# Cerebellar direct current stimulation modulates pain perception in humans

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#### Abstract. 16

- Purpose: The cerebellum is involved in a wide number of integrative functions, but its role in pain experience and in the 17 nociceptive information processing is poorly understood. In healthy volunteers we evaluated the effects of transcranial cerebellar 18 direct current stimulation (tcDCS) by studying the changes in the perceptive threshold, pain intensity at given stimulation 19 intensities (VAS:0-10) and laser evoked potentials (LEPs) variables (N1 and N2/P2 amplitudes and latencies). 20
- Methods: Fifteen normal subjects were studied before and after anodal, cathodal and sham tcDCS. LEPs were obtained using a 21
- neodymium:yttrium-aluminium-perovskite (Nd:YAP) laser and recorded from the dorsum of the left hand. VAS was evaluated 22 by delivering laser pulses at two different intensities, respectively two and three times the perceptive threshold. 23
- Results: Cathodal polarization dampened significantly the perceptive threshold and increased the VAS score, while the anodal 24
- one had opposite effects. Cathodal tcDCS increased significantly the N1 and N2/P2 amplitudes and decreased their latencies, 25
- 26 whereas anodal tcDCS elicited opposite effects. Motor thresholds assessed through transcranial magnetic stimulation were not 27 affected by cerebellar stimulation.
- Conclusions: tcDCS modulates pain perception and its cortical correlates. Since it is effective on both N1 and N2/P2 components, 28
- we speculate that the cerebellum engagement in pain processing modulates the activity of both somatosensory and cingulate 29
- cortices. Present findings prompt investigation of the cerebellar direct current polarization as a possible novel and safe therapeutic 30 tool in chronic pain patients. 31
- Keywords: Pain cerebellum, cerebellar direct current stimulation, tDCS, laser evoked potentials, pain modulation 32

## 1. Introduction

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The cerebellum is involved in a wide number of integrative functions, ranging from working memory 35 and associative learning to motor control (Schmah-36 mann, 1991; Ito, 2006; Stoodley & Schmahmann, 37

2009; Strick et al., 2009; Balsters et al., 2013). It is 38 also involved in the sensory, cognitive (Borsook et 39 al., 2008) and affective dimensions of pain (Ploghaus 40 et al., 1999). In addition, the cerebellum plays a role 41 in the sensory-motor integration aimed at antinocicep-42 tive behaviour (Bingel et al., 2002; Strigo et al., 2003; 43 Borsook et al., 2008), as well as in salience-related 44 affective and behavioral responses to nociceptive stim-45 ulation (Duerden & Albanese, 2013). In fact, although 46 it is not known how nociceptive information is encoded 47 in the cerebellum, it has been proposed that the cerebel-48 lum may integrate multiple effector systems including 49 affective processing, pain modulation and sensorimo-50 tor control. 51

Afferent inputs from nociceptors reach the cerebel-52 lum through two different and segregated pathways, the 53 spino-ponto-cerebellar and the spino-olivo-cerebellar 54 route (Ekerot et al., 1987a, 1987b; Ekerot et al., 55 1991a), and the cerebellar influence on pain process-56 ing closely resembles the inhibitory tone exerted by 57 Purkinje cells over the primary motor cortex (M1), a 58 phenomenon referred as cerebellum-brain inhibition 59 (Kelly & Strick, 2003). 60

Non-invasive brain stimulation (NIBS) techniques, 61 such as repetitive Transcranial Magnetic Stimulation 62 (rTMS) and transcranial Direct Current Stimulation 63 (tDCS) have recently emerged as interesting, effective 64 and promising tools for modulating pain experience 65 (Antal & Paulus, 2010; Zaghi et al., 2011). In fact, 66 a sufficient body of evidence shows analgesic effects 67 of high-frequency rTMS of the primary motor cor-68 tex (M1) (Lefaucheur et al., 2014), with effects likely 69 arising from the restoration of defective intracortical 70 inhibitory processes (Lefaucheur et al., 2006). Among 71 NIBS technique, tDCS applied either over the motor 72 (Fregni et al., 2007; Mendonca et al., 2011; Dasilva 73 et al., 2012; Reidler et al., 2012) or the prefrontal cor-74 tex (Boggio et al., 2008, 2009; Mylius et al., 2012) was 75 also effective in pain modulation. 76

