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**KLF4 is a key determinant in the development
and progression of Cerebral Cavernous
Malformations**

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LIST OF ABBREVIATIONS

Aa: Aminoacid

ActRII: Activin receptor type II

AF-6: Afadin-6

AJ: Adherens junction

ALK1: Activin-receptor Like Kinase-1

ALK5: Activin-receptor Like Kinase-5

AMHRII: AMH type II receptor

aPKC: Atypical PKC

α SMA: α Smooth Muscle Actin

ATP: Adenosine triphosphate

AV: Atrio-Ventricular

BAD: BCL2-Associated Agonist Of Cell Death

BBB: Blood Brain Barrier

Bcl2: B-Cell CLL/Lymphoma 2

BMK1: Big MAPK (BMK1)

BMP: Bone morphogenetic protein

BMPII: BMP type II receptor

Bp: Base pairs

BRE: BMP-Responsive Elements

C-terminus: Carboxyl-terminus

CAF: Cancer-Associated Fibroblast

CASK/lin2: calcium/calmodulin-dependent serine protein kinase

CBP: cAMP response element binding protein

CCM: Cerebral Cavernous Malformation

ChIP-seq: ChIP sequencing

ChIP: Chromatin immunoprecipitation

CNS: central nervous system

Co-SMAD: Common SMAD

CREB: cAMP response element-binding protein

CtBP: carboxy-terminal binding proteins

DAPI: 4',6-diamino-2-phenylindole

DEP-1: Density-enhanced phosphatase-1

EC: Endothelial cell

ECM: Extracellular matrix

Edn1: Endothelin1

Ednrb: Endothelin receptor b

EGF: Epithelial Growth Factor

EGFR: Epithelial Growth Factor Receptor

EMT: Epithelial-to-Mesenchymal Transition

EndMT: Endothelial-to-mesenchymal transition

eNOS: endothelial Nitric Oxide Synthase

ERK5: Extracellular-signal-regulated kinase

FGFR: Fibroblast growth factor receptor

FOP: Fibrodysplasia Ossificans Progressiva

FoxO1: Forkhead-box protein-O1

FSP1: Fibroblast Specific Protein 1

GAPDH: Glyceraldehydes-3-Phosphate Dehydrogenase

GDF: Growth Differentiation Factor

GF: Growth Factor

GLUT1: Glucose transporter 1

GUK: Guanylate kinase

HAT: Histone acetyltransferase

HDAC: Histone deacetylases

HEG: Heart of glass

HUVEC: Human umbilical vein endothelial cell

I-SMADs: Inhibitory SMADs

ICAM-1: Intercellular Adhesion Molecule-1

ID1: Inhibitor of differentiation 1

IFN γ : Interferon- γ

Ig: Immunoglobulin

IL β : Interleukin- β

IP: Intraperitoneal

iPS: Induced pluripotent stem cells

JAM: Junctional adhesion molecules

KB: Kilobase

kDa: Kilo Dalton

KLF: Krüppel-like factor

KO: Knock-out

LAP: Latent-associated peptide

LDL: Low Density Lipoprotein

LPS: Lipopolysaccharide

MAGUK: Membrane-Associated GUanylate Kinase homolog

MAP2K5: mitogen-activated protein kinase kinase

MAPK: Mitogen-activated protein kinase

MAPKKK: MAPK kinase kinase

MEF2: Myocyte enhancer factor 2

MEK5: MAPK/ERK kinase 5

MEKK: MEK kinase

MH: Mad Homology Domains

MLC2: Myosin light chain

MLPA: Multiplex ligation-dependent probe amplification

MRI: Magnetic resonance imaging

MUPP-1: Multi-PDZ domain protein

N-cadherin: Neuronal cadherin

N-terminus: Amino-terminus

NES: nuclear export signal

NF- κ B: Nuclear Factor-kappa-B

NLS: Nuclear localization signal

NO: Nitric Oxide

NVU: neurovascular unit

ON: Overnight

P: Postnatal day

P/CAF: p300/CBP-associated factor

PAF: Paraformaldehyde

PAF: Platelet-activating factor

PAI-1: Plasminogen Activator Inhibitor-1

PAR: partitioning defective

PC: Perycite

PCR: Polymerase chain reaction

PDGF: Platelet-Derived Growth Factor

PDGFR: Platelet derived growth factor receptor

PDZ: Post synaptic density protein, Drosophila disc large tumor suppressor and Zonula occludens-1 protein

PECAM1: Platelet Endothelial Cell Adhesive Molecule 1

PH: Pulmonary hypertension

PI3K: Phosphatidylinositol-3 kinase

PLVAP: plasmalemma vesicle-associated protein

PMN: Polymorphonuclear neutrophil

PmT: Polyoma middle T

PTGIS: Prostaglandin I₂ synthase

PTP μ : Protein Tyrosine Phosphatase μ

R-SMAD: Receptor-activated SMAD

Rac: Ras-related C3 botulinum toxin substrate

Raf1: Rapidly accelerated fibrosarcom

Rap1: Ras-related protein-1 small GTPase

RNA: Ribonucleic Acid

ROCK: Rho-associated protein kinase

RT-PCR: Reverse Transcriptase-Polymerase Chain Reaction

RT: Room Temperature

Sap1a: Serum-response factor accessory protein 1a

SARA: SMAD Anchor for Receptor Activation

SBE: SMAD-Binding Element

Sca-1: Stem Cell Antigen-1

SD: Standard Deviation

SH: Src Homology

Shh: Sonic Hedgehog

SHP2: Src-homology 2-containing phosphotyrosine phosphatase

siRNA: short interference Ribonucleic Acid

SMAD: Small mother against decapentaplegic

SMC: Smooth muscle cell

Smurf: SMAD ubiquitination regulatory factor

Src: Rous sarcoma proto-oncogene tyrosine-protein kinase

STRIPAK: Striatin-interacting phosphatase and kinase

T β RI: Transforming Growth Factor- β Receptor type I

TβRII: Transforming Growth Factor-β Receptor type II

TβRs: Transforming Growth Factor-β Receptors

TGF-β: Transforming growth factor-β

Thbd: Thrombomodulin

Tiam: T-Cell lymphoma invasion and metastasis

TJ: Tight junctions

TNF-α: Tumor necrosis factor-α

Vcam-1: Vascular cell adhesion molecule 1

VE-cadherin: Vascular endothelial cadherin

VE-PTP: Vascular Endothelial-Phosphotyrosine Phosphatase

VEGF: Vascular endothelial growth factor

WB: Western Blotting

WT: Wild-type

ZO: Zonula Occludens

ZONAB: ZO-1-associated nucleic acid binding protein

ZPLD1: Zona pellucida-like domain containing 1

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ABSTRACT

Cerebral cavernous malformations (CCMs) are capillary-venous malformations located in the central nervous system that often lead to neurological deficits and cerebral hemorrhage. Pharmacological treatment limiting disease progression is dearly needed, as available therapy is limited to surgical lesion eradication or stereotactic radiosurgery. CCM affects up to 0.5% of the human population and can occur either in a sporadic or familial form. Loss-of-function mutations in any of three genes *CCM1*, *CCM2* and *CCM3* have been associated to familial CCM. Postnatal endothelial-specific deletion of any of the three *Ccm* genes in mice results in the development of multiple brain vascular malformations that faithfully resemble human CCM lesions. Here we describe that CCM malformations are formed by endothelial cells (ECs) undergoing endothelial-to-mesenchymal transition (EndMT), a phenotype that recapitulates most of the previously observed functional changes that are responsible for dysplasia and fragility of CCM lesions. *Ccm1* deletion leads to activation of the MEKK3-MEK5-ERK5-MEF2 signaling cascade resulting in a marked upregulation of the transcription factor Krüppel-like factor 4 (KLF4) in ECs *in vivo*. KLF4 promotes an endogenous production of bone morphogenetic protein 6 (BMP6) in ECs that, in turn, activates the transforming growth factor- β (TGF- β) and bone morphogenetic protein (BMP) signalling pathway. KLF4 transcriptional activity and KLF4-dependent TGF- β /BMP pathway activation are responsible for the EndMT switch observed in the absence of *Ccm1*. Interestingly, using both a pharmacological treatment to inhibit TGF- β /BMP pathway or a genetic approach based on endothelial-specific *Ccm1* and *Klf4* double knockout mice, we strongly reduce the development and progression of CCM lesions. Importantly loss of *Klf4* almost abolishes mouse mortality due to brain hemorrhage in endothelial *Ccm1*-ablated mice. These data indicate that KLF4, TGF- β /BMP pathway and EndMT are crucial events for CCM pathogenesis and unveil KLF4 as a key therapeutic target for CCM.

INTRODUCTION

1. VASCULAR ENDOTHELIUM

1.1 Endothelial cell-to-cell junctions

Endothelial cells (ECs) line the internal surface of blood vessels and form a semipermeable barrier that separates blood from the tissues. The passage of fluids, molecules and cells across endothelial barrier can occur either through a transcellular ¹ or a paracellular route ². In order to regulate paracellular permeability ECs are equipped with highly organized intercellular structures called junctions ². EC junctions are assembled during the first stages of development and are composed by transmembrane proteins bound to intracellular molecules that, in turn, mediate the anchorage to actin cytoskeleton thus stabilizing the entire structure ³. Besides to have adhesive properties necessary to maintain the integrity of the endothelium, EC junctions can act as signalling units able to communicate cell position, restrain cell migration, inhibit cell growth and apoptosis, control permeability and maintain apical-basal polarity to finely promote vascular homeostasis. ECs possess two main types of cell-to-cell junctions: adherens junctions (AJs) and tight junctions (TJs) ⁴. AJs and TJs are both intermingled and interconnected along the EC cleft forming a complex system with variations in depth and thickness along the submembrane plaque. To note, AJs can influence and control TJ organization both in epithelial cells ⁵ and ECs ⁶.

AJs and TJs are also differentially expressed along the several portions of the vasculature tree depending on the specific functions required. AJ are usually present in all type of vessels while TJ expression and organization is finely modulated. The brain microvasculature is enriched in TJs since a strict control of permeability is required ^{7,8}; on the contrary, TJs are poorly organized in post-capillary venules, where a dynamic trafficking between blood and tissue takes place ⁹.

1.2 Adherens junctions composition and signaling

Endothelial AJs are composed by members of the classical cadherin family, a family of calcium-dependent cell adhesion transmembrane molecules (Figure 1). Vascular endothelial (VE)-cadherin (also called *Cdh5*), the main component of EC AJ¹⁰, is an endothelial-specific molecule¹⁰ expressed during development as soon as the cell is committed to the endothelial lineage. It is characterized by five extracellular domains located at the amino (N)-terminus, a transmembrane region and a cytoplasmic tail at the carboxyl (C)-terminus. Through extracellular domains, VE-cadherin promotes Ca^{2+} -dependent homophilic EC adhesion by creating a pericellular zipper-like structure along the cell border through lateral interaction *in cis* and *in trans*. ECs also express neuronal (N)-cadherin (also called *Cdh2*), another member of the classical cadherin family. Despite VE- and N-cadherin show similar structure and bind the same intracellular partners, they seem to play different roles in ECs. In physiological condition N-cadherin, whose expression is not EC-specific, is excluded from cell-to-cell contacts and localizes at the cell membrane to promote the anchorage of ECs to the neighbouring cells (e.g. pericytes [PCs], smooth muscle cells [SMCs] and nerve cells). However, in the absence of VE-cadherin, N-cadherin expression is increased and it localizes at cell-to-cell contacts^{11,12} to mediate anti-proliferative and anti-apoptotic response to compensate for the lack of VE-cadherin. Consistently both VE- and N-cadherin knock-out (KO) mice are embryonic lethal due to severe defect in vascular remodeling^{13,14}, thus suggesting a partial overlapping role of the two cadherins in the endothelium.

VE-cadherin cytoplasmic tail binds many intracellular partners to promote vascular stability and control of permeability^{15,16}, such as the three proteins of the Armadillo family named plakoglobin, β -catenin and p120 are connected to the intracellular domain of VE-cadherin (Figure 1). Plakoglobin and β -catenin can interact with α -catenin and VE-cadherin at the same time, forming a ternary complex¹⁷. α -catenin, in turn, can bind actin only when it is not hired by β -catenin, thus indicating that the cadherin-catenin complex

indirectly influences the actin cytoskeleton. Moreover, plakoglobin, β -catenin and p120 are also able to shuttle from the cell membrane to the nucleus and activate specific transcriptional programs. Since the transcriptional activity of β -catenin, a crucial mediator of Int/Wingless (WNT) canonical pathway, usually induces cell proliferation and differentiation, its recruitment at cell-to-cell contacts in complex with VE-cadherin and the consequent inhibition of its transcriptional activity generally promotes vascular quiescence. VE-cadherin can recruit other cytoplasmic molecules to regulate the local organization of the actin cytoskeleton. Among others, T-Cell lymphoma invasion and metastasis (Tiam)¹⁸, a guanine nucleotide exchange factor that activates Ras-related C3 botulinum toxin substrate 1 (Rac1), is localized to the cell membrane through the Ras-related protein-1 small GTPase (Rap1) to induce the organization of peripheral actin bundles. Rap1 binds to VE-cadherin and it stabilizes the junctions by promoting the association of the Rapidly accelerated fibrosarcoma (Raf)1/Rho-associated protein kinase (ROCK) complex to VE-cadherin to regulate the phosphorylation of Myosin light chain 2 (MLC2) and the actomyosin contractility at junctions¹⁹.

AJ can also signal intracellularly. VE-cadherin clustering is able to directly control both TJ organization and stability by inhibiting the Forkhead-box protein-O1 (FoxO1) transcriptional activity. FoxO1 acts as repressor of the main TJ component *claudin5* and, therefore, its inhibition via phosphorylation induced by VE-cadherin-phosphatidylinositol-3-OH-kinase-(PI3K)-AKT axis induces *claudin5* upregulation and leads to organized TJs. On the contrary, nuclear β -catenin is able to stabilize FoxO1 on the *claudin5* promoter, thus sustaining its repressor activity⁶. Thus, VE-cadherin-dependent β -catenin engagement at junctions further limits FoxO1 activity and promotes vascular stability.

VE-cadherin can also directly modulate growth factor receptor-dependent signaling. The association of VE-cadherin with the vascular endothelial growth factor (VEGF) receptor type 2 (VEGFR2) limits its internalization and activity in the presence of the angiogenic growth factor VEGF-A²⁰. Conversely, VE-cadherin binding to either TGF- β

receptor complex ²¹, platelet derived growth factor receptor (PDGFR) ²² or fibroblast growth factor receptor-1 (FGFR-1) ¹², controls endothelial proliferative signals to promote vascular quiescence.

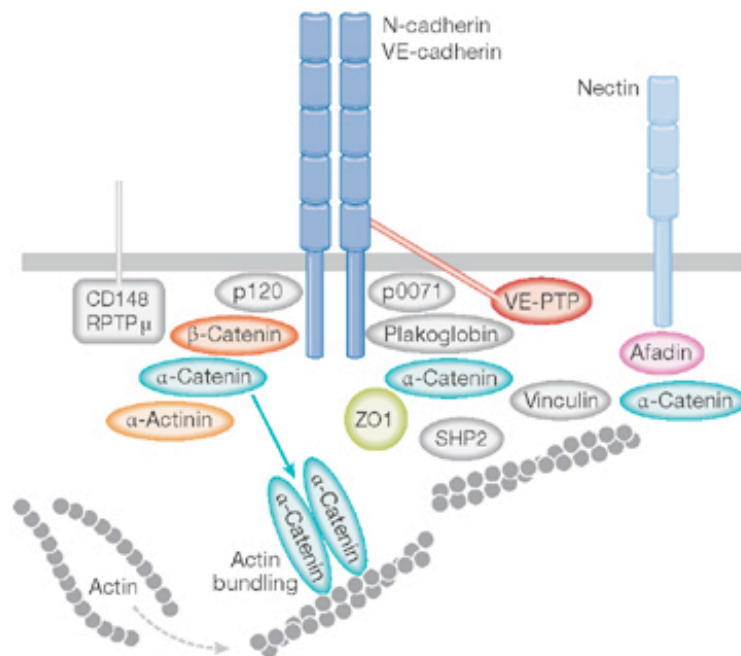


Figure 1 - Schematic representation of endothelial AJs.

Endothelial AJs are formed by some members of the classical cadherin family such as VE-cadherin and N-cadherin. VE- cadherin is important for the interaction between neighbouring ECs while N-cadherin mediates the contact between ECs and pericytes. The cytoplasmic interactore p120, α -catenin, β -catenin and plakoglobin promote the junction stability by inducing the anchorage of the junctions to the actin cytoskeleton and they mediate the intracellular signalling. Membrane- associated phosphatases like VE-PTP, DEP-1 (or CD148) and RPTP μ regulate VE-cadherin turnover at junction (*Adapted from Nyqvist et al., 2008*)

The phosphorylation status of VE-cadherin controls vascular permeability. Tyrosine phosphorylation in the VE-cadherin cytoplasmic tail (Y658 and Y685) results in weaker junctions and impaired barrier function ^{23,24,25}. Permeability agents such as histamine ²³, tumor necrosis factor- α (TNF- α) ²⁶, platelet-activating factor (PAF) ²⁷ and VEGF ²⁴ induce tyrosine phosphorylation of VE-cadherin, β -catenin and p120 by

activating the Rous sarcoma proto-oncogene tyrosine-protein kinase (Src) ¹⁶ directly associated with VE-cadherin/catenin complex. Thus, either *Src* gene deletion or the use of Src inhibitors blocks VEGF-induced VE-cadherin phosphorylation ²⁸. The phosphorylation status of VE-cadherin may also be modulated by AJ-associated phosphatases, such as the vascular endothelium specific protein tyrosine phosphatase (VE-PTP) ²⁹, density-enhanced phosphatase-1 (DEP-1) ^{12,30}, protein tyrosine phosphatase receptor type M (PTP-μ) ³¹ and src-homology 2 (SH2)-containing phosphotyrosine phosphatase (SHP2) ³² that bind to VE-cadherin directly or indirectly to decrease its phosphorylation status and increase the strength of the vascular barrier.

Finally, permeability can also be regulated by VE-cadherin internalization ^{25,33} or enzymatic proteolytic cleavage ³⁴.

