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Abstract	their primary prevention include an atherogenice <i>Methods:</i> The study was designed duration of 12 weeks. animal foods containing <i>Results:</i> The soy nutritional inter-	es are currently the commonest cause of death worldwide. Different strategies for on have been planned, taking into account the main known risk factors, which e lipid profile and visceral fat excess. ed as a randomized, parallel, single-center study with a nutritional intervention Whole soy foods corresponding to 30 g/day soy protein were given in substitution of ng the same protein amount. ervention resulted in a reduction in the number of MetS features in 13/26 subjects. group we observed a significant improvement of median percentage changes for body

Footnote Information	Soy protein - Lipids - Metabolic syndrome and obesity Electronic supplementary material The online version of this article (doi:10.1007/s00394-016-1333-7) contains supplementary material, which is available to authorized users.
Keywords (separated by '-')	strongly correlated, three factors were identified which explained the majority (52 %) of the total variance in the whole data set. Among them, factor 1, which loaded lipid and adipose variables, explained the 22 % of total variance, showing a statistically significant difference between treatment arms ($p = 0.002$). <i>Conclusions:</i> The inclusion of whole soy foods (corresponding to 30 g/day protein) in a lipid-lowering diet significantly improved a relevant set of biomarkers associated with cardiovascular risk.
	weight (-1.5 %) and BMI (-1.5 %), as well as for atherogenic lipid markers, namely TC (-4.85 %), LDI C (-5.25 %), non-HDL-C (-7.14 %) and apoB (-14.8 %). Since the majority of the studied variables we

ORIGINAL CONTRIBUTION



² Effect of soy on metabolic syndrome and cardiovascular risk ³ factors: a randomized controlled trial

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9 Abstract

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10 *Background* Cardiovascular diseases are currently the AQ1 commonest cause of death worldwide. Different strategies 12 for their primary prevention have been planned, taking into 13 account the main known risk factors, which include an ath-14 erogenic lipid profile and visceral fat excess.

15 *Methods* The study was designed as a randomized, paral-

16 lel, single-center study with a nutritional intervention dura-

17 tion of 12 weeks. Whole soy foods corresponding to 30 g/

day soy protein were given in substitution of animal foodscontaining the same protein amount.

20 *Results* The soy nutritional intervention resulted in a 21 reduction in the number of MetS features in 13/26 sub-22 jects. Moreover, in the soy group we observed a signifi-23 cant improvement of median percentage changes for body

A1 **Electronic supplementary material** The online version of this A2 article (doi:10.1007/s00394-016-1333-7) contains supplementary A3 material, which is available to authorized users.

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weight (-1.5 %) and BMI (-1.5 %), as well as for ath-24 erogenic lipid markers, namely TC (-4.85 %), LDL-C 25 (-5.25 %), non-HDL-C (-7.14 %) and apoB (-14.8 %). 26 Since the majority of the studied variables were strongly 27 correlated, three factors were identified which explained 28 the majority (52 %) of the total variance in the whole data 29 set. Among them, factor 1, which loaded lipid and adipose 30 variables, explained the 22 % of total variance, showing a 31 statistically significant difference between treatment arms 32 (p = 0.002).33

Conclusions The inclusion of whole soy foods (corresponding to 30 g/day protein) in a lipid-lowering diet significantly improved a relevant set of biomarkers associated with cardiovascular risk.

Keywords Soy protein · Lipids · Metabolic syndrome and obesity

Abbreviati	ons	40
ApoB	Apolipoprotein B	41
ApoA-I	Apolipoprotein A-I	42
BMI	Body mass index	43
BIA	Bioelectrical impedance analysis	44
CRP	High-sensitivity C-reactive protein	45
CVD	Cardiovascular diseases	46
DBP	Diastolic blood pressure	47
FPG	Fasting plasma glucose	48
HC	Hip circumference	49
HDL-C	High-density lipoprotein cholesterol	50
HOMA	Homeostatic model assessment	51
HR	Heart rate	52
LDL-C	Low-density lipoprotein cholesterol	53
Lp(a)	Lipoprotein (a)	54
Ν	Number	55
PCSK9	Proprotein convertase subtilisin/kexin 9	56



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Introduction

Cardiovascular diseases (CVD) are currently the commonest cause of death worldwide (WHO January 2015; 65 [1]), and different strategies for their primary prevention 66 have been planned, taking into account the main known 67 risk factors, which include an atherogenic lipid profile and 68 69 abdominal/visceral fat excess [2-4]. Total abdominal adipose tissue may be subdivided into subcutaneous-abdom-70 inal compartment and intra-abdominal compartment. This 71 72 latter, also referred to as 'visceral fat,' is associated with insulin resistance and the specific features of the metabolic 73 syndrome (MetS) [5], which also includes the combination 74 75 of dyslipidemia, hyperglycemia or type 2 diabetes mellitus and hypertension, in association with insulin resistance and 76 systemic inflammation [6, 7]. 77

The biochemical factors involved in increased primary 78 CVD risk associated with these features include elevated 79 free fatty acid flux to the liver, altered adipokine produc-80 tion and altered HDL level and distribution into different 81 subclasses [3], resulting in a proatherogenic environment. 82 In particular, MetS has been associated with increased 83 small HDL-3 and reduced large HDL-2 particles [8]. All 84 these risk factors can be a consequence of dietary habits 85 and may therefore be influenced by diet and lifestyle modi-86 fications. Functional foods [9] and nutraceuticals [10] have 87 been assessed in several clinical studies, and meta-analyti-88 cal reports have indicated these as effective approaches for 89 the management of primary CVD risk in the MetS [11, 12]. 90 Within this context, numerous randomized controlled trials 91 (RCTs) and some meta-analyses [13, 14] have shown that 92 a regular consumption of soy protein improves circulating 93 lipid parameters. More specifically, the inclusion of puri-94 fied soy protein in the range of 15-40 g/day into the diet 95 96 of adults with normal or moderately elevated total cholesterol (TC) resulted in a significant reduction in TC (at least 97 -4 %) and LDL-cholesterol (LDL-C, about -6 %) [13, 98 15–17]. In addition, dietary intake of soy protein reduced 99 body weight in overweight and obese subjects, compared 100 to diets containing animal protein [18, 19], although data 101 on soy protein impact on overall fat mass reduction and 102 abdominal adipose changes [20], as well as on circulating 103 adipokine levels [21], are scanty and controversial. 104

The majorities of published studies on soy protein have evaluated the effect of purified protein included in the daily

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diet, without changes of the percent caloric intake from protein.