Only one study has assessed the effects of cerebellar 77 rTMS, suggesting that changes in pain perception were 78 not specific for cerebellar stimulation (Zunhammer 79 et al., 2011). However, no study has investigated to date 80 the role of transcranial cerebellar direct current stim-81 ulation (tcDCS), a new and well-tolerated technique 82 for modulating cerebellar excitability, in modifying 83 pain perception in humans (Ferrucci et al., 2008, 2012; 84 Galea et al., 2009, 2011; Grimaldi et al., 2014; Priori et 85 al., 2014). Notably, despite some inter-individual dif-86 ferences, recent modelling researches have revealed 87

that, during tcDCS, the current spread to other structures outside the cerebellum is negligible and unlikely to produce functional effects (Fig. 1) (Parazzini et al., 2013, 2014a, 2014b). 88

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The aim of our study was to evaluate the effects of tcDCS on pain perception and on its cortical correlates. We studied the changes in pain scores and in laser evoked potentials (LEPs) variables (perceptive threshold, N1 and N2/P2 amplitudes and latencies) in participants undergoing direct current polarization applied over the cerebellum.

### 2. Materials and methods

2.1. Subjects

Fifteen healthy volunteers (mean  $age \pm SD$ :  $25.8 \pm 5.9$  years, 7 women) with no history of neurological disorders were enrolled in the study. Women were studied in the second week after their last menses (Smith, et al. 1999). No subject had been under medication in the month preceding the experimental session which was scheduled at least 48 hours after the last alcohol and caffeine consumption. Written informed consent was obtained from all participants before enrollment in the study, which was approved by the local ethical Committee and followed the tenets of the Declaration of Helsinki.

#### 2.2. Experimental design

As shown in Fig. 2, at the beginning of each session, before cerebellar tDCS and immediately afterwards, the laser Perceptive Threshold (PT), corresponding to the lowest intensity at which subjects perceived at least 50% of the stimuli (Cruccu et al., 1999; Agostino et al., 2000), was determined. In order to minimize the number of nociceptive stimuli, the nociceptive perception threshold was not assessed. A range of 10–40 stimuli (mean, SD;  $25 \pm 5$ ) was used to assess the perceptive threshold before and after transcranial cerebellar stimulation. Less than 10 minutes were spent to determine PT, in line with previous reports (Truini et al., 2011).

After the PT assessment, participants were instructed to pay attention to incoming laser nociceptive stimuli in order to verbally rate the perceived intensity about 2-3 seconds after each laser stimulation, which was performed before tcDCS ( $T_0$ ), immediately after its termination ( $T_1$ ) and 60 min later ( $T_2$ ).



Fig. 1. - Current density generated by cerebellar transcranial direct current stimulation (cerebellar tDCS) in humans. A. Top panel shows (viewed from the back) the electrode positions for cerebellar tDCS. B. Examples of segmented tissues in two human realistic Virtual Family models (Ella and Duke) undergoing cerebellar tDCS. Simulations were conducted using the simulation platform SEMCAD X (by SPEAG, Schmid & Partner Engineering, AG, Zurich, Switzerland); a, lateral view of cerebellum, pons, midbrain, medulla; b, lateral view of the skull; c, back view of the cerebellum; d and e. lateral and inferior views of normalized current density amplitude field distributions over cortical, subcortical and brainstem regions; f, back view of normalized current density amplitude field distributions over the cerebellum. Values are normalized with respect to the maximum of the current density amplitude in the cerebellum. The spread of the current density (J) over the occipital cortex - quantified as the percentage of occipital volume where the amplitude of J-field is greater than 70% of the peak of J in the cerebellum - was only 4% for "Duke" and much less than 1% for "Ella" (modified from Priori et al. (2014), with permission).

Participants were blinded to the tcDCS polarity; anodal, cathodal and sham tcDCS stimulations were administered in three different sessions and separated by at least 1 week to avoid possible carry-over effects. The order of interventions was randomized and balanced across subjects. Laser stimuli of intensity two and three times the PT intensity ( $I_1$ ,  $I_2$ ) were delivered by an experimenter (A.T.), whereas the evaluation of electrophysiological parameters was done by F.S., both blinded to the tcDCS polarity; B. V. settled the tcDCS polarity.

