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The LLE, pattern formation and a novel coherent source^{*}

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Abstract. The LLE was introduced in order to provide a paradigmatic model for spontaneous spatial pattern formation in the field of nonlinear optics. In the first part of this paper we describe in details its historical evolution. We underline, first of all, that the multimode instability of optical bistability represents an important precursor of the LLE. Next, we illustrate how the original LLE was conceived in order to describe pattern formation in the planes transverse with respect to the longitudinal direction of propagation of light in the nonlinear medium contained in the optical cavity. We emphasize, in particular, the crucial role of the low transmission limit (also called mean field limit or uniform field limit in the literature) in determining the simplicity of the equation. In discussing transverse pattern formation in the LLE, we underline incidentally the presence of very important quantum aspects related to squeezing of quantum fluctuations and to quantum imaging. We consider not only the case of global patterns but also localized structures (cavity solitons and their control). Then we turn to the temporal/longitudinal version of the LLE, formulated by Haelterman, Trillo and Wabnitz, and to its equivalence with the transverse LLE in 1D, discussing especially the phenomenon of temporal cavity solitons, their experimental observation and their control. Finally for the first part we turn to the very recent topic of broadband frequency combs, observed in a versatile multiwavelength coherent source (driven Kerr microcavity), which is raising a lot of interest and of research activities because of its very favourable physical characteristics, which support quite promising applicative perspectives. Kerr microcavities realize in an ideal manner the basic assumptions of the LLE, and the spontaneous formation of travelling patterns along the microcavity is the crucial mechanism which creates the combs and governs their features. Thus the LLE represents a case of spontaneous pattern formation which is immediately linked to a promising applicative avenue. The second part of the paper is devoted to the detailed derivation from the Maxwell-Bloch equations of the temporal/longitudinal LLE which was proposed by ourselves many years ago without providing a complete derivation. Such an equation is equivalent to the standard temporal/longitudinal version of the LLE in the case of anomalous dispersion. Our derivation elucidates in the best way the connection between the temporal/longitudinal version of the LLE and the multimode instability of optical bistability.

1 1 Introduction

This article concerns the equation, proposed by one of 2 us and R. Lefever nearly thirty years ago [1], that in the 3 following we call LLE. From a mathematical standpoint, 4 it can be defined as a driven, damped and detuned non-5 linear Schroedinger equation. With respect to commonly 6 used equations such as, for example, the Ginzburg-Landau 7 equation, a distinctive feature of the LLE is represented 8 by the inhomogeneous driving term, which discloses a uni-9 verse of physical effects. 10

The original aim of the LLE was to provide a paradigm 11 for pattern formation \dot{a} la Turing [2] in nonlinear optical 12 systems. Phenomena of spontaneous pattern formation, 13 both of spatial and temporal nature, are ubiquitous in 14 the vast domain of nonlinear dynamical systems, encom-15 passing e.g. hydrodynamics, chemistry, biology, popula-16 tion dynamics, social sciences. General disciplines such as 17 Haken's synergetics [3] or Prigogine's theory of dissipative 18 structures [4] have tried to unify this field and to identify 19 some general principles that govern these phenomena. As 20 already underlined in 1994 [5], the case of optics presents 21 two special features that are interesting and stimulating in 22 this connection. First, optical systems are fast and have 23 a large frequency bandwidth, therefore they lend them-24 selves naturally to applicative perspectives, for instance in 25

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telecommunications and information processing. The sec ond relevant feature is that optical systems display inter esting quantum effects at room temperature and therefore

they can play an important role in quantum technologies. 4 5 The model [1] was constructed by following a criterion of simplicity, which led to the selection of a cubic Kerr 6 nonlinearity, of an optical ring cavity driven by a cw co-7 herent input field and of conditions that, in the stationary 8 states, ensure the uniformity of the electric field envelope 9 along the cavity. As it is well known, the Kerr nonlinearity 10 in a cavity leads to a dominant bistable scenario [6]. The 11 combination of nonlinearity and diffraction gives rise to a 12 variety of 1D and 2D patterns, and to cavity solitons, in 13 the transverse planes orthogonal to the longitudinal direc-14 tion of propagation. 15

Five years later Haelterman, Trillo and Wabnitz [7] 16 formulated the temporal/longitudinal version of the LLE 17 in which, basically, diffraction is replaced by group ve-18 locity dispersion or, from a mathematical viewpoint, the 19 transverse Laplacian with respect to the transverse spatial 20 variables is replaced by the second derivative with respect 21 to the retarded time in the cavity. Even if the LLE in [7] 22 23 is mathematically fully equivalent to the LLE in [1] in 24 1D, the physical conditions are complementary because in the case of [7] the electric field envelope is uniform in the 25 transverse plane, whereas it develops patterns and cavity 26 solitons in the longitudinal direction. Such patterns prop-27 agate along the cavity with the light velocity (therefore 28 they are longitudinal/temporal patterns) and, in the out-29 put of the cavity, they generate a periodic train of pulses. 30

In this way, the LLE unifies spatial phenomena, that arise in the transverse planes, with spatio-temporal phenomena that occur in the longitudinal direction.

On the other hand, the temporal/longitudinal version 34 of the LLE is naturally linked to works by Bonifacio and 35 one of us, appeared well before the LLE itself, which pre-36 dicted the same kind of phenomena in the framework of 37 the multimode instability in the two-level model of opti-38 cal bistability [8,9]. The advantage of the LLE is, however, 39 that it identifies conditions in which such phenomena are 40 by far more accessible experimentally and display features 41 much richer and promising for applicative perspectives. 42

Frequency combs are sets of equidistant frequency lines 43 in short-pulse mode-locked lasers. Their development by 44 Hall [10] and Haensch [11] revolutionized the measure-45 ment of frequencies and opened out a vast scenario of ap-46 plications in fundamental and applied physics. Recently 47 Kippenberg et al. demonstrated the realization of broad-48 band frequency combs using the whispering gallery modes 49 in high-Q microresonators containing Kerr media [12]. The 50 generation of such Kerr frequency combs occurs from the 51 four-wave-mixing (FWM) processes activated by the inter-52 53 action between the monochromatic driving field, injected with a frequency resonant or nearly resonant with a cav-54 ity mode, and the Kerr medium. Microresonator Kerr fre-55 quency combs are foreseen to have a strong impact as a 56 compact, low cost, low-power, chip compatible technol-57 ogy, which has stimulated a considerable worldwide effort 58 in this approach. 59

Chembo [13,14], Coen [15,16], and Matsko [17] (in al-60 phabetical order) with their collaborators have demon-61 strated that the LLE (or its generalizations which include 62 higher order dispersion terms) is the appropriate model for 63 the description of Kerr comb generation and can be con-64 veniently utilized to explore and predict the comb charac-65 teristics as a function of the system parameters. From the 66 spatio-temporal viewpoint, the spontaneous formation of 67 travelling patterns along the cavity, described by the LLE, 68 is the crucial mechanism which creates the combs and gov-69 erns their features. The spectacular technological progress 70 in the field of photonics, leading to the discovery of Kerr 71 frequency combs, has implicitly realized all the rather ide-72 alized conditions assumed in the formulation of the LLE. 73

Since the seventies, it is well known that a strong signal 74 field which saturates a two-level medium can induce gain 75 in a weak probe beam with a frequency different from that 76 of the signal field [18-20]. This concept is at the root of 77 the multimode instability of optical bistability. Even if the 78 system is passive, the strong driving field can induce gain 79 in sidemodes of the resonant mode, and this gain origi-80 nates the instability, the traveling pattern and the pulsed 81 output [8,9,21]. Thus, with respect to the sidemodes the 82 system behaves as active, i.e. as a source. 83

The parametric conditions considered in [8,9] are un-84 favourable from an experimental viewpoint and give rise 85 to narrowband frequency combs. Instead, Kerr frequency 86 combs as those generated in [12] and in many other ex-87 periments (see e.g. [22–25]) are broadband and can arrive 88 at spanning an octave. Thus, the systems which generate 89 such combs can be regarded as novel coherent multiwave-90 length sources, where all the lines, with the exception of 91 the central line corresponding to the driving frequency, 92 are created by the gain induced by the FWM processes. 93 Experimentally observed combs are compared with the 94 predictions of the LLE in [15, 16, 26, 27]. In [26] universal 95 scaling laws of Kerr frequency combs are derived from the 96 LLE. 97

The investigations in the vast area of pattern forma-98 tion, theoretical and experimental, have typically been of 99 purely fundamental character. The case of the LLE is spe-100 cial because it is intimately linked to the realization of a 101 versatile multiwavelength coherent source, that brings im-102 portant promises also to applied physics, especially to ul-103 tradense optical fiber networks, because it provides several 104 independent but frequency locked subcarriers that can be 105 controlled precisely and individually. Each element of the 106 comb can be utilized as carrier for coherent data trans-107 mission at long distance, with quite promising character-108 istics [28,29]. A review of the field of Kerr combs, which 109 includes a discussion of applicative perspectives, can be 110 found in [30]. 111

The aim of this article is twofold. The first is to describe, in Section 2, the history centered around the LLE. 113 Many points have been already discussed in the introduction, but in Section 2 we add all the necessary details. 115

The second aim arises from the fact that several years 116 ago the same authors of the present article formulated [31] 117 a longitudinal version of the LLE which is equivalent to 118

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that introduced in [7] (in the case of anomalous disper-1 sion) and includes the group velocity dispersion term, but 2 is derived from the multimode two-level model in the limit 3 of large atomic detuning, which in turn implies the cu-4 bic approximation. In [31] such a longitudinal model was 5 described as obtained from the direct generalization of 6 the derivation of the three-mode model given in [32], but 7 its detailed derivation was not provided there. We de-8 scribe it here, in Section 3, especially because it eluci-9 dates in the best way the connection between the tempo-10 ral/longitudinal version of the LLE, introduced in [7], and 11 the multimode instability of two-level optical bistability. 12 Some conclusions are drawn in Section 4. 13

14 In the following, for uniformity of notations and of 15 procedures we will systematically refer to the treatment 16 of the book [21].

17 2 The history around the LLE

18 2.1 Pre-history

The search for the multimode instability of optical bistability [8,9] (see also pages 291–296 in Ref. [21]) was inspired by the multimode laser instability discovered by
Risken and Nummedal [33] and Graham and Haken [34],
but in this case the instability arises in a passive driven
system, which represents a totally different physical
context.