It should be, however, pointed out that patients do not 109 eat nutrients such as purified soy protein; thus, an approach 110 based on whole soy foods, possibly commercially availa-111 ble, appears to be most desirable [22]. It must be, however, 112 noted that in other conditions, the whole soy food approach 113 has shown differences in effects when compared to the iso-114 lated components [23]. To our knowledge, the effects of 115 commercially available whole soy foods on the cardiometa-116 bolic parameters of the metabolic syndrome have never 117 been evaluated. The present study, with an RCT design, 118 aimed to assess the effects of a low-lipid diet with whole 119 soy foods, on abdominal adipose tissue and related adi-120 pokines, lipid/lipoprotein profiles and glucose metabolism, 121 and to compare them with the effects of standard low-lipid 122 diet with animal protein. 123

Materials and methods

Ethical issues

The study was conducted in accordance with the guidelines of the Declaration of Helsinki and its later amendments. The study was approved by the ethics commit-AQ2 8 tee of A.S.S.T Grande Ospedale Metropolitano Ospedale Niguarda (approval no 170_04/2012).

Study design and population

The study was performed at the Centro Dislipidemie 132 (A.S.S.T Grande Ospedale Metropolitano Ospedale 133 Niguarda, Milan, Italy) in the period March 2013-June 134 2015 following a randomized, parallel, controlled, single-135 center design. Study subjects were followed at the Centro 136 Dislipidemie and were used to consume a lipid-lowering 137 diet. Inclusion criteria were: males and postmenopausal 138 females aged between 45 and 75 years; BMI within the 139 25-30 kg/m² range; and LDL-C levels in the 130-190 mg/ 140 dL range. Additionally, volunteers had to fulfill 3/5 features 141 of the metabolic syndrome criteria, namely waist circum-142 ference (WC) > 102 cm (M) or > 88 cm (F); blood pressure 143 (BP) \geq 130/85 mmHg; fasting glycemia (FPG) \geq 100 mg/ 144 dL; triglycerides (TG) ≥150 mg/dL; HDL-C <40 mg/dL 145 (M); and <50 mg/dL (F) [24]. All study subjects fulfilled 3 146 or 4 MetS criteria; none met all 5 criteria. 147

The exclusion criteria were: the presence of chronic liver 148 disease, renal disease or severe renal impairment, untreated 149 arterial hypertension, obesity (BMI \geq 30 kg/m²), any past 150 history of cerebro-vascular accident or coronary events, 151 including unstable angina, myocardial infarction, percutaneous transluminal coronary angioplasty, or coronary 153

artery bypass graft; subjects affected by any kind of food 154 allergy; any concomitant therapy known to alter any of the 155 parameters to be assessed; history of or current alcohol or 156 drug abuse: any clinically significant medical condition 157 that could interfere with the conduct of the study; known or 158 suspected diagnosis of hepatitis or HIV infection; subjects 159 unable or unwilling to comply with the protocol require-160 ments, or deemed by the investigator to be unfit for the 161 study; and patients who were enrolled in another research 162 study in the last 90 days. All patients were in primary pre-163 vention, were free from liver/kidney disorders potentially 164 affecting the response to treatment and did not take any 165 drug affecting lipid/lipoprotein or glycemic profile, includ-166 ing thiazolidinediones or corticosteroids. Concomitant 167 medications are reported in Table 1. 168

Clinical evaluations

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Clinical and biochemical evaluations were performed at the beginning and at the end of the treatment period. At all visits, patients underwent a fasting venous blood sampling and a full clinical examination, including the evaluation of height, body weight, heart rate and arterial blood pressure. The ViScan device (Tanita Inc., Tokio, Japan) is a validated tool to assess waist circumference (WC) [25] and abdominal fat mass [26, 27] by bioelectrical impedance analysis

Table 1 Concomitant medications (unchanged over the entire study duration)

Medication	Patients (%)
ACE-I/ARB	1.9
Beta blockers	9.4
Diuretics	22.6
Calcium antagonists	1.9
Allopurinol	1.9
Proton-pump inhibitors	13.2
Other drugs	47.2

Table 2 Energy and macronutrient content of the soy food diet and the control diet used in the study

(BIA). WC was measured by the ViScan device (supine	178
position, WC_{ViScan}) and by means of a non-stretchable tape	179
at the umbilical level (standing position, WC). Hip circum-	180
ference (HC) was assessed by tape. ViScan was also used	181
to evaluate bioelectrical impedance analysis (BIA) $\%$ and	182
visceral fat rating (VFR) %. The reproducibility of ViScan	183
was measured with a rigid human phantom (waist 65 cm,	184
hip 90 cm).	185

All visits were performed by the same investigator 186 (PM), and all ViScan analyses were conducted by the same 187 operator (RB). Plasma samples were prepared by low-188 speed centrifugation, and aliquots were immediately stored 189 at -20 °C for subsequent assays. Safety and compliance 190 information were collected at each visit, also by means of 191 24-h dietary recalls, relative to 3 non-consecutive days for 192 each month of nutritional intervention. Data retrieval, anal-193 ysis and manuscript preparation were solely the responsi-194 bility of the authors. 195

Intervention

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After enrollment, they were instructed to follow a nor-197 mocaloric/low-lipid diet, designed according to the Medi-198 terranean diet criteria [28], with three main meals and two 199 snacks and adapted to individual preferences in order to 200 improve patient compliance. Extra virgin olive oil in mod-201 erate quantity was suggested as topping. Dietary plans were 202 defined with the aid of a dedicated software (Dietosystem, 203 DS Medica srl, Milan, Italy). Diet composition was dif-204 ferent for male and female subjects as shown in Table 2. Subjects were then randomly assigned to receive either the experimental diet, containing whole soy foods corresponding to 30 g/day soy protein in substitution of animal foods 208 containing the same amount of protein, or the control diet containing the animal foods, for 12 weeks (Fig. 1). The total daily amount of protein was 1 g/kg for all diets. In order to have a constant total energy intake over the inter-212 vention period, personalized recommendations were given 213 to each participant during each visit, according to three 214 24-h dietary recalls. 215

Variable	Soy		Control	
	Male	Female	Male	Female
Energy (kcal/d)	1809.2	1520.5	1770.4	1493.0
Carbohydrate (g/d)	261.4 (54.2 %)	209.4 (51.6 %)	270.5 (57.3 %)	223.8 (56.2 %)
Protein (g/d)	77.1 (17.0 %)	56.7 (14.9 %)	70.6 (15.9 %)	62.6 (16.8 %)
Total fat (g/d)	55.8 (27.8 %)	54.5 (32.3 %)	52.8 (26.8 %)	44.8 (27 % %)
Saturated fat (g/d)	7.5	8.5	10.4	7.3
Unsaturated fat (g/d)	17.2	15.8	6.7	5.3
Monounsaturated fat (g/d)	25.8	26.5	30.7	28.7
Cholesterol (mg/d)	21.2	7.6	100.5	113.4