### 2.2.1. Subjective experience

The perceived sensation was rated on the 0–10 Visual Analogue Scale (where 0=no sensation and 10=unbearable pain; the intermediate levels being: 1=barely perceived; 2=lightly pricking, not painful; 3=clearly pricking, not painful; 4=barely painful; 5=painful, prompting to rub the skin; 6=very painful and distressing; 7 and more: strongly unpleasant pain). VAS was studied in each subject after 10 nociceptive laser I<sub>1</sub> and I<sub>2</sub> stimuli (VAS <sub>1</sub>, VAS<sub>2</sub>). In each participant individual VAS values were averaged for each Time.

Laser Evoked Potentials were obtained by stimuli corresponding to two times the Perceptive value, according with previous literature and guidelines (Truini et al., 2005, 2010).

#### 2.3. Procedures

#### 2.3.1. Laser evoked potentials (LEPs)

The methods used for laser stimulation are 161 reported in detail elsewhere (Truini et al., 2005, 162 2010). A neodymium:yttrium-aluminium-perovskite 163 (Nd:YAP) laser was used (wavelength 1.04 µm, pulse 164 duration 2–20 ms, maximum energy 7 J). The laser 165 beam was transmitted from the generator to the stimulating probe via a 10 m length optical fibre; signals 167 were then amplified, band pass filtered (0.1-200 Hz, 168 time analysis 1000 ms) and fed to a computer for stor-169 age and analysis (Cruccu et al., 2008). The dorsum of 170 the left hand was stimulated by laser pulses (individ-171 ual variability: 3.89–15.75 J/cm<sup>2</sup>) with short duration 172 (5 ms) and small diameter spots (5 mm; Valeriani et al., 173 2012). Ten stimuli, whose intensity was established 174 on the basis of the Perceptive Threshold assessed for 175 each subject at T<sub>0</sub>, T<sub>1</sub> and T<sub>2</sub>, were delivered and 176 the laser beam was shifted slightly between consec-177 utive pulses to avoid skin lesions and reduce fatigue 178

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Fig. 2. – Experimental protocol. Psychophysical and electrophysiological variables evaluated at baseline  $(T_0)$  and at two different time points  $(T_1, T_2)$  following anodal, cathodal and sham tcDCS.

of peripheral nociceptors (Truini et al., 2005). The 179 inter-stimulus interval was varied randomly (10-15 s), 180 Participants were reclined on a couch and wore protec-181 tive goggles. They were instructed to keep their eyes 182 open and gaze slightly downwards; since the N2/P2 183 amplitude is enhanced by attention (Lorenz & Garcia-184 Larrea, 2003; Truini et al., 2005), they were requested 185 to mentally count the number of stimuli. The main 186 A $\delta$ -LEP vertex complex, N2–P2, and the lateralised 187 N1 component were recorded through standard disc, 188 non-polarizable Ag/AgCl surface electrodes (diameter 189 10 mm; Biomed<sup>®</sup>, Florence, Italy). N2 and P2 compo-190 nents were recorded from the vertex (Cz) referenced 191 to the earlobes; the N1 component was recorded from 192 the temporal leads (T4) referenced to Fz (Cruccu et al., 193 2008). Blinks and saccades were recorded with an EOG 194 electrode placed on the supero-lateral right canthus 195 connected to the system reference. Ground was placed 196 on the mid-forehead. Skin impedance was kept below 197 5 kΩ. 198

## 2.3.2. Cerebellar transcutaneous direct current stimulation (tcDCS)

tDCS was applied using a battery-driven constant current stimulator (HDCStim, Newronika, Italy) and a pair of electrodes in two saline-soaked synthetic sponges with a surface area of 25 cm<sup>2</sup>. For cathodal stimulation the cathode was centered on the median line 2 cm below the inion, with its lateral borders about 1 cm medially to the mastoid apophysis, and the anode over the right shoulder (Ferrucci et al. 2008, 2012, 2013). For anodal stimulation, the current flow was reversed. In the real tcDCS conditions, direct current was transcranially applied for 20 minutes with an intensity of 2.0 mA, and constant current flow was measured by an ampere meter (current density  $\approx 0.08 \text{ mA/cm}^2$ ). These values are similar to those previously reported for cerebellar stimulation (Ferrucci et al., 2008, 2013), are considered to be safe (Iyer et al., 2005) and are far below the threshold for tissue damage (Nitsche et al., 2003). Apart from occasional and short-lasting tingling and burning sensations below the electrodes, direct current stimulation strength remained below the sensory threshold throughout the experimental session. At the offset of tDCS, the current was decreased in a ramp-like manner, a method shown to achieve a good level of blinding among sessions (Gandiga et al., 2006; Galea, et al., 2009). For a sham tDCS, the current was turned on only for 5 seconds at the beginning of the sham session and then it was turned off in a rampshaped fashion, which induces initial skin sensations indistinguishable from real tDCS.