1.3 Tight junctions composition and signaling

TJs physically seal the plasma membrane of two adjacent cells to finely regulate the passage of cells and solutes through the paracellular space ³⁵. TJs are formed by members of multiple families of transmembrane signalling proteins, which include claudins, occludins and junctional adhesion molecules (JAMs) (Figure 2). These TJ transmembrane components associate to the cytoplasmic proteins like Zonula Occludens ([ZO]-1, ZO-2, ZO-3) and cingulin ³⁶. The high grade of junction complexity can be explained by the specific barrier properties that TJs must exert depending on the tissues in which they are located. Claudins, the major transmembrane components of TJs, are 21-28 kDa proteins and consist of four transmembrane domains, two extracellular loops and both N- and C-terminal cytoplasmic domains. The claudin family is composed by 24 members. ECs express claudin1, 3, 5 and 12 and among them only the expression of claudin5 is endothelial-specific ³⁷. Claudins contain the conserved aa motif GLWxxC(8-10 aa)C in the first extracellular loop and a PDZ (Post synaptic density protein, Drosophila disc large

tumor suppressor and Zonula occludens-1 protein) domain-binding motif at the C-terminus, which is needed for the association with PDZ-containing TJ proteins ZO-1, ZO-2 and ZO-3 ³⁵.

Occludin, like claudins, is a four-pass transmembrane protein with both N-terminal and C-terminal cytoplasmic domains. The C-terminal cytoplasmic region of occludin also binds to ZO-1, ZO-2 and ZO-3 ³⁵.

JAM proteins belong to the immunoglobulin (Ig) superfamily and have two extracellular N-terminal Ig-like domains, a transmembrane region and a short cytoplasmic tail harbouring a PDZ-binding domain. At least five members are known, named JAM-A (or JAM-1 or F11R), JAM-B, JAM-C, JAM-4 and JAM-L plus a sixth molecule called ESAM that shares similarities with the JAM family. Every JAM molecule displays its own peculiar pattern of expression. JAM-A is the most widely expressed since it can be detected in both endothelial and epithelial tissues of many organs as well as in platelets, erythrocytes and leukocytes. JAM-B is expressed exclusively by ECs, while JAM-C is present both in ECs and leukocytes. Much less is known about the expression pattern of JAM-4, JAM-L and ESAM. Of note, JAM proteins are engaged in both homophilic (i.e. with identical JAM molecules) and heterophilic (i.e. with different JAMs or other unrelated proteins) interactions. JAM-A, JAM-B, JAM-C and JAM-L contain a putative dimerization loop in their N-terminal region and are able to localize at cell-to-cell contacts in close proximity with TJ strands. JAM-A interacts with many PDZ-containing molecules that mediate the anchorage to actin cytoskeleton such as ZO-1, Afadin-6 (AF-6), partitioning defective (PAR) 3/6/atypical PKC (aPKC) complex, calcium/calmodulin-dependent serine protein kinase CASK/lin2 and multi-PDZ domain protein (MUPP-1) ^{4, 38}. By binding PAR3/PAR6 polarity complex in association to the small GTPases Cdc42 and aPKC, JAM-A and JAM-C play a central role in the maintenance of apical-basal cell polarity ³⁹. Cell polarity is finally required also for a correct angiogenesis and therefore, there are evidence showing that JAM-C is involved in tumor angiogenesis and retinal

neovascularization⁴⁰.

ZOs, cytoplasmic TJ components, belong to the family of the membrane-associated guanylate kinase homologs (MAGUKs)^{4, 41}, and consist of three PDZ domains, a Src Homology (SH)3 domain and a guanylate kinase (GUK) domain. PDZ-binding domains at the C-terminus are able to mediate the anchorage of the associated transmembrane proteins to actin cytoskeleton. When TJs are not well developed or the cells are in sparse/migrating condition, both ZO-1 and ZO-2 dissociate from the cell-to-cell contacts and migrate into the nucleus^{42, 43}. ZO-1-associated nucleic acid binding protein (ZONAB), a Y-box transcription factor reported to localize both at TJs and in the nucleus, binds to the SH3 domain of ZO-1 and this interaction is required for sequestering ZONAB at the plasma membrane and restraining its nuclear localization, thus reducing cell proliferation^{44, 45}.

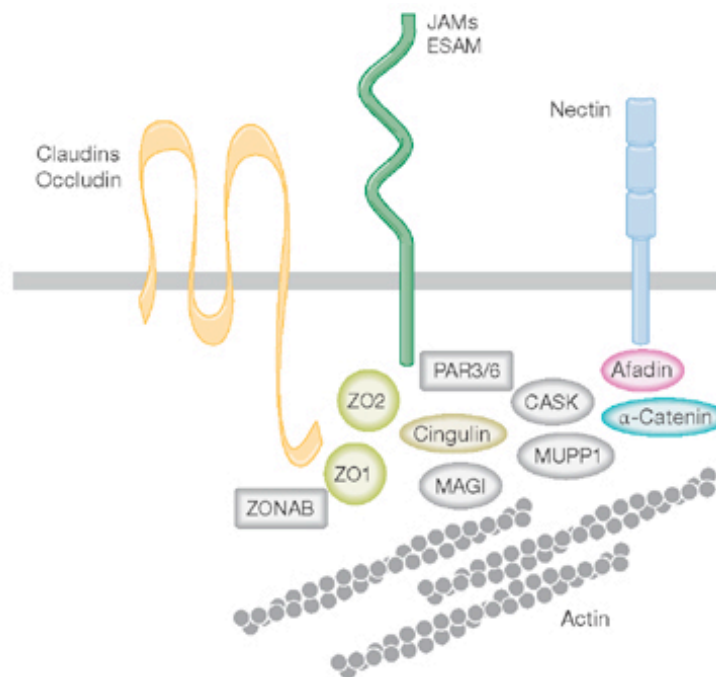


Figure 2 - Schematic representation of endothelial TJs.

Claudins play a central role in the formation of TJ strands between ECs with the cooperation of occludin and JAMs molecules. Many intracellular components of TJs such as ZO-1, ZO-2 and cingulin mediate junctional binding to actin cytoskeleton. (*Adapted from Nyqvist et al, 2008*)

1.4 Endothelial heterogeneity: the example of the blood brain barrier

The heterogeneity is an intrinsic feature of ECs. Depending on multiple factors such as tissues localization, vessel size and age, ECs can modify their phenotype in order to adapt to and support specific functions of the tissue or the organ in which they reside. Differences in terms of junction organization, cell morphology, gene expression or antigen composition and functions have been described in ECs located in different segments of the vascular tree. From a structural point of view the endothelium can be classified into three main classes: continuous non-fenestrated, continuous fenestrated and discontinuous or sinusoidal ^{4, 46}. Continuous non-fenestrated endothelium is peculiar of vessels inside the brain, the skin and the heart. The presence of highly organized and abundant TJs in the vasculature of these organs allows the strong sealing of cell-to-cell contacts in order to strictly control the passage of several substances. The active transcytosis of molecules across this vessel wall is mediated by caveolae, vesiculo-vacuolar organelles and specific transporters ⁴⁷. Conversely continuous fenestrated endothelium is characterized by the presence on endothelial surface of transcellular pores with a diameter of about 70 nm (the so called fenestrae) that allow the rapid exchange of molecules between the circulation and the surrounding tissue. Most of the fenestrae present a thin diaphragm that acts as a molecular filter and allows increased size selectivity ^{48,49}. Fenestrated endothelium is permeable to water and small solutes and, therefore, it is typical of organs involved in filtration or secretion such as exocrine and endocrine glands, renal glomeruli and the gastric-intestinal mucosa. Finally, discontinuous endothelium is similar to the fenestrated one with the exception that fenestrae are larger in diameter (100-200 nm) and lack an internal diaphragm ⁴⁹. This poor restrictive endothelium is typical of certain sinusoidal vascular beds in the bone marrow and in the liver that need to allow continuous cellular trafficking ⁴⁷.

Beyond structural differences, ECs express distinct patterns of cell surface markers, solute transporters and intracellular enzymes in different tissues. For instance,

ultrastructural features such as the secretory organelles Weibel-Palade bodies are not found in all ECs ⁴⁶, while the expression of *Glucose transporter 1 (Glut1)* is limited to brain vascular ECs ⁵⁰.

The molecular mechanisms involved in EC heterogeneity are driven by the exposure of ECs to tissue specific environmental stimuli including mechanical forces, cytokines, the contact with tissue-specific cell types, circulating immune cells, extracellular matrix (ECM) and soluble growth factors ⁵¹. All these stimuli can activate specific receptor-mediated signalling pathways in ECs that further induce post-translational modification of proteins or modify the transcription factors-dependent gene expression. This fine regulation of the endothelial heterogeneity through the microenvironment is a biological process termed trans-differentiation ⁵². In agreement with this theory, ECs cultured *in vitro* undergo a phenotypic drift because they are uncoupled from critical extracellular signals deriving from their native environment. Conversely, certain specific properties of ECs are determined by epigenetic modification (e.g. DNA methylation, histone methylation and acetylation) and can be maintained also upon EC isolation and culture since they are mitotically stable ⁵¹.

A clear example of EC heterogeneity is represented by the highly specialized endothelium of the brain microvasculature. This endothelium has to tightly control the exchange of molecules, ions and cells between the central nervous system (CNS) and the peripheral blood circulation, thus allowing proper neuronal function and the protection of the CNS from toxins and pathogens ⁵³. From an anatomical point of view brain ECs are supported by a basement membrane and enwrapped by PCs and astrocytic end feet processes to create a multicellular vascular structure called blood brain barrier (BBB) (Figure 3) ^{54, 55}. All this elements together with the contribution of neurons, microglial cells and immune cells, constitute a peculiar microenvironment known as neurovascular unit (NVU) ⁵⁶. The proper interaction between these multiple cellular components is necessary for correct formation and function of BBB ⁵⁶.

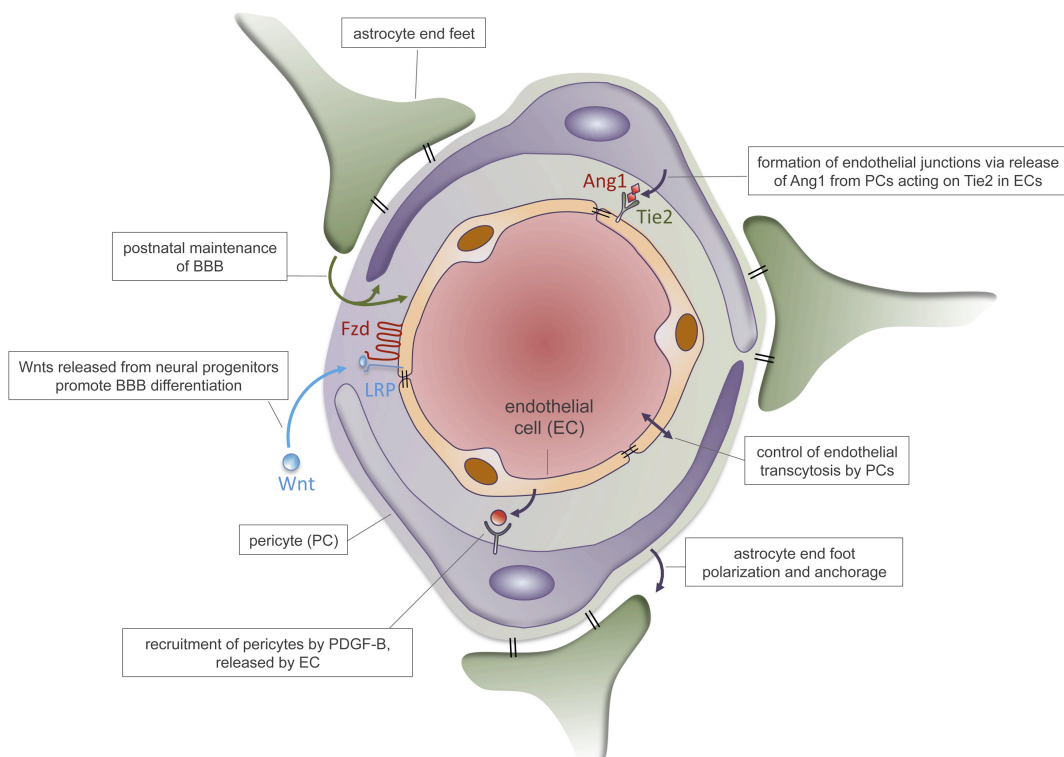


Figure 3 – Schematic representation of the cellular organization of the BBB.

ECs, covered by a basement membrane in their abluminal side, are the main components of the BBB. Pericytes partially envelope ECs and astrocytes extend their foot processes thus providing a link with the neurons. A correct crosstalk between these multiple components is required for proper barrier induction and maintenance. (*Adapted from Quaegebeur et al., 2011*)

High-throughput genomic and proteomic analyses of the molecular differences between ECs of different origins have revealed that ECs of the brain microvasculature possess unique properties. CNS ECs have highly organized TJs since *claudin5* and *occludin* expression are very enriched in the brain endothelium⁵⁷. The importance of these TJ components is underlined by the phenotype of both *claudin5* and *occludin* KO mice. Claudin5 deficient mice die within 10 hours after birth due to a size selective loosening of BBB against molecules smaller than 800 Da⁵⁸. Occludin null mice develop calcifications in the CNS, thus suggesting a critical role for occludin in the regulation of calcium flux across the BBB⁵⁹. Efflux transporters and nutrient transporters are particularly abundant in brain ECs⁵⁷. The first are localized on the luminal cellular side and they use the hydrolysis

of adenosine triphosphate (ATP) to transport small lipophilic molecules into the bloodstream⁶⁰. In this regard is important to note that ECs of the CNS are equipped with a high amount of mitochondria in order to meet the ATP needs required by this transportation system⁶¹. By contrast, nutrient transporters allow the passive movement of important nutrients from the blood to CNS as well as the removal of waste products from the brain. Many of these transporters belong to the solute carrier family such as GLUT1, a transporter that allows the entry of circulating glucose into the brain^{62, 63}. The transcytosis in a healthy BBB is very low as compared to peripheral ECs. In agreement, a strong upregulation of the plasmalemma vesicle-associated protein (PLVAP) is observed during BBB injury and disease^{64, 65}. Finally, ECs express a very low amount of leucocyte adhesion molecules such as selectins (P-selectin and E-selectin) that are required for leukocyte adhesion and extravasation^{46, 66}, thus strongly limiting immune cell entry into the brain parenchyma.

Vascular heterogeneity acquires even more importance considering that some vascular diseases such as atherosclerosis⁶⁷ and rare genetic diseases including Cerebral Cavernous Malformations (CCM)⁶⁸ develop in specific types of vessels.

2. CEREBRAL CAVERNOUS MALFORMATIONS

Cerebral cavernous malformations (CCMs), also known as cavernous angioma or cavernoma, are vascular lesions that occur predominantly in the CNS, even if they can also be found in other anatomical districts such as liver, retina and skin in a lower percentage of the cases ⁶⁹. CCM lesions consist of mulberry-like structures composed by dilated and enlarged vessels lined by a thin layer of ECs surrounded by a thick, discontinuous basal membrane with no intervening parenchyma ⁷⁰ (Figure 4). CCMs arise from the venous-capillary vascular bed and are characterized by low blood flow eventually associated with thrombosis and calcifications ⁶⁹. Ultrastructural analysis revealed that the BBB is severely compromised at the level of these lesions. Thus, TJs and AJs are strongly altered and several gaps develop between individual cells. EC surrounding PCs are reduced in number and no astrocytic foot processes are present within the lesions ⁷⁰. CCMs are often neurologically silent and it has been estimated that only approximately 60% of patients are symptomatic ⁷¹.

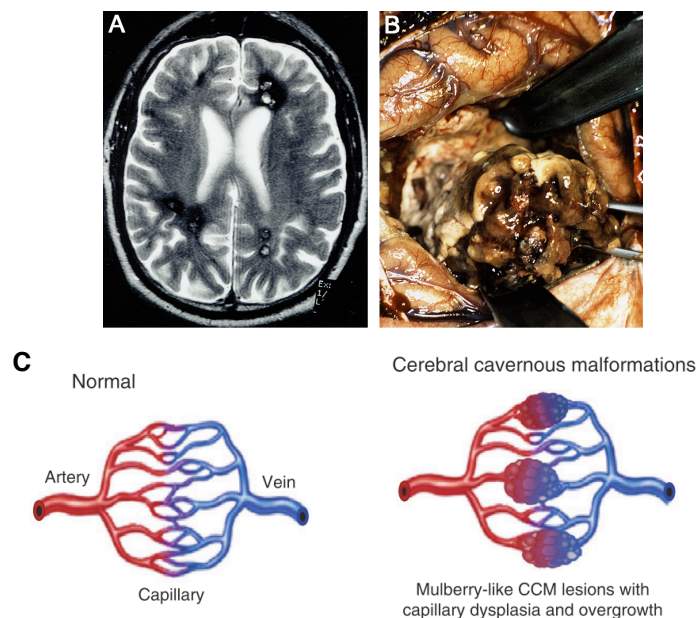


Figure 4 – The morphology of CCM vascular lesions

A) Cerebral MRI showing multiple CCM lesions. B) Surgical view of a CCM lesion. C) Schematic representation of the normal vasculature (left panel) and the typical mulberry-like structure of CCM lesions (right panel). (*Adapted from Dejana et al., 2009 and Storkebaum et al., 2011*)

Clinical symptoms strongly reflect a compromised BBB and include strokes, intracranial hemorrhage, seizures and focal neurological deficits depending on the site of lesion ⁷².

2.1 CCM genetics

CCM is a rather frequent disease with a prevalence of 0.5 % in the population. It can occur both in a sporadic (80 % of cases) or familial form (20 % of cases). Sporadic patients usually develop a single lesion from the second decade of the life while familial forms are characterized by the formation of multiple lesions whose number and size usually increase with the age ^{71, 73}. Moreover, while sporadic cases are not inherited, familial forms are transmitted in an autosomal dominant manner with variable penetrance. 95 % of familial CCM patients harbor a heterozygous germ line mutations in one of the three different *CCM* genes known as *CCM1/KRIT1*, *CCM2/MGC4607/OSM/Malcavernin* and *CCM3/PDCD10/TFAR15* ^{71, 73}. Different types of *CCM* gene germline mutations have been reported (e.g. nonsense, splice-site and frameshift mutations as well as large genomic rearrangements and few missense mutations), mostly resulting in loss-of-function of the relative protein ⁷⁴. Mutations in *CCM1* gene are the most common accounting for about 56 % of familial CCM, whereas the *CCM2* and *CCM3* mutations occur in 33 % and 6 % of the familial cases respectively ^{74, 75}. A *CCM1* founder mutation (Q455X stop codon mutation in *CCM1*) has been identified in Hispanic Americans of Mexican descent, a population with a higher incidence for CCM ⁷². Of note, no mutation in *CCM* genes has been detected in 5% of familial CCM, thus suggesting the existence of unknown additional genes implicated in CCM disease ⁷⁵. To this regard a potentially new *CCM* gene named *zona pellucida-like domain containing 1 (ZPLD1)* has been recently identified even if its functional role in CCM pathology remains to be determined ⁷⁶. The average age of the disease onset is between the 2nd and 5th decades of life, but symptoms can start in early

infancy or in older age. In comparison to CCM1 and CCM2 patients, *CCM3* mutations are associated with an earlier disease onset and more severe phenotype characterized by a higher risk for cerebral hemorrhage during childhood ⁷⁷. The standard strategy to identify mutations in CCM patients and to confirm their clinical diagnosis is the full sequencing of both the coding regions and the exonic-intronic junctions in the three *CCM* genes. If sequencing gives negative results, other approaches are currently applied in clinics including the multiplex ligation-dependent probe amplification (MLPA) analysis or the combination of microsatellite marker analysis together with quantitative real-time polymerase chain reaction (qRT-PCR) ⁷⁸.