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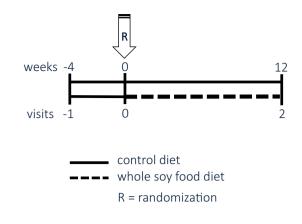


Fig. 1 Schematic representation of the trial design

216 Characteristics of the soy diet

217 The soy diet was composed by different commercial soy foods from a portfolio including soy nuggets, soy burgers, 218 soy desserts (different flavorings) and soy drinks (different 219 flavorings), all provided by Alpro (Belgium). The composi-220 tion of these products is shown in supplementary Table S1. 221 In order to reach the necessary daily intake of soy foods, 222 corresponding to 30 g soy protein, the subjects should con-223 sume 3-4 servings per day, distributed in different meals 224 as indicated in supplementary Table S2 for a better compli-225 ance. At the beginning of each month, each subject received 226 at home a bag containing all the soy foods necessary for the 227 following 30 days. 228

229 Biochemical and immunometric assays

In each blood sample, total cholesterol (TC), TG, HDL-230 C, lipoprotein(a) (Lp(a)), apolipoprotein (apo)A-I, apoB, 231 C-reactive protein (CRP), fasting glycemia (FPG), aspar-232 tate aminotransferase (AST), alanine aminotransferase 233 (ALT), gamma-glutamyl transpeptidase (GGT) and creatine 234 phosphokinase (CPK) were measured according to stand-235 ard clinical procedures. LDL-C was calculated according 236 to the Friedewald equation. Non-HDL-C was calculated 237 as TC minus HDL-C [29]. Commercial enzyme-linked 238 239 immunosorbent assay (ELISA) kits were used according to manufacturer's specifications and previously published 240 protocols to quantify plasma leptin [30], adiponectin, solu-241 242 ble intercellular adhesion molecule-1 (sICAM-1), PCSK9 (all from R&D System [31], Minneapolis, MN) and insu-243 lin (Mercodia, Uppsala, Sweden). The Homeostasis Model 244 Assessment of Insulin Resistance (HOMA) index was cal-245 culated. The plasma concentration of HDL particles con-246 taining only apoA-I (LpA-I) and of particles containing 247 both apoA-I and apoA-II (LpA-I:A-II) was determined by 248 electroimmunodiffusion in agarose gel using a commercial 249

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kit (Sebia, Lisses, France) [32]. The content of discoidal 250 prebeta-migrating HDL was evaluated by non-denaturing 251 two-dimensional electrophoresis followed by immunode-252 tection against human apoA-I [33]. The content of prebeta-253 HDL was calculated as percentage of total apoA-I signal. 254 HDL subclass distribution according to particle size was 255 determined by non-denaturing polyacrylamide gradient gel 256 electrophoresis (4–30 %) of the d < 1.21 g/mL plasma total 257 lipoprotein fraction; the protein-stained gels were scanned 258 with an imaging densitometer to determine particle size 259 and HDL were divided into small (diameter 7.2-8.2 nm), 260 medium (diameter 8.2-8.8 nm) and large (diameter 8.8-261 12.7 nm) particles [32]. Densitometric analyses were per-262 formed with the GS-690 Imaging Densitometer and the 263 Multi-Analyst software (Bio-Rad Laboratories, Hercules, 264 CA). 265

Chemicals for isoflavones analysis

Daidzein (97 % purity) and genistein (97 % purity) were 267 from Lancaster Synthesis (Morecambe, UK); deuterated 268 daidzein (2',3',5',6'-d4, 98 % purity), deuterated genistein 269 (2', 3', 5', 6'-d4, 98% purity) and equol ($\geq 98\%$ purity) were 270 from Cayman Chemicals (Milan, Italy). Dihydrogenistein 271 (DHG, 98 % purity) was from Alfachem (Milan, Italy). 272 The hydrolytic enzyme mixture containing sulfatase and 273 β -glucuronidase from *Helix pomatia* (glucuronidase activ-274 ity 400 units/g, sulfatase activity less than 40 units/g), 275 sodium citrate, ammonium bicarbonate and methanol was 276 from Sigma-Aldrich (Milan, Italy). 277

Isoflavone extraction from human serum	278
and HPLC-CHIP ESI–MS analysis	279

The extraction of isoflavones and their metabolites was 280 performed according to our published method [34]. The 281 quantitative analysis was performed using an Agilent 1200 282 Series Nanoflow LC system. The Agilent HPLC-Chip/MS 283 was interfaced to an Agilent SL series ion trap (Agilent, 284 CA). The intra-assay variations reported as RDS % were 285 within the range 1.8–6.7 % (Table 6). For more details, see 286 Supplementary Materials and Methods. 287

Sample size and statistical analysis

Results are presented as median and interquartile ranges 289 (Q1 and Q3) for all parameters. The differences from 290 treatment arms at baseline were assessed by Wilcoxon-291 rank sum test. Chi-square test was applied to evaluate the 292 difference in frequencies among arms. The difference by 293 treatment arms as changes from baseline [12-week treat-294 ment-baseline (0 week)] was evaluated by ANCOVA 295 adjusted for baseline, age and sex. Data were also 296

expressed as median of changes between [12-week treat-297 ment and baseline (0 week)] and quartiles (supplemen-298 tary Table S3). Residuals from full models, investigating 299 factors variations, were checked to assess normal distri-300 bution. Principal components analysis was performed, 301 and the scree plot of ordered eigenvalues of a correlation 302 matrix was used to decide the appropriate number of fac-303 tors extracted. Only variables with loading >0.40 were 304 considered for interpretation. Finally, we checked whether 305 the scores of factors obtained were significantly different 306 between the two treatment arms. Statistical analysis was 307 performed by using the SAS software v. 9.2 (SAS Inc., 308 Cary, NC). A group sample size of 26 per arm achieves 309 80 % power to detect a difference of 20 mg/dL in absolute 310 changes (12-0 week) in LDL levels (mg/mL) between the 311 312 null hypothesis that both arms means of change in LDL are 10 mg/mL and the alternative hypothesis that the mean 313 of change in LDL in the treatment arms is -10.0 mg/mL314 315 with estimated group standard deviations of 25.0 mg/mL per arm and with a significance level of 5 % using a two-316 sided two-sample t test. 317