The model describes a system of two-level atoms 26 contained in a ring cavity and driven by a coherent, 27 monochromatic, stationary field injected into the cavity. 28 As a consequence of the instability, a periodic pattern 29 forms in the slowly varying envelope of the electric field 30 travelling along the cavity and generates, in the output, 31 a regular train of pulses (self-pulsing). Thus, the system 32 works as a converter of cw light to pulsed light [35]. The 33 instability was first predicted under conditions of exact 34 resonance between the frequency of the input field, a cav-35 ity frequency and the atomic transition frequency [8,9], 36 and was then extended to the detuned configurations [36]. 37

For the parametric ranges examined in [8,9,35,36] the rise of the instability requires a long cavity. The first experimental observation of this phenomenon was obtained by Segard and Macke under detuned conditions using a folded 182-m long cavity operated in the microwave regime [37]. The frequency comb in the output displayed four peaks around the central one (Fig. 1).

45 2.2 The LLE and transverse spatial patterns

The LLE was conceived with the aim of providing, in the
framework of optics, a model which could play the same
paradigmatic role as the Prigogine-Lefever model [38],
usually called *Brusselator*, in nonlinear chemical reactions.
The latter model consists in two coupled nonlinear equations which govern the interaction of two reactants in an
open environment. The formation of Turing patterns is



Fig. 1. Frequency comb observed in the multimode instability of optical bistability [37]. The different peaks correspond to field frequencies equal to (0) $\nu_0 = \omega_0/2\pi$ input field frequency, (1) $\nu_0 - \nu_{\rm sp}$, (2) $\nu_0 + \nu_{\rm sp}$, (3) $\nu_0 - 2\nu_{\rm sp}$, (4) $\nu_0 + 2\nu_{\rm sp}$, where $\nu_{\rm sp}$ is the frequency of the spontaneous oscillations in the output intensity, which arise from the instability. Reprinted figure from reference [37], with permission by American Physical Society.



Fig. 2. Top: a transverse pattern may arise when a broad section coherent beam interacts with a nonlinear medium. Bottom: example of patterns observed in Na vapor by Lange, Ackemann et al.

induced by the interplay of the nonlinearity with the diffusion of the reactants. The pattern formation occurs in 2D, i.e. in a "large aspect ratio" configuration in which the system is contained in a vessel that is large in the spatial directions x and y and thin in the third direction z, so that the variable z does not appear in the model. 58

In the case of optics, the role of diffusion is played by 59 diffraction and the coordinates x and y are those which 60 span the planes orthogonal to the longitudinal direction 61 z along which the light propagates (see Fig. 2). In the 62 paraxial approximation, diffraction is described by a term 63 proportional to the transverse Laplacian of the electric 64 field envelope, exactly as diffusion is described by terms 65 proportional to the transverse Laplacian of the concen-66 tration of the reactants. A basic difference is that in the 67 case of diffraction the Laplacian is multiplied by the imag-68 inary unit. The field envelope E(x, y, z, t) is related to the 69 electric field (assumed linearly polarized for simplicity) 70 Page 4 of 16

1 $\mathcal{E}(x, y, z, t)$ in the following way

$$\mathcal{E}(x, y, z, t) = \frac{1}{2} E(x, y, z, t) \exp[-i\omega_0(t - z/c)] + \text{c.c.}, (1)$$

2 where ω_0 is the frequency of the input field.

On the other hand, by looking at Figure 2 one real-3 4 izes that in general optical systems are far from having 5 a large aspect ratio, because the laser field which interacts with the nonlinear medium propagates along it in 6 the longitudinal direction z and therefore the z variable 7 8 cannot be ignored in general. A necessary step to solve 9 this problem is to consider a configuration in which the 10 nonlinear medium is contained in an optical cavity. In the following we consider a ring cavity with planar mirrors for 11 definiteness. 12

In the description of a two-level system interacting 13 with a coherent field within the cavity, the key ele-14 ments are the Maxwell-Bloch equations (see Sect. 4.4 in 15 Ref. [21]) and the field boundary condition in the ring cav-16 ity introduced in [39] (see Eq. (8.36) in Ref. [21]). Such a 17 condition introduces a basic characteristic time, i.e. the 18 cavity roundtrip time \mathcal{L}/\tilde{c} , where \mathcal{L} is the cavity length 19 and \tilde{c} is the light velocity in the material, and corresponds 20 to the inverse of the free spectral range (apart from a fac-21 tor 2π). For the sake of simplicity, we assume that the 22 length of the sample is equal to the cavity length, i.e. the 23 material fills the whole cavity. 24

In the rate equation limit, Ikeda [40] converted the 25 Maxwell-Bloch equations and their boundary condition 26 into a set of difference-differential equations and, in ap-27 propriate parametric conditions, into a set of difference 28 29 equations (map) which govern the evolution of the field 30 envelope and of an appropriate auxiliary variable at each 31 roundtrip. The main virtue of this procedure is that it led to predicting the possibility of optical chaos in optical 32 bistability [40]. The first models, which were used to de-33 scribe transverse pattern formation in optical systems [41], 34 were a generalization of the Ikeda procedure to include 35 diffraction, but far from the simplicity of the Brusselator. 36

The limitation of the map approach is that it fails to 37 identify the second basic characteristic time of the field 38 envelope, i.e. the cavity decay time (or lifetime of photons 39 in the cavity) $\mathcal{L}/\tilde{c}T$, where T is the intensity transmis-40 sivity coefficient of the input and output mirrors of the 41 cavity. Such a temporal scale emerges as soon as the mir-42 ror transmissivity becomes small. The cavity decay time 43 corresponds to the inverse of the cavity linewidth. 44

The limit which allows to capture the advantages
linked to the second characteristic time is the so-called
low transmission limit (also called mean field limit or uniform field limit in the literature) first introduced in [39].
This is the following multiple limit

$$T \ll 1, \quad \alpha' \mathcal{L} \ll 1 \quad \text{with} \quad C = \frac{\alpha' \mathcal{L}}{2T} \quad \text{arbitrary}, \quad (2)$$

so where α' is the field absorption coefficient, C is the bistability parameter, and

$$|\delta_0| = \frac{|\omega_c - \omega_0|}{\tilde{c}/\mathcal{L}} \ll 1 \quad \text{with} \quad \theta = \frac{\delta_0}{T} \quad \text{arbitrary}, \quad (3)$$

where ω_0 is the frequency of the input field which is in-52 jected into the cavity and ω_c is the cavity frequency closest 53 to ω_0 . Condition (2) states that in a single pass through 54 the atomic medium the field envelope undergoes a neg-55 ligible variation but, since the lifetime of photons in the 56 cavity corresponds to several roundtrips because $T \ll 1$, 57 the field envelope undergoes a sizable variation over the 58 long time scale $\mathcal{L}/\tilde{c}T$. On the other hand condition (3) 59 states that the frequency difference between the resonant 60 cavity frequency and the input frequency is small with re-61 spect to the free spectral range and on the order of the 62 cavity linewidth. Condition $\alpha' \mathcal{L} \ll 1$ can be realized ei-63 ther using a short cavity or a weak nonlinearity. Condition 64 $T \ll 1$ implies that the cavity is high-Q. 65

In the low transmission limit the Maxwell-Bloch equations are conveniently rephrased in the form of equation (16) which appear in the following of this paper (see also Sect. 12.2 in Ref. [21]) and the field boundary condition in the ring cavity reduces to a periodic boundary condition (see Sect. 12.1 in Ref. [21]).

If one assumes, in addition to conditions (2), (3), that 72 only the resonant cavity mode has a nonzero amplitude 73 (singlemode limit), one has that the field envelope is uni-74 form along the cavity, so that the field envelope varies 75 only with respect to time (with the temporal scale of the 76 cavity decay time) and to the transverse variables x and 77 y (see Fig. 1), and this feature makes it possible to formu-78 late a model for transverse optical pattern formation with 79 the same level of simplicity as the Brusselator. In order to 80 achieve this, the model must involve only the field enve-81 lope, which is a complex variable, so that the model itself 82 amounts to two coupled real equations as the Brusselator. 83 This implies that atomic variables must not appear in the 84 model; this can be obtained by adiabatically eliminating 85 the atomic variables or by directly introducing a nonlinear 86 term (expressed in terms of the field envelope) in the field 87 envelope equation. 88

In the formulation of the LLE, the choice of the nonlin-89 earity was dictated by the criterion of maximum simplic-90 ity. Quadratic nonlinearities are not appropriate because 91 they involve two envelopes, one for the fundamental fre-92 quency and one for the second harmonic. Therefore the 93 simplest choice is that of a cubic nonlinearity, i.e. the Kerr 94 nonlinearity. As a conclusion, the LLE involves the follow-95 ing terms: the time derivative, the transverse Laplacian 96 which describes diffraction, the Kerr nonlinear term, a 97 term which describes the driving input field and two terms 98 related to the ring cavity 99

 $\frac{\partial E}{\partial \bar{t}} = E_I - E - i\theta E + i\eta |E|^2 E + i\nabla_{\perp}^2 E,$

with

$$\nabla_{\perp}^2 E = \frac{\partial^2 E}{\partial \bar{x}^2} + \frac{\partial^2 E}{\partial \bar{y}^2}.$$
 (5)

In equation (4) E and E_I (the input field amplitude) are 101 appropriately normalized in order to reduce to a minimum the number of parameters which appear in the equation (see [1] and Sect. 27.1 in Ref. [21]), the normalization involves also the nonlinear susceptibility $\chi^{(3)}$). The 105

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(4)

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1 quantities $\overline{t}, \overline{x}, \overline{y}$ are normalized coordinates defined as

$$\bar{t} = \kappa t = \frac{\tilde{c}T}{\mathcal{L}}t, \ \bar{x} = \frac{x}{x_T}, \ \bar{y} = \frac{y}{x_T}, \quad \text{with} \quad x_T \propto \frac{\sqrt{\lambda \mathcal{L}}}{T},$$
(6)

where κ is the cavity decay rate, x_T is the characteris-2 tic scale of transverse optical patterns and λ is the wave-3 length. The first term on the r.h.s. of equation (4) intro-4 duces the input field, which is assumed independent of the 5 spatial variables and is usually assumed independent of t, 6 but may also be time-dependent when a pulse is injected 7 in the cavity in addition to the stationary field. The sec-8 ond term describes the escape of photons from the cavity, 9 the third is the detuning term, where θ is defined in equa-10 tion (3). In the nonlinear term, the parameter η is equal to 11 +1 in the self-focussing case, to -1 in the self-defocussing 12 case. ∇^2_{\perp} is the transverse Laplacian. 13

At this point two remarks are in order. First, in the low transmission limit in which the LLE is valid, the "map" procedure to calculate the time evolution roundtrip after roundtrip [41,42] is inconvenient because the roundtrip acavity time is not the correct time scale, and this method prequires an exceedingly large number of iterations to converge.