It has been hypothesized that CCM pathogenesis occur following the Knudson's two-hit mechanism. Loss of one allele in all cells (germ line mutation) might be followed by a somatic mutation in the other allele (second hit) in some ECs that, subsequently, would lead to the formation of a vascular lesion ⁷⁹. Since the detection of homozygous mutations in individual ECs inside a lesion is quite challenging, the possible cause of this “second hit” is still an open issue.

2.2 Animal models used to study CCM disease

Since CCM proteins are well conserved across different species ⁸⁰, numerous experimental animal models have been used to study CCM pathology. Due to the availability of transgenic reporter lines expressing fluorescent proteins within the vasculature together with its transparency and amenability to genetic manipulation, the zebrafish model has been extensively used to unveil the functions of *Ccm* genes in the vascular system. Zebrafish mutants KO for either *santa* (the zebrafish orthologue of *CCM1*), *valentine* (the zebrafish orthologue of *CCM2*) or *pdc10* (*ccm3*, which is duplicated in the zebrafish genome) show the so called “big heart phenotype”, a severe dilation of the cardiac chambers in which the endocardium is covered by a single thin layer of myocardial cells ⁸¹⁻⁸³. The absence of heart looping and the endocardial cushion

formation in these zebrafish mutants has been also reported⁸². Beyond a cardiac phenotype these mutants develop dilated thin-walled vessels without lumen⁸⁴, that are reminiscent of the microvasculature observed in human CCM patients. Interestingly, the big heart phenotype of *santa*, *valentine* and *pdcd10* KO zebrafish is very similar to what observed in fish lacking *heart of glass (heg)*⁸⁵. HEG1 is a transmembrane receptor expressed almost exclusively by vascular ECs that interacts with CCM1 and CCM2⁸⁵. However, since loss of HEG1 does not alter CCM lesion formation in mice and no mutations in the human orthologue *HEG1* have been identified in CCM patients^{86, 87}, HEG1 seems to not be directly involved in the pathogenesis of CCM disease.

Several murine models have been also developed by several groups in order to study the *in vivo* functions of CCM proteins during the vascular development. Constitutive and global deletion of any of the three *Ccm* genes in mice results in embryonic lethality due to generalized vascular defects in embryonic and extra-embryonic tissues^{69, 88, 89}. Of note, mice with a systemic *Ccm3* deletion die earlier (E8.0 to E8.5) in respect to *Ccm1* and *Ccm2* KO mice (E9.5). Although *CCMs* expression is not endothelial-specific, they seem to play a specific role in the brain endothelium. Endothelial-specific deletion of either *Ccm2* or *Ccm3* strongly impairs angiogenesis and results in embryo death at mid-gestation^{68, 88, 89} while conversely, neuronal-specific and smooth muscle cell-specific *Ccm2* or *Ccm3* KO mice do not show any vascular defects and survive to adulthood⁸⁸. In order to study the role of *CCM* genes during brain angiogenesis and to clarify CCM pathophysiology, several genetic strategies have been applied to avoid the embryonic lethality of both constitutive and endothelial-specific *Ccm* KO mice. Based on the two-hits hypothesis, *Ccm* heterozygous mice, that usually do not develop cavernomas, have been crossed with error prone genetic background mice^{90, 91} (e.g. *p53* KO and *Msh2* KO animals). These CCM animal models, while developing lesions similar to patients, are characterized by incomplete penetrance, an increased risk of developing neoplasms and the impossibility to control the tissue specificity of the second mutation. In order to overcome these limitations

endothelial specific gene ablation has been induced after birth. Postnatal day 1 (P1) deletion of any of the three *Ccm* genes in the endothelium results in the development of vascular lesions specifically in the CNS ^{69,92}. Interestingly postnatal *Ccm* gene ablation in other CNS cell types fails to give a pathological phenotype with the exception of the neural-specific deletion of *Ccm3*, which is characterized by diffuse enlargement of brain blood vessels and the formation of vascular lesions that recapitulate human CCM ⁹³.

In conclusion it remains unclear the reason why *Ccm* genes ablation specifically affects the brain microvasculature and whether the role of CCMs in other cellular components of the BBB could contribute to CCM pathogenesis.

2.3 CCM protein function and pathological implications

CCM proteins do not share any similarity in term of sequence or structure, but they can form a cytosolic multiprotein complex, in which CCM2 is the bridge between CCM1 and CCM3 ^{94, 95}, necessary for their proper localization at cell-to-cell contacts in ECs ⁹⁶. The existence of a unique CCM complex explains the strong similarity of the clinical manifestations observed in CCM patients and animal models upon loss-of-functions of any of the three *Ccm* genes. However, single CCM proteins can have also specific intracellular localization and they can interact with different unique partners ⁹⁷. CCMs, either as part of the same complex or as single molecular entities, exert a critical role in several EC processes such as cell-to-cell junctions organization, cell polarity, cytoskeletal remodeling, lumen formation and angiogenesis ⁹⁷.

Enhanced vascular permeability is a hallmark of CCM lesions *in vivo*. CCM proteins control endothelial barrier function by regulating both actin cytoskeleton remodeling and endothelial junction formation and maintenance. In this regard CCMs have been described to restrain the activity of small GTPase RhoA and its kinase ROCK ^{88, 96}. *Ccm* gene deletion leads to RhoA activation and the following phosphorylation, mediated

by ROCK, of a series of target proteins involved in stress fiber formation, motility, cell polarization and permeability. RhoA activation in response to the absence of CCM is due to reduced RhoA degradation⁹⁸ as well as to altered Striatin-interacting phosphatase and kinase (STRIPAK)-mediated activation of the RhoA inhibitor moesin⁹⁹.

CCM proteins fulfill also a crucial role in the formation and maintenance of EC junctions. Rap1 is a binding partner of CCM1, which act as a scaffold protein for a membrane-tethered complex containing VE-cadherin and β -catenin^{100,101}. In the absence of *Ccm1*, Rap1 is mislocalized leading to junctional weakening and increased permeability. Lack of *Ccm2* or *Ccm3* also increases EC permeability due to AJ and TJ alterations^{69, 88}.

Disruption of AJs in the absence of *Ccm1* is accompanied by the loss of apical basal polarity and improper lumen formation. VE-cadherin and CCM1 form a complex that is required for the correct junctional localization and function of the members of the Par polarity complex (consisting of PAR3, PAR6 and the effector component protein kinase C ζ , PKC ζ)^{102, 103}. Dismantling AJs by inactivation of CCM1 leads to the altered PKC ζ activity and consequent abrogation of endothelial cell polarity, which might explain why CCM-mediated lesions are abnormally enlarged and present multiple lumens¹⁰³. Consistently, also CCM3 seems to play a pivotal role in lumen formation through its interaction with GCKIII kinases¹⁰⁴ while for CCM2 null ECs no polarization defects were reported.

AJ dismantling results in increased β -catenin nuclear localization and β -catenin - dependent transcription in CCM1¹⁰¹ and CCM3 null ECs⁹². Most importantly, β -catenin signaling activation is responsible for the development and progression of vascular lesions *in vivo* in the absence of *Ccm3*⁹².

In vivo deletion of *Ccm* genes has already revealed that CCM proteins are essential for the angiogenic phase of the cardiovascular development^{69, 88, 89}. *In vitro* loss of either *Ccm1* or its interactor *Integrin Cytoplasmic Domain-Associated Protein 1 (Icap1)* results in an excessive angiogenesis due, at least in part, to a deregulation of both the Notch

pathway¹⁰⁵ and the integrin β 1-mediated signaling^{106,107}. Viceversa CCM3, that has independent and different roles in comparison to both CCM1 and CCM2¹⁰⁸, has been reported to induce VEGFA-dependent signaling by stabilizing and activating VEGFR2⁸⁹. Thus the absence of *Ccm3* results in altered vessel growth.

Since it is evident that CCM proteins are implicated in a number of signalling pathways that seem to be crucial for EC homeostasis, it is conceivable to hypothesize that loss of *Ccm* genes could lead to a hyper-activation of specific angiogenic programs occurring in the CNS endothelium that eventually result in the formation of abnormal, fragile and irregular vascular structures. In this regards it is interesting to note that while the endothelial-specific ablation of *Ccm2* at P1 results in the formation of multiple vascular lesions in the cerebellum, gene deletion performed in adult mice rarely leads to CCM development⁶⁹. Thus, additional stimuli such as the presence of an active angiogenesis program in the neonatal cerebellum or other context-specific factors could be necessary to promote the formation of the cavernoma. In the same line of thought, it is still unclear why CCM lesions arise predominantly in the CNS endothelium. One possible explanation is that CCM proteins could restrain improper vessel growth by promoting a correct crosstalk of ECs with other cellular components of the neurovascular unit.

2.4 Future pharmacological therapies for the treatment of CCM patients

The diagnosis of CCM lesions is particularly challenging since CCM lesions are not detectable by angiography¹⁰⁹. Other imaging techniques are used currently for CCM diagnosis in clinics such as conventional T1- and T2- weighted MRI that allows the detection of cavernomas in a very sensitive way. The natural history of these vascular lesions is still not yet clearly understood⁷⁸. CCM lesions are highly dynamic and they may undergo enlargement or even *de novo* formation. Moreover, depending on their location in the cerebral microvasculature, the symptoms and hemorrhage risk can be very different.

Even if a clear correlation between lesions development/growth and their relative localization within CNS has not been identified, most of the CCM lesions are supratentorial while others are more deeply located in the brainstem¹¹⁰. Once a CCM lesion is diagnosed by MRI, all these factors have to be taken into account during the clinical management of the CCM patient. To date neurosurgery is the only therapeutic approach offered in clinics and the risks associated with the microsurgical resection of the lesions are strictly related to their location. Due to this reason most of the efforts in this research field are now concentrated to identify a new pharmacological therapy able to prevent or arrest CCM lesion development. The pharmaceutical treatments proposed so far target the signaling pathways recently identified as altered in CCM. Initially Statins, well-known inhibitors of RhoA activation, have been proposed as a promising pharmacological tool to reduce the increased vascular permeability associated to CCM, but it was unable to reduce the number and the size of CCM lesions in mice^{88, 111, 112}. Conversely Fasudil, a direct inhibitor of ROCK, has been reported to decrease lesion burden in CCM mouse models¹¹³. Recently sulindac sulfide and sulindac sulfone, two non-steroidal anti-inflammatory drugs previously used in clinics as inhibitors of β -catenin-dependent signalling, have been shown to significantly reduce the number and the size of CCM lesions *in vivo* at least in the absence of *Ccm3*⁹². Finally, by using an unbiased screening platform to repurpose previously developed drugs, Gibson and coworkers have shown that vitamin D₃ and TEMPOL, a scavenger of superoxide, are very effective in reducing CCM lesions at least in mouse models¹¹². However the molecular mechanisms through which they act remains undefined. For this reason the major goal of this research project is the better understanding of which signalling pathways are relevant for CCM pathogenesis in order to identify new candidate targets for pharmaceutical intervention.

3. ENDOTHELIAL TO MESENCHYMAL TRANSITION

3.1 Definition of endothelial-to-mesenchymal transition

ECs are characterized by a marked plasticity and may acquire a mesenchymal phenotype through a complex biological process called EndMT (Figure 5). During this transition ECs reduce the expression of specific endothelial markers (such as VE-cadherin, claudin5 and CD31 [PECAM1]) and strongly increase the expression of mesenchymal markers such as α -Smooth Muscle Actin (α SMA) or Fibroblast Specific Protein 1 (FSP1 or S100A4) and stem cell markers like CD44, stem cell antigen 1 (SCA1) and inhibitor of differentiation1 (ID1). This process is associated with altered junction organization, loss of cell polarity, increased cell proliferation and migratory capacity ¹¹⁴. EndMT has been implicated in fundamental physiological processes during embryogenesis ¹¹⁵⁻¹¹⁷ but, also, in pathological conditions including organ fibrosis and cancer ¹¹⁸⁻¹²². EndMT has often been considered a specialized form of epithelial to mesenchymal transition (EMT), a fundamental process during embryonic development, tissue repair, and disease ¹²³ during which a polarized epithelial cell, that normally interacts with basement membrane, acquires a mesenchymal cell phenotype with an increased invasiveness and resistance to apoptosis ¹²⁴. Both EMT and EndMT are induced by similar signaling pathways such as those mediated by transforming growth factor β (TGF- β), bone morphogenetic proteins (BMP), epidermal growth factor (EGF), fibroblast growth factor (FGF), platelet-derived growth factor (PDGF), WNT, Sonic Hedgehog (Shh), Notch, and the integrin signaling, that, in turn, induce a number of transcription factors able activate the expression of EMT/EndMT-associated genes ¹²⁵.

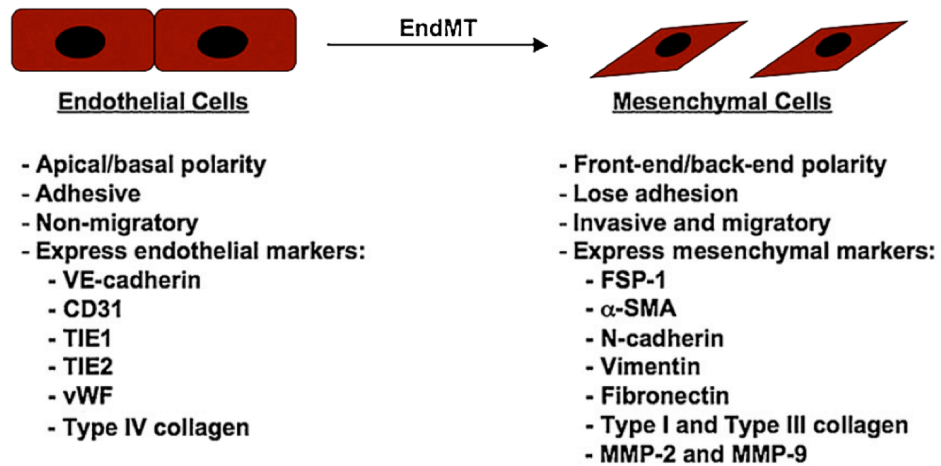


Figure 5 - Phenotypic changes that occur during the EndMT.

During EndMT ECs lose the expression of endothelial markers VE-cadherin, CD31, TIE1, TIE2, and vWF, and acquire/increase the expression of several mesenchymal markers. Distinct changes in cell polarity, cytoskeleton and ECM composition, cell-to-cell junctions and increased motility accompany the switch of the phenotype (*from Medici & Kalluri, 2012*).

3.2 The TGF- β signalling pathway

TGF- β signalling pathway is implicated in a large number of biological processes including cell proliferation, differentiation, migration, ECM production and apoptosis. TGF- β signaling cascade involves a family of 33 ligands, two serine/threonine kinase receptors (type I and II) and the signal transducers mother against decapentaplegic (SMADs) ¹²⁶. The ligands, which share a dimeric structure and a cysteine knot structural motif ¹²⁷, include three distinct TGF- β isoforms (TGF- β 1, TGF- β 2 and TGF- β 3), more than twenty Bone Morphogenetic Proteins (BMPs), Growth Differentiation Factors (GDFs), Mullerian Inhibitory Factors (MIFs) and Activins or Inhibins. TGF- β signalling is mediated by the binding of active ligands to an heterodimeric receptor complex composed by type I and type II serine/threonine kinase receptors ¹²⁸. A total of seven T β RI receptors,

called activin receptor-like kinase (ALK1-ALK7) and five T β RII receptors, among which TGF- β type II receptor (TBRII), BMP type II receptor (BMPRII), activin receptor type IIA (ActRIIA), activin receptor type IIB (ActRIIB) and AMH type II receptor (AMHRII), have been identified. Type I (T β RI) and type II (T β RII) receptors are all composed by a cytoplasmic tail that retains kinase activity, a single transmembrane spanning region and a cysteine-rich extracellular domain. Each ligand belonging to the TGF- β superfamily can bind to different combinations of type I and type II receptors, thus mediating different signalling pathways. Commonly, BMPs and TGF- β subfamilies are synthesized as inactive monomeric pro-peptides, composed by a short N-terminal sequence, a latent-associated peptide (LAP) and a C-terminal mature domain retained in the ECM. After the synthesis, two monomeric precursors dimerize and are then processed by endoproteases of the convertase family and proteolytic enzymes, responsible for the cleavage between LAP and the release and secretion of the mature homodimer protein. This fine regulation of the protease activity is of fundamental importance since it allows the control of active TGF- β bioavailability¹²⁹.

The binding of the ligands induces the formation of the receptor complex and mediates phosphorylation of T β RI by T β RII, required to TGF- β downstream signalling. In this way T β RI can then recruit and phosphorylate the cytoplasmic mediators SMADs that, in turn, bind the common mediator SMAD4 (Co-SMAD) and translocate into the nucleus acting as TFs of specific target genes¹³⁰ (Figure 6). The TGF- β family of SMAD proteins is classified in three main groups: Receptor-associated SMADs (R-SMADs), Co-operating SMADs (Co-SMADs) and Inhibitory SMADs (I-SMADs)¹³¹. R-SMADs are the SMADs recruited and phosphorylated by the T β R complex. Five different R-SMADs have been identified in humans, namely SMAD1, SMAD2, SMAD3, SMAD5 and SMAD8; their structure contains two conserved Mad Homology Domains, MH1 and MH2 that are respectively responsible for the binding to DNA and for the R-SMADs oligomerization¹³². Interaction of R-SMADs with the T β RI is also promoted by the presence of auxiliary

proteins, such as the SMAD Anchor for Receptor Activation (SARA), favouring the association of the T β R complex with SMAD2/3 and subsequently their phosphorylation.

ALK4, ALK5 and ALK7 mediate SMAD2 and SMAD3 phosphorylation and are usually downstream of TGF- β , activins, inhibins and nodal ¹³³. On the contrary, ALK1, ALK2, ALK3 and ALK6 induce pSMAD1, pSMAD5 and pSMAD8 and their activation is usually mediated by BMPs and AMH, even if it has been demonstrated in ECs that TGF- β can also interact and signal through ALK1 ¹³⁴.