Results

Study population

After a run-in period of 4 weeks on a balanced low-lipid 320 diet, only subjects showing changes in total cholesterol 321 <10 % were recruited for the study. Sixty-two subjects (32 322 M, 30 F) were assessed for eligibility, 6 were excluded, and 323 56 (29 M, 27 F) were enrolled into the study and randomly 324 allocated to either the soy diet (N = 28; 14 M, 14 F) or the 325 control diet (N = 28; 15 M, 13 F), for a total intervention 326 duration of 12 weeks. Of them, 27/28 subjects completed 327 the control diet arm and 26/28 completed the soy diet arm 328 (Fig. 2). Wilcoxon-rank sum test indicates that at baseline 329 all clinical and biochemical values, including lipids, adi-330 pokines and inflammatory markers, were similar between 331 the two treatment arms (Table 3). Fifty-three volunteers, 332 gender and age matched, were included, and 13.2 % of 333 them were smokers. Men were 55 % in the control arm 334 and 50 % in the soy arm; median age was 60 years in 335 both arms, As reported in Table 4, BMI (27.3 kg/m² in the AO3 6

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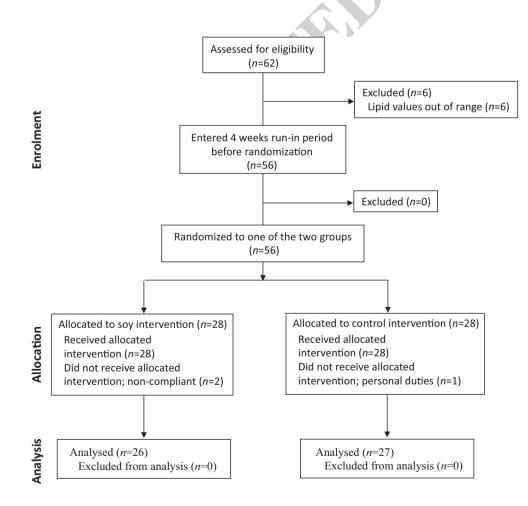


Fig. 2 Consort flow diagram

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Parameter

		I
No. of participants (men/women)	53 (28/25)	_
Smokers, n (%)	7 (13.2)	_
Age, years	58.9 (55.5, 66.3)	0.89
SBP (mmHg)	125 (120, 132.5)	0.20
DBP (mmHg)	80 (77.5, 87.5)	0.85
HR (bpm)	66 (64, 74)	0.97
Weight (kg)	76.0 (69, 81)	0.51
BMI (kg/m ²)	27.8 (25.8, 29.6)	0.31
WC _{TAPE} (cm)	97.0 (93.5, 103.5)	0.26
HC _{TAPE} (cm)	99.0 (94, 102)	0.56
WC _{TAPE} :HC _{TAPE}	1.0 (0.9, 1.0)	0.31
WC _{VSCAN} (cm)	104.5 (9.7, 113)	0.98
BIA (%)	40.6 (34, 45.8)	0.67
VFR (%)	13.0 (11.3, 17.0)	0.86
Leptin (ng/mL)	14.2 (6.8, 22.4)	0.31
Adiponectin (µg/mL)	5.9 (4.4, 9.7)	0.68
Leptin:adiponectin	2.1 (1.2, 3.3)	0.09
TC (mg/dL)	254.2 (227.5, 274.6)	0.42
LDL-C (mg/dL)	168.0 (141.8, 186.5)	0.57
HDL-C (mg/dL)	45.6 (38.5, 50.5)	0.52
Non-HDL-C (mg/dL)	208.1 (186.1, 231.2)	0.36
Lp(a) (mg/dL)	16.0 (6.0, 25.0)	0.61
TG (mg/dL)	193.0 (143.3, 240.4)	0.37
ApoB (mg/dL)	155.0 (141.5, 172)	0.11
ApoA-I (mg/dL)	115.0 (110, 123.5)	0.63
PCSK9 (ng/mL)	289.6 (243.6, 333.6)	0.66
ApoB:apoA-I	1.3 (1.2, 1.5)	0.36
ApoB:PCSK9	0.54 (0.4, 0.6)	0.06
FPG (mg/dL)	94.0 (87.3, 104.1)	0.37
Insulin (mU/L)	7.66 (6.2, 14.0)	0.61
HOMA-IR	1.9 (1.4, 3.8)	0.48
sICAM-1(ng/mL)	260.2 (230, 294.)	0.36
CRP (mg/dL)	0.187 (0.1, 0.3)	0.81

 Table 3
 Main baseline clinical and biochemical characteristics of the study population

Value

Values are expressed as median (interquartile range, Q1 and Q3). *p* values were assessed by Wilcoxon-rank sum test and represent differences between median values at baseline between the two arms

SBP Systolic blood pressure, DBP diastolic blood pressure, HR heart rate, BMI body mass index, WC waist circumference, HC hip circumference, BIA bioelectrical impedance analysis, VFR visceral fat rating, TC total cholesterol, LDL-C low-density lipoprotein cholesterol, HDL-C high-density lipoprotein cholesterol, TG triglycerides, Lp(a) lipoprotein (a), apoB apolipoprotein B, apoA-I apolipoprotein A-I, FPG fasting plasma glucose, sICAM-1 soluble intercellular adhesion molecular 1, CRP high-sensitivity C-reactive protein

control group and 28.2 kg/m² in the soy group) indicated that study subjects were overweight with a relevant abdominal adiposity, as evaluated by WC, WC_{Viscan} (104 cm in

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the control group and 105 cm in the soy group), HC and340WC:HC ratio (1.00 in both arms). Subjects also had a mod-341erate dyslipidemia and met 3 or 4 out of 5 MetS criteria.342No signs of hypertension (SBP, 125 mmHg in both arms)343and of relevant systemic low-grade inflammation (CRP,3440.2 mg/dL in both arms) were detected.345