The second remark is that a realistic model for non-21 linear chemical reactions requires many more than two 22 differential equations, as described in [43]. On the other 23 hand, the LLE is a realistic model which, despite its rel-24 ative simplicity, captures the essential physical elements 25 of the system it describes and is capable of governing a 26 complex multimodal reality, a large variety of pattern for-27 mation phenomena not only transverse as in the case of 28 equation (4) but also longitudinal as in the case of the 29 following equation (13), and in the frequency domain. 30

If we set $\theta = \eta \bar{\theta}$ the LLE (4) becomes

$$\frac{\partial E}{\partial \bar{t}} = E_I - E - i\eta \left(\bar{\theta} - |E|^2\right) E + i\nabla_{\perp}^2 E.$$
(7)

32 If we define

$$X = |E|^2, \quad Y = E_I^2 \tag{8}$$

where E_I is assumed real, the homogeneous $(\nabla_{\perp}^2 E = 0)$, stationary $(\partial E/\partial \bar{t} = 0)$ solutions obey the cubic equation s

$$Y = X \left[1 + \left(\bar{\theta} - X \right)^2 \right], \tag{9}$$

that was formulated in the paper [6] which reported on the first experimental observation of optical bistability. As a matter of fact, as it is well known for $\theta > \sqrt{3}$ the stationary curve (9) of X as a function of Y is S-shaped, and the negative-slope segment of the steady-state curve is unstable (see Fig. 11.6 of Ref. [21]).

The linear stability analysis of [1,44] showed that un-42 der appropriate conditions one or more segments of the 43 homogeneous stationary curve with positive slope become 44 unstable (modulational instability), so that there is the 45 possibility of the formation of a stable stationary pattern. 46 The calculation of the modulated solution was done ana-47 lytically in [1,44] in the case of one transverse dimension, 48 and the result was that the bifurcation is supercritical 49



Fig. 3. The lefthand figure indicates that the (unstable) stationary state corresponds to the origin of the Fourier plane (far field). The righthand figure indicates the points in the Fourier plane corresponding to the tilted plane waves emitted just beyond the spatial instability threshold.



Fig. 4. (a) In the four-wave mixing process, two symmetrically tilted plane waves may be emitted just beyond the instability threshold; (b) Fourier plane configuration of the field described by (a).

(and therefore the modulated solution is stable near the bifurcation point) when $\overline{\theta} > 41/30$, and has a typical sinusoidal configuration near the instability threshold. 52

In [45] Grynberg showed that nonlinear optics provides a simple guideline to predict which kind of patterns arise from a spatial modulational instability associated with a certain optical nonlinearity. The field configuration beyond the instability threshold can be written in the form 57

$$E(x,y) = E_{st} \mathrm{e}^{i\mathbf{0}\cdot\mathbf{x}} + \sum_{j} b_{j} \mathrm{e}^{i\mathbf{k}_{j}\cdot\mathbf{x}},\tag{10}$$

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where E_{st} is the value of E in the unstable stationary state 59 which is considered, $\mathbf{x} = (x, y)$ is the position vector in the 60 transverse plane and $\mathbf{k} = (k_x, k_y)$ is the transverse wave 61 vector. In the Fourier plane of the variables k_x , k_y , i.e. in 62 the far field, equation (10) corresponds to what shown in 63 Figure 3. The exponential factor in the first term in the 64 r.h.s. of equation (10), which is equal to unity, has been 65 introduced to indicate that this term corresponds to the 66 point $\mathbf{k} = \mathbf{0}$ in the Fourier plane. The vectors \mathbf{k}_i lie on 67 the critical circle which is associated with the instability 68 (see Sect. 27.2 of Ref. [21]). 69

The Kerr nonlinearity corresponds to the process of 70 four-wave mixing. A possibility is that two pump pho-71 tons which propagate in the longitudinal direction z are 72 absorbed by the medium, and that simultaneously two 73 photons which propagate symmetrically (transverse wave 74 vectors \mathbf{k} , $-\mathbf{k}$) are emitted (Fig. 4a). This kind of process 75 leads to a far field with a central spot corresponding to 76 the pump wave plus two symmetrical spots corresponding 77 to the two tilted waves (Fig. 4b). Expressing in formulas, 78



Fig. 5. The generation of a hexagonal far field (see text). Reprinted figure from reference [19], with permission from Cambridge University Press.

1 this amounts to

$$E(\mathbf{x}) = E_{st} e^{i\mathbf{0}\cdot\mathbf{x}} + \sigma e^{i\phi_+} e^{i\mathbf{k}\cdot\mathbf{x}} + \sigma e^{i\phi_-} e^{-i\mathbf{k}\cdot\mathbf{x}}$$
$$= E_{st} + 2\sigma \cos\left(\mathbf{k}\cdot\mathbf{x} + \frac{\phi_+ - \phi_-}{2}\right) e^{i\frac{\phi_+ + \phi_-}{2}}.$$
 (11)

2 Due to the rotational symmetry any rotated version of3 Figure 4b is possible.

Let us now consider for a while the case of one transverse dimension which can be realized, for example, in a waveguide configuration. In this case equation (11) reduces to

$$E(y) = E_{st} + 2\sigma \cos\left(ky + \frac{\phi_+ - \phi_-}{2}\right) e^{i\frac{\phi_+ + \phi_-}{2}}.$$
 (12)

A remark of paramount importance is now that the two 8 photons, emitted in symmetrically tilted directions, are 9 in a state of *quantum entanglement* (they are precisely 10 correlated, for example, in energy and momentum). This 11 fact is fundamental for the quantum aspects of optical pat-12 terns. For instance, the difference between the intensities 13 of the two symmetrically tilted beams is squeezed, i.e. ex-14 hibits fluctuations below the shot noise level [46]. In turn, 15 such quantum aspects are basic for the field of quantum 16 imaging [47, 48]. 17

18 Let us now turn the case of two transverse dimensions, 19 in which equation (11) corresponds to a roll (i.e. stripe) 20 pattern. However, as shown in [45], in 2D the stripe pattern created by the FWM process is unstable (Fig. 5). As 21 a matter of fact, a second FWM process creates two pho-22 tons (2 and 6) from 0 and 1, and the pair 3 and 5 from 0 23 and 4, all with conservation of the total transverse photon 24 momentum, and this gives rise to a hexagonal structure in 25 the far field. Gomila and Colet [49,50] analyzed the com-26 plex scenario of hexagonal patterns which arise in the near 27 field over the parameter space, in many cases the pattern 28 exhibits a dynamical (and in some cases chaotic) behavior. 29

30 2.3 Spatial cavity solitons

In the field of spatial pattern formation one meets, in addition to global patterns the elements of which are mutually
well correlated, also the case of *localized structures* formed



Fig. 6. A typical Kerr cavity soliton, showing a bright peak on a darker homogeneous background with a few weak diffraction rings. The modulus of the normalized intracavity field is plotted as a function of the transverse coordinates x and y. Reprinted figure from reference [50] with permission from the Optical Society of America.

by one or more elements that are independent provided that they are not too close to one another (see e.g. [51]). 35 In the framework of nonlinear optics, the possibility of localized structures was first predicted by Tlidi, Mandel and Lefever [52]; they are usually called with the name of *cavity solitons* introduced by Firth and correspond to isolated intensity peaks. 40

Cavity solitons in the framework of the LLE were analyzed over the parameter space by Firth et al. [53] (see Fig. 6). Their theoretical investigation showed also that, when the driving field intensity is increased, the cavity solitons may start breathing, i.e. their height and width oscillate periodically in time.

Reviews of the topic of cavity solitons can be found 47 in [54,55] and in chapter 30 of reference [21]. Figure 7 il-48 lustrates the standard procedure used to generate cavity 49 solitons by means of optical resonators containing nonlin-50 ear materials. The energy is provided to the system by a 51 broad area, coherent and stationary holding beam that is 52 injected into the cavity. The system lies initially in a uni-53 form stationary state. In order to create a cavity soliton, 54 one injects into the cavity a short and narrow "writing" 55 pulse. Provided the pulse is (approximately) in phase with 56 the holding beam, the intensity locally increases and, in 57 the output transverse profile, one has the formation of a 58 bright intensity peak. When the writing pulse goes out of 59 the cavity, the peak persists where it has been excited. 60 Therefore the cavity soliton remains in the memory of the 61 system. By injecting other writing pulses in different lo-62 cations of the transverse section one can turn on as many 63 cavity solitons as one likes, provided that the distances 64 among them are larger than a minimal distance below 65 which they interact. In order to switch a cavity soliton 66 off, with no consequences for the other cavity solitons, it 67 suffices to shoot, at the location where a cavity soliton 68 lies, an "erasing" pulse similar to the "writing" one but 69

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Fig. 7. A coherent, stationary, quasi plane-wave holding beam drives the optical cavity containing a nonlinear medium. The injection of narrow laser pulses creates persistent localized intensity peaks in the output (cavity solitons). Reprinted figure from reference [21] with permission from Cambridge University Press.

with (approximately) opposite phase with respect to the
 holding beam.