SMAD4 is the Co-SMAD required for the association with phospho-R-SMADs and their translocation into the nucleus, while I-SMADs, that include SMAD6 and SMAD7, mediate a negative regulation of TGF- β signaling ¹³⁵. Among the I-SMADs, SMAD7 is the main involved into the switching off of the TGF- β signalling. Indeed SMAD7 binds to activated T β Rs and compete with SMAD2 and SMAD3 for the binding to ALK5, repressing its activation ¹³⁶. Moreover SMAD7 recruits the E3-ubiquitin ligases SMAD ubiquitination regulatory factor (Smurf)-1 and Smurf-2 to mediate ALK5 ubiquitination and degradation ¹³⁷. SMAD7 is also a direct target gene of TGF- β /ALK5 dependent pathway and acts in a negative feedback loop limiting the intensity and the duration of the signal ¹³⁶. In addition, SMAD6 was shown to bind BMPR-1B inhibiting SMAD1 phosphorylation, thus suggesting that also BMP signalling can be impaired by I-SMADs. In the nucleus, SMAD complex binds specific sequences on the DNA called SMAD-binding element (SBE) that contains the repeated 5' AGCA 3' motif ^{138, 139}. Since the binding to SBE is relatively weak plus the fact that one of the R-SMAD (SMAD2) is not able to bind to DNA, the interaction with other TFs is strictly required to cooperate in order to mediate gene transcription. Among these, the best known are components of the FoxO family ¹⁴⁰, members of the activating proteins-1 (Ap-1) family ¹⁴¹, run-related transcription factor 2 (RUNX2) ¹⁴² and nuclear factor-kappa B (NF- κ B) ¹⁴³.

While ALK5-induced pSMAD2/3 bind to DNA through SBE motif, ALK1-regulated SMADs preferentially bind to BMP-responsive element (BRE), a sequence

enriched in guanine and cytosine (5' GCCGNC 3' or 5' GRCGNC 3'). The term BRE is due to the fact that pSMAD1/5/8 are also the major downstream mediators of BMPs signaling^{144, 145}.

A further level of complexity in TGF- β signalling is added by the presence of the TGF- β co-receptors (T β RIII) betaglycan and endoglin, characterized by a large extracellular and a short intracellular domain lacking catalytic activity. Betaglycan interacts with T β RII playing a key role in the presentation of the TGF- β ligands to the receptor complex¹⁴⁶ since it is strictly required for the binding of TGF- β 2 that has low affinity for T β RII¹⁴⁷.

Endoglin instead is a key modulator of the complex interplay between ALK1- and ALK5-dependent signalling; its inhibition *in vitro* decreases TGF- β /ALK1 pathway and indirectly enhances TGF- β /ALK5¹⁴⁸, indicating that endoglin may be required for optimal TGF- β /ALK1 signalling pathway. In addition, endoglin and betaglycan were also reported to potentiate BMP signaling¹⁴⁹.

TGF- β superfamily can also exert its functions through a so-called non canonical non-SMAD-dependent pathway, where TGF- β /T β R complex is able to directly activate different signalling pathways including MAPK, Rho-like GTPase and PI3K/AKT thus modulating a multitude of various downstream cellular responses¹⁵⁰.

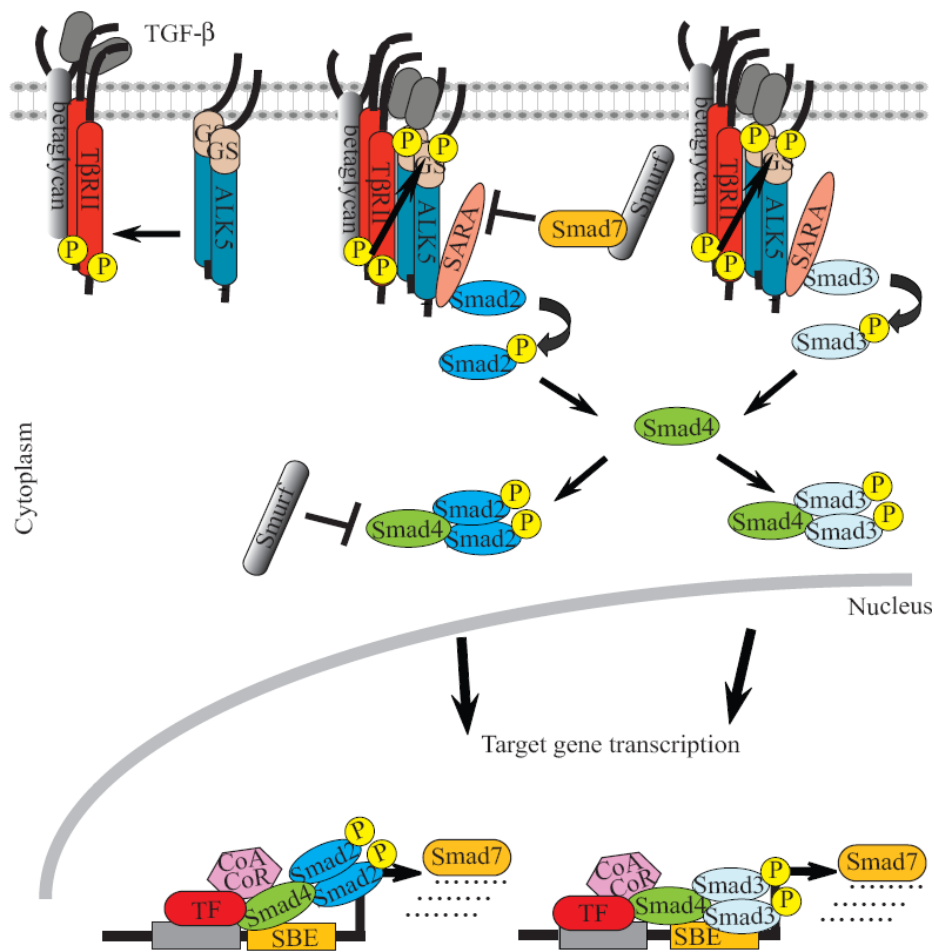


Figure 6 – Schematic representation of TGF- β signalling pathway

Upon ligand binding, type II receptor can phosphorylate type I receptor that in turn phosphorylates SMAD2/3. These leads to the recruitment of Smad4 that subsequently binds to SMAD2/3 and the complex can translocate into the nucleus where it recognized specific DNA binding site to mediate the transcription of target genes. SMAD7 competes with Smad2/3 for the binding to type I receptor, attenuating the signalling. The TGF- β co-receptor endoglin assists type I and type II receptors in mediating TGF- β downstream cascade.

3.2.1 TGF- β and BMP signalling pathway in ECs

Mouse models and human syndromes showing either inactivation or aberrant expression of any of the components of TGF- β signalling pathway, like ligands, receptors and SMADs, all undergo embryonic lethality mainly due to cardiovascular defects^{129, 151, 152}, thus suggesting a critical role for TGF- β signalling during vascular morphogenesis and angiogenesis.

Moreover, in the adult, TGF- β exerts different and often divergent effects on EC homeostasis, the so-called “TGF- β paradox”, and any perturbation occurring to this tuned system can lead to the onset of pathological conditions.

The effects of TGF- β signalling in ECs can be indeed finely mediated and even opposite, depending on the type I receptor involved, specifically ALK1 or ALK5^{134, 153-155}. While ALK5 is broadly expressed, ALK1 is almost endothelium restricted. When TGF- β activates the ALK5/pSMAD2/3 axis the final outcome is the inhibition of EC migration and proliferation. The use of a specific ALK5 inhibitor, named SB-431542, has been demonstrated to facilitate EC proliferation and sheet formation in mouse embryonic stem cell-derived ECs. Furthermore SB-431542 is able to up-regulate the TJ component claudin5, thus suggesting a role for ALK5 also in the regulation of vascular permeability¹⁵⁶. One of the best-known TGF- β /ALK5 molecular targets is plasminogen activator inhibitor-1 (PAI-1), an important regulator of the plasminogen activation system¹³⁴. PAI-1 is a proteinase inhibitor that is involved in the BM formation preventing degradation of the ECM around the emerging new vessels¹⁵⁷. On the contrary, the activation of ALK1/pSMAD1/5/8-endoglin axis leads to EC migration and proliferation¹⁵⁸. Indeed the activation of this pathway mediates the induction of the Inhibitor of Differentiation-1 (ID1), a negative regulator of basic-helix- loop-helix (bHLH) TF, controlling multiple TGF- β -induced biological responses. In general, ID proteins are positive regulators of cell proliferation and negative regulators of cell differentiation¹⁵⁹ (Figure 7).

Even if ALK1 and ALK5 induce divergent outcomes in ECs, they can also interact

and modulate each other. It has been shown that ECs defective for ALK5 are not able to activate ALK1 signalling cascade because its absence does not allow the correct recruitment of ALK1 to form T β R complex¹⁵⁸. Conversely, ALK1 can antagonize ALK5 signalling at the level of SMADs^{134, 153}. Taken together, the regulation of ALK1 and ALK5 signalling and their reciprocal interaction provide a fine regulation of EC homeostasis and function.

Evidence showed that in ECs VE-cadherin can associate with T β R complexes²¹. The proper clustering of VE-cadherin at junctions is necessary for optimal TGF- β signalling to exert anti-proliferative and anti-migratory responses. Furthermore, VE-cadherin physically interacts with all the components of the TGF- β receptor complex, including T β RII, ALK1, ALK5 and endoglin, thus enhancing T β RII/ALK1 and T β RII/ALK5 complex formation and TGF- β -dependent signaling²¹.

As well as TGF- β , also BMP subfamily has a prominent role in vascular development and angiogenesis, since genetic ablation or alteration in the expression of different BMP components are embryonically lethal due to cardiovascular malformations and failure in vascular remodelling. BMP2, BMP4 and BMP6 were all reported to be able to induce angiogenesis and EC proliferation and migration^{160,161}; the over-expression of these BMPs and of their target ID1 increases the ability of EC to mediate the *in vitro* assay of capillary tube formation while inhibition of ID1 expression blocks the BMP-induced migration¹⁶². On the contrary, BMP9 blocks either VEGF-induced angiogenesis either proliferation and migration of bovine aortic ECs (BAECs) induced by bFGF. Moreover, BMP9 was shown to inhibit growth and migration of human dermal microvascular ECs (HDMECs). Of note, BMP9 shows different effects depending on the cellular context and its concentration since, at low doses, BMP9 can also promote EC proliferation and promote angiogenesis in *in vitro* matrigel plug assays and *in vivo* in human pancreatic xenografts¹⁶³.

Furthermore, BMP signalling can interact with Notch signalling pathway, that

fosters EC to become stalk ECs, promoting proliferation and subsequently elongation of the sprouting vessels. Data showed that inhibition of BMP downstream effector SMAD1/5 in ECs both *in vivo* and *in vitro* resulted in a decrease of Notch signalling and in reduced expression of Notch target genes, overall resulting in decreasing stalk EC activity^{164, 165}.

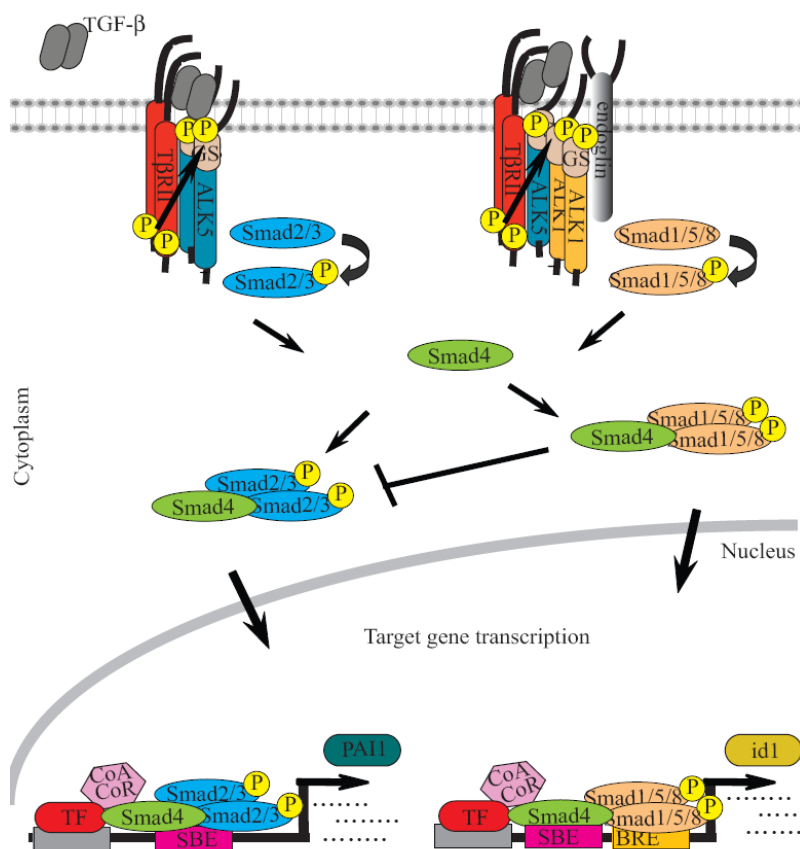


Figure 7- TGF-β signalling pathway in ECs

In ECs TGF-β can either activate ALK5/SMAD2/3 resulting in the induction of the plasminogen activator inhibitor-1 (PAI-1) or ALK1/SMAD1/5/8 that mediates ID1 expression. This latter pathway can also be initiated by BMPs that are recognized and interact with specific with type II BMP receptors (BMPRII). Smad7 and Smad6 compete respectively with SMAD2/3 and SMAD1/5/8 for the binding to ALK5 and ALK1 in order to switch off the signal, acting as negative TGF-β regulators

3.3 EndMT in heart cushion formation and cardiac fibrosis

EndMT was discovered in 1977 during embryonic heart development by Markwald and colleagues ¹¹⁵. During heart development, the heart tube is composed by two different layers, an inner endocardium and an outer myocardium. In between the two layers there is a coat made by ECM called cardiac jelly. The endocardium is composed by ECs expressing VE-cadherin and CD31 that, during the formation of the heart cushion, receives stimuli from the outflow tract and the atrioventricular (AV) myocardium to acquire mesenchymal characteristics in order to give rise to primordial valves and membranous septa ¹¹⁵⁻¹¹⁷. BMP2 released from the myocardium has been suggested to be the first initiator to induce cardiac EndMT ¹⁶⁶ because its expression triggers an autocrine production of TGF β from endocardial cells feeding the transition of ECs to mesenchymal ones. Also TGF- β 2 plays a central role during cushion formation because it has been reported that its expression is required for the endocardial EndMT in the mouse ¹⁶⁷ and TGF- β 2 deficient mice show multiple defects in AV cushion formation ¹⁶⁸. Interestingly, during embryonic EndMT, TGF- β 2 cooperates with β -catenin in the endocardial cells that mediate heart cushion formation. The transcriptional activity of β -catenin seems strictly required as TGF- β mediated EndMT is strongly inhibited in mice conditionally KO for β -catenin ¹⁶⁹. Moreover, in ECs KO for β -catenin TGF- β 2-induced expression of α SMA is abolished, thus suggesting a possible interaction between TGF- β and Wnt signalling in mediating EndMT ¹⁶⁹.

The proof of concept that EndMT mechanism was taking place during heart valve formation and could also have a causative role in cardiac fibrosis was only demonstrated many years later taking advantage from the use of *Tie1Cre;R26stoplacZ* double transgenic mice that allow to follow lineage identity of the ECs ¹¹⁹. Thanks to this strategy, it has been demonstrated that fibroblasts associated to the emergence of cardiac fibrosis in a mouse model of aortic banding had an endothelial origin, as well as the cells of the AV cushion in primordial heart ¹¹⁹. The key regulator of the endothelial transition during cardiac fibrosis

was proven to be TGF- β 1 that induced EndMT in adult coronary ECs via SMAD3 signalling pathway. On the contrary, administration of BMP7 reduced the overall fibrosis in the heart due to a reduction in the number of fibroblasts of endothelial origin, demonstrating its essential role in inhibiting EndMT-mediated cardiac fibrosis ¹¹⁹. Moreover, the TFs Slug and Snail are described to be specifically required for the initiation of cardiac EndMT ¹⁷⁰. Slug is directly activated by Notch to mediate VE-cadherin repression to allow endocardial cells to initiate invasion in the cardiac jelly. Furthermore, Slug deficient mice showed an up-regulation of Snail expression and double KO for Snail and Slug mice reduced heart valve development, underlying the compensatory role of the Snail family members in EndMT-mediated cardiac formation.

3.4 EndMT in cancer, FOP and atherosclerosis

Tumors are composed by a plethora of different cell types where the malignant cancer cell clone requires a permissive environment to grow and colonize the surrounding tissues. Cells that compose the tumor stroma create this favourable condition and among them fibroblasts are key modifiers in mediating cancer progression. In particular a subpopulation of fibroblasts, the so called cancer-associated-fibroblasts (CAFs), are now recognized among the most important promoters of tumor growth and progression ¹⁷¹. CAFs are defined as activated and reactive fibroblasts acquiring a modified phenotype in order to provide oncogenic signals to the transformed epithelia within the tumor ¹⁷². CAFs form a heterogeneous population with different origins: activation and proliferation of resident tissue fibroblasts mainly contribute to CAF accumulation in tumour microenvironment, but also BM and periadventitial cells are thought to be a possible origins of activated fibroblasts ¹⁷².

Evidence showed that ECs undergoing EndMT could represent one of the CAF most important source ¹¹⁸. Qualitative analysis of the fibroblasts within the tumour in a

mouse model of melanoma revealed them to be double positive for the endothelial marker CD31 and the mesenchymal markers FSP1 and α SMA. Approximately 40% of FSP1 positive and 10% of α SMA positive fibroblasts were co-expressing CD31, suggesting that these CAFs were of endothelial origin. In order to prove these data and to recognize possible ECs originating CAFs that had completely lost their endothelial phenotype in favour of a mesenchymal one, Zeisberg and colleagues took advantage from the *Tie1Cre;R26stoplacZ* double transgenic mice (a similar a model already described in the previous paragraphs) where the melanoma cells were implanted to trace fate lineage of ECs. As expected, a big portion of CAFs retained the endothelial lineage tracer β -gal, proving that EndMT leading to EC transdifferentiation could be an important source of CAFs. Moreover, it was also finally demonstrated that EndMT-mediated CAF origin was strongly induced by exposure of ECs to TGF- β 1, supposed to act both in an autocrine and paracrine way to promote the accumulation of activated and promoting-cancer fibroblasts in the tumor stroma ¹¹⁸.

Fibrodysplasia Ossificans Progressiva (FOP) is a genetic disorder causing extreme heterotopic ossification (HO) mostly in muscles and ligaments, leading to paralysis. FOP patients carry an autosomal dominant germline mutation in the ALK2 gene that results in constitutive phosphorylation of ALK2 receptor and downstream SMAD proteins ¹⁷³. Different studies were aimed at identifying the cellular lineage responsible of the ectopic bone formation that was recently suggested to be of endothelial origin. Indeed mouse model for HO induced by transgenic expression of mutant ALK2 demonstrated that ectopic bone cells expressed endothelial markers VE-cadherin, Tie1, Tie2 and vWF ¹⁷⁴. Comparable results were obtained in pathological samples of FOP patients ¹⁷³. Lineage tracing in reporter mice further demonstrated that most of the mesenchymal cells involved in the first phases of ectopic ossification were ECs that underwent EndMT switch.