Effect of soy diet

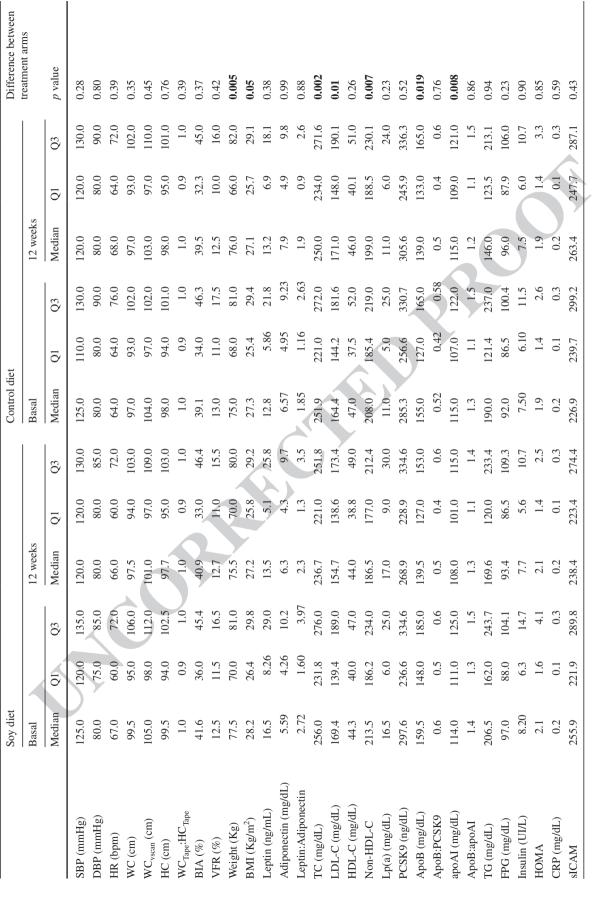
p value

At the end of the treatment period, 50 % (13/26) of sub-347 jects on soy food showed a reduction in the number of 348 MetS features. In the control group, 26 % (7/27) of sub-349 jects showed a reduction in MetS feature number. The dif-350 ference in frequencies (Chi-square test) among arms was 351 p = 0.094. A significant reduction in weight (median per-352 centage change: -1.5 %; p = 0.005) and BMI (median 353 percentage change: -1.5 %; p = 0.05), after adjustment 354 for age and sex, was noted in the soy food arm (Table 4). 355 No differences were instead recorded between the two 356 groups for abdominal adipose tissue variables (WC, HC, 357 WC:HC, BIA % and VFR %) and related adipokines, 358 namely leptin and adiponectin. This lack of significant 359 changes in visceral adipose and related biomarkers was 360 paralleled by unaffected glucose metabolism (FPG, insu-361 lin and HOMA) and inflammation (sICAM-1 and CRP) 362 parameters. 363

A 12-week (wk) lipid/lipoprotein changes were charac-364 terized by significantly reduced TC (p = 0.002), LDL-C 365 (p = 0.01) and non-HDL-C (p = 0.007) in the soy food 366 group versus the control group, with median percent-367 age changes for TC = -4.85 %, LDL-C = -5.25 % and 368 non-HDL-C = -7.14 %. These were not linked to BMI 369 changes as assessed by ANCOVA adjusted for the con-370 founding factors; p values were 0.10 for TC, 0.45 for 371 LDL-C and 0.08 for non-HDL-C. Conversely, these 372 lipid markers showed a percentage median increment 373 (TC = 4.6 %, LDL-C = 5.7 % and non-HDL-C = 4.2 %)374 in the control group. Overall, results were not influenced 375 by median changes recorded during the 4-week run-in 376 period (-4 and 0 week). Specifically, these were +4.2 mg/ 377 dL (p = 0.78) for TC; -1.7 mg/dL (p = 0.57) for LDL-C; 378 and +4 mg/dL (p = 0.88) for non-HDL-C. ApoB, apoAI 379 and LpA-I levels were also significantly modified by soy 380 food consumption. Percentage changes of these param-381 eters were -14.8 % (apoB; p = 0.019), -5 % (apoAI; 382 p = 0.008) and -3.8 % (LpA-I; p = 0.02). No significant 383 differences were found between the two groups for TG, 384 Lp(a) and PCSK9 values. 385

Plasma levels of HDL-C and HDL subclass distribution 386 (discoidal pre-migrating HDL, small, medium and large 387 HDL, HDL2, HDL3), as well as that of apoA-I-containing 388 HDL subclass LpA-I:A-II, were not modified by soy food 389 consumption (supplementary Table S4). 390 Author Proof

 Table 4
 Summary of primary and secondary end points





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 Table 4
 continued

	Soy diet						Control diet	ţ,					Difference between
	Basal			12 weeks			Basal			12 weeks			treatment arms
	Median	QI	Q3	Median	Q1	Q3	Median	Q1	Q3	Median	QI	Q3	<i>p</i> value
LpAI	38.0	35.0	44.0	37.0	33.0	40.0	38.0	31.0	41.0	77.0	70.0	81.0 0.02	0.02
LpAI:AII	78.0	70.0	87.0	68.5	64.0	77.0	78.0	74.0	89.0	41.0	34.0	45.0	0.10

5BP Systolic blood pressure, DBP diastolic blood pressure, HR heart rate, BMI body mass index, WC waist circumference, HC hip circumference, BIA bioelectrical impedance analysis, VFR visceral fat rating, TC total cholesterol, LDL-C low-density lipoprotein cholesterol, HDL-C high-density lipoprotein cholesterol, TG triglycerides, Lp(a) lipoprotein (a), apoB apolipoprotein B, Values are expressed as median (interquartile range, Q1 and Q3). The differences between treatment arms (median changes) were evaluated by ANCOVA adjusted for baseline, age and sex apoA-I apolipoprotein A-I, FPG fasting plasma glucose, sICAM-I soluble intercellular adhesion molecular 1, CRP high-sensitivity C-reactive protein

Table 5	Results of	of factor	analysis	of all	studied	subjects	
---------	------------	-----------	----------	--------	---------	----------	--

	Factors		
	1	2	3
TC	0.87	0.25	-0.09
АроВ	0.77	0.26	-0.17
LDL	0.64	0.18	0.05
BMI	0.52	-0.34	0.31
apoAI	0.43	0.27	0.05
WC _{vscan}	0.41	-0.22	-0.08
Leptin	0.40	-0.09	0.10
Non-HDL-C	-0.43	-0.10	0.24
HOMA	0.09	0.79	0.20
Insulin	-0.01	0.72	0.29
FPG	0.27	0.40	-0.17
VFR (%)	0.44	-0.60	-0.01
BIA (%)	0.47	-0.68	0.17
WC	0.26	-0.12	0.71
НС	0.14	0.03	0.55

Factor analysis

Since the majority of the studied variables were strongly 392 correlated, to reduce them to a smaller set of latent or 393 underlying independent factors, factor analyses were 394 applied. Three factors were identified which explained the 395 majority (52 %) of the total variance in the whole data set. 396 As shown in Table 5, the factor with the highest loading 397 scores (>0.40), which were those describing lipid and adi-398 pose features, was the most influential factor explaining the 399 22 % of the total variance (52 %) (factor 1). In particular, 400 the lipid parameters were described by TC (loading score 401 0.87), apoB (loading score 0.77), LDL (loading score 0.64) 402 and the adipose ones by BMI (loading score 0.52), total 403 abdominal fat (BIA %, loading score 0.44) and abdominal 404 cavity (VFR %, loading score 0.41). Factor 2 had positive 405 loading of HOMA (0.79) and insulin (0.72) and a negative 406 one of BIA % (-0.68) and VFR % (-0.60). The third fac-407 tor was characterized by positive loadings for WC (0.71) 408 and HC (0.51) (Table 6). Notably, we found that scores of 409 obtained factors were significantly different between the 410 two treatment arms only for factor 1 (p = 0.002, corrected 411 for age and sex) (Fig. 3). 412