A fundamental property of cavity solitons is that they 3 move spontaneously when they are in presence of phase or 4 amplitude gradients in the holding beam, or a temperature 5 6 gradient in the material. For example, in a phase gradient 7 a cavity soliton moves spontaneously towards the nearest 8 local maximum of the phase profile, and remains there 9 indefinitely. By exploiting this mechanism it is possible to introduce appropriate phase modulations in the holding 10 beam and realize reconfigurable arrays of cavity solitons, 11 serial-to-parallel converters etc. 12

Because of its paradigmatic simplicity, the LLE has 13 been extensively used by the optical community, and it 14 has been even called the "hydrogen atom" of nonlinear 15 cavities [56]. However, only recently Kerr cavities with a 16 large aspect ratio have been realized, and transverse pat-17 terns and solitons observed [57,58]. For this reason cav-18 ity solitons have been analyzed theoretically mainly in 19 a model which describes a semiconductor microresonator 20 (see Sect. 30.3 of Ref. [21]). This model is substantially 21 more complex than the LLE because it includes, in addi-22 tion to the time evolution equation for the field envelope, 23 also a time evolution equation for a variable which is im-24 mediately linked to the carrier density in the semiconduc-25 tor. Another important difference is that this system is 26 active, i.e. it has population inversion, even it works as an 27 amplifier because it is kept slightly below the threshold 28 for laser emission. 29

Such a system has been realized experimentally using broad area (circular section with diameter of $150/200 \ \mu$ m) VCSELs below threshold, in a configuration which satisfies very well all the conditions of the low transmission and of the singlemode limit. This has led to the first experimental observation of cavity solitons [59] with their writing and erasing and, subsequently, of arrays of cavity solitons [60].

37 2.4 The temporal/longitudinal version of the LLE

In formulating the temporal/longitudinal version of the
LLE the authors of [7] were inspired by the analogy between two kinds of Hamiltonian solitons

41 - temporal solitons, which propagate without deforma-42 tion in the longitudinal direction z and are governed by a nonlinear Schroedinger equation with a second derivative with respect to the retarded time, which describes group velocity dispersion;

spatial solitons, which are "tubes" of radiation described by a similar Schroedinger equation, with dispersion replaced by diffraction, i.e. with the transverse Laplacian;

and they extended this analogy to the dissipative case of 50 cavity solitons, proceeding in reverse order with respect 51 to the Hamiltonian configuration. 52

They considered [7] a nonlinear fiber loop with an in-53 put/output mirror, in the practical realizations the mir-54 ror is replaced by input and output fiber couplers They 55 started from the nonlinear Schroedinger equation with dis-56 persion, combining it with the boundary condition of the 57 cavity. Using the low transmission limit but not the sin-58 glemode limit, after a long sequence of steps one arrives at 59 the temporal/longitudinal version of the LLE 60

$$\frac{\partial E}{\partial \bar{t}} = E_I - E - i\theta E + i|E|^2 E - i\bar{\eta} \frac{\partial^2 E}{\partial \bar{\tau}^2} \qquad (13)$$

where \overline{t} is defined by equation (6), $\overline{\tau}$ is also dimensionless 61 and proportional to the retarded time $\tau = t - z/v_g$, v_g be-62 ing the group velocity of light, $\overline{\eta}$ is equal to +1 in the case 63 of normal dispersion and to (-1) in the case of anoma-64 lous dispersion. As in the case of the spatial LLE (4), E 65 and E_I are normalized in such a way that the number 66 of parameters is reduced to the minimum. It is evident 67 that, apart from the presence of the parameters η and $\overline{\eta}$, 68 the temporal/longitudinal version (13) corresponds to the 69 transverse version (4) with the diffraction term replaced 70 by the group velocity dispersion term. 71

While the transverse model involves the temporal vari-72 able \bar{t} and the two spatial variables x, y, the temporal/ 73 longitudinal model involves two temporal variables. The 74 first one is the same slow variable \bar{t} as in the transverse 75 version, which describes phenomena occurring on the long 76 scale of the cavity decay time, the second one is the fast 77 temporal variable $\bar{\tau}$, which describes phenomena occurring 78 on the short scale of the cavity roundtrip time. Therefore 79 the temporal/longitudinal version of the LLE is formally 80 identical to the transverse version in 1D. 81

The dependence on the retarded time corresponds to a 1D pattern in the longitudinal direction z, and the pattern z circulates in the ring fiber loop with the velocity of light. z

More precisely, in the case of anomalous dispersion $\overline{\eta} =$ 85 -1 the temporal/longitudinal equation (13) is formally 86 identical to the transverse equation (4) in 1D in the selffocussing case $\eta = 1$. In the case of normal dispersion 88 $\overline{\eta} = 1$, the complex conjugate of the temporal/longitudinal 89 version equation (13) reads 90

$$\frac{\partial E^*}{\partial \bar{t}} = E_I - E^* - i\left(|E^*|^2 - \theta\right)E^* + i\frac{\partial^2 E^*}{\partial \bar{\tau}^2},\qquad(14)$$

where, as before, we have assumed that E_I is real. Equation (14) is formally identical to the transverse 1D version of equation (7) in the self-defocussing case $\eta = -1$, provided that E is replaced by E^* and $\overline{\theta}$ is replaced by θ . The replacement of E by E^* is immaterial for the intensity. Page 8 of 16



Fig. 8. Intensity profile of a temporal cavity soliton. Reprinted figure from reference [62] with permission from Optical Society of America.

A key point is that the temporal/longitudinal version of the LLE can be easily realized experimentally, because standard silica fibers display a perfect Kerr nonlinearity and the ring cavity can be easily constructed using off the shelf optical components and fibers.

The results that we have described before for the 1D 6 7 case of the transverse LLE hold unaltered for the tempo-8 ral/longitudinal version, provided the transverse variable 9 y is replaced by the retarded time τ and the spatial fre-10 quency k is replaced by the temporal frequency offset Ω . In particular this is true for the modulational instability 11 and for the pattern (12) which arises near the instability 12 13 threshold. Such sinusoidal patterns have been observed 14 experimentally by Coen and Haelterman [61, 62].

A temporal cavity soliton is a narrow pulse which cir-15 culates indefinitely (with the velocity of light) in the fiber 16 cavity without deformation, apart from fluctuations, with 17 a period equal to the cavity roundtrip time (Fig. 8). In 18 the case of the transverse LLE, cavity solitons sit on the 19 pedestal of a stable homogeneous stationary solution, in 20 the temporal/longitudinal version they sit on the pedestal 21 of a stable stationary solution (stationary with respect to 22 both t and τ). Temporal cavity solitons are excited by in-23 jecting into the cavity short address pulses that add to the 24 25 stationary driving field.

It is interesting to note [26] that for $\theta > 0$ the function 27

$$E_{cs}(\bar{\tau}) = \sqrt{2\theta} \operatorname{sech}\left(\sqrt{\theta}\bar{\tau}\right) \tag{15}$$

28 is an exact stationary (with respect to the slow time \bar{t} , i.e. for $\partial E/\partial \bar{t} = 0$ solution of the LLE (13) when the 29 input field envelope E_I is not stationary but is a function 30 of τ equal to E_{CS} , as it is easy to verify. The curve (15) 31 is a good approximation of the numerical curve for the 32 cavity soliton (even if it does not reproduce correctly the 33 pedestal of the soliton). This is a further motivation for 34 the use of the name "cavity soliton". Of course the same 35 holds for the 1D case of the transverse LLE. 36

The first experimental observation of temporal cavity 37 solitons has been attained in 2010 [63] using a 380 m long 38 fiber cavity under conditions of anomalous dispersion and 39 a cw driving field of 1551 nm. The cavity roundtrip time 40 was 1.86 μ s and the cavity soliton width on the order of 41 4 ps. Using an acusto-optic modulator one can inject into 42 the cavity, instead of a single pulse, a binary data stream. 43 In this way some data are stored in the cavity in the form 44 of a sequence of solitons, and the input data stream is 45

sent into the cavity just once. Following this procedure the 46 authors of [63] have been able to store the acronym ULB of 47 Universitè Libre Bruxelles into a sequence of 15 bits, and 48 the fiber cavity operates as an all-optical memory [64]. 49 It is claimed that, using appropriate techniques, there is 50 a potential of 45 kbits memory at 25 Gbits/s. A later 51 experiment [65] reported on the observation of breathing 52 cavity solitons which oscillate periodically over the slow 53 time scale t. 54

Recent experiments have shown that by introducing appropriate phase modulations in the driving field it is possible to

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- write and erase temporal cavity solitons at desired temporal locations [66];
- operate a "temporal tweezing" of light through the trapping and manipulation of temporal cavity solitons [67].

Pattern formation in fiber ring cavities is analyzed also in reference [68].

It is interesting to observe that 3D pattern formation, i.e. simultaneously in the longitudinal and in the transverse directions, in the framework of the LLE has been studied theoretically in [69]. On the other hand 3D cavity solitons are not possible in the LLE [70].

2.5 Broadband Kerr frequency combs

The microresonators which have demonstrated Kerr fre-71 quency combs (see e.g. [12–17,22–25]) realize ideally the 72 assumptions on which the LLE is based, especially the 73 Kerr nonlinearity and the high-Q condition, reaching Q74 values on the order of 10^6 or even 10^9 or more [30]. A 75 main advantage of the high-Q condition is that it allows 76 to obtain important nonlinear effects even with a weak 77 nonlinearity, in accord with the low transmission limit. 78

The technological progress in the field of photonics 79 achieved from the time of reference [1] to nowadays has 80 been spectacular, and the pattern formation in the longi-81 tudinal direction of ring cavities, associated with the ex-82 perimental observation of broadband frequency combs, oc-83 curs in microcavities with a length on the order of 10 mm 84 or less, a drastic difference from the long cavity of [37]. 85 Such Kerr microcavities are operated with driving fre-86 quencies convenient for telecommunication, can be em-87 bedded on chip, can be integrated in fiber networks and 88 are compatible with CMOS/metal oxide semiconductors. 89 Such properties make this approach quite promising for 90 applications. In optical coherent telecommunications one 91 can use each element of the comb to transmit data [28,29]. 92 Other examples of possible fields of application are ultra-93 stable microwave generation, spectroscopy with mid-IR 94 combs, quantum technologies [30], and this scenario mo-95 tivates the noteworthy worldwide effort which supports 96 such an approach. 97

Since Kerr microcavities are operated as a passive system without population inversion, they can represent a 99 system which is less noisy then, for example, a mode-100 locked laser, a feature which can be beneficial for the 101

stability of the combs. Very important in this connection
 is the fact that the frequencies of the comb are robustly
 phase locked [71], a property that arises spontaneously to gether with the instability that creates the spatial pattern
 and the frequency comb.

In the case of frequency combs associated with a cavity
soliton, the frequency spacing between adjacent elements
of the comb is equal to the free spectral range of the cavity;
for combs associated with Turing patterns the frequency
spacing is a multiple of the free spectral range [26,30].