Atherosclerosis is a multifactorial disease responsible for myocardial infarction and stroke, the largest causes of morbidity and mortality in Western Countries ¹⁷⁵. It occurs in

large and medium-sized arteries ¹⁷⁶ and it is characterized by the accumulation of cholesterol, a strong inflammatory reaction, increased proliferation of SMCs and fibrosis ^{175,177}. ECs together with leukocytes and intimal SMCs are the major players of atherosclerosis and an EndMT process in the inflamed endothelium seems to be involved in the pathogenesis of this disease ¹⁷⁸. Both an oscillatory blood flow and the vessel wall inflammation, two well known atherogenic stimuli, lead to the loss of FGF signaling in ECs that, in turn, results in the activation of the TGF- β signaling and in the induction of EndMT ^{178,179}. During atherogenesis EndMT is able to promote plaque growth by: i) increasing the deposition of fibronectin, a known proatherogenic ECM protein ^{180, 181}; ii) increasing endothelial expression of adhesion molecules (i.e. ICAM-1 and VCAM-1) that further recruit the circulating immune cells; iii) inducing the formation of new SMCs and fibroblasts that lead to neointima expansion and plaque growth. In line with these data, endothelial-specific disruption of FGF-dependent signaling leads to EndMT and concomitant early and increased development of atherosclerotic plaques in mice with a *Apoe*^{-/-} background ¹⁷⁸, thus suggesting that blocking EndMT could be a therapeutic strategy to halt the progression of atherosclerosis.

4. THE KRÜPPEL LIKE FACTOR FAMILY OF TRANSCRIPTION FACTORS

4.1 The classification and the structure of KLFs

Krüppel like factors (KLFs) are a family of transcriptional factors involved in a multitude of cellular processes such as proliferation, differentiation, migration and pluripotency in different cell type ¹⁸². The name “Krüppel-like” is due to the homology with the *Drosophila* protein Krüppel. *Drosophila* Krüppel KO embryos show a lethal phenotype characterized by an altered anterior abdominal and thoracic body segmentation ¹⁸³. Due to the peculiarity of this phenotype, the protein was named “Krüppel”, that is the german word for “cripple”. Since the discovery of the first mammalian *Klf* gene (*Klf1*) in 1993 ¹⁸⁴, a total amount of 18 family members have been identified and classified. The nomenclature of KLFs has been modified over the years. At the beginning, KLFs were initially named according to their expression among different tissues. Some family members are expressed ubiquitously (e.g. KLF6, 10, and 11), while other KLFs are found only in specific cell types or organs. For example *Klf1*, also named *Erythroid Krppel-like factor* (*Eklf*), is expressed predominantly in red blood cells while *Klf2*, also known as *lung Krüppel-like factor* (*Lklf*) is abundantly expressed in the lung. Other KLF family members were, instead, either named depending on the element they bound, such as *basic transcription element-binding protein* (*Bteb1* or *Klf9*), or depending on the physiological responses they modulated, such as the *transforming growth factor- β -inducible early genes 1 and 2* (*Tieg1* and *Tieg2* or *Klf10* and *Klf11*, respectively) ¹⁸⁵. To avoid misleading connotations due to partial description of their expression and/or function, the use of a numerical nomenclature (1 to 18) based on the chronological order of discovery has been adopted ¹⁸⁶.

KLF proteins are highly conserved across the species reflecting their ancient

evolutionary history ¹⁸⁷. 18 genes encoding different 18 KLFs are present both in human and in mouse and are scattered all over the genome. Many of them have homologs in *Gallus gallus* (chicken), *Danio rerio* (zebrafish), and *Xenopus laevis* (frog) ¹⁸².

From a structural point of view, all members of the KLF family are characterized by three zinc-finger DNA-binding domains at the C-terminus of the protein ^{186, 188} that show more than 65 % of aminoacidic sequence identity among the family members ¹⁸⁹. Each zinc finger chelates a single zinc ion supported by two cysteine and two histidine residues. The first and second zinc fingers are composed by 25 aa, while the third contains 23 aa. The pattern of aminoacidic arrangement in a classical zinc finger is #X-C-X(1-5)-C-X3-#-X5-#-X2-H-X(3-6)-[H/C], where C is cysteine, H is histidine and X corresponds to any aa. The symbol # marks the aa that are critical for the stable folding of the zinc finger ¹⁸⁹. These fingers are connected each other by the so called “Krüppel-link”, a seven aa spacer TGEKP(Y/F)X highly conserved among the family members ¹⁹⁰. Each zinc finger recognizes three base pairs in the DNA sequence and interacts with nine base pairs in total ¹⁸⁹. Since the critical residues that determine the sequence specificity for the binding are largely conserved across the family, all KLF family members recognize very similar DNA sequences ^{186, 188}. In detail they bind to related GC- and CACCC-boxes of DNA. As nuclear localization signals (NLS) have been identified in the zinc finger domains of several KLFs ¹⁸², the C-terminal portion of these proteins is not only important for the DNA binding but it is also critical for nuclear import. The N-terminal portion of KLFs is highly divergent among the family members and typically contains either an activation or a repression domain. In addition, alternative splicing in some *Klfs* can lead to further diversification of the protein structure ¹⁹¹. The divergence at the N-terminus allows the binding of different co-activators, co-repressors or other cofactors that, through specific post-translation modification (acetylation, phosphorylation, ubiquitination, and sumoylation), refine the transcriptional activity adding functional specificity to each KLF

¹⁸².

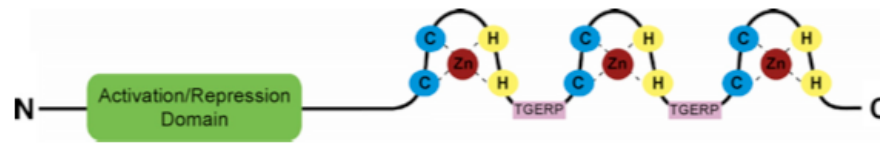


Figure 7 - Schematic representation of the structure of KLF family members.

KLFs are composed by a highly homologous C-terminal DNA-binding domain which contains three C_2H_2 zinc finger motifs connected by the “TGERP”-like spacer. Each zinc finger chelates a single zinc ion. Conversely, the N-terminus portion of the proteins is different between the family members and contains the activation and repression domains. (*Adapted from Pearson et., al, 2008*)

Taking into consideration that N-terminal sequence homology correlates with the functional similarity, it is possible to divide the KLF family members in three different groups¹⁸²:

- Group 1 includes KLF 3, 8, and 12. These KLFs interact with the carboxy-terminal binding proteins (CtBPs)^{192, 193} and act as transcriptional repressor. CtBPs repress gene transcription through the recruitment of histone deacetylases (HDACs) and histone methyltransferases to the transcriptional complexes¹⁹⁴. CtBPs show also HDAC-independent mechanisms of action by inhibiting the activity of histone acetyltransferase (HAT) coactivators such as p300/CBP-associated factor (P/CAF)¹⁹⁴ or recruiting members of the polycomb complex¹⁹⁵ that promote chromatin silencing
- Group 2 comprises KLF 1, 2, 4, 5, 6, and 7. They behave predominantly as transcriptional activators since they interact with HATs, such as cAMP response element binding protein (CBP), p300 and P/CAF¹⁸².
- Group 3 includes KLF9, 10, 11, 13, 14, and 16. These KLFs have

repressor activity since they interact at the N-terminus with the common transcriptional corepressor Sin3A¹⁸². Mammalian Sin3 proteins (Sin3A and Sin3B) are large multidomain proteins that bind HDAC1 and HDAC2 as well as other proteins and provide a scaffold for the assembly of multiunit complexes that modify chromatin conformation¹⁹⁶.

Finally, KLF15 and KLF17 are not included in this classification because they are phylogenetically distant¹⁸² and they do not contain any defined protein interaction motifs, while KLF18 is still not properly characterized due to its recent discovery²⁰⁴.

KLFs, by virtue of their ability to activate and/or repress the expression of a plethora of genes, have a key role during the development, the maintenance of homeostasis and the adaptive responses to physiological or pathobiological stimuli in mammalian tissues. The functions of the different KLF family members in any of these biological responses are in some cases overlapping and in others widely divergent¹⁹⁸. Besides the homology/divergence in the structural composition, other important factors, such as differences in tissue expression, could contribute to the specificity of their relative function. Some members of the family are ubiquitously expressed, whereas others are tissue restricted. Moreover, KLF expression pattern can change dramatically during the development and thus the expression of certain KLFs may overlap at some developmental stages but not in others depending on the specific cell-context. KLF4 expression is for example altered in several types of human neoplasm and depending on tissue affected, tumor type or cancer stage, it has been reported to act either as a tumor suppressor or an oncogene¹⁹⁸⁻²⁰⁰. Thus, individual KLFs show context-dependent functions that can be either exclusive or redundant.

4.2 The characteristic of KLF4 gene and protein and its mechanisms of action

In 1996 two different research groups cloned and characterized the murine *Klf4* gene^{201, 202}. Two years later, in 1998, the human *KLF4* gene was cloned from human umbilical vein endothelial cell (HUVEC) cDNA library²⁰². Since it is abundant in the intestine and in the skin, it has been initially named either *gut enriched kruppel like factor* (*GKLF*)²⁰¹ or *epithelial zinc finger* (*EZF*)²⁰². Later on, as its expression has been detected also in other organs such as in lung, testis, thymus, cornea, cardiac myocytes and lymphocytes²⁰¹⁻²⁰⁵, this gene was definitely renamed as KLF4.

The human *KLF4* gene *locus* is located on chromosome 9q31, whereas mouse *Klf4* is located on chromosome 4B3. Both human and mouse *KLF4* contain five exons transcribed in a mRNA of about 3.5 kilobase (KB). Several splice variants were reported both in human and in mouse^{201, 206}. The human *KLF4* gene encodes for a protein with a predicted molecular mass of 50 kDa containing 470 aa, whereas murine *Klf4* encodes for a polypeptide of 483 aa with a predicted molecular weight of 53 kDa. The human and mouse KLF4 sequences revealed a 91 % aminoacidic sequence identity. KLF4 protein can be divided in several functional domains:

- a N-terminal transcriptional activation domain (1-157 aa) rich in proline, serine, threonine and acidic aminoacid residues. Acidic residues enhance the binding of coactivators such as p300/CBP²⁰⁷, HAT proteins that increase histone acetylation at the promoter region facilitating the recruitment of other transcription factors as well as the basal transcriptional machinery.
- a repressor domain close to the N-terminal transcriptional activation domain (158-385 aa) that allows the interaction with repressors such as HDAC1 and HDAC2¹⁸². Two lysine residues (K225, K229), which are acetylated by

p300/CBP, are also contained in the repressor domain ²⁰⁸. The mutation of these two lysines to arginine significantly decreases the ability of KLF4 to transactivate its target genes ²⁰⁷, suggesting that acetylation of KLF4 is important for its function.

- a C-terminus highly conserved triple zinc finger DNA binding domain (402-483 aa). The consensus DNA binding elements for KLF4 determined by both chromatin immunoprecipitation sequencing (ChIP-seq) and base-specific mutagenesis are the following ones: [5'-GGG(C/T)G(T/G)GG-3'] ²⁰⁹ and [5'-(A/G)(G/A)GG(C/T)G(C/T)-3'] ²¹⁰. Notably both sequences are enriched in CpG and can be methylated. The ability to bind either unmethylated or modified DNA elements may contribute to the different KLF-mediated regulation of several biological processes via selectively recruiting different chromatin cofactors ²¹¹.

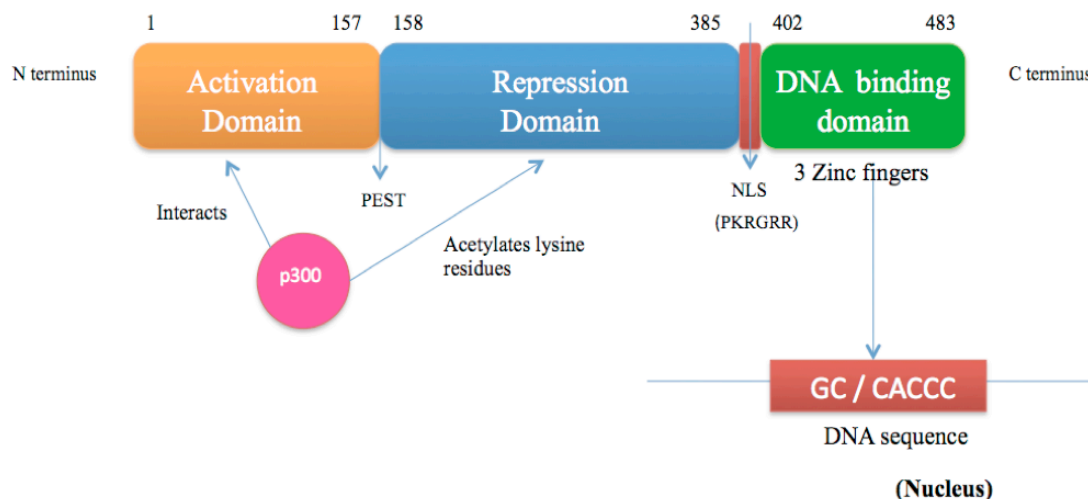


Figure 8 - Schematic representation of KLF4 structure.

KLF4 is composed by three domains: an activation domain, a repression domain and a DNA binding domain. The coactivators like p300/CBP proteins are able both to interact with the activation domain and to acetylate the lysines 225 and 229 located in the repressor domain. The DNA binding domain of KLF4 located at the C-terminus is composed by three zinc fingers that bind to the GC/CACCC sequence in the promoter regions of

its target genes. The transport into the nucleus is facilitated by the presence of a NLS both in the DNA binding and repression domains. (Adapted from Vangapandu et al., 2009)

In addition to these domains, a PEST sequence (proline [P], glutamine [G], serine [S] and threonine [T]) is reported to be located between the activation and the inhibitory domains (113-132 aa)²¹², suggesting that KLF4 protein may be degraded via the ubiquitin-proteasome pathway and explaining the relative short half life of KLF4 protein (about 2 hours). Finally, KLF4 contains two discrete NLS: the first is a basic hexapeptide sequence having PKRGRR repeats just N-terminal to the three C-terminal zinc fingers, whereas the second is contained within the first two zinc fingers themselves²¹³.

KLF4 can function as a transcriptional repressor either by competing for the DNA binding with an activator (known as passive repression) or by the recruitment of corepressors such as HDAC to the promoter region of target genes. For instance while KLF4 inhibits SMAD3-mediated activation of *PAI-1* as well as β -catenin/TCF4-dependent transcription by directly competing for p300 binding²¹⁵, in KLF4-mediated repression of the *CD11d* gene, KLF4 directly interacts with HDAC1 and HDAC2²¹⁶.

The presence of both activation and repressor domains might allow KLF4 to switch between its positive and negative transcriptional effects in a cell-context specific manner²¹². KLF4 is expressed in the mesenchymal cells, in the endothelium and in the epithelium by E10.5²¹⁷. *Klf4* KO mice were born at the expected mendelian ratio but died within 15 hours after birth secondary to dehydration due to the loss of skin barrier function²¹⁸, thus suggesting the essential role of KLF4 for skin barrier function during the development. Of note, vascular abnormalities had not been reported during the early embryonic development of *Klf4* KO mice²¹⁸. To overcome the limitation of the peri-natal lethality, several research groups developed mice expressing Cre-recombinase under the control of specific promoters in order to study the KLF4 activity in a tissue-specific manner. Specific *Klf4* deletion in murine stomach results in increased proliferation and altered

differentiation of gastric epithelial cells ²¹⁹ while loss of *Klf4* in the eye leads to an abnormal corneal epithelium and the lack of goblet cells in the conjunctiva ²²⁰. In 2006 KLF4 has been used together with Oct4, Sox2 and c-Myc to reprogramm somatic cells into pluripotent stem cells, thus being instrumental in stem cell renewal and generation of induced pluripotent stem cells (iPS cells) ²²¹.

Since KLF4 controls multiple cellular processes in a context-dependent manner, we will focus our attention on its role in the endothelium.

4.3 The Role of KLF4 in endothelial cell biology

KLF2, KLF4, and KLF6 are the three members of the KLF family expressed by ECs ²²². KLF4 regulates several vascular functions such as permeability and vascular tone ^{223, 224}, angiogenesis ^{225, 226}, coagulation and inflammatory reactions ^{234, 235} thereby playing a protective role in several pathological conditions.

Limited *in vivo* studies in mice demonstrate that KLF4 depletion in lung vascular endothelium resulted in a most severe edema as well as greater lung polymorphonuclear neutrophil (PMN) sequestration in response to lipopolysaccharide (LPS) challenge thus suggesting a potential role of KLF4 in the regulation of vascular permeability ²²⁷. In agreement with these data KLF4 has been found to regulated endothelial barrier by directly inducing the expression of both AJ and TJ components such as VE-cadherin, ZO1, occludin and claudin5 ^{228, 229, 230}. However further *in vivo* experiments need to be performed in order to validate and extend these observations in other patho-physiological conditions.

Endothelial KLF4 controls also the vascular tone by inducing the expression of *nitric oxide synthase 3 (Nos3)* and *prostaglandin I2 synthase (PTGIS)*, two enzymes that catalize crucial reactions for the production of important vasodilators such as nitric oxide (NO) and prostacyclin respectively. It also represses the expression and activity of the

potent vasoconstrictor *endothelin1* (*Edn1*) and its receptor *endothelin receptor b* (*Ednrb*)²²⁴. By finely regulating the vascular tone KLF4 plays a beneficial role in pulmonary hypertension (PH), a disease characterized by an increased blood pressure in the pulmonary arteries due to the abnormal muscularization of distal pulmonary arterioles²³⁹.

KLF4 has recently emerged as an important pro-angiogenic factor induced by hypoxia that is one of the most powerful angiogenic stimuli in physiological and pathological conditions^{225, 233}. KLF4 promotes angiogenesis by inducing the expression of critical components of the VEGF signaling pathway (i.e. VEGFA and VEGFR2)^{225, 234} and by inhibiting the Notch signalling pathway that usually constrains the tip cell formation²²⁵. In line with these data endothelial specific KLF4 overexpressing mice subcutaneously inoculated with B16F0 melanoma cells develop tumors characterized by an increase number of vessels with poor perfusion that result in reduced tumor growth, a vascular phenotype very similar to the one obtained upon Notch inhibition through DLL4 blockade²²⁵. Since a minor study has conversely reported that KLF4 has been able to inhibit angiogenesis in HUVECs by activating miR-15a through a transcriptional regulation²³⁵, future studies have to be performed to clarify the role of KLF4 during different physio/pathological conditions of angiogenesis.