Safety, tolerability and compliance

413

The nutritional intervention with either soy or control food items for 12 weeks was well tolerated by all participants, and no specific adverse effects were reported. No changes in liver function and thyroid parameters were detected after the nutritional intervention with soy foods, which were 418

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 Table 6
 Serum isoflavone metabolites (soy food group)

	Gender	Equol (µM)	RSD (%)	Daidzein (µM)	RSD (%)	DHD (µM)	RSD (%)	Genistein (µM)	RSD (%)	DHG (µM)	RSD (%)
A	Μ	n.d.	1	<l0q< td=""><td>I</td><td>n.d.</td><td>I</td><td><loq< td=""><td>I</td><td>n.d.</td><td>I</td></loq<></td></l0q<>	I	n.d.	I	<loq< td=""><td>I</td><td>n.d.</td><td>I</td></loq<>	I	n.d.	I
В	Н	<pre>>CLOQ</pre>	I	0.151 ± 0.049	4.5	<loq< td=""><td>Ι</td><td><loq< td=""><td>I</td><td>n.d.</td><td>I</td></loq<></td></loq<>	Ι	<loq< td=""><td>I</td><td>n.d.</td><td>I</td></loq<>	I	n.d.	I
В	Μ	<loq< td=""><td>I</td><td>0.079 ± 0.035</td><td>3.6</td><td><loq< td=""><td>Ι</td><td><loq< td=""><td>Ι</td><td><loq< td=""><td>I</td></loq<></td></loq<></td></loq<></td></loq<>	I	0.079 ± 0.035	3.6	<loq< td=""><td>Ι</td><td><loq< td=""><td>Ι</td><td><loq< td=""><td>I</td></loq<></td></loq<></td></loq<>	Ι	<loq< td=""><td>Ι</td><td><loq< td=""><td>I</td></loq<></td></loq<>	Ι	<loq< td=""><td>I</td></loq<>	I
В	Μ	<loq< td=""><td>Ι</td><td>0.253 ± 0.063</td><td>3.7</td><td><loq< td=""><td>Ι</td><td><loq< td=""><td>Ι</td><td>n.d.</td><td>I</td></loq<></td></loq<></td></loq<>	Ι	0.253 ± 0.063	3.7	<loq< td=""><td>Ι</td><td><loq< td=""><td>Ι</td><td>n.d.</td><td>I</td></loq<></td></loq<>	Ι	<loq< td=""><td>Ι</td><td>n.d.</td><td>I</td></loq<>	Ι	n.d.	I
В	Н	<pre>>CLOQ</pre>	Ι	0.063 ± 0.007	5.1	<loq< td=""><td>Ι</td><td><loq< td=""><td>I</td><td>n.d.</td><td>I</td></loq<></td></loq<>	Ι	<loq< td=""><td>I</td><td>n.d.</td><td>I</td></loq<>	I	n.d.	I
C	Μ	n.d.	I	0.343 ± 0.022	2.0	n.d.	I	0.007 ± 0.001	4.1	<loq< td=""><td>I</td></loq<>	I
С	ц	n.d.	I	0.080 ± 0.015	1.9	n.d.	I	0.192 ± 0.093	2.7	<loq< td=""><td>I</td></loq<>	I
С	Μ	<loq< td=""><td>I</td><td>0.722 ± 0.038</td><td>4.6</td><td><loq< td=""><td>I</td><td>0.051 ± 0.012</td><td>3.8</td><td>n.d.</td><td>I</td></loq<></td></loq<>	I	0.722 ± 0.038	4.6	<loq< td=""><td>I</td><td>0.051 ± 0.012</td><td>3.8</td><td>n.d.</td><td>I</td></loq<>	I	0.051 ± 0.012	3.8	n.d.	I
C	Μ	≤L0Q	I	0.097 ± 0.023	2.4	<loq< td=""><td>Ι</td><td>0.036 ± 0.009</td><td>4.7</td><td>n.d.</td><td>I</td></loq<>	Ι	0.036 ± 0.009	4.7	n.d.	I
C	Н	n.d.	I	0.063 ± 0.014	1.8	n.d.	Ι	0.328 ± 0.183	4.8	<loq< td=""><td>I</td></loq<>	I
C	Μ	n.d.	I	0.074 ± 0.018	3.0	<loq< td=""><td>Ι</td><td>0.039 ± 0.012</td><td>5.1</td><td>n.d.</td><td>I</td></loq<>	Ι	0.039 ± 0.012	5.1	n.d.	I
C	Ч	n.d	I	0.082 ± 0.030	2.6	n.d.	Ι	0.034 ± 0.016	3.0	n.d.	I
C	Ч	<loq< td=""><td>I</td><td>0.103 ± 0.041</td><td>5.3</td><td><loq< td=""><td>Ι</td><td>0.005 ± 0.001</td><td>5.0</td><td><loq< td=""><td>I</td></loq<></td></loq<></td></loq<>	I	0.103 ± 0.041	5.3	<loq< td=""><td>Ι</td><td>0.005 ± 0.001</td><td>5.0</td><td><loq< td=""><td>I</td></loq<></td></loq<>	Ι	0.005 ± 0.001	5.0	<loq< td=""><td>I</td></loq<>	I
C	Н	≤L0Q	I	0.068 ± 0.027	6.7	<loq< td=""><td>Ι</td><td>0.255 ± 0.168</td><td>5.1</td><td><loq< td=""><td>I</td></loq<></td></loq<>	Ι	0.255 ± 0.168	5.1	<loq< td=""><td>I</td></loq<>	I
C	Μ	<loq< td=""><td>I</td><td>0.096 ± 0.023</td><td>6.4</td><td><loq< td=""><td>T</td><td>0.050 ± 0.010</td><td>4.7</td><td>n.d.</td><td>I</td></loq<></td></loq<>	I	0.096 ± 0.023	6.4	<loq< td=""><td>T</td><td>0.050 ± 0.010</td><td>4.7</td><td>n.d.</td><td>I</td></loq<>	T	0.050 ± 0.010	4.7	n.d.	I
C	Μ	<loq< td=""><td>I</td><td>0.010 ± 0.003</td><td>5.4</td><td><loq <<="" td=""><td>-</td><td>0.077 ± 0.012</td><td>4.3</td><td>n.d.</td><td>I</td></loq></td></loq<>	I	0.010 ± 0.003	5.4	<loq <<="" td=""><td>-</td><td>0.077 ± 0.012</td><td>4.3</td><td>n.d.</td><td>I</td></loq>	-	0.077 ± 0.012	4.3	n.d.	I
C	Μ	<l0q< td=""><td>I</td><td>0.090 ± 0.020</td><td>3.7</td><td>n.d.</td><td>I</td><td>0.190 ± 0.057</td><td>3.0</td><td>n.d.</td><td>I</td></l0q<>	I	0.090 ± 0.020	3.7	n.d.	I	0.190 ± 0.057	3.0	n.d.	I
C	Μ	<loq< td=""><td>I</td><td>0.090 ± 0.036</td><td>3.4</td><td><loq< td=""><td>I</td><td>0.102 ± 0.041</td><td>3.9</td><td><loq< td=""><td>I</td></loq<></td></loq<></td></loq<>	I	0.090 ± 0.036	3.4	<loq< td=""><td>I</td><td>0.102 ± 0.041</td><td>3.9</td><td><loq< td=""><td>I</td></loq<></td></loq<>	I	0.102 ± 0.041	3.9	<loq< td=""><td>I</td></loq<>	I
C	Ч	<loq< td=""><td>I</td><td>0.075 ± 0.023</td><td>5.9</td><td><loq< td=""><td></td><td>0.016 ± 0.005</td><td>4.8</td><td><loq< td=""><td>I</td></loq<></td></loq<></td></loq<>	I	0.075 ± 0.023	5.9	<loq< td=""><td></td><td>0.016 ± 0.005</td><td>4.8</td><td><loq< td=""><td>I</td></loq<></td></loq<>		0.016 ± 0.005	4.8	<loq< td=""><td>I</td></loq<>	I
C	Ч	n.d.	I	0.069 ± 0.040	3.4	n.d.	I	0.032 ± 0.007	4.0	n.d.	I
	Ч	<loq< td=""><td>I</td><td>0.072 ± 0.011</td><td>6.1</td><td><loq< td=""><td>I</td><td>0.127 ± 0.015</td><td>5.6</td><td><loq< td=""><td>I</td></loq<></td></loq<></td></loq<>	I	0.072 ± 0.011	6.1	<loq< td=""><td>I</td><td>0.127 ± 0.015</td><td>5.6</td><td><loq< td=""><td>I</td></loq<></td></loq<>	I	0.127 ± 0.015	5.6	<loq< td=""><td>I</td></loq<>	I
	Μ	n.d.	I	0.070 ± 0.013	5.6	n.d.	I	0.014 ± 0.008	5.0	<loq< td=""><td>I</td></loq<>	I
	ц	n.d.	I	0.072 ± 0.187	6.4	n.d.	I	0.034 ± 0.009	4.6	n.d.	I
	Ч	<loq< td=""><td>Ι</td><td>0.087 ± 0.007</td><td>4.3</td><td>0.066 ± 0.013</td><td>4.9</td><td>0.102 ± 0.011</td><td>6.1</td><td>n.d.</td><td>Ι</td></loq<>	Ι	0.087 ± 0.007	4.3	0.066 ± 0.013	4.9	0.102 ± 0.011	6.1	n.d.	Ι
	Μ	n.d.	Ι	0.022 ± 0.009	4.7	n.d.	Ι	0.231 ± 0.087	6.4	0.124 ± 0.051	2.9
	ц	n.d.	I	0.068 ± 0.013	3.2	<pre>>COQ</pre>	I	0.094 ± 0.012	3.4	0.070 ± 0.01	3.2
n.d., lo	wer than the	limit of detection;	; < LOQ, lower	n.d., lower than the limit of detection; < LOQ, lower than the limit of quantification; RSD, intra-assay variations	tification; RSL), intra-assay variatic	Suc		ŝ		



