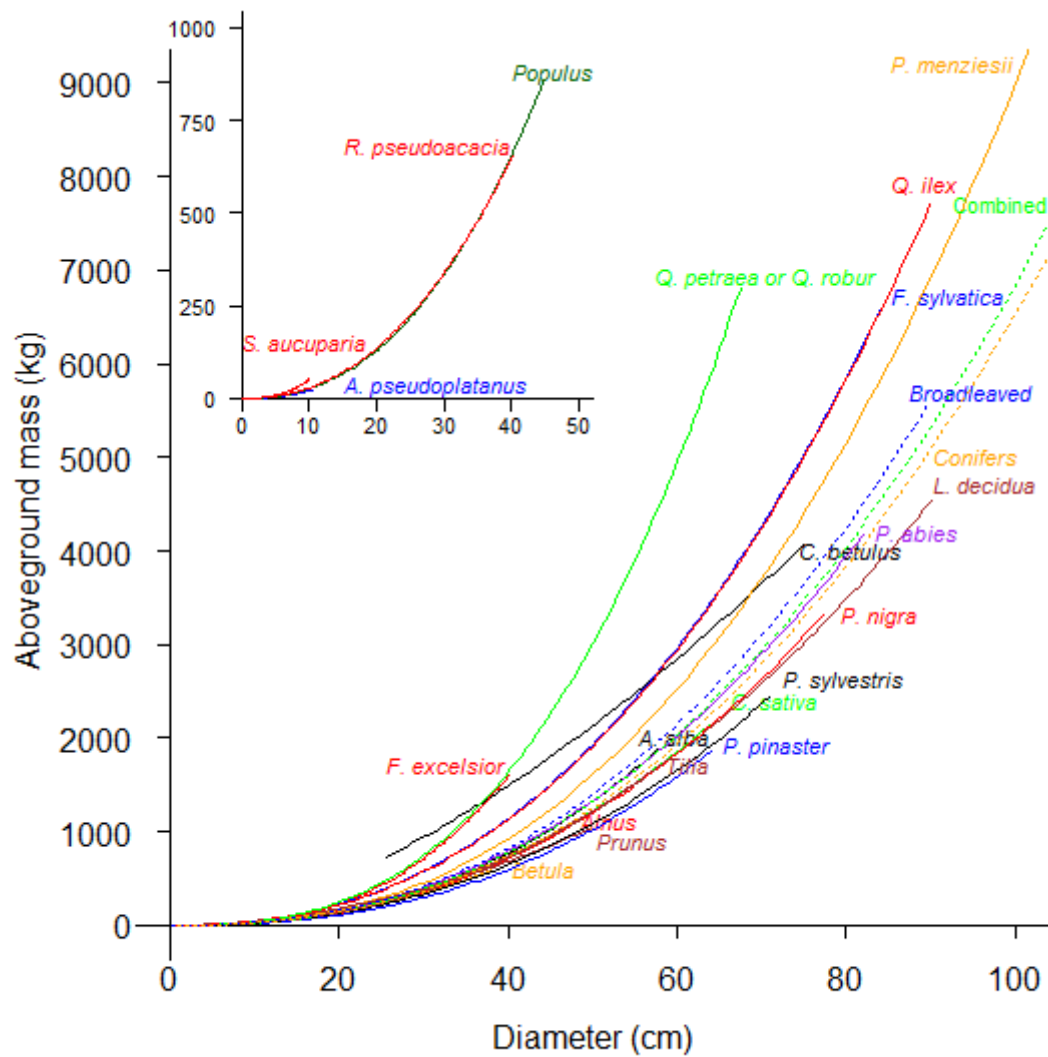


Figure B.29. The relationships between aboveground mass and diameter for various European species. The lines are Equation 3 fitted to all available data for the given species (Table A.2) and are provided in Table A.5 of Forrester et al. (in review). The dotted lines show the same relationships after dividing the data into broad-leaved species (dotted blue line), conifers (dotted orange line) or all species (dotted green line). The inset is the same relationship for species with small ranges of pseudo-observations.