Finally, one of the most characterized functions of KLF4 in the endothelium is the regulation of EC responses to inflammation and shear stress. Several cytokines such as TNF- α , interleukin- β (IL β) and interferon- γ (IFN γ) as well as fluid shear stress, defined as the frictional force per unit area to which ECs are subjected when exposed to blood flow¹⁷⁶, strongly induce *Klf4* expression^{227, 228}. KLF4 upregulation, in turn, promotes multiple endothelial vasoprotective transcriptional programs in these conditions. KLF4 overexpression leads to the acquisition of a quiescent anti-inflammatory EC phenotype by both by inhibiting the expression of a diverse set of pro-inflammatory factors (including among the others the *Vascular Cell Adhesion Molecule 1* (*VCAM-1*) and the prothrombotic gene *PAI-1*, and by inducing the expression of important protective genes such as *eNOS*

and *thrombomodulin* (*THBD*). Mechanistically, KLF4 switches off inflammatory gene expression by inhibiting, both alone and in combination with p300, the activity of the NF- κ B subunit p65²²⁷⁻²²⁹.

In line with these roles KLF4 has been reported as a protective molecule in atherosclerosis²²⁸. Endothelial-specific deletion of *Klf4* in *Apoe*^{-/-} mice, a genetic background prone to develop atherosclerosis when challenged with high fat diet, results in a bigger atherosclerotic burden characterized by an increased inflammatory reaction. Conversely, sustained expression of *Klf4* in the endothelium strongly reduces the area of the atherosclerotic plaque as well as the immune cell infiltration. Interestingly, the laminar flow in straight region of the arteries induces KLF expression to protect these vessel tracts from atherosclerosis²²⁸. ECs align in the direction of flow, express anti-inflammatory genes and show low levels of oxidative stress. Conversely when arteries divide or curve sharply, the flow becomes oscillatory, endothelial KLF4 expression is not increased and ECs acquire an activated and pro-inflammatory state characterized by increased oxidative stress and higher expression of inflammatory genes. These arterial portions exposed to oscillatory flow show a higher susceptibility to develop atherosclerosis¹⁷⁶. Various signaling molecules are involved in the perception and mediation of shear stress responses. These include the extracellular-signal-regulated kinase (ERK5), a mitogen-activated protein kinase (MAPK) able to induce KLF4 expression^{226, 236}.

4.4 The ERK5 signalling axis

The human *ERK5* gene *locus* (also termed *MAPK7*) has been cloned in 1995 by two different research groups independently^{237, 238}. It is located on chromosome 17p11.2 and encodes for a protein of 816 aa with a predicted molecular mass of 102 kDa. Due to its large size in comparison to other MAPKs, ERK5 was also termed big MAPK (BMK1). The N-terminal portion of the protein contains the kinase domain and it is required for cytoplasmic targeting, oligomerisation and the interaction with the ERK5 upstream

regulator MAPK/ERK kinase 5 (MEK5, also termed mitogen-activated protein kinase kinase 5 [MAP2K5])^{239, 240}. The big C-terminal region of ERK5 contains both a NLS and a nuclear export signal (NES) necessary for nuclear shuttling as well as a potent transcriptional regulation domain. The ERK5 C-terminal tail contains also two proline rich domains for the binding of proteins with a Src-homology 3 (SH3) domain and a myocyte enhancer factor 2 (MEF2)-interacting region²⁴¹⁻²⁴³. Several growth factor including VEGF, EGF, FGF and PDGF as well as stress conditions (i.e. hypoxia, laminar flow or osmotic stress) are known to activate ERK5²⁴¹⁻²⁴³. Typically these extracellular stimuli initiate the signalling cascade by activating the MAPK kinase kinases (MAPKKK) MEK kinase 2 (MEKK2) and 3 (MEKK3) that, in turn, phosphorylate MEK5 on Ser311/Thr315²⁴¹⁻²⁴⁵. As a consequence, phosphorylated MEK5 induce ERK5 activation by catalyzing a dual phosphorylation at specific residues (Thr218/Tyr220) located in the activation loop of ERK5. In resting condition ERK5 acquires a folded conformation to mask the NLS and to expose a nuclear export signal (NES) and thereby ERK5 is retained in the cytoplasm. Upon MEK5-dependent phosphorylation, ERK5 undergoes autophosphorylation that determines a conformational change allowing ERK5 to translocate into the nucleus to regulate target gene expression²⁴⁵. Nuclear ERK5 promotes gene transcription either directly via a poorly defined transcriptional activation domain present in the C-terminal tail of the protein²⁴⁶ or by increasing the activity of several transcription factors including the myocyte enhancer factors (MEF), C-myc, the cAMP response element-binding protein (CREB) and serum-response factor accessory protein 1a (Sap1a)^{247, 248}. MEF2A, MEF2C and MEF2D, members of MEF family, mediate most of the ERK-5-dependent transcriptional responses. ERK5 interacts with MEF2s at the C-terminal region and it can increase their transcriptional activity by catalyzing their phosphorylation²⁴⁸⁻²⁵⁰.

ERK5 controls a large number of biological processes such as proliferation, migration, apoptosis and angiogenesis. In the endothelium ERK5 has a key role in the regulation of cell survival, angiogenesis and cell response to shear stress²⁴⁸⁻²⁵⁰.

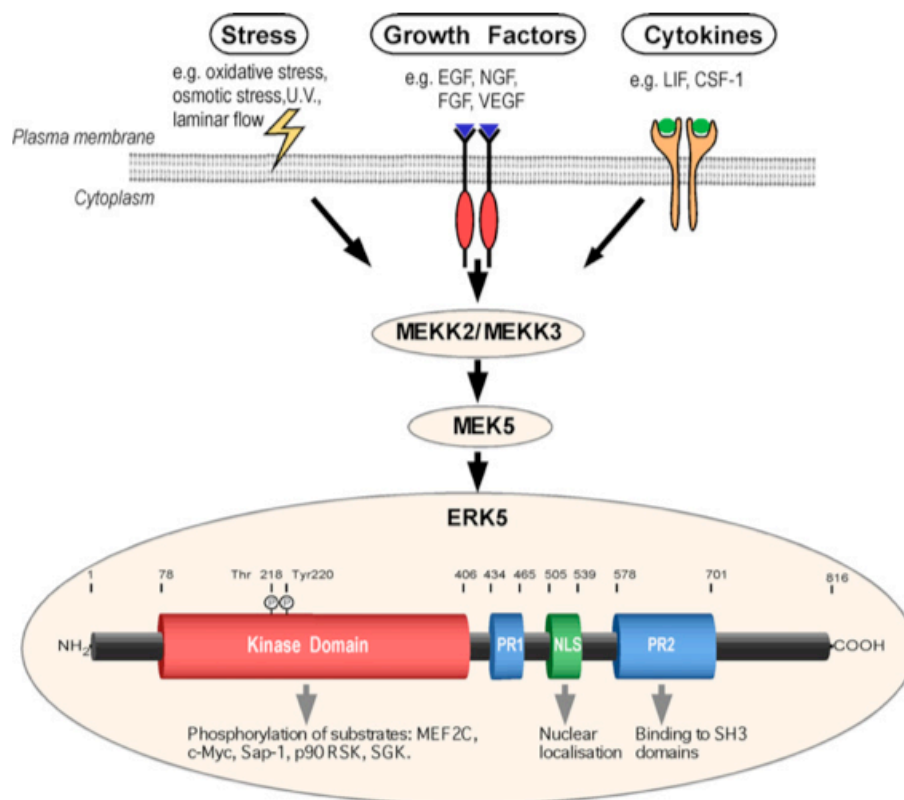


Figure 9 – The ERK5 structure and signalling axis

Upon stress conditions (i.e. osmotic stress or laminar flow) or exposure to mitogens (growth factors and cytokines) a typical three-tiered hierarchical MAPK module is activated: the MAPKKK MEKK2/MEKK3 phosphorylate the MAPKK MEK5, which in turn activates the MAPK ERK5 by inducing the phosphorylation on Thr218 and Tyr220 residues within the kinase domain located in the C-terminus of ERK5. The kinase domain of ERK5 mediates the phosphorylation of several TF such as MEF2C, c-Myc and Sap-1. The ERK5 protein structure contains also a NLS, two proline-rich domains (PR1 and PR2) and a large C-terminal tail. (Adopted from Nithianandarajah-Jones *et. al.*, 2014)

Erk5 KO mice are lethal at embryonic day 10.5 (E10.5) due to severe cardiovascular defects. Vascular defects are due to the formation of immature and leaky blood vessels that resulted in diffuse haemorrhage^{249, 250}. Interestingly endothelial-specific loss of *Erk5* resulted in an altered vascular phenotype comparable to global ERK5 deletion²⁵¹, thus suggesting that ERK5 activity is essential in ECs during the vascular development. Notably, mice deficient for the two upstream activators of ERK5 such as MEKK3 and MEK5 show a similar vascular phenotype^{252, 253}. Beyond its role during the development,

ERK5 is important in the maintenance of vascular integrity since its deletion in adult mice is lethal within 2–3 weeks after gene ablation due to leaky blood vessels ²⁵¹. This phenotype might be attributed to the important anti-apoptotic role of ERK5 in ECs. ERK5 promotes EC survival by inducing the expression of the pro-survival protein B-Cell CLL/Lymphoma 2 (BCL-2) and by inhibiting, through phosphorylation, the activity of the pro-apoptotic protein BCL2-Associated Agonist Of Cell Death (BAD) ²⁵⁴.

Fluid shear stress induces MEK5-dependent ERK5 activation that results in the MEF2-dependent expression of the transcription factors KLF2 and KLF4 that, in turn, have a protective since they confer anti-thrombotic and anti-inflammatory properties to the vascular endothelium ^{226, 236, 255, 256}. Interestingly vasoprotective drugs such as statins have been shown to potently activate the MEK5/ERK5/KLF4 dependent gene expression in ECs, thus suggesting that some of the pleiotropic vasoprotective effects of these drugs may be elicited through activation of ERK5 ²²⁶.

ERK5 has been implicated also in the regulation of tumor angiogenesis. ERK5 is activated by the pro-angiogenic growth factors VEGF and FGF-2 in ECs ²⁵¹ and its *in vivo* deletion results in a regression of tumor vasculature and concomitant growth inhibition of xenografted human tumors ²⁵⁷. These evidences lead to the recent development of small-molecule inhibitors of the ERK5 signalling axis. BIX02188 and BIX02189 are two indolinone-6-carboxamides that selectively inhibit MEK5 activity ²⁵⁸ while XMD8-92, which derives from chemical modifications of the polo kinase inhibitor BI-2536 ²⁵⁹, is a highly-selective inhibitor of ERK5 activity. Notably, in contrast to the lethal phenotype due to the endothelial-specific ablation of *Erk5* in adult mice, no side effects in the vasculature were observed after XMD8-92 administration in mouse xenograft models ²⁵⁹, thus suggesting XMD89-2 as useful drug to target ERK5-mediated tumor growth and angiogenesis.

5. MATERIALS AND METHODS

5.1 Antibodies

For Western blotting (WB) and immunostainings the following antibodies were used: VE-CADHERIN rat (550548, BD Biosciences); VE-CADHERIN goat (sc-6458, Santa Cruz); N-CADHERIN mouse (610920, BD Biosciences); PECAM1 hamster (MAB1398Z, Millipore); PECAM1 rat (553370, BD); PECAM1 rabbit (ab28364, Abcam); mKLF4 goat (AF3158, R&D); hKLF4 goat (AF3640, R&D); FSP1 rabbit (07-2274, Millipore); ID1 rabbit (BCH-1/37-2, BIOCHECK); SCA1 rat (ab51317, Abcam); Cleaved Caspase-3 (5A1E, Cell Signaling); GFP rabbit (A-6455, Invitrogen); hCLAUDIN5 rabbit (ab53765, Abcam); pSMAD1/5 rabbit (9516, Cell Signaling); SMAD1 rabbit (9644, Cell Signaling); pSMAD3 rabbit (1880-1, Epitomics); SMAD3 rabbit (9523, Cell Signaling); Glucose transporter type 1 (GLUT1) rabbit (RB9052P1, Thermo Scientific); ERK5 rabbit (07-039, Upstate); VE-PTP rabbit (produced and purified by New England Peptide); MEKK3 rabbit (5727, Cell Signaling); MEK5 mouse (610957, BD); BMP6 sheep (LS-C150156, LS-BIO); GAPDH mouse (SC-32233, Santa Cruz); tubulin mouse (T9026, Sigma); vinculin mouse (V9264, Sigma); horseradish peroxidase (HRP)-linked anti-mouse, anti-rat and anti-rabbit (Cell Signaling); HRP-linked anti-goat (Promega). Biotin-conjugated isolectin B4 (Vector Lab) was used to identify retinal vasculature. ALEXA FLUOR 488, 555 and 647 donkey secondary antibodies were from Life Technologies.

5.2 Mouse lines

Ccm1 floxed/floxed, *Ccm2* floxed/floxed and *Ccm3* floxed/floxed mouse strains were previously described^{69, 92}. The *Cdh5(PAC)*-CreERT2 mouse line²⁶⁰ were kindly provided by R.H. Adams. *Klf4* floxed/floxed mice²¹⁹ were kindly donated by G.K. Owens. These mice were received on a mixed background (C57BL6J/129SvJ) and subjected to MAX-BAX® Program in Charles River to perform accelerated backcross.

Mice were all bred on a C57BL/6 pure background. To obtain the EC-CCM1 KO mice, *Cdh5(PAC)*-CreERT2 animals were bred with the *Ccm1* floxed/floxed mouse strain to obtain tamoxifen-inducible endothelial-specific expression of Cre-recombinase and *Ccm1* gene recombination. To generate double EC-CCM1 KO KLF4 KO mice, *Cdh5(PAC)*-CreERT2; *Ccm1* floxed/floxed mice were crossed with *Klf4* floxed/floxed animals. Deletion of floxed exons by Cre-recombinase produces loss-of-function. Both EC-CCM2 KO and EC-CCM3 KO mice were derived as described for EC-CCM1 KO animals. EC-KLF4 KO mice were generated by crossing *Cdh5(PAC)*-CreERT2 mice with *Klf4* floxed/floxed animals. For tamoxifen-induced gene ablation, tamoxifen (T5648, Sigma) was dissolved in corn oil-10 % ethanol at 10 mg/ml and then further diluted at 2 mg/ml in corn oil. Pups were injected at P1 with an intragastric injection of 100 µg.

5.3 Endothelial cell isolation and culture

The cultured cell lines used in this study for *in vitro* experimental approaches were:

- WT, CCM1 KO, CCM2 KO, CCM3 KO and KLF4 KO lung derived ECs: these cells were isolated from the lung of two month old floxed mice. Lungs were removed under sterile conditions, washed two times with PBS and minced finely with scalpels. Organ disaggregation was carried out by incubating them with collagenase A (1.5 mg/ml; Roche) and DNase (25 µg/ml; Roche) in DMEM (37 °C for 3 hours). After filtering through nylon screen, cells were collected, centrifuged at 1,200 rpm for 10 minutes and then seeded in gelatin 0.1 % coated 24 wells. Upon 48 hours ECs were washed and immortalized with *polyoma middle T* antigen supernatant. The purity of EC lines was analyzed by performing extensive stainings for endothelial specific molecules. *Ccm1*, *Ccm2* and *Klf4* floxed alleles were deleted by treating pure ECs *in vitro* with TAT-Cre recombinase using Hyclone ADCF-Mab medium (ThermoScientific)²⁶¹. Recombination of the floxed *Ccm3* gene was induced by treating the cells at culture day 1 with the AdenoCre

viral vector, as previously described ²⁶². All these cell lines were cultured in MCD131 (Lonza) with 20 % North American (NA) fetal bovine serum (FBS) (HyClone), glutamine (2 mM; Sigma), sodium pyruvate (1 mM; Sigma), heparin (100 µg/ml, from porcine intestinal mucosa; Sigma), and EC growth supplement (ECGS) (5 µg/ml, made in our laboratory from calf brain).

- WT, CCM1 KO and CCM1-KLF4 KO primary brain derived ECs (BMECs). Mouse brain microvascular fragments were recovered by brains of two month old mice either WT, *Ccm1* floxed/floxed or *Ccm1-Klf4* floxed/floxed mice. Brain were rolled on Whatman 3MM chromatography blotting paper to remove the meninges, triturated and digested with 0.75 % type 2 collagenase (Worthington Biochemical Corp.) 1 hour at 37 °C. The pellet was resuspended in a 25 % w/v BSA solution and centrifuged for 20 minutes at 2,600 rpm at 4 °C to obtain a microvessel enriched cell pellet. Then, further digestion was performed in 10 mg/mL collagenase/dispase (Roche) and 1 µg/ml Dnase I (Roche) for 15 minutes at 37 °C. Capillary fragments were seeded in culture medium and selected for puromycin (4 µg/ml) resistance for 2 days. Recombination of the floxed alleles was achieved by treating selected ECs with TAT-Cre recombinase *in vitro*. Culture medium was DMEM (GIBCO) with 10 % North American (NA) fetal bovine serum (FBS) (HyClone), glutamine (2 mM; Sigma), penicillin/streptomycin (100 units/l; Sigma), sodium pyruvate (1 mM; Sigma), heparin (100 µg/ml, from porcine intestinal mucosa; Sigma), and EC growth supplement (ECGS) (5 µg/ml, made in our laboratory from calf brain).
- Immortalized cerebral microvascular EC of human origin ECs (hCMEC/D3). hCMEC/D3 were provided by P.O. Couraud ²⁶³ and cultured in Rat Collagen I (Cultrex) treated plates in a complete medium composed by EBM-2 medium (Lonza) supplemented with 5 % of Fetal Bovine Serum, 1 % Penicillin/Streptomycin, 1.4 µM Hydrocortisone, 5 µg/ml Acid Ascorbic, 10 mM

HEPES, chemically defined Lipid concentrate (1/100) and FGF-2 (1 ng/ml).

- 293T packaging cells provided by IFOM Cell Culture facility and cultured in DMEM medium (GIBCO) supplemented with 10 % South American (SA) FBS (Hyclone), glutamine (2 mM) and sodium pyruvate (1 mM).
- HEK293 used to perform luciferase assay were provided by IFOM Cell Culture facility and cultured as described for 293T.

All cells were grown at 37 °C in a humidified atmosphere with 5 % CO₂.