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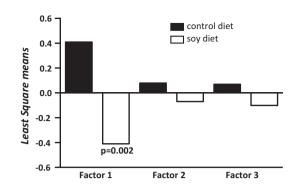


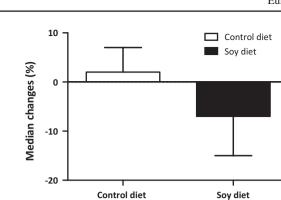
Fig. 3 Effect of soy treatment on the scores of the obtained factors

well accepted by all subjects. The compliance toward both 419 420 diets was well above 95 %, according to food diary analysis. To assess the compliance, circulating isoflavones and 421 their metabolites were quantified in the soy food group sub-422 423 jects. Isoflavone concentrations at baseline were under the LOD or LOQ of the analytical method (data not shown). 424 At the end of the dietary intervention, quantifiable amounts 425 of daidzein were detected in 25 subjects, of genistein in 22 426 subjects, of DHG in 2 subjects and of DHD in 1 subject, 427 whereas equol remained always under the limit of quanti-428 fication (Table S5). Hence, patients were clustered accord-429 ing to different metabolic pathways. One male (cluster A) 430 did not show any quantifiable metabolite, since even daid-431 zein and genistein, the two main isoflavones, were under 432 the LOQ. In subjects (2 M and 2 F) included in cluster B, 433 only daidzein was quantifiable with concentrations rang-434 435 ing between 0.063 and 0.253 µM, whereas in subjects (9 M and 9 F) included in cluster C it was possible to detect 436 either daidzein, in the range from 0.010 to 0.722 µM, or 437 genistein, in the range between 0.007 and 0.328 µM. One 438 female (cluster D) besides daidzein and genistein showed 439 also DHD, a metabolite of daidzein (0.066 μ M), while in 2 440 subjects (1 M and 1 F; cluster E), serum contained DHG, 441 a metabolite of genistein, at concentrations of 0.070-442 $0.124 \,\mu\text{M}$ as well as daidzein and genistein (Table 6). 443

Discussion 444

The reduction in metabolic and consequent CV risks by an 445 appropriate nutritional approach has been widely addressed 446 447 in the last decades. Different strategies, such as the implementation of traditional habits (Mediterranean diet [28] or 448 Far East traditional diets [35]), novel functional foods [36] 449 and nutraceuticals [10], have been described. The asso-450 ciation of soy protein consumption with reduced CV risk, 451 mainly by way of TC and LDL-C lowering, is well estab-452 lished [13, 37] and has led to a health claim approval by the 453 FDA for coronary heart disease risk reduction [38]. 454

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non-HDL-C. Data are Fig. 4 Percentage median changes of expressed as median with range

A large number of data are available on the effects of 455 purified soy protein on lipid parameters, generally obtained 456 from human studies substituting 25-30 g/day protein from 457 animal sources with an equal amount of soy protein pro-458 vided in model foods [13], whereas the effects of commer-459 cially available whole soy foods have not been fully evalu-460 ated. Today, it appears of growing importance moving from 461 studies on isolated nutrient effects toward RCTs evaluating 462 whole foods [39]. Further, scanty data are available on the 463 impact of soy-based dietary plans on novel metabolic and 464 CV risk factors [40], such as body size variables (body 465 weight and abdominal fat), insulin resistance biomarkers 466 and adipokines. 467

The present 3-month intervention study, designed fol-468 lowing the FDA recommended intake of soy protein (25-469 30 g/day) [38], specifically evaluated commercially avail-470 able whole soy foods. The study was conducted in subjects 471 with moderate dyslipidemia and MetS carriers, also 472 attempting to replicate the large number of data on isolated 473 soy proteins in a seldom studied patient population.