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For all the electrophysiological recordings we chose the left side to avoid interference from the return electrode placed over the contralateral shoulder. At experimental debriefing, subjects were not able to discriminate between the applied anodal, cathodal and sham tDCS.

Table	1
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Row data (expressed as mean value  $\pm 1$  standard deviation; a = anodal stimulation; c = cathodal stimulation; sh = sham condition). Both psychophysical and electrophysiological data for each subject are fully available, as supplementary electronic material, at http://www.enricasantarcangelo.com/publications

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		aT0	aT1	aT2	cT0	cTl	cT2	shTO	shT1	shT2
PT	mean	4.62	6.07	6.09	4.85	3.76	3.68	4.72	4.66	4.89
	SD	0.80	0.95	0.92	0.86	0.62	0.67	0.98	0.62	0.81
VAS I1	mean	3.89	2.55	2.65	3.67	4.93	4.67	3.87	3.93	3.87
	SD	0.84	0.57	0.62	0.82	0.96	0.82	0.74	0.70	0.92
VAS I <sub>2</sub>	mean	5.40	4.02	4.03	5.24	6.73	6.65	5.33	5.49	5.30
	SD	0.63	0.82	0.71	0.48	0.47	0.49	0.78	0.69	0.64
N1 amplitude (µV)	mean	12.92	8.48	8.01	11.04	14.96	14.94	11.01	11.11	11.21
	SD	3.18	2.98	2.58	2.65	2.58	3.33	2.50	2.67	2.83
N1 latency (ms)	mean	124.19	161.46	157.10	127.04	107.15	104.05	128.17	128.67	130.66
	SD	10.90	13.38	13.68	10.75	6.75	9.12	13.20	12.71	12.09
N2P2 amplitude(µV)	mean	11.14	7.38	7.57	10.52	14.53	13.75	11.14	11.25	11.47
	SD	2.62	2.37	2.33	2.65	2.96	3.29	2.72	2.69	2.16
N2P2 latency (ms)	mean	151.57	189.32	187.26	148.78	126.73	132.30	153.90	151.08	155.51
	SD	13.12	17.49	21.39	22.01	18.49	18.70	14.33	15.07	16.75

## 236 2.3.3. Transcranial magnetic stimulation (TMS)

Changes in Resting Motor Threshold (RMT) were 237 evaluated at different intervals before and after the 238 completion of tcDCS. A Magstim Super Rapid Tran-239 scranial Magnetic Stimulator (Magstim Company, 240 Dyfed, UK, 2.2 T maximum field output) connected 24 to a standard eight-shaped focal coil with wing diame-242 ters of 70 mm was used. The handle of the eight-shaped 243 focal coil was pointed backwards and rotated about 45 244 deg to the mid-sagittal line, to induce a tissue current 245 perpendicular to the motor strip in the precentral sul-246 cus (Rossi et al., 2009; Groppa et al., 2012). RMT 247 was defined as the minimum stimulator output that 248 induces motor evoked potentials (MEPs) of more than 249  $50 \,\mu\text{V}$  in at least five out of 10 trials when first dig-250 ital interosseus (FDI) muscle was completely relaxed 25 (Ni et al., 2007). The motor "hot spot" for the targeted 252 muscle was identified by single pulses of TMS deliv-253 ered at a slightly suprathreshold stimulus intensity and 254 the magnetic stimuli induced monophasic pulses. The 255 coil was placed over the right motor cortex (centered on 256 C4 according with the 10-20 EEG International Sys-257 tem) and electromyographic recordings were made by 258 two standard non-polarizable Ag/AgCl surface elec-259 trodes (diameter 10 mm; Biomed<sup>®</sup>, Florence, Italy), 260 one placed over the belly of the contralateral FDI 261 muscle, and the other on the skin overlying the first 262 metacarpophalangeal joint of the first finger of the left 263 hand. RMT was evaluated to exclude possible cere-264 bellar stimulation spread out inducing motor cortex 265 activation. 266

#### 2.4. Variables and statistical analysis

We studied the subjective experience - perceptive threshold (PT) and pain intensity perceived after laser I1 and I2 (VAS1, VAS2) - and electrophysiological variables, that is the peak-to-peak amplitude of the N1 wave and N2/P2 complex, the peak latency of N1 and N2, as reported in previous papers using Nd:YAG laser (Lefaucheur et al., 2001, 2002).