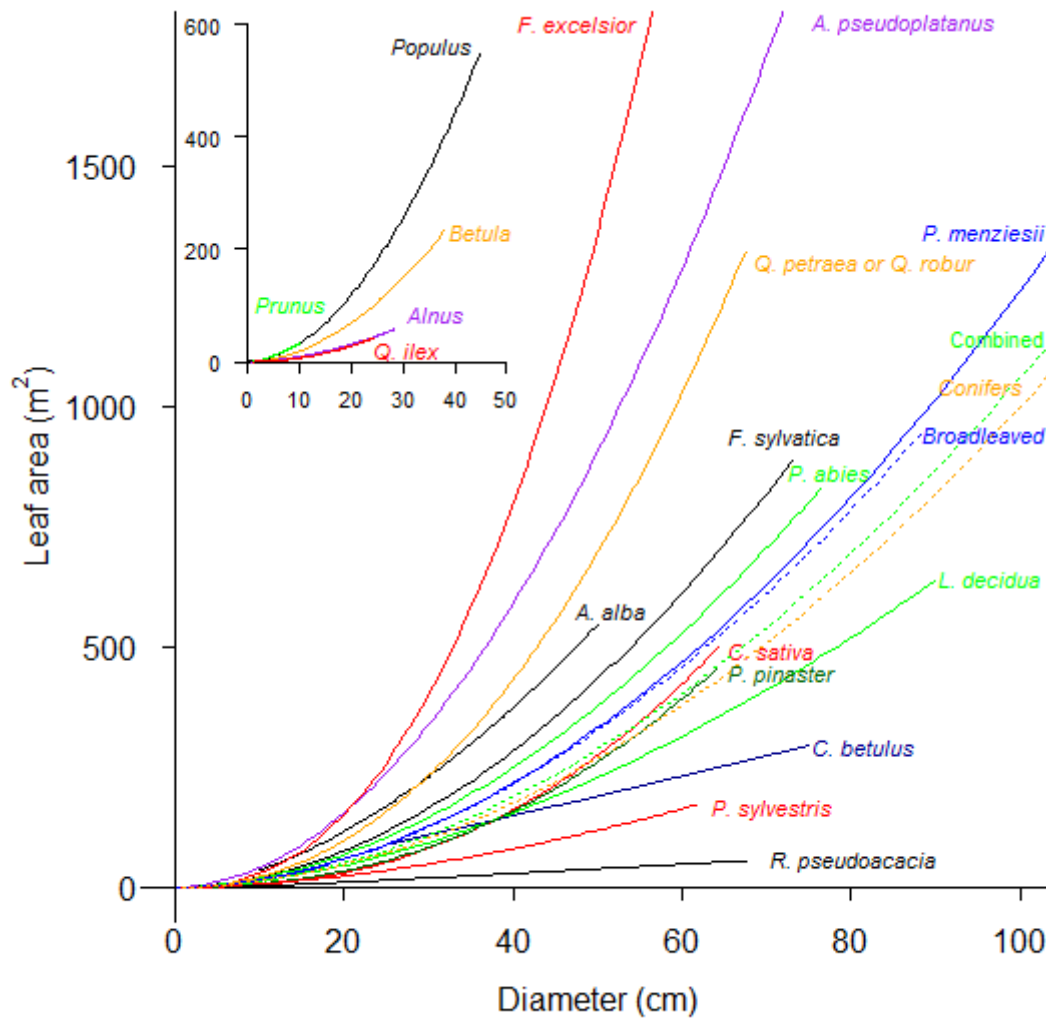


Figure B.30. The relationships between leaf area and diameter for various European species. The lines are Equation 3 fitted to all available data for the given species (Table A.2) and are provided in Table A.5 of Forrester et al. (in review). The dotted lines show the same relationships after dividing the data into broad-leaved species (dotted blue line), conifers (dotted orange line) or all species (dotted green line). The inset is the same relationship for species with small ranges of pseudo-observations.