Noteworthy is also the recent progress in the field of 11 quantum effects in frequency combs, theoretical [72] and 12 experimental. In the paper [73] Lipson, Gaeta and col-13 laborators report on the first experimental observation of 14 "on-chip squeezing", i.e. of sub-shot noise fluctuations in 15 the intensity difference between two modes of the comb 16 symmetrically positioned with respect to the central mode 17 corresponding to the laser frequency which is injected into 18 the cavity. This effect closely corresponds to that theoret-19 ically predicted in [32,46] (see also [72]). Therefore the 20 results of [73] represent the first experimental observation 21 of a quantum effect associated with a spatial pattern in a 22 microcavity (and in frequency combs as well). 23

3 Derivation of the temporal/longitudinal LLE from the Maxwell-Bloch equations

In the low transmission limit, the Maxwell-Bloch equations read (see Chap. 11 in Ref. [21])

$$\frac{\partial F}{\partial t} + \tilde{c}\frac{\partial F}{\partial z} = -\kappa \left[(1+i\theta) F - y + 2CP \right]$$
(16a)

$$\frac{\partial P}{\partial t} = -\gamma_{\perp} \left[(1 + i\Delta) P - FD \right]$$
(16b)

$$\frac{\partial D}{\partial t} = -\gamma_{\parallel} \left[\frac{1}{2} \left(FP^* + F^*P \right) + D - 1 \right] \quad (16c)$$

where F, y, P and D are proportional to the field enve-28 29 lope E of equation (4), to the input field amplitude E_I , to 30 the atomic polarization and to the population difference, 31 respectively (see Sect. 4.3 and Eq. (8.15) in Ref. [21]). γ_{\perp} and γ_{\parallel} are the transverse and longitudinal atomic relax-32 ation rates, respectively. The atomic detuning parameter 33 is defined as $\Delta = (\omega_a - \omega_0)/\gamma_{\perp}$, with ω_a being the atomic 34 Bohr transition frequency of the two-level atoms. Note 35 that in reference [31] the atomic detuning Δ is defined 36 with reverse sign. 37

Again, the length of the atomic sample is assumed equal to the cavity length. The symbol \tilde{c} is defined as $\tilde{c} = c/n_B$, where c is the light velocity in vacuum and n_B accounts for the possible presence of a background medium different from the two-level atoms described by the variables P and D.

We will derive the temporal/longitudinal LLE from equation (16) following two different paths. One is more heuristic and direct, and more in line with common procedures used in nonlinear optics; it is described in Appendix A. The other one is more rigorous because it takes into account precisely the order of magnitude of the quantities in play, which must be assumed to arrive at the LLE; 50 it is described in this section. 51

Equation (16) admit the homogeneous stationary 52 solution 53

$$y^{2} = x^{2} \left[\left(1 + \frac{2C}{1 + \Delta^{2} + x^{2}} \right)^{2} + \left(\theta - \frac{2C\Delta}{1 + \Delta^{2} + x^{2}} \right)^{2} \right],$$
(17)

where x = |F|, which is the well-known input-output relation for optical bistability [21]. Let us consider the dispersive limit of such an equation, heuristically defined as the limit in which the frequency of the input field is so far from the atomic resonance frequency that $|\Delta| \gg 1$ and $x^2/\Delta^2 \ll 1$. In that limit the stationary equation can be approximated as

$$y^{2} = x^{2} \left[\left(1 + \frac{2C}{\Delta^{2}} - \frac{2Cx^{2}}{\Delta^{4}} \right)^{2} + \left(\theta - \frac{2C}{\Delta} + \frac{2Cx^{2}}{\Delta^{3}} \right)^{2} \right].$$
(18)

We can now define more precisely the dispersive limit $_{61}$ through a smallness parameter ϵ such that [32] $_{62}$

$$\Delta = O(\epsilon^{-3}), \qquad x, y = O(\epsilon^{-2}),$$

$$2C = O(\epsilon^{-5}), \qquad \theta = \theta_0 + \frac{2C}{\Delta}, \qquad (19)$$

with $\theta_0 = O(1), \ \theta = O(\epsilon^{-2})$ and we define the scaled 63 quantities 64

$$\tilde{y} = \sqrt{\frac{2C}{|\Delta|^3}} y = O(1), \quad \tilde{x} = \sqrt{\frac{2C}{|\Delta|^3}} x = O(1), \quad (20)$$

and the parameter

$$\eta = -\frac{|\Delta|}{\Delta}.\tag{21}$$

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It turns out that the stationary equation at order 0 in ϵ is 66 67

$$\tilde{y}^2 = \tilde{x}^2 \left[1 + \left(\theta_0 - \eta \tilde{x}^2 \right)^2 \right],$$
(22)

which coincides with equation (9) if we set $\theta_0 = \eta \bar{\theta}$, X = 68 \tilde{x}^2 , and $Y = \tilde{y}^2$. On the basis of these considerations we for every equation (16) in terms of the new variables¹ 70

$$\tilde{F} = \sqrt{\frac{2C}{|\Delta|^3}}F, \quad \tilde{P} = \sqrt{\frac{2C}{|\Delta|^3}}\Delta P,$$
 (23)

¹ In reference [21], Section 13.3, the normalization factor is $\sqrt{|\theta|/\Delta^2}$, instead of $\sqrt{2C/|\Delta|^3}$ which appears in equation (23), but it is easy to check that they basically coincide because, in the limit (19), 2C can be replaced by $\Delta\theta = |\Delta\theta|$ because 2C > 0, hence $\sqrt{2C/|\Delta|^3} \simeq \sqrt{|\theta|/\Delta^2}$.

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1 and of the parameters θ_0 , \tilde{y} , and η as

$$\begin{aligned} \frac{\partial \tilde{F}}{\partial t} + \tilde{c} \frac{\partial \tilde{F}}{\partial z} &= -\kappa \left[(1 + i\theta_0) \,\tilde{F} - \tilde{y} + \frac{2C}{\Delta} \left(\tilde{P} + i\tilde{F} \right) \right], \\ (24a) \\ \frac{\partial \tilde{P}}{\partial t} &= -\gamma_{\perp} \left[(1 + i\Delta) \,\tilde{P} - \Delta \tilde{F} D \right], \\ \frac{\partial D}{\partial t} &= -\gamma_{\parallel} \left[-\frac{\eta}{2} \frac{\Delta^2}{2C} \left(\tilde{F} \tilde{P}^* + \tilde{F}^* \tilde{P} \right) + D - 1 \right]. \end{aligned}$$

$$(24c)$$

2 The quantities \tilde{F} , \tilde{P} and D obey the periodic boundary 3 condition $\tilde{F}(z = 0, t) = \tilde{F}(z = \mathcal{L}, t)$ etc. Hence we can 4 introduce the modal expansion [21,32]

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$$\begin{cases} \tilde{F}(z,t)\\ \tilde{P}(z,t)\\ D(z,t) \end{cases} = \sum_{n} \begin{cases} f_{n}(t)\\ p_{n}(t)\\ d_{n}(t) \end{cases} e^{-in\alpha(t-z/\tilde{c})}$$

6 where the index n runs over the values $n = 0, \pm 1, \pm 2, \ldots$,

7 $d_{-n}^* = d_n$, and $\alpha = 2\pi \tilde{c}/\mathcal{L}$ is the free spectral range. 8 Introducing this expansion into equations (24), we obtain

 $9 \;$ the following system of coupled equations

$$\frac{df_n}{dt} = -\kappa \left[(1+i\theta_0) f_n - \tilde{y}\delta_{n,0} + \frac{2C}{\Delta} (p_n + if_n) \right],$$
(25a)

$$\frac{dp_n}{dt} = -\gamma_{\perp} \left[(1 + i\Delta_n) p_n - \Delta \sum_{n'} f_{n-n'} d_{n'} \right], \quad (25b)$$

$$\frac{dd_n}{dt} = \gamma_{\parallel} \left[\frac{\eta}{2} \frac{\Delta^2}{2C} \sum_{n'} \left(f^*_{-n'} p_{n-n'} + f_{n'} p^*_{n'-n} \right) + \delta_{n,0} \right] - d_n \left(\gamma_{\parallel} - in\alpha \right), \qquad (25c)$$

10 where we have introduced the atomic detuning at the fre-11 quency $\omega_0 + n\alpha$

$$\Delta_n = \Delta - n \frac{\alpha}{\gamma_\perp} = \frac{\omega_a - (\omega_0 + n\alpha)}{\gamma_\perp}.$$
 (26)

12 The stationary solutions, obtained by setting $df_n/dt =$ 13 $dp_n/dt = dd_n/dt = 0$ are singlemode, i.e. only the mode 14 n = 0 contributes.

If equations (25) are linearized around an exact stationary solution one obtains the linearized equations that
govern the multimode instability of optical bistability
studied in [8,9] and in Sections 24.1.1 and 24.1.2 of [21].
We complete the definition of the dispersive limit by
assuming

$$\frac{|n|\alpha}{\gamma_{\perp}}, \ \frac{|n|\alpha}{\gamma_{\parallel}} = O(\epsilon^{-2}).$$
(27)

This allows to determine which are the effective variation rates of the dynamical variables. In the equation for the f_n 's all the terms in the square bracket are of order 1 but the large coefficient $2C/\Delta = O(\epsilon^{-2})$ which, however, multiplies $(p_n + if_n)$. Since we shall show that in the dispersive limit $p_n = -if_n + O(\epsilon)$, the temporal variation rate for 26 the f_n 's is $O(\kappa \epsilon^{-1})$. 27

On the other hand, the actual variation rates for 28 the variables p_n 's and d_n 's are, respectively, $\gamma_{\perp}|\Delta| = 29$ $O(\gamma_{\perp}\epsilon^{-3})$ for the p_n 's, and $\gamma_{\parallel}\Delta^2/(2C) = O(\gamma_{\parallel}\epsilon^{-1})$ for 30 $d_0, n\alpha\gamma_{\parallel} = O(\gamma_{\parallel}\epsilon^{-2})$ for $d_{n\neq0}$. Since we have assumed in 31 equation (27) that γ_{\perp} and γ_{\parallel} have the same magnitude, 32 an adiabatic elimination of the atomic variables is justified 33 if $\kappa/\gamma_{\parallel} \approx \kappa/\gamma_{\perp} = O(\epsilon)$ or smaller. 34