Analyses were performed through SPSS.15 sta-276 tistical Package. Psychophysical (PT, VAS<sub>1</sub>, VAS<sub>2</sub>) 277 and electrophysiological variables (mean values of 278 ten traces: N1amplitude and latency, N2/P2 ampli-279 tude and latency) as well as Resting Motor Thresholds 280 (RMT) were analysed following a 3 Stimulation con-281 ditions (anodal, cathodal, sham)  $\times$  3 Times (T<sub>0</sub>, T<sub>1</sub>, 282  $T_2$ ) design. The Greenhouse-Geisser  $\varepsilon$  correction for 283 non sphericity was applied when necessary. Con-284 trast analysis between Times (F values) and paired 285 t tests between stimulations were alternatively used 286 for *post-hoc* comparisons, when appropriate. After 287 Bonferroni correction, significance level was set at 288 *p* < 0.007. 289

The changes of all variable in  $T_1$  and  $T_2$ were expressed as ratio between post and pre stimulation values  $(T_1/T_0, T_2/T_0)$  and compared between each other according to a 2 Stimulation (anodal, cathodal) × 2 Times  $(T_1/T_0, T_2/T_0)$ design.

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		(p < 0.0001)	I	
		anodal	cathodal	sham
PT	time	df $F = 44.30$	F=18.67	ns
	T0 vs T1	2,28 F=77.669)	F=27.523	
	T0 vs T2	1,14 F = 78.745	F = 27.827	
	Tl vs T2	1,14 ns	ns	
		anodal vs sham	cathodal vs sham	
	T0	1,14 ns	ns	
	Tl	1,14  t = 5.069	t=6.991	
	T2	1,14  t = 3.709	t = 5.849	
VAS		anodal	cathodal	sham
	time	2,28 F = 41.954	F=31.448	ns
	T0 vs T1	1,14 F = 56.968	F=48.596	
	T0 vs T2	1,14 F = 52.289	F=52.5	
	Tl vs T2	ns	ns	
		anodal vs sham	cathodal vs sham	
	T0	1,14 ns	ns	
	Tl	1,14  t = 6.44	t=5.916	
	T2	1.14  t = 5.294	t=5.82	

Table 2 Contrast analyses: all comparisons were highly significant

#### 3. Results 296

Row data (mean, SD) are shown in Table 1. Base-297 line values were in line with those reported by earlier 298 studies performed by using Nd: YAG laser (Lefaucheur 299 et al., 2001). Indeed, only one study described a longer 300 latency of the N2 wave (Cruccu et al., 2008). The 301 sham stimulation did not modulate any psychophys-302 ical and electrophysiological variable (Table 2). Since 303 no pre-post difference was found for sham polarity, this 304 condition was not included in the comparison between 305 Stimulations and Times. 306

#### 3.1. Psychophysics 307

PT exhibited a significant Stimulation effect 308  $(F_{(2,28)} = 35.055, p < 0.0001, \eta^2 = 0.715)$  and a signifi-309 cant Stimulation  $\times$  Time interaction (F<sub>(4,56)</sub> = 39.464, 310 p < 0.0001,  $\eta^2 = 0.738$ ). Decomposition of the latter 311 (Table 2) revealed that: a) PT was higher for the anodal 312 and lower for the cathodal stimulation conditions com-313 pared with the sham stimulation for both  $T_1$  and  $T_2$ ; 314 b) with respect to  $T_0$ , PT increased in  $T_1$  and  $T_2$  in the 315 anodal condition and decreased in the cathodal con-316 dition, while no significant difference was observed 317 between  $T_1$  and  $T_2$  (Fig. 3A). 318

Significantly different VAS1 and VAS2 were 319 observed for the two stimulation intensities ( $F_{(1,14)}$ ) 320 = 54.262, p < 0.0001) and the three Stimulation con-321 ditions  $(F_{(2,18)} = 88.882, p < 0.0001)$ . Decomposition 322 of the significant Stimulation  $\times$  Time interaction 323

 $(F_{(4,56)} = 115.96, p < 0.0001)$  revealed that the reported pain intensity for both stimulation intensities (VAS1 and VAS2) was higher for the cathodal and lower for the anodal stimulation compared to the sham stimulation (Table 2). It increased in  $T_1$  and decreased in  $T_2$ with respect to  $T_0$ , whereas no significant difference was found between  $T_1$  and  $T_2$  (Fig. 3-B).