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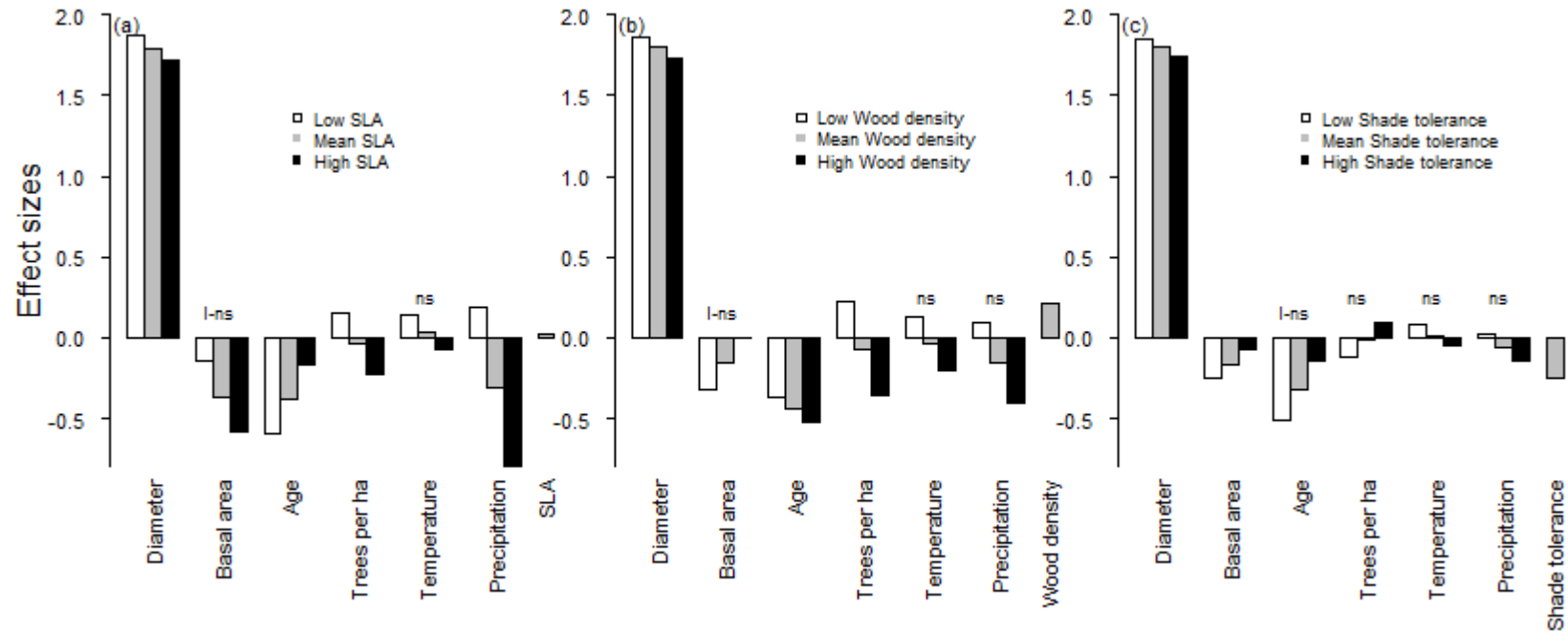


Figure B.31. The effect sizes (beta weights) of all independent variables used to predict leaf area using Equations 22-24. The effect sizes are quantified as the slopes of the variables on the x-axis, all of which have been standardised (mean = 0 and standard deviation = 1). “I-ns” indicates that there was no significant interaction between the given x-axis variable and the trait (specific leaf area (SLA), wood density, shade tolerance). “ns” indicates that the x-axis variable was not significant in the model. The statistical information is in Table A.6 of Forrester et al. (in review). For the trait levels, Low = Mean – 1 standard deviation, Mean = Mean of all species, High = Mean + 1 standard deviation.

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