By imposing zero time derivatives at the l.h.s. of equations (25b) and (25c) we obtain

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$$p_n = \frac{\Delta}{1 + i\Delta_n} \sum_m f_{n-m} d_m, \qquad (28)$$
$$d_n \left(1 - in \frac{\alpha}{\gamma_{\parallel}} \right) = \frac{\eta}{2} \frac{\Delta^2}{2C} \sum_l \left(f^*_{-l} p_{n-l} + f_l p^*_{l-n} \right)$$
$$+ \delta_{n,0}, \qquad (29)$$

and, by inserting equation (28) in equation (29), we can 37 write 38

$$d_n\left(1-in\frac{\alpha}{\gamma_{\parallel}}\right) = \frac{\eta}{2}\frac{\Delta^3}{2C}\sum_{l,m}\left(\frac{f_{-l}^*f_{n-l-m}d_m}{1+i\Delta_{n-l}} + \frac{f_lf_{l-n-m}^*d_{-m}}{1-i\Delta_{l-n}}\right) + \delta_{n,0}.$$
 (30)

Let us now consider the double sum at the r.h.s of this generation. It can be rewritten as 40

$$\sum_{l,m} \left(\frac{f_{-l}^* f_{n-l-m} d_m}{1 + i\Delta_{n-l}} + \frac{f_l f_{l-n+m}^* d_m}{1 - i\Delta_{l-n}} \right)$$

=
$$\sum_{m,j} \left(\frac{f_{m+j}^* f_{n+j} d_m}{1 + i\Delta_{n+m+j}} + \frac{f_{n+j} f_{m+j}^* d_m}{1 - i\Delta_j} \right)$$

=
$$\sum_{m,j} f_{m+j}^* f_{n+j} d_m \frac{2 - i(n+m)\alpha/\gamma_{\perp}}{(1 + i\Delta_{n+m+j})(1 - i\Delta_j)}$$

$$\approx \frac{2}{\Delta^2} \sum_{m,j} f_{m+j}^* f_{n+j} d_m \left[1 - i(n+m)\frac{\alpha}{2\gamma_{\perp}} \right], \quad (31)$$

where in the first line we have replaced m with -m in the second sum, in the second line we have replaced l with -m-j in the first sum and with n+j in the second sum, in the third line we have used the definition (26) of Δ_n , and in the last line we have approximated Δ_{n+m+j} and Δ_j with Δ . By replacing equation (31) in equation (30) we obtain

$$d_n \left(1 - in \frac{\alpha}{\gamma_{\parallel}} \right) = \delta_{n,0} + \eta \frac{\Delta}{2C} \sum_{m,j} f_{m+j}^* f_{n+j} d_m \left[1 - i(n+m) \frac{\alpha}{2\gamma_{\perp}} \right].$$
(32)

For n = 0 the leading terms of this equation are d_0 and $\delta_{n.0}$ 48 which is of order ϵ^0 , while the nonlinear term is $O(\epsilon^2)$. For 49 $n \neq 0$ the leading term is the second term on the lefthand 50

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side, which is $O(\epsilon^{-2})$, so that $d_n = 0$ at order ϵ^0 . Hence and the modal equations (25a) reduce to 1 we look for solutions up to order ϵ^2 2

$$d_n = d_0^{(0)} \delta_{n,0} + \frac{\Delta}{2C} d_n^{(2)} + O(\epsilon^4), \qquad (33)$$

where we have chosen conveniently $\Delta/2C$ as a term of 3 order ϵ^2 and $d_n^{(2)} = O(1)$. By inserting this trial solution in equation (32) we obtain up to order ϵ^2 4

$$d_0^{(0)}\delta_{n,0} + \left(1 - i\frac{n\alpha}{\gamma_{\parallel}}\right)\frac{\Delta}{2C}d_n^{(2)}$$
$$= \delta_{n,0} + \eta\frac{\Delta}{2C}\sum_j f_j^* f_{n+j}d_0^{(0)}\left(1 - i\frac{n\alpha}{2\gamma_{\perp}}\right). \quad (34)$$

6 For n = 0 we get

$$d_0^{(0)} = 1, \qquad d_0^{(2)} = \eta \sum_j |f_j|^2,$$
 (35)

and for $n \neq 0$ 7

$$d_n^{(2)} = \eta \frac{\gamma_{\parallel}}{2\gamma_{\perp}} \sum_j f_j^* f_{n+j}.$$
 (36)

The two expressions for the second order corrections have 8 the same form if we assume the non-radiative limit $\gamma_{\parallel} =$ 9 $2\gamma_{\perp}$. We note incidentally that this condition on γ_{\parallel} and 10 γ_{\perp} is the most convenient for squeezing [74]. With this 11 12 assumption we can write

$$d_n = \delta_{n,0} + \eta \frac{\Delta}{2C} \sum_j f_j^* f_{n+j}, \qquad (37)$$

and inserting this expression in equation (28) we find an 13 expression of the p_n 's in terms only of the f_n 's 14

$$p_n = \frac{\Delta}{1 + i\Delta_n} \left[f_n + \eta \frac{\Delta}{2C} \sum_{m,j} f_{n-m} f_j^* f_{m+j} \right].$$
(38)

We want to evaluate this quantity consistently up to order 15 $O(\epsilon^2)$ since in equation (25a) p_n is multiplied by $2C/\Delta = O(\epsilon^{-2})$. To this aim we expand the pre-factor in the linear 16 17 term of equation (38) as 18

$$\frac{\Delta}{1+i\Delta_n} \approx \frac{\Delta}{i\Delta_n} = -\frac{i}{1-n\frac{\alpha}{\gamma_{\perp}\Delta}} \\ \approx -i\left(1+\frac{n\alpha}{\gamma_{\perp}\Delta}+\frac{n^2\alpha^2}{\gamma_{\perp}^2\Delta^2}\right), \quad (39)$$

while in the nonlinear term, which is $O(\epsilon^2)$, we keep only 19 the dominant term -i. Therefore 20

$$p_n = -if_n - i\frac{n\alpha}{\gamma_{\perp}\Delta}f_n - i\frac{n^2\alpha^2}{\gamma_{\perp}^2\Delta^2}f_n - i\eta\frac{\Delta}{2C}\sum_{m,j}f_{n-m}f_j^*f_{m+j} + O(\epsilon^3)$$
(40)

$$\frac{df_n}{dt} = -\kappa \left[\left(1 + i\theta_0 - i\frac{2C}{\Delta}\frac{n\alpha}{\gamma_\perp \Delta} - i\frac{2C}{\Delta}\frac{n^2\alpha^2}{\gamma_\perp^2 \Delta^2} \right) f_n - \tilde{y}\delta_{n,0} - i\eta \sum_{m,j} f_{n-m} f_j^* f_{m+j} \right].$$
(41)

It is important to observe that the third and fourth terms 22 in the square bracket of equation (41), which are func-23 tions of $n\alpha$, arise from the fact that the linear part of 24 the atomic polarization (38) depends on the modal fre-25 quencies, i.e. they express the phenomenon of light dis-26 persion. In our treatment we have kept only the linear 27 and quadratic terms, which is in accord with the standard 28 treatment of dispersion. 29

Let us now define

$$\bar{f}_n(t) = f_n(t) \mathrm{e}^{-in\alpha \frac{2C}{\Delta^2} \frac{\kappa}{\gamma_\perp} t}, \qquad (42)$$

so that equation (41) becomes

$$\frac{d\bar{f}_n}{dt} = -\kappa \left[-\tilde{y}\delta_{n,0} + \left(1 + i\theta_0 - i\frac{2C}{\Delta}\frac{n^2\alpha^2}{\gamma_{\perp}^2\Delta^2} \right)\bar{f}_n - i\eta \sum_{m,j}\bar{f}_{n-m}\bar{f}_j^*\bar{f}_{m+j} \right].$$
(43)

Equation (43) generalizes to all modes the three-mode 32 model derived in [32]. By combining the expression of 33 $\tilde{F}(z,t)$ given in the equation after equations (24c) and (42) 34 we obtain the following expression for $\tilde{F}(z,t)$ 35

$$F(z,t) = \sum_{n} \bar{f}_{n}(t) e^{-in\alpha \left(1 - \frac{2C}{\Delta^{2}} \frac{\kappa}{\gamma_{\perp}}\right) \left(t - \frac{z}{v_{g}}\right)}$$
$$= \sum_{n} \bar{f}_{n}(t) e^{-in\bar{\alpha} \left(t - \frac{z}{v_{g}}\right)}, \qquad (44)$$

where

$$v_g = \tilde{c} \left(1 - \frac{2C}{\Delta^2} \frac{\kappa}{\gamma_\perp} \right), \tag{45}$$

and

$$\bar{\alpha} = \alpha \left(1 - \frac{2C}{\Delta^2} \frac{\kappa}{\gamma_\perp} \right). \tag{46}$$

Therefore the linear dispersive correction leads to a re-38 definition of the light velocity \tilde{c} into a group velocity 39 v_q as usual and a redefinition of the free spectal range 40 from α to $\bar{\alpha}$. Note that $v_q \simeq \tilde{c}$ and $\bar{\alpha} \simeq \alpha$ because 41 $(2C/\Delta^2)(\kappa/\gamma_{\perp}) = O(\epsilon^2).$ 42

A simple glance at equation (44) shows that one can 43 express \tilde{F} as a function of t and $\tau = t - z/v_q$ instead of z 44 and t. Then, by making the change of independent vari-45 ables $(t, z) \longrightarrow (t, \tau)$, using the final expression in equa-46 tions (44) and (43) one can check that $F(t,\tau)$ obeys the 47