#### 3.2. Laser evoked potentials

Figure 4-A shows the LEPs recorded in all experi-332 mental conditions in a representative subject. Both N1 333 and N2/P2 amplitude (N1,  $F_{(4,56)} = 106.95$ , p < 0.0001, 334  $\eta^2 = 0.884$ ; N2/P2, F<sub>(4,56)</sub> = 86.864, p < 0.0001,  $\eta^2 =$ 335 0.861) and latency (N1,  $F_{(4,56)} = 110.869$ , p < 0.0001, 336  $\eta^2 = 0.888; N2/P2, F_{(4.56)} = 36.60, p < 0.0001,$ 337  $\eta^2 = 0.723$ ) exhibited a significant Time  $\times$  Stimulation 338 interaction. Its decomposition (Table 3) showed 339 that both amplitudes increased and both latencies 340 decreased for cathodal stimulation in  $T_1$  and  $T_2$  with 341 respect to  $T_0$ ; the opposite occurred for the anodal 342 stimulation. Both stimulations induced responses 343 significantly different from the sham condition 344 (Fig. 4-B). The responses obtained after cathodal 345 stimulation were significantly improved (higher 346 amplitudes, lower latencies) than those produced 347 by the anodal one (N1 amplitude:  $F_{(1,14)} = 413.45$ , 348 p < 0.0001; N1 latency:  $F_{(1,14)} = 496.228$ , p < 0.0001; 349 N2/P2 amplitude:  $(F_{(1,14)} = 445.37, p < 0.0001; N2/P2$ 350 latency:  $F_{(1,14)} = 119.056, p < 0.0001$ ). 35

#### 3.3. Resting motor thresholds

RMT values at baseline did not differ among exper-353 imental conditions (mean  $\pm$  SD; sham: 50.8  $\pm$  8.3%; 354 anodal:  $49.1 \pm 6.2\%$ ; cathodal:  $50.3 \pm 6.3\%$ ). ANOVA 355 did not reveal any significant Stimulation ( $F_{(2,28)}$  = 356 0.882, p = 0.425,  $\eta^2 = 0.059$ ), Time (F<sub>(2,28)</sub> = 0.212, 357 p = 0.810,  $\eta^2 = 0.015$ ) and Stimulation  $\times$  Time 358 effect (F<sub>(4.56)</sub> = 0.339, p = 0.851,  $\eta^2 = 0.024$ ) for RMT 359 (Fig. 5). 360

#### 4. Discussion

Our study shows that cerebellar direct current 362 polarization modulates nociceptive perception and its 363 cortical correlates in healthy humans. Specifically, 364 cathodal tcDCS increases pain perception, increases 365 amplitudes and decreases LEPs latencies, likely though 366

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Fig. 3. - A. Perceptive Threshold. Changes (mean  $\pm$  S.D) at T<sub>1</sub> and T<sub>2</sub> with respect to baseline values (T<sub>1</sub>/T<sub>0</sub>, T<sub>2</sub>/T<sub>0</sub>), following sham (black), anodal (white) and cathodal (grey) tcDCS. (\*\*p < 0.001; \*\*\*p < 0.0001). B. Changes in visual analogue scale (VAS) scores over time. VAS scores at two different stimulus intensity, respectively two (A, left) and three (B, right) times higher than the PT. (\*\*p < 0.001; \*\*\*p < 0.0001).



Fig. 4. – A. LEPs grand averaging: traces were recorded at baseline ( $T_0$ , black) and immediately after cerebellar polarization ( $T_1$ , red) due to sham (left column), anodal (middle) and cathodal (right) tcDCS. *B*. Histograms showing LEPs variables and VAS scores changes (mean  $\pm$  S.D) after sham (black), anodal (white) or cathodal (grey) tcDCS with respect to baseline. Top panels: changes in N1 variables (amplitude and latency) over time; bottom panels: changes in N2/P2 complex (\*\*p < 0.001; \*\*\*p < 0.0001).