For *ex-vivo* experiments, to obtain freshly isolated PECAM1+ brain derived ECs from EC-CCM1 KO, EC-CCM1 KO KLF4 KO mice and matched controls (P12), brain microvascular fragments were processed as described for BMECs. EC pellets obtained were finally resuspended in 0.1 % BSA and 2 mM EDTA in PBS pH 7.4 and incubated for 45 minutes at 4 °C with Dynabeads (Invitrogen) previously coated with rat anti-mouse PECAM1 antibody (BD). ECs were then separated using a magnet and processed without to be exposed to any culture condition. In order to obtain both lung- and spleen-derived PECAM1+ ECs from EC-CCM1 KO and matched controls, the organs were disaggregated with collagenase A plus DNase (1.5 mg/ml and 25 µg/ml, respectively; Roche) for 2 hours at 37 °C and passed through a 70 µm cell strainer before to be incubated for 45 minutes at 4 °C with anti-mouse PECAM1 covered dynabeads. Heart-derived PECAM1+ ECs from the same animals were recovered by incubating minced hearts with type I collagenase (2 mg/ml, Sigma) for 45 minutes at 37 °C. A trituration of the heart suspension using a 30 cc syringe is required before pipetting the cell suspension through a 70 µm cell strainer and loading it on anti-mouse PECAM1 covered dynabeads.

5.4 Cell treatments

LY-364947 (Calbiochem), XMD8-92 (SelleckBio) and BIX-02189 (SelleckBio) were dissolved in dimethylsulphoxide (DMSO). For LY-364947 treatment, cells were

grown to confluence in MCDB with 5 % FBS and daily stimulated with 40 μ M LY-364947 for 1 week. For XMD8-92 and BIX-02189 treatment, confluent ECs monolayers were grown in complete media (MCDB-131 with 20 % FBS) and treated daily with either 5 μ M XMD8-92 (for 72 hours), 10 μ M BIX-02189 (for 48 hours) or vehicle only. For BMP6 (R&D) treatment, ECs were grown in MCDB-131 with 5 % FBS and stimulated (100 ng/ml) either for 4 hours in order to analyse SMAD1 phosphorylation or for 96 hours for EndMT marker evaluation.

5.5 Treatment with TGFb/BMP inhibitor *in vivo*

10 mg/kg of LY-364947 (Sigma) or 10 mg/kg of LY-364947 plus 10 mg/kg SB-431542 (SelleckBio) were intraperitoneally injected daily starting 2 days after the *Ccm1* recombination (P1). Control mice were treated with vehicle only. The animals were sacrificed at P13.

5.6 Production of Lentiviruses

Both shRNAs against murine *Klf4* and *Bmp6* as well as control non-targeting shRNA cloned into pLKO.1 puro-based lentiviral vector were purchased from Sigma-Aldrich (St Louis, MO, USA, TRCN0000238250 and TRCN0000065652). The cDNA coding for the wild-type mouse *Klf4* was obtained by GeneCopoeia (Rockville, USA) and subcloned into the lentiviral expression vector pLenti-III-HA (provided by abm, Richmond, BC, CANADA) using XhoI and EcoRI restriction sites (Promega, Fitchburg, WI, USA). The lentiviral particles were produced in 293T cells using a four-plasmid transfection system mediated by calcium phosphate, as previously described 271. Briefly, 24 hours before transfection 4×10^6 cells were plated in a 158 cm² petri dish. The day after, cells were transfected with a solution composed by: 7 μ g of ENV (envelop plasmid), 12.5 μ g of pMDL (packaging plasmid), 6.25 μ g of REV (REV-expression plasmid), 32 μ g gene transfer (shCTRL, shKLF4, shBMP6, pLenti-III-HA or pLenti-III-KLF4), 156 μ l CaCl₂

plus 0.1 X TE to a final volume of 1094 μ l. 1250 μ l of 2 X HBS were added to the transfection mix that then was bubbled vigorously and transferred dropwise on the cell monolayer. Lentivirus-containing supernatants were collected 48 and 72 hours after the cell transfection, passed through a 0.45 μ m filter and concentrated using PEG ON at +4 °C. Two consecutive cycles of lentiviral infection were carried out in the presence of polybrene followed by a selection with 3 μ g/ml puromycin for 72 hours and maintenance with 1.5 μ g/ml puromycin.

5.7 Cell transfection and RNA interference

To perform RNA interference the following siRNA were used: Stealth RNAi directed to mouse *Bmp6* (MSS-236054, MSS-236055 and MSS-236056, Life Technologies), Stealth RNAi directed to mouse *Ccm1* (MSS294386, Life Technologies), Stealth RNAi directed to human *CCMI* (MSS234455, Life Technologies), ON-TARGET plus SMART pool directed to mouse *Ccm2* (L-057315-00-0005, Dharmacon), Stealth RNAi directed to mouse *Ccm3* (MSS249998, Life Technologies), Stealth RNAi directed to mouse *Erk5* (siERK5#1 MSS215825 and siERK5#2 MSS215827, Life Technologies), Stealth RNAi directed to mouse *Mek5* (MSS215820), Stealth RNAi directed to mouse *Mekk3* (siMEKK3#1 MSS218528 and siMEKK3#2 MSS218529), Stealth RNAi directed to mouse *Mef2A* (MSS206607), Stealth RNAi directed to mouse *Mef2C* (MSS206611), Stealth RNAi directed to mouse *Mef2D* (MSS247431), negative control siRNA Medium GC content (12935-300, Life Technologies) ON-TARGET plus siControl (D-001810-10, Dharmacon). Cells were transfected with 40 nM siRNA oligonucleotides for 5 h in Optimem using Lipofectamine 2000 (Life Technologies) in accordance with the manufacturer's instructions and as previously described¹⁰³.

5.8 Western blotting

For WB analysis, cells were lysed using a boiling modified Laemmli sample buffer

(2 % SDS, 20 % glycerol, and 125 mM Tris-HCl, pH 6.8). After 10 minutes incubation at 100 °C, lysates were spinned for 1 minute at 13,000 rpm to discard cell debris. Protein concentration of the collected supernatant was estimated using the BCA Protein Assay Kit (Pierce). Equal amounts of proteins were separated by SDS–PAGE on acrylamide/bis-acrylamide gels at different concentrations and transferred to a Protran nitrocellulose membrane 0.2 µm pores (Whatman). The membranes were then blocked for 1 hour at room temperature (RT) and, then, incubated with primary antibody (overnight [ON] at 4 °C) and HRP-linked secondary antibodies (1 hour at RT) in Tris-Buffered Saline-Tween (TBST) containing 5 % BSA. After three washing steps, membranes were incubated with Amersham ECL WB detection reagents (GE Healthcare) for 1 minute and specific signals were detected by chemi-luminescence system (GE Healthcare) using ChemiDoc™ MP System (Biorad).

5.9 qRT-PCR analysis

Total RNA was isolated by extraction with the RNeasy mini kit (Qiagen). Isolation of RNA from freshly isolated PECAM1+ brain derived ECs was performed using RNeasy micro kit (Qiagen). 1 µg RNA was reverse transcribed with random hexamers (High Capacity cDNA Archive kit; Applied Biosystems). cDNA (5 ng) was amplified in triplicate with the TaqMan Gene Expression assay (Applied Biosystems) and an ABI/Prism 7900 HT thermocycler. For any sample the expression level normalized to the housekeeping genes encoding *18S*, hypoxanthine phosphoribosyltransferase 1 (*Hprt1*) or *Glucuronidase beta* (*Gusb*) was determined by the comparative threshold cycle (C_t) method as described previously²⁶⁵. mRNA levels of the several transcripts of interest obtained by freshly isolated tissue derived ECs were normalized for endothelial cell content using *Pecam1* amount.

5.10 Affymetrix

RNA was extracted from WT and CCM1 KO BMECs upon 7 days of culture and analyzed using Affymetrix GeneChip arrays Gene ST 1.0 containing probes for 29,000 murine genes. For each condition, total RNA from three distinct preparations was used to measure biological variability. In order to identify the modulated genes, an initial filter was applied to select transcripts regulated with a statistical p-value below 0.05.

5.11 Cell migration assay

Wound healing assay was used to measure cell migration. Confluent EC monolayers were starved ON and then manually wounded with a pipette tip. Cells were then washed with Phosphate-Buffered Saline (PBS) and incubated at 37 °C for the 6 hours in complete media. Cell migration was examined by crystal violet staining (0.5 % crystal violet in 20 % methanol) and phase contrast microscopy. Quantification of migration was performed by ImageJ software.

5.12 Cell proliferation assay

Cell proliferation assay was performed as previously described ¹². Briefly, cells were plated at density of 4,000 cells/well in 96 well plates and analyzed at the indicated time points by crystal violet staining (0.1 % crystal violet in 20 % methanol). The optical density of the dye was measured using a Victor Microplate reader at the wavelength of 590 nm. Where indicated, cell proliferation were evaluated by measuring the percentage of Ki-67 positive cells.

5.13 Generation of 3-D endothelial spheroid assay

EC spheroids were generated by seeding in non adherent round-bottom 96 well plates (Greiner) a number of 500 WT and CCM1 KO ECs in culture medium containing 0.25 % (w/v) carboxymethylcellulose. Suspended cells formed single EC spheroid within

24 hours. Then, cell spheroids were embedded into collagen gels as described²⁶⁶ in a cell culture medium containing both 10 ng/ml VEGF-A (PeproTech) and 10 ng/ml FGF-2 (PeproTech) was added. After 24 hours of incubation EC spheroids were fixed in 4 % paraformaldehyde (PAF). Actin staining (TRITC-Phalloidin, Sigma) was performed in order to evaluate cell sprouting and migration. The cumulative length of sprouts that originate was from quantified with ImageJ software. At least 10 spheroids per experimental group were analysed in each experiment.

5.14 Transcription factor binding site analysis

The identification of putative KLF4 binding sequences on genomic DNA was performed using the software MatInspector²⁶⁷, which predicts the transcription factor binding sites (TFBS) by using a large library of weight matrices. Using the RSAT software (<http://rsat.sb-roscoff.fr/>) we retrieved a sequence spanning from 5000 bp upstream and 1000 bp downstream the transcription start site (tss) of *Fsp1*, *Scal*, *Id1*, *Bmp6* genes.

5.15 Transcriptional reporter assay

Genomic DNA isolated from lung derived murine WT ECs was used to amplify promoter regions, identified as enriched in KLF4 binding sites by chromatin immunoprecipitation (ChIP), of either *Fsp1* (1.8 KB), *Scal* (2.1 KB) or *Bmp6* (2.3 KB). As depicted in Table 2, we designed for each promoter sequence both forward and reverse primers modified at their extremity in order to contain restriction sites for specific restriction enzymes (XhoI and KpnI for both *Fsp1* and *Scal* promoters; XhoI and BglII for *Bmp6*). The three promoter sequences were amplified using 200 ng of DNA, 10 mM dNTPs, 10 µM forward and reverse primers, 1 µl DMSO, 10 µl Phusion HF buffer 5X and 0.5 µl high fidelity DNA polymerase Phusion (NEB). The following thermal cycle programs were applied:

Fsp1- 98 °C 30 sec, 98 °C 10 sec, 65 °C 25 sec, 72 °C 54 sec, 72 °C 10 min

Scal- 98 °C 30 sec, 98 °C 10 sec, 65 °C 25 sec, 72 °C 70 sec, 72 °C 10 min

Bmp6- 98 °C 30 sec, 98 °C 10 sec, 67 °C 25 sec, 72 °C 70 sec, 72 °C 10 min

Upon the enzymatic restriction we cloned these DNA fragments into the polinker of PGL3-basic vector (Promega) upstream the luciferase cDNA.

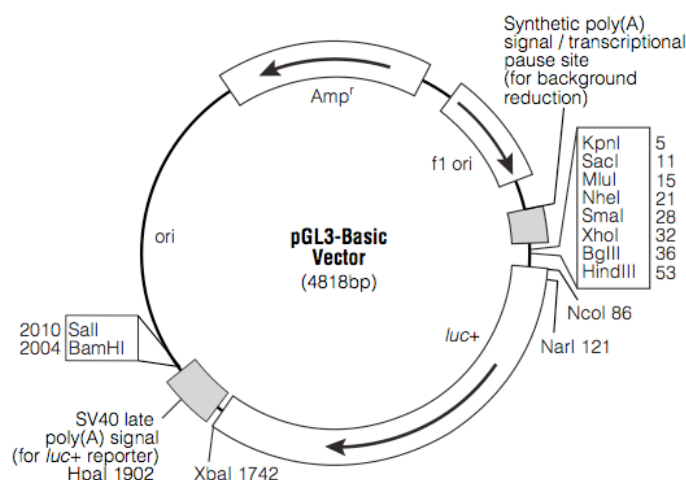


Figure 10 - Representation of PGL3 basic vector backbone

Gene	Forward	Reverse
BMP6	5' -ACCGCTCGAGCAAGAGGATGGGGAAGGCT-3'	5' -GGAAGATCTTCCAGCTCCTCCCATTGC-3'
FSP1	5' -CGGGGTACCCACTGCCACCCTAACTCCA-3'	5' -ACCGCTCGAGTGCCATGGTAACCGTTGAG-3'
SCA1	5' -CGGGGTACCTGATGGCCCGTTGTCAT-3'	5' -ACCGCTCGAGACTGAGCTCCACGTGTCC-3'

Table 1 – List of primers used for promoter cloning

To perform the transcriptional reporter assay, HEK-293 cells were plated in 24 well plates and transfected for 5 hours in Optimem using Lipofectamine 2000 (Life Technologies) with the reporter plasmids expressing the luciferase cDNA under the control of a promoter portion of either *Fsp1*, *Scal* or *Bmp6*. pCDNA3 expression vectors containing either full length KLF4 or a mutant KLF4 lacking the DNA-binding zinc finger domain (KLF4 ΔZnF) (kind gifts of Mukesh Jain)²¹⁴ were co-transfected where indicated.

Renilla expressing plasmid was used as an internal control to correct for transfection efficiency. DualLuciferase® Reporter Assay System (Promega) was used to measure firefly and renilla luciferase activities 30 hours upon transfection with a GloMax® luminometer (Promega).

5.16 Chromatin Immunoprecipitation

ChIP assays were performed as described elsewhere²⁶⁸. Briefly, cells were starved ON and cross-linked with 1 % formaldehyde for 10 minutes at RT. 125 mM glycine for 5 minutes at RT was then added in order to inactivate formaldehyde. After two washes with ice-cold PBS ECs were lysed by scraping in ice-cold SDS buffer (NaCl 100 mM, Tris HCl pH 8.1 50 mM, EDTA 5mM, NaN₃ 0.2 %, SDS 0.5 %). The lysate was collected and then centrifuged at 1,300 rpm for 5 minutes at 4 °C. After removal of the supernatant, the pellet was resuspended in Immunoprecipitation buffer (1 volume of SDS Buffer + 0.5 volume of Triton Dilution Buffer [NaCl 100 mM, Tris HCl pH 8.6 100 mM, EDTA 5 mM, NaN₃ 0.2 %, Triton X-100 5 %]). Sample sonication in microTUBE (COVARIS) was performed after 10 minutes of incubation using a COVARIS S220 according to the following conditions: Peak Incident Power 175.0 Watt, Duty Factor 10 %, 200 Cycles/Burst. An aliquot of chromatin was then digested with Proteinase K (1 ug/ul) for 30 minutes at 55 °C, incubated at 65 °C for 3 hours to revert the cross-linking and then purified using the QIAquick Gel extraction Kit (QIAGEN). The purified chromatin was loaded on 1 % agarose gel to evaluate the size of the sonicated chromatin fragments. 1 mg of DNA fragments with an average size of 500 base pairs (bp) were incubated with either 10 µg of KLF4 directed antibody or IgG control ON at 4 °C in the presence of protein G covered magnetic beads (Life Technologies). The following day, beads were recovered and washed twice with Mixed Micelle Washing Buffer (NaCl 150 mM, TrisHCl pH 8.1 20 mM, EDTA 5 mM, Sucrose 5.2 % w/v, NaN₃ 0.02%, Triton X-100 1 %, 0.2 % SDS), 500 Buffer (Deoxycholic acid 0.1 % w/v, NaCl 500 mM, HEPES pH 7.5 25 mM, EDTA 1 mM, NaN₃

0.02 %, Triton X-100 1 %), LiCl Detergent Washing Buffer (Deoxycholic acid 0.5 % w/v, LiCl 250 mM, EDTA 1 mM, NP-40 0.5 % v/v, NaN₃ 0.02 %, Tris HCl pH 8.0 10 mM). Proteins/DNA complexes were detached from beads by heating the samples at 65 °C for 10 minutes. De-crosslinking was performed at 65 °C ON. DNA was purified using Wizard SV Gel and PCR Clean-Up System (Promega) and amplified by qRT-PCR using oligonucleotides flanking the assayed promoter regions (listed below). Primers were always tested to avoid "auto- amplification" due to self-complementarity. qRT-PCR reactions were carried out by diluting DNA in the presence of specific primers (0.4 µM each) to a final volume of 25 µl in SYBR Green Reaction Mix (Perkin Elmer). S.D.S 2.2.1 software was used to convert qRT-PCR curves in C_t values. For each region the mean of the C_ts of the inputs was calculated and subtracted to the C_t values of the immune samples (ΔC_t). Then, the % of enrichment of input for the immune samples was obtained as 2^{-ΔC_t} and multiplied by the % of input taken during the experiment. The same calculation was performed for the non-immune (IgG control) immunoprecipitated samples. To remove unspecific signal, the non-immune values were subtracted from the immune samples.