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1 equation

$$\begin{aligned} \frac{\partial}{\partial t}\tilde{F}(t,\tau) &= -\kappa \left[-\tilde{y} + \tilde{F}(t,\tau) + i\theta_0 \tilde{F}(t,\tau) \right. \\ &+ i \frac{2C}{\gamma_{\perp}^2 \Delta^3} \frac{\tilde{c}^2}{v_g^2} \frac{\partial^2}{\partial \tau^2} \tilde{F}(t,\tau) - i\eta \left| \tilde{F}(t,\tau) \right|^2 \tilde{F}(t,\tau) \end{aligned}$$

$$(47)$$

2 If we now introduce the normalized variables $\bar{t} = \kappa t$ as 3 usual, and $\bar{\tau} = \sqrt{|\Delta|^3/(2C)} (v_g/\tilde{c})\gamma_{\perp}\tau$ we arrive at

$$\frac{\partial}{\partial \bar{t}}\tilde{F}(\bar{t},\bar{\tau}) = \tilde{y} - \tilde{F}(\bar{t},\bar{\tau}) - i\theta_0 \tilde{F}(\bar{t},\bar{\tau})
+ i\eta \frac{\partial^2}{\partial \bar{\tau}^2} \tilde{F}(\bar{t},\bar{\tau}) + i\eta \left| \tilde{F}(\bar{t},\bar{\tau}) \right|^2 \tilde{F}(\bar{t},\bar{\tau}), \quad (48)$$

which in the case $\eta = +1$ is formally identical to equa-4 tion (13) for anomalous dispersion ($\bar{\eta} = -1$) with E re-5 placed by \tilde{F} and θ replaced by θ_0 . On the other hand, for 6 $\eta = -1$ the complex conjugate of equation (48) is formally 7 identical to equation (13), again for anomalous dispersion, 8 with θ replaced by $-\theta_0$. If, instead, one uses the variable 9 $\bar{z} = -\bar{\tau} = \gamma_{\perp}/\tilde{c}\sqrt{|\Delta|^3/(2C)}(z-v_g t)$, equation (48) can 10 be rephrased in the form 11

$$\frac{\partial}{\partial \bar{t}}\tilde{F}(\bar{t},\bar{z}) = \tilde{y} - \tilde{F}(\bar{t},\bar{z}) - i\theta_0 \tilde{F}(\bar{t},\bar{z})
+ i\eta \frac{\partial^2}{\partial \bar{z}^2} \tilde{F}(\bar{t},\bar{z}) + i\eta \left| \tilde{F}(\bar{t},\bar{z}) \right|^2 \tilde{F}(\bar{t},\bar{z}), \quad (49)$$

where we use the variables \bar{t} , \bar{z} instead of \bar{t} , $\bar{\tau}$, and equation (49) basically coincides with the longitudinal LLE formulated by ourselves in reference [31].

Finally, when the cavity is circular of radius R, as in the experiments which display Kerr frequency combs, we can use as a variable the angle $\varphi = (z - v_g t)/R =$ $\bar{z}(\tilde{c}/R\gamma_{\perp})\sqrt{2C/|\Delta|^3}$, so that the LLE can be reformulated in the form

$$\frac{\partial}{\partial \bar{t}}\tilde{F}(\bar{t},\varphi) = \tilde{y} - \tilde{F}(\bar{t},\varphi) - i\theta_0 \tilde{F}(\bar{t},\varphi)
+ i\eta \frac{\beta}{2} \frac{\partial^2}{\partial \varphi^2} \tilde{F}(\bar{t},\varphi) + i\eta \left| \tilde{F}(\bar{t},\varphi) \right|^2 \tilde{F}(\bar{t},\varphi),$$
(50)

20 with

$$\beta = \frac{4C\tilde{c}^2}{\gamma_\perp^2 |\Delta|^3 R^2},\tag{51}$$

which basically coincides with that used in [13,14,28,29].

In the general case $\gamma_{\parallel} \neq 2\gamma_{\perp}$ the LLE is recovered in at least the following two opposite cases:

- When the resonant mode is dominant, so that the amplitudes f_n for $n \neq 0$ are negligible. In this case \tilde{F} becomes independent of τ and the second order derivative term in equation (47) drops.
- When the contribution of the resonant mode is negligible. In this case, by using equation (36) one arrives at an equation identical to equation (47) but with the product of the result of th
- nonlinear term multiplied by $\gamma_{\parallel}/(2\gamma_{\perp})$. The LLE in normal form (47) holds for the the field $\tilde{\tilde{F}}$ such that

$$\tilde{F} = \tilde{\tilde{F}} \sqrt{\gamma_{\parallel} / (2\gamma_{\perp})}.$$

4 Conclusions

The derivation of the temporal/longitudinal LLE from the 35 two-level Maxwell-Bloch equations, shown in Section 3, 36 explicits in the best way the connection of the LLE itself 37 with the multimode instability of optical bistability, previ-38 ously predicted [8,9] in the framework of such equations. 39 The parametric conditions that correspond to the LLE 40 identify an optimal configuration for the multimode in-41 stability, which becomes easily accessible experimentally. 42 In particular, the long cavity requirement disappears and 43 the multimode instability, which gives rise to a travelling 44 longitudinal pattern in the cavity, can be observed even 45 in microcavities. A point of key importance is that the 46 four-wave-mixing process, which takes place in the Kerr 47 medium assumed by the LLE, offers the possibility of gen-48 erating broadband frequency combs, as observed in refer-49 ences [12–17,22–25]. This happens because the FWM scat-50 ters photons from the cavity mode quasi-resonant with 51 the driving field to a number of symmetrical pairs of ad-52 jacent cavity modes (see e.g. [46]) and, next, the FWM 53 process absorbs photons from any pair of modes (possi-54 bly, from the same mode) and generates photons in other 55 pairs symmetrically positioned with respect to the first 56 pair (see e.g. [30]). The total photon momentum is pre-57 served in the process, which thus generates a vast multi-58 modal configuration. 59

The LLE provides an outstanding example of phenomena of spontaneous pattern formation that are intimately linked to a much promising applicative avenue, which has been opened by the experimental observation of broadband Kerr frequency combs [12].

Author contribution statement

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Please note that you are required to include a statement 66 which details the nature of the contribution of each author. 68

We thank Wulf Lange and Thorsten Ackemann for giving us 69 permission of showing the patterns which appear in Figure 2. 70

Appendix A: Alternative derivation of the LLE from the Maxwell-Bloch equations

In this appendix we sketch an alternative derivation of 73 the temporal/longitudinal LLE, which does not make use 74 of the adiabatic elimination of the atomic variable. The 75 derivation is actually similar to the one in Section 3, the 76 main difference being that the atomic Bloch equations are 77 first approximately solved in the continuum frequency do-78 main, and then the result is inserted into the Maxwell 79 equation for the field. 80

The starting point are the Maxwell-Bloch equations (16). Let us focus on the two atomic equations (16b) and (16c). In order to simplify the notation, we introduce the quantity 84

$$H(t, z) = D(t, z) - 1,$$
 (A.1)

- 1 which describes the offset of the inversion from its un-
- $_{\rm 2}$ saturated equilibrium value. Equations (16b) and (16c)
- 3 become then

$$\frac{\partial P}{\partial t} = -\gamma_{\perp} \left[(1 + i\Delta) P - F(H+1) \right], \qquad (A.2)$$

$$\frac{\partial H}{\partial t} = -\gamma_{\parallel} \left[\frac{1}{2} \left(FP^* + F^*P \right) + H \right]. \tag{A.3}$$

4 Next, let us turn to the frequency domain setting

$$X(t,z) = \int \frac{d\Omega}{\sqrt{2\pi}} X(\Omega,z) e^{-i\Omega t}, \qquad (A.4)$$

5 where X is any of the functions F, P, and H. In the chosen 6 reference frame, Ω is a frequency offset from the carrier 7 ω_0 . Omitting for simplicity the argument z, the solutions 8 of equations (A.2) and (A.3) in the frequency domain read

$$P(\Omega) = \frac{1}{1 + i\Delta(\Omega)} \left[F(\Omega) + \int \frac{d\Omega_1}{\sqrt{2\pi}} F(\Omega - \Omega_1) H(\Omega_1) \right],$$
(A.5)

$$H(\Omega) = -\frac{1}{2(1-i\frac{\Omega}{\gamma_{\parallel}})} \int \frac{d\Omega_1}{\sqrt{2\pi}} \left[F(\Omega_1) P^*(\Omega_1 - \Omega) + F^*(\Omega_1 - \Omega) P(\Omega_1) \right],$$
(A.6)

9 where

$$\Delta(\Omega) = \frac{\omega_A - (\omega_0 + \Omega)}{\gamma_\perp} = \Delta - \tilde{\Omega} \quad \text{with} \quad \tilde{\Omega} = \frac{\Omega}{\gamma_\perp} (A.7)^{\gamma_\perp}$$

10 is the atomic detuning of the field component oscillating 11 at frequency $\omega_0 + \Omega$.

12 Let us assume the dispersive limit, where $|\Delta(\Omega)| \gg 1$ 13 for all the populated frequency components of the field, 14 i.e. the whole bandwidth of emitted light is far away from 15 atomic resonance. In particular, we shall assume that the 16 central frequency is far off resonance,

$$|\Delta| \gg 1 \tag{A.8a}$$

and the frequency bandwidth of the field is small com-pared to the central detuning

$$|\tilde{\Omega}| \ll |\Delta|. \tag{A.8b}$$

19 Next, we search an approximate solution as a power ex-20 pansion in series of the field amplitude F. Precisely, we 21 will find a perturbative power expansion in terms of F/Δ , 22 assuming $|F/\Delta| \ll 1$. Clearly, the first order term for the 23 polarization (the linear part of the polarization) is deter-24 mined by the equation

$$P_L(\Omega) = \frac{F(\Omega)}{1 + i\Delta(\Omega)}.$$
 (A.9)

By replacing P with P_L in equation (A.6) we get a solution for the inversion correct up to second order in F

$$H_{2}(\Omega) = -\frac{1 - i\frac{\Omega}{2\gamma_{\perp}}}{1 - i\frac{\Omega}{\gamma_{\parallel}}} \\ \times \int \frac{d\Omega_{1}}{\sqrt{2\pi}} \frac{F(\Omega_{1})F^{*}(\Omega_{1} - \Omega)}{\left[1 - i\Delta(\Omega_{1} - \Omega)\right]\left[1 + i\Delta(\Omega_{1})\right]}.$$
(A.10)

Finally, taking the radiative limit $2\gamma_{\perp} = \gamma_{\parallel}^2$ and inserting 27 this second order perturbative solution into equation (A.5) 28 we obtain an approximate solution for the polarization, 29 valid up to third order in F 30

$$P(\Omega) = P_L(\Omega) + P_{NL}(\Omega) \tag{A.11}$$

with

$$P_{NL}(\Omega) = -\frac{1}{1+i\Delta(\Omega)} \int \frac{d\Omega_1}{\sqrt{2\pi}} F(\Omega - \Omega_1) H_2(\Omega_1)$$

$$= -\frac{1}{1+i\Delta(\Omega)} \int \frac{d\Omega_1}{\sqrt{2\pi}}$$

$$\times \int \frac{d\Omega_2}{\sqrt{2\pi}} \frac{F(\Omega - \Omega_1)F(\Omega_2)F^*(\Omega_2 - \Omega_1)}{(1-i\Delta(\Omega_2 - \Omega_1))(1+i\Delta(\Omega_2))}.$$