	N1	amplitude	latency	N2/P2	amplitude	latency	
		and	odal		cath	odal	sham
	df						
time	2,28	F=67.152	F=96.489		F=134.912	F=34.946	ns
T0 vs T1	1,14	F = 109.178	F=188.15		F=165,953,	F = 64.281	
T0 vs T2	1,14	F=75.143	F = 167.697		F=145.125	F=37.818	
T1 vs T2	1,14	ns	ns		ns	ns	
		and	odal		cath	odal	
time	2,28	F = 102.281	F=98.717		F = 65.77	F=20.918	ns
T0 vs T1	1,14	F=511.186	F = 104.027		F = 144.112	F = 103.864	
T0 vs T2	1,14	F=96.329	F = 116.841		F=105.183	F=14.012**	
T1 vs T2	1,14	ns	ns		ns	ns	ns
		anodal	vs sham		anodal	vs sham	
T0	1,14	ns	ns		ns	ns	
T1	1,14	ns	t=9.25		t=6.01	6.262	
T2	1,14	ns	t=8.128		t=6.731	5.236	
	cathodal				cathodal vs sham		
T0	1,14	ns	ns		t=3.281*	ns	
T1	1,14	t = 16.594	t=8.029		t = 8.262	t=5.20	
T2	1,14	t=7.309	t=12.669		t=5.048	t=5.301	

 Table 3

 LEPs post-hoc analyses. p < 0.0001 for all comparison except when explicitly indicated: \*\*p < 0.002; \*, p < 0.005 



Fig. 5. - Resting Motor Thresholds. Changes (mean  $\pm$  S.D) in Resting Motor threshold (RMT), expressed as percentage of the maximum stimulator output, after sham (black), anodal (white) and cathodal (grey) tcDCS with respect to baseline, marked as dotted line (\*\*p < 0.001; \*\*\*p < 0.0001).

reduction of the inhibitory tone exerted by the cerebellum on brain targets. Anodal polarization elicits
opposite effects producing analgesia. Both findings
support the role of the cerebellum in pain control;
it is noticeable that cathodal cerebellar stimulation
induces hyperalgesia as occurs in patients with cerebellar infarction (Ruscheweyh et al., 2014).

We would like to underline that, in the present study, LEPs were obtained at laser intensities depending on the perceptive threshold, which varied as a function of anodal and cathodal stimulation. This means that the cerebellar stimulation has not a selective analgesic effect, as it influences both non nociceptive and nociceptive perception. A pre-eminent analgesic cannot be assessed because the nociceptive threshold was not evaluated.

As tcDCS was effective on the modulation of both N1 and N2/P2 components and these responses are generated by parallel and partially segregated spinal pathways reaching different cortical targets

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(Valeriani et al., 2007), we may suggest that the cere-387 bellum is engaged in pain processing by modulating 388 the activity of both somatosensory and cingulate cor-389 tices. Indeed, the cerebellum is involved in both the 390 sensory-discriminative and emotional dimension of 391 pain (Singer et al., 2004; Moriguchi et al., 2007), and 392 non-invasive cerebellar current stimulation may modu-393 late pain experience and the associated cortical activity 394 through many, not alternative mechanisms. In partic-395 ular, changes in N1 reflects the modulation of the 396 sensory component of pain, while the vertex N2/P2 397 represents the neural correlate of affective aspects of 398 pain experience (Garcia-Larrea et al., 1997; Valeriani 399 et al., 2007). Notably, tcDCS may act not only on spinal 400 nociceptive neurons, but also on wide-range cortical networks of the pain matrix (Singer et al., 2004), thus 402 influencing LEPs and pain experience through both 403 top-down and bottom-up mechanisms. 404

The present study does not allow to hypothesize 405 how and where tcDCS influences the cerebellar activ-406 ity. A main role of Purkinje cells has been suggested, 407 as their activity modulation may affect the cerebellar 408 inhibitory control of the cerebral cortex (Galea et al., 409 2009). This would be in line with the effects elicited 410 by tDCS in the cerebral cortex which are observ-411 able after both short and long term delay, likely also 412 interfering with long-term potentiation (LTP)-like phe-413 nomena (Hamada et al., 2012; Priori et al., 2014). 414 Moreover, prolonged spiking activity in the cerebellar 415 Golgi inhibitory neurons modulates the activity of the 416 Purkinje cells and could partly account for the tcDCS 417 after-effects (Hull et al., 2013). 418