The soy food diet significantly improved the plasma 475 lipid profile, regardless of age, sex and baseline values, 476 with significant median reductions in TC (-4.8 %), LDL-C 477 (-5.2 %), non-HDL-C (-7.1 %) and apoB (-14.8 %), in 478 line with most clinical trials evaluating the effect of the use 479 of soy protein concentrates or isolates [13, 14, 17]. Moreo-480 ver, these changes were not correlated with those of BMI, 481 thus indicating that the lipid-lowering effect is independent 482 of weight loss. 483

Of note, both apoB and non-HDL-C have been reported 484 to be superior to LDL-C as markers of CV risk [29]. Being 485 apoB synthesized by the liver and reflecting the total num-486 ber of chylomicrons, VLDL, intermediate density lipo-487 protein and LDL particles, it better reflects the total ath-488 erogenic burden than LDL-C [33]. Similarly, non-HDL-C 489 accounts for all atherogenic lipoproteins and recent data 490 from a large series of studies confirmed it to be a better CV 491 risk predictor than LDL-C in both primary and secondary 492

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prevention [23, 34]. Remarkably, a significant median 493 reduction in non-HDL-C (-7.1 %, Fig. 4) occurred in the 494 soy group. The apoB:apoA1 ratio was instead unchanged. 495 A reduction in the CV/metabolic risk by the sov food diet 496 may also be secondary to the median body weight and BMI 497 changes (-1.5 % for both). 498

It is well known that MetS criteria take into account WC, 499 which, along with other anthropometric measures (e.g., 500 WHR, waist-to-height ratio, sagittal depth), better reflects 501 the amount of visceral adipose tissue [41], although BMI in 502 itself is a strong predictor of CV risk and overall mortality 503 [42]. In order to understand the effects of soy foods on the 504 505 classical MetS features, a factor analysis was applied. This represents a multivariate correlation technique which reduces 506 a large number of interrelated variables to a smaller set of 507 508 latent or underlying independent factors. Thus, the factor analysis has the potential to clarify the complex pathophysiological and statistical interactions underlying the MetS. 510 Loadings are continuously distributed correlations, higher loadings indicating stronger associations between measured 512 variables and associated factors [43]. In our cohort, among 513 the three factors behind the overall correlation amidst risk variables, we found that adiposity parameters, either general 515 or central, loaded on all three factors, implying that obesity 516 is the link that unifies the MetS. Interestingly, our data are 517 in accordance with those reported by Anderson [44] describ-518 ing how, in a cohort of different ethnicity (Hong Kong Chi-519 nese subjects), adiposity (both central and general) was the 520 common link between the major facets of MetS. Since the 521 MetS is a condition also characterized by increased visceral 522 fat accumulation, it can be hypothesized that an imbalance 523 in the secretion of adipokines may be related to some of the 524 metabolic abnormalities. In our cohort, leptin was highly 525 correlated only with factor 1 (related to lipid and adipose 526 features) characterized by high positive loadings for BMI 527 and WC_{ViScan}. This finding is in line with previous studies 528 indicating that leptin is positively correlated with BMI, but 529 does not link features of MetS [45-47]. 530

Along with the well-known effect of soy on lipid param-531 eters, a recent meta-analysis on randomized controlled 532 studies [48] failed to show a significant body weight reduc-533 tion in MetS patients. Our nutritional intervention led to an 534 535 important improvement of factor 1 (lipid and adiposity features), describing 22 % of the total variance. This indicates 536 that this nutritional approach can improve, in MetS sub-537 538 jects, both lipid and adiposity parameters, and, to a lesser extent, glucometabolic indices (FPG, insulin and HOMA), 539 as described by factor 2 (Fig. 2). Further, in the soy group, 540 a reduction in MetS feature numbers was observed in 50 % 541 of the subjects, thereby lowering their overall cardiometa-542 bolic risk. 543

A satisfactory compliance to the dietary interven-544 tion was supported by the isoflavone analyses. It is well 545

known that in soybean and in unfermented soy foods, iso-546 flavones are present as β -glucosides [49], not absorbed 547 at the intestinal level. After ingestion, however, the gly-548 cosidic bond is hydrolyzed by the microbiota to release 549 free aglycones, which may be either absorbed or further 550 metabolized, mostly by microbiota. These metabolic steps 551 include the conversion of daidzein to dihydrodaidzein 552 (DHD), equol and O-desmethylangolensin (O-DMA), 553 whereas genistein is converted to dihydrogenistein (DHG) 554 [50]. After absorption, the aglycones are again conjugated 555 with glucuronic acid and, to a smaller extent, sulfate, or 556 bound to plasma proteins, such as albumin. Conjugated 557 forms follow the enterohepatic circulation and may be 558 excreted, primarily in urines [51]. Isoflavones and their 559 metabolites were detected in sera of all soy group partici-560 pants, with only one exception. This does not rule out soy 561 food consumption by this subject: many variables influ-562 ence absorption and metabolism of isoflavones and inter-563 individual variations in gut microbiota have a major role 564 in formation, absorption and/or metabolism of free agly-565 cones [50, 51]. 566

Major limitations of the present study were the intrin-567 sic impossibility to implement a double-blind design, in 568 order to avoid personal preferences toward the different 569 foods, and the fact that the selected women were all post-570 menopausal, at greater metabolic and CV risk. The major AQ4 1 strength, instead, was the validation of a dietary approach 572 based on commercially available whole soy foods, allow-573 ing to achieve a better compliance and providing positive 574 outcomes on some metabolic risk biomarkers. 575

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Author contributions MR wrote the paper and performed ELISA 582 experiments; PM wrote the paper and coordinated the study; AN, LC 583 and CS conceived the study and critically revised the manuscript; CP 584 selected the patients and acted as clinical monitor; SG performed all 585 the statistical analyses; BM and CM performed biochemical analysis; 586 MG and CV performed analysis on HDL; GA performed HPLC anal-587 vsis; and RB was the dietician. All authors reviewed the results and 588 approved the final version of the manuscript. 589

Compliance with ethical standards	
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Conflict of interest The authors declare no conflict of interest. 591

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