(A.12)

This relation can be greatly simplified by retaining only 32 the leading order term in the dispersive limit (A.8), 33

$$P_{NL}(\Omega) \approx \frac{i}{\Delta^3} \int \frac{d\Omega_1}{\sqrt{2\pi}} \int \frac{d\Omega_2}{\sqrt{2\pi}} \times F(\Omega - \Omega_1) F(\Omega_2) F^*(\Omega_2 - \Omega_1), \quad (A.13)$$

which amounts to neglecting any dispersive effect of the third order nonlinear susceptibility, i.e. assuming that $\chi^{(3)}$ 35 depends slowly on the frequency inside the bandwidth of the light. This approximation simplifies a lot the equation, because coming back to the temporal domain, one has 38

$$P_{NL}(t) \simeq \frac{i}{\Delta^3} |F(t)|^2 F(t) \tag{A.14}$$

i.e. the usual Kerr-like term for the nonlinear part of the 39 polarization. 40

We now turn again our attention to the linear part of 41 the polarization, with the aim of writing it in the temporal 42 domain. First of all, we apply the dispersive limit (A.8) to 43 the linear polarization 44

$$P_{L}(\Omega) = \frac{F(\Omega)}{1 + i\Delta(\Omega)} = \frac{F(\Omega)}{i\Delta} \frac{1}{1 - \frac{\tilde{\Omega}}{\Delta} + \frac{1}{i\Delta}}$$
$$\approx -\frac{i}{\Delta}F(\Omega) \left(1 + \frac{\tilde{\Omega}}{\Delta} + \frac{\tilde{\Omega}^{2}}{\Delta^{2}} \dots\right), \qquad (A.15)$$

where we kept only the first two leading orders in $\hat{\Omega}/\Delta$, 45 in order to retain in the description the effects of group 46 velocity dispersion. We remark that, rigorously speaking, 47 we neglected small real terms which could be on the same 48 order of magnitude as those retained, and represent the 49 unavoidable absorption of light. As typically done in the 50

 $^{2}\,$ Notice that for small enough bandwidths the radiative limit is unnecessary since

$$\frac{1-i\frac{\varOmega}{2\gamma_{\perp}}}{1-i\frac{\varOmega}{\gamma_{\parallel}}}\approx 1-i\frac{\varOmega}{2\gamma_{\perp}}+i\frac{\varOmega}{\gamma_{\parallel}}=1+i\frac{\varOmega}{\gamma_{\parallel}}\left(1-\frac{\gamma_{\parallel}}{2\gamma_{\perp}}\right)\approx 1$$

when $|\Omega| \ll \gamma_{\perp}, \gamma_{\parallel}$.

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treatment of Kerr-like nonlinearity, we thus are assum-1 ing the medium is basically transparent in the frequency 2 bandwidth of interest. Notice also that when inserting the 3 medium inside the resonator, the frequency continuum is 4 replaced by the discrete set of cavity modes, and with 5 the more rigorous assumption (27) of Section 3, the ex-6 pansion in equation (39) (which is the analogous of what 7 done here) is strictly valid. 8

9 Coming back to the temporal domain

$$P_{L}(t) = \int \frac{d\Omega}{\sqrt{2\pi}} P_{L}(\Omega) e^{-i\Omega t}$$

$$\approx -i \int \frac{d\Omega}{\sqrt{2\pi}} F(\Omega) e^{-i\Omega t} \left[\frac{1}{\Delta} + \frac{\tilde{\Omega}}{\Delta^{2}} + \frac{\tilde{\Omega}^{2}}{\Delta^{3}} \right]$$

$$= -\frac{i}{\Delta} F(t) + \frac{1}{\Delta^{2} \gamma_{\perp}} \frac{\partial}{\partial t} F(t) + \frac{i}{\Delta^{3} \gamma_{\perp}^{2}} \frac{\partial^{2}}{\partial t^{2}} F(t).$$
(A.16)

10 Inserting this result into Maxwell equation (16a) and con-11 sidering again the variable z, we obtain

$$\left(1 + \frac{2C\kappa}{\Delta^2 \gamma_{\perp}}\right) \frac{\partial F}{\partial t} + \tilde{c} \frac{\partial F}{\partial z} = -\kappa \left[(1 + i\theta_0) F - y + 2CP_{NL}(t) + i \frac{2C}{\Delta^3 \gamma_{\perp}^2} \frac{\partial^2 F}{\partial t^2} \right],$$
(A.17)

12 where $\theta_0 = \theta - \frac{2C}{\Delta}$ is the corrected cavity detuning (in 13 accord with the definition in Eq. (19) of Sect. 3) which 14 reflects the shift of the cavity resonances due the refractive 15 index of the two-level medium at the reference frequency, 16 $\kappa \theta_0 = \omega_c - \frac{\kappa 2C}{\Delta} - \omega_0$. This equation can be also written as

$$\begin{pmatrix} 1 + \frac{2C\kappa}{\Delta^2 \gamma_{\perp}} \end{pmatrix} \left(\frac{\partial F}{\partial t} + v_g \frac{\partial F}{\partial z} \right)$$

= $-\kappa \left[(1 + i\theta_0) F - y + 2CP_{NL}(t) + i \frac{2C}{\Delta^3 \gamma_{\perp}^2} \frac{\partial^2 F}{\partial t^2} \right],$
(A.18)

17 where

$$v_g = \tilde{c} \left(1 + \frac{2C\kappa}{\Delta^2 \gamma_\perp} \right)^{-1} \approx \tilde{c} \left(1 - \frac{2C\kappa}{\Delta^2 \gamma_\perp} \right)$$
(A.19)

18 is the group velocity, which coincides with the defini-19 tion (45) of Section 3, once one recognizes that $\frac{2C\kappa}{\Delta^2 \gamma_{\perp}} \ll 1$. 20 The next step consists in introducing a field modal 21 expansion as

$$F(z,t) = \sum_{n} f_n(t) e^{-i\Omega_n \left(t - \frac{z}{v_g}\right)}, \qquad (A.20)$$

22 where

$$\Omega_n = n \frac{2\pi v_g}{\mathcal{L}} = n\bar{\alpha} \tag{A.21}$$

are approximated expressions for the cavity resonances,which partially account for the linear propagation into

the two-level medium (partially, because dispersion, i.e 25 the quadratic term in frequency is not considered in the 26 determination of cavity resonances). As a result, the cavity modes are equally spaced by the free spectral range 28 $\bar{\alpha} = \frac{2\pi v_g}{\mathcal{L}}$, in accord with equation (46) of Section 3. 29

By substituting into equation (A.18), one than recognizes easily that in the low transmission limit $T \ll 1$ the modal amplitudes $f_n(t)$ have indeed a slow variation in time, because $\left|\frac{df_n}{dt}\right|$ is on the order of $|\kappa f_n(t)|$, where κ is the small cavity linewidth.

Considering now the dispersion term at the r.h.s of 35 equation (A.18), we introduce the following approximation 36

$$\frac{\partial^2 F}{\partial t^2} = \sum_n \left[\frac{d^2 f_n}{dt^2} - 2i\Omega_n \frac{df_n}{dt} - \Omega_n^2 f_n(t) \right] e^{-i\Omega_n \left(t - \frac{z}{v_g}\right)}$$
$$\approx \sum_n -\Omega_n^2 f_n(t) e^{-i\Omega_n \left(t - \frac{z}{v_g}\right)} = \frac{\partial^2 F}{\partial (z/v_g)^2}, \quad (A.22)$$

where we made use of the slow variation of the f'_n s in time, 37

$$\left|\frac{df_n}{dt}\right| \ll |\Omega_n f_n(t)|. \tag{A.23}$$

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Note that for the modes $n \neq 0$ (i.e. the side-bands with re-39 spect to the central frequency), this statement amounts to 40 requiring that the cavity linewidth of each mode is much 41 smaller than the free spectral range, which is indeed cor-42 rect in the low transmission limit. For the central mode 43 n = 0, it amounts simply to neglecting the effects of dis-44 persion of the group velocities inside the cavity linewidth, 45 which is again correct in that limit. On the other side, it 46 is worth remarking that when a large number of modes 47 are populated, the temporal dispersion over the full band-48 width can be relevant, and it is indeed taken into account 49 by the terms $\propto \Omega_n^2 f_n$. 50

With this approximation equation (A.18) becomes

$$\frac{\partial F}{\partial t} + v_g \frac{\partial F}{\partial z} = -\bar{\kappa} \left[(1 + i\theta_0) F - y + 2CP_{NL}(t) + i \frac{2C}{\Delta^3 \gamma_{\perp}^2} \frac{\partial^2 F}{\partial (z/v_g)^2} \right], \quad (A.24)$$

where $\bar{\kappa} = \kappa/(1 + \frac{2C\kappa}{\Delta^2 \gamma_{\perp}}) \approx \kappa$. Finally, we make the last 52 cosmetic addition to equation (A.24) by introducing the 53 change of independent variables t' = t, $\tau = t - z/v_g$, which 54 implies $\partial/\partial t = \partial/\partial t' + \partial/\partial \tau$, $v_g \partial/\partial z = -\partial/\partial \tau$, so that 55 equation (A.24) becomes 56

$$\frac{\partial \tilde{F}}{\partial t'} = -\kappa \left[(1+i\theta_0) \tilde{F} - \tilde{y} - i\eta |\tilde{F}(t)|^2 \tilde{F}(t) + i \frac{2C}{\Delta^3 \gamma_{\perp}^2} \frac{\partial^2 \tilde{F}}{\partial \tau^2} \right], \quad (A.25)$$

where we have also inserted the explicit expression of the 57 cubic nonlinearity obtained in equation (A.14), and the scaling (23) of the field amplitude. Clearly this equation 59

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- 1 coincides with equation (47) apart from the term $\propto \tilde{c}^2/v_a^2$
- 2 which is in any case very close to one and can be incorpo-
- 3 rated in the rescaling of the time τ .

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