The lack of changes in RMT indicates that the anal-419 gesic effects of anodal tcDCS are due to a specific 420 modulation of the cerebellar activity and not to motor 421 activation. On the other hand, tcDCS-induced cerebel-422 lar modulation (Purpura & McMurtry 1965) could be 423 not sufficient per se to activate the cerebello - thalamo 424 - cortical motor pathway (Galea et al., 2009); thus, 425 the reported analgesia and its cortical correlates can-426 not be sustained by the motor cortex activation. This 427 view is supported by the absence of any association 428 between motor symptoms and pain perception in cere-429 bellar patients (Ruscheweyh et al., 2014). In the same 430 line, in healthy subjects it has been recently shown 431 that motor task-induced increased cortical excitability 432 and analgesia are not associated (Volz et al., 2012), 433 Indeed, RMT is a highly sensitive marker of motor 434 tract excitability, as it reflects activation of a small, 435 low-threshold and slow-conducting core of pyramidal 436

neurons (Hess et al., 1987; Rossini & Rossi, 2007); although RMT may reflect changes in the activity of different central nervous system structures, it has been satisfactorily used to assess motor cortex excitability also in cerebellar patients (Battaglia et al., 2006).

Another critical point is the possibility to modulate with tcDCS both neural correlates underlying nociceptive processing and pain perception. Previous studies using tDCS over motor cortex were inconsistent among each other: some works suggested that tDCS is able to modify pain perception (Boggio et al., 2008), while others showed divergent effects on psychophysical and neurophysiological outcome parameters (Luedtke et al., 2012; Ihle et al., 2014), likely due to a possible overestimation of the role of motor areas on pain processing (Antal et al., 2008).

Our findings cannot be compared to the results obtained by other Authors. In fact, the unique study focused on the analgesic effects of non-invasive cerebellar stimulation reported till now (Zunhammer et al., 2011) considered only subjective pain thresholds. In addition, it described similar analgesic effects of cerebellar and neck structures repetitive transcranial magnetic stimulation (rTMS), thus denying any cerebellar specificity in the observed effects and suggesting that the peripheral information passing through the cerebellum may be responsible for analgesia. The main difference between the two studies, possibly accounting for different results, consists of the neuromodulation techniques used.

#### 4.1. Limitations of the study

The present study has a few limitations. First, our 468 findings do not allow any hypothesis on the role of 469 the cerebellum in chronic pain. The observations on 470 patients with cerebellar damage (Ruscheweyh et al., 471 2014) suggest that their impaired inhibitory control 472 mechanisms may be not associated with the devel-473 opment of chronic pain. Second, we cannot exclude 474 the possibility that tcDCS could modulate not only the 475 cerebellum, but also surrounding areas such as the peri-476 aqueductal gray. However, recent modelling researches 477 have revealed that, during tcDCS, the current spread 478 to other structures outside the cerebellum is negligi-479 ble (Parazzini et al., 2013, 2014). Moreover, several 480 studies have proved that in humans pain processing 481 is encoded within posterior areas of each cerebellar 482 hemisphere, specifically in the hemispheric lobule VI, 483 Crus I and VIIb (Moulton et al., 2011), where the 484

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tcDCS-induced electrical field is strongly concentrated 485 (Parazzini et al., 2013). A further limitation is that we 486 did not study the contribution of C-fibers, the main 487 component of spino-ponto-cerebellar and spino-olivo-488 cerebellar pathways. In fact, ultra-late LEPs related 489 to C-fibers activations have not yet been standard-490 ized for clinical application and their occurrence could 491 be markedly influenced by high order, cognitive pro-492 cesses as they seem to be more affected by the level 493 of consciousness and attention than A-delta responses 494 (Qiu et al., 2002; Opsommer et al., 2003; Mouraux & 495 Plaghki, 2006). Finally, we wish to emphasize that in 496 neuropathic patients the effects of the cerebellar stim-497 ulation could be quite different from those described 498 here, as both anatomical and functional connectivity 499 are different from those observed in healthy partici-500 pants (Rocca et al., 2010; Riedl et al., 2011; Absinta et 501 al., 2012; Longo et al., 2012; Ceko et al., 2013). 502

#### 503 5. Conclusions

504 Our findings indicate a cerebellar effect on pain 505 experience and on its cortical correlates and prompt 506 further investigation aimed at assessing whether the 507 cerebellar direct current polarization could be used as a 508 novel and safe therapeutic tool in chronic pain patients.

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