Gene	Position	Forward	Reverse
BMP6	-5.0 KB	5' -TTTCTTTCTGGCCTCTGCAT-3'	5' -CCCTGAAGAGGTTGGTTCTG-3'
	-3.4 KB	5' -CACATCTGGCTTCTTGACGA-3'	5' -AGGTCTGCCTCCAGAGTTCA-3'
	-3.0 KB	5' -CCCCGAGTTTCACTTGACAT-3'	5' -GTGCACTCTCAACCTGGACA-3'
	-1.9 KB	5' -TGTTTCAGACCCCATAAACCA-3'	5' -GCTCAAATGGCCACTCATCT-3'
	-1.3 KB	5' -AACTCAAATGCAGCCCAAAC-3'	5' -TGGAAATGTAGGCGTTTAGCA-3'
	-1.1 KB	5' -ACTCCTGATGCCTCCAAGAA-3'	5' -CAGCCAATGGAGAGGTGACT-3'
	+0.3 KB	5' -AATGACGACGAAGAGGATGG-3'	5' -AGACTCTTGCGGTTCAAGGA-3'
	+0.6 KB	5' -AGGATTTTGGGGGTAGCATC-3'	5' -GTGTTCCAGCTCCTCCCAT-3'
FSP1	-3.6KB	5' -TCTTCAAGCCCTGTGCTTCT-3'	5' -AATGGGGCTGAAGTGTCAAG-3'
	-3.1 KB	5' -CCAGCTATGCTTCTGGGGTA-3'	5' -GCGCCACTTCAGTTGCTATT-3'
	-2.2 KB	5' -CAGGTCTCCAAAAGGCAGAG-3'	5' -TCTACCACCTTCACCCCAAC-3'
	-2.0 KB	5' -GTTGGGGTGAAGGTGGTAGA-3'	5' -CTCCGACTGGCAGATCTTGT -3'
	-1.4 KB	5' -CCCAGTAGCCTCTGATCCAA-3'	5' -GGTGGGGTTAGCACATCAAG-3'
	-0.9 KB	5' -GGTGTCTAGGCCCTGTAGAAA-3'	5' -ACTCTCCTTGACAGCATCTGG-3'
	+0.1 KB	5' -ACTGCTAGCGGCATTAGAGG-3'	5' -CTCCGGGAGCTCAGATGTAG-3'
	+0.7 KB	5' -GCAGGCATTCTGTTTGTAG-3'	5' -AAAAACCCAGCTGCCTAAT-3'
SCA1	-3.9 KB	5' -TGAGGGCCTTCATACCTCTG-3'	5' -GGTGAAGATGCCTGACTGCT-3'
	-3.3 KB	5' -GCATGACAGTGGTGAGATGG-3'	5' -CCCCCAAGAAAACAGACAGA-3'
	-1.4 KB	5' -GAAGGGAGGACTGTACCTG-3'	5' -CACGGAGTCCCTCTTTCAGT-3'
	-0.9 KB	5' -ACCACTGTGCTGGGTTATGC-3'	5' -ACCCCACTTAAGCAGGGAAG-3'
	-0.4 KB	5' -GCCACTGCAAACCATACCTT -3'	5' -CTGGCTCCAACACACAGCTA-3'
	-0.1 KB	5' -AACATGATGGCCTGGAAAAG-3'	5' -GCACAGTGGCAAGTCTGTGTC-3'
	+0.4 KB	5' -CCCTTCTCTGAGGATGGACA-3'	5' -AGGTGTACCCACAACCCTGA-3'
ID1	-4.9 KB	5' -AGAACTCTCCAGGAAGTGATGG-3'	5' -TCGAGACAGGGTTTCTCTGTG-3'
	-4.6 KB	5' -TCTTGCTGTTTCTAGGGCATC-3'	5' -CGAAACAGCCTCAACATCT-3'
	-3.8 KB	5' -CACATACCCACCTTCTGCT-3'	5' -AGCTTCATGTAGCCCATGCT-3'
	-3.5 KB	5' -CCTCTTGAAACAGCACAGCA-3'	5' -CAAGCCTGATGATTGACACC-3'
	-3.0 KB	5' -GCTCTGGATGTCCTGGAAC-3'	5' -AAAGGACTGGAGAGGCACTG-3'
	-2.0 KB	5' -AGAATGCTCCAGCCAGTTT-3'	5' -GTTGGGGTGTGTGTTGAGG-3'
	-1.0 KB	5' -AGAATGCTCCAGCCAGTTT-3'	5' -GGGCTGGTCTGTGTCAGC-3'
	+0.1 KB	5' -TCCCACACTCTGTTCTCAGC-3'	5' -TCCCACACTCTGTTCTCAGC-3'
	+0.5 KB	5' -TGAGGTCCGAGGCAGAGTAT-3'	5' -GAGACCCACTGGAAGGACA-3'

Table 2 – List of primers used in ChIP

5. 17 Immunofluorescence and histology

For immunofluorescence stainings performed *in vitro*, ECs were plated in 15 μ -slides (Ibidi) and, at confluency, fixed for 10 minutes in 4 % PAF at RT or for 3 minutes in ice-cold Methanol. Cells fixed in PAF were subjected to a permeabilization step with PBS plus 0.05 % TritonX-100 for 5 minutes. A PBS solution containing 5 % Donkey Serum

and 2 % BSA was used to perform the blocking the samples for 1 hour at RT. After the blocking ECs were incubated for 1 hour at RT with primary antibodies diluted in blocking solution. Appropriate fluorophore-conjugated secondary antibodies (Molecular Probes) were used on cells for 2 hours at RT. After several washing steps, sections were post-fixed with 2 % PAF for 5 minutes and then mounted with Vectashield containing DAPI (Vector Laboratories).

For tissue immunostaining, mouse brains were dissected, embedded in Tissue-Tek OCT (Sakura) and snap frozen. 10 μ m-thick sections were fixed either in 4 % PAF for 20 minutes or ice-cold methanol for 5 minutes. PAF-fixed samples were permeabilized with PBS containing 0.5 % Triton X-100 for 5 minutes at RT. Slides were then blocked for 3 hours at RT with PBS containing 2 % BSA, 5 % Donkey Serum and 0.05 % TritonX-100 (blocking solution). Incubation with primary antibodies (ON at 4 °C) and secondary (3 hours at RT) antibodies was carried out in blocking solution. After several washing steps, section were counterstained with DAPI, post-fixed with 2 % PAF for 5 minutes and then mounted with Vectashield (Vector Laboratories). Analysis of the staining was performed with a Leica TCS AOBS confocal microscope using several objectives: 20x (HC PL FLUOTAR NA=0.5, dry), 40x (HCX PL APO NA=1.25, oil) or 63x (HCX PL APO lbd.BL NA=1.4, oil). Images were acquired with Leica Confocal Software and then adjusted with Adobe Photoshop. The adjustments used in the preparation of the figures were for brightness, contrast and background noise (blur filter).

For lesion quantifications brains were fixed in 4 % PAF ON at 4 °C and then embedded in 4 % low-melting-point agarose. Serial sagittal sections (150 μ m) of the cerebellum were obtained using a vibratome (1000 Plus, The Vibratome Company, St. Louis, MO, US). Each section was stained for *Glucose transporter 1 (Glut1)* for vessel detection and examined under confocal microscopy (10 \times and 20 \times objectives). Analysis of the number/size of the CCM cavernae was performed using Image J software. Three different groups of caverns were defined according to their size (area in μ m²)⁶⁹.

For immunochemistry on human samples, 3 μ m paraffin sections were stained with hematoxylin and eosin (H&E) to assess the histological features. Immunohistochemical analysis for CLAUDIN5 and KLF4 was performed on serial sections. Paraffin was removed with xylene and the sections were rehydrated in graded alcohol. Antigen retrieval was carried out using preheated sodium citrate solution for 50 minutes. Tissue sections were blocked with 5 % FBS in PBS for 60 minutes and incubated ON with primary antibodies. HRP-Polymer Kit (Biocare Medical, Pike Lane Concord, CA, USA) was used for the detection of antigens followed by a diaminobenzidine chromogen reaction (Peroxidase substrate kit, DAB, SK-4100; Vector Lab). All sections were counterstained with Mayer's hematoxylin. Images were acquired using an upright microscope (Olimpus BX51) using a 20x objective (UPlanFL N 20x NA=0.5). NIS-elements software was used for image analysis. BMP6 and PECAM1 immunohistochemistry on murine brain samples were performed as described above for CLAUDIN5 and KLF4. Antigen retrieval was carried out using sodium citrate for BMP6 and EDTA for PECAM1.

5.18 Immunofluorescence analysis of the retina

Retina immunostaining was carried out with littermates processed simultaneously under the same conditions. The retinas were dissected from fixed eyes in 2 % PFA for 2 hours at 4 °C. After blocking/permeabilization in 1 % BSA, 5 % donkey serum and 0.5 % Triton-X100 in PBS ON, the retinas were washed in Pblec Buffer (1 % Triton X-100, 1 mM CaCl₂, 1 mM MgCl₂ and 0.1 mM MnCl₂ in PBS, pH 6.8) and incubated ON at 4 °C in Pblec buffer containing biotinylated isolectin B4 (IB4) and the primary antibodies. Then, the retinas were incubated with both fluorophore-conjugated secondary antibodies and with Alexa Fluor 488/555/647 streptavidin (Life Technologies) and mounted with ProLong Gold (Life Technologies). Confocal analysis was performed using a Leica TCS AOBS or a Leica TCS SP5 confocal microscope using the following objectives: 40x (HCX PL APO NA=1.25, oil) or 10x (HC PL FLUOTAR NA=0.3, dry). Leica Confocal Software was

used for the acquisition. The figures were prepared as described in “Immunofluorescence and histology” section. The vessel front density and vascular progression in the retinae were measured as previously described²⁶⁹. All image analyses were carried out using the ImageJ software. Quantification of the nuclear pixel intensity was obtained by using the co-localization highlighter plug-in of ImageJ software. Mean intensity of co-localizing pixel per field has been calculated through ImageJ and normalized on the number of endothelial nuclei.

5.19 Intravenous injection of tracer and tracer detection

Cadaverine conjugated to Alexa Fluor-555 (Invitrogen) was injected IP at the dose of 12.5 µg/g 2 hours before mice sacrifice (P13). Animals were then anesthetized with an intraperitoneal injection of Avertin (20 µl/g) in order to perform a 5 minutes perfusion with PBS. Brains were dissected and fixed in 4 % PAF ON at 4 °C. Cadaverine leakage in the brain was measured by evaluating mean fluorescence of brain images acquired under a stereomicroscope equipped with HBO 100 lamp and a Filter Set Lumar 43 HE. ImageJ software was used to calculate cadaverine mean fluorescence.

5.20 Statistics

Data are expressed as mean ± standard deviation (SD). Student’s two-tailed non-paired *t*-tests were used to determine the statistical significance of the *in vitro*, *ex-vivo* and *in vivo* analyses. The significance level was set at $P < 0.05$. For survival experiment, Kaplan-Meier curves were analyzed with the Mantel-Cox test.

6. RESULTS

6.1 Establishment of a mouse model of CCM disease

Over the past years numerous studies have provided new insights into CCM protein functions *in vitro*. However, a clear understanding of which signalling pathways are relevant *in vivo* to the etiology of the CCM disease is still lacking.

To investigate the role of CCM1 *in vivo* in mice and circumvent the embryonic lethality of ubiquitous inactivation of the gene ²⁷⁰ we generated endothelial specific and inducible *Ccm1* loss-of-function mice (EC-CCM1 KO mice) by crossing tamoxifen-inducible *Cdh5(PAC)*-CreERT2 and *Ccm1* floxed/floxed transgenic mice ²⁶⁰. *Cdh5(PAC)*-CreERT2-*Ccm1*-floxed/floxed mice were then bred with *Rosa 26-Enhanced Green Fluorescent Protein (EYFP)* mice ²⁷¹ to monitor tamoxifen-induced Cre/Lox recombination through the expression of EYFP. Animals were tamoxifen-injected at P1 and analyzed between P3 and P15. High gene recombination efficiency was verified by qRT-PCR in freshly isolated PECAM+ brain derived ECs, since *Ccm1* transcript was reduced by more than 80% in EC-CCM1 KO pups analyzed at P12 in comparison to vehicle treated WT mice (Figure 11). *Ccm1* deletion resulted in the development of several vascular lesions within the central nervous system and in the retina in all *Ccm1*-ablated mice (Figure 12 A and Figure 13). Cavernomas started to develop three days after treatment with tamoxifen and they progressively increased in number and size with time. The lesions were mostly concentrated in the cerebellum and presented comparable features to human CCM lesions. Indeed histology and immunofluorescence analysis showed that lesions were composed of dilated vessels (Figure 12 A, B) that, with time, formed multiple caverns with signs of vascular leakage and chronic accumulation of haemosiderin (Figure 12 B). At late stages of lesion development (>P12) we also observed a marked inflammatory and immune reaction around the vascular lesions previously reported in CCM patients ²⁷², characterized by infiltration of macrophages Cd11b positive (Figure 12 C) and lymphocytes CD3

positive (Figure 12 D) that increased with lesion size (Figure 12 E). 5% of familial CCM patients present vascular lesions also in the retina ²⁷³. Consistently we observed that EC-CCM1 KO animals presented CCM vascular malformations of retinal vascular plexus in

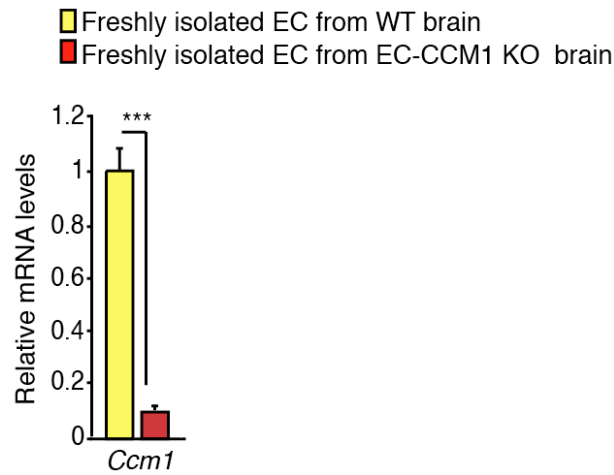


Figure 11 - High *Ccm1* recombination mediated by tamoxifen-induced Cre-recombinase activity in EC-CCM1 KO brains.

qRT-PCR analysis of *Ccm1* in freshly isolated brain PECAM+ ECs from wild-type and EC-CCM1 KO mice. Data are mean \pm SD from three independent observations. *** $P < 0.001$.

in both eyes (Figure 13 A, B). EYFP staining of the retina of EC-CCM1 KO mice confirmed also in this context the high recombination efficiency after tamoxifen treatment ^{69, 274, 275} (Figure 13 B). Consistent with what was observed in human retinal CCM lesion by angiography ⁷³, CCM lesions were restricted to the venous-capillary bed that can be easily distinguished by morphological analysis of EC-CCM1 KO retinas (Figure 13 A). Furthermore, using the same gene targeting strategy (*Ccm2* or *Ccm3* floxed/floxed animals crossed with *Cdh5(PAC)*-CreERT2 mice), tamoxifen-induced endothelial specific deletion of either *Ccm2* or *Ccm3* at P1 resulted in CCM malformations in the CNS and in the retina ^{69, 92}. As in patients, the morphology of the cavernomas was indistinguishable in the absence of any of the three *Ccm* genes, with *Ccm3* endothelial deficiency showing the most severe phenotype ⁶⁹.

Overall these data demonstrate that this mouse model reproduced to a large extent human CCM features with a complete penetrance and high reproducibility, thus being suitable both for deciphering the molecular mechanisms involved in the different phases of development and progression of the disease and for the evaluation of future therapeutic agents.

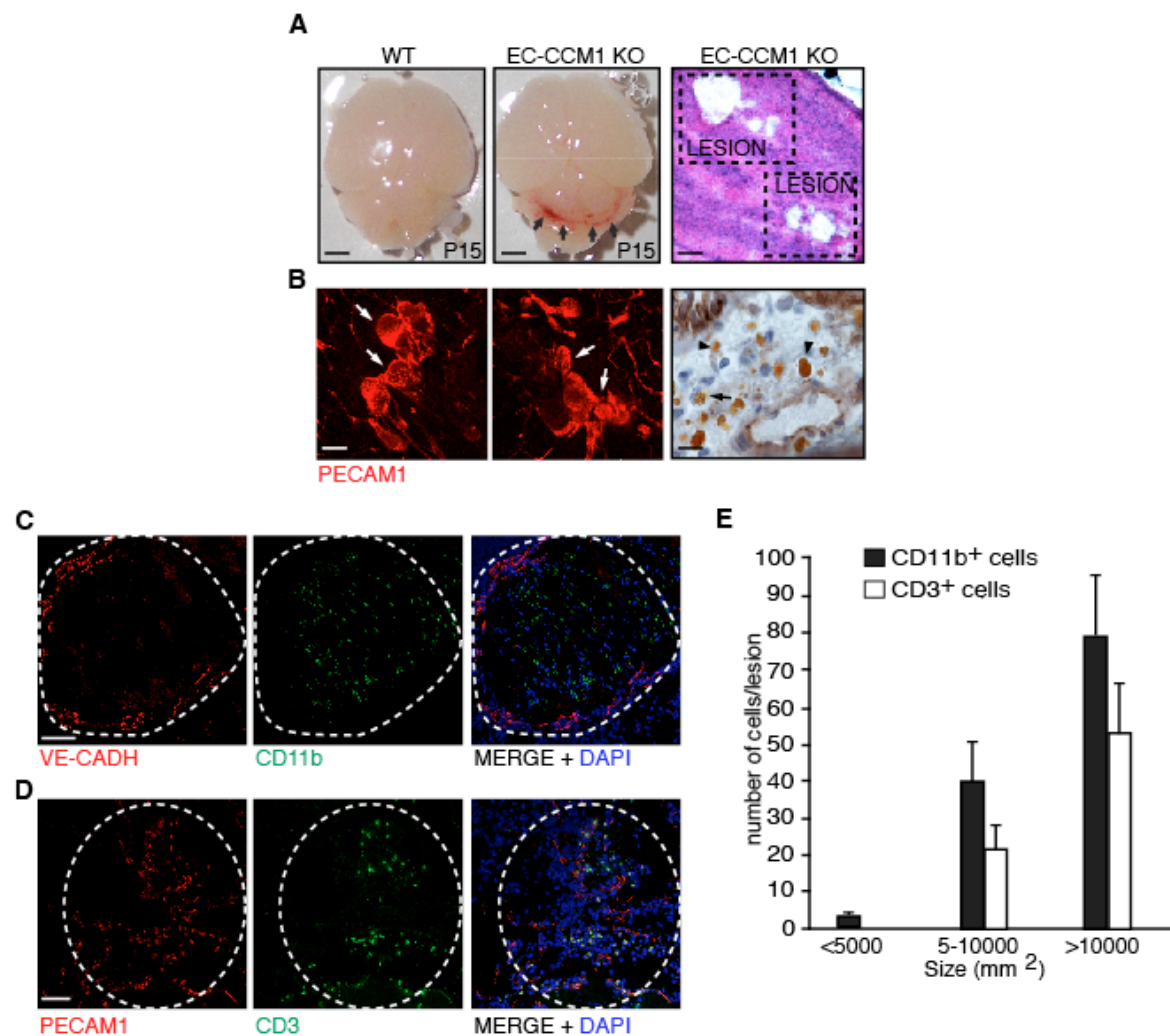


Figure 12 - Endothelial *Ccm1* deletion at P1 in the mouse results in brain vascular malformations comparable to human CCM lesions.

A) Representative images of WT and EC-CCM1 KO mouse brains after dissection at P12. EC-CCM1 KO mice show cerebellar vascular lesions (black arrows). Right panel: cavernomas visualised by H&E staining. B) Left and middle panels: mulberry-shaped lesions (white arrows); PECAM1 (red) is used to identify blood vessels. Right panel: histology showing accumulation of haemosiderin (black arrowheads) in CCM. C-D) Immune cells infiltration in CCM lesions: immunofluorescence showing accumulation of CD11b-positive macrophages (green) and CD3-positive T-lymphocytes (green) in cavernomas of EC-CCM1 KO mice.

PECAM1 marks ECs. Cell nuclei are visualised with DAPI. E) Quantification of leukocyte infiltration in CCM lesions (classified in three groups based on their size) in a total of 8 EC-CCM1 KO mice. Scale bar: 500 μ m (A, left and middle panels), 100 μ m (A, right panel), 60 μ m (B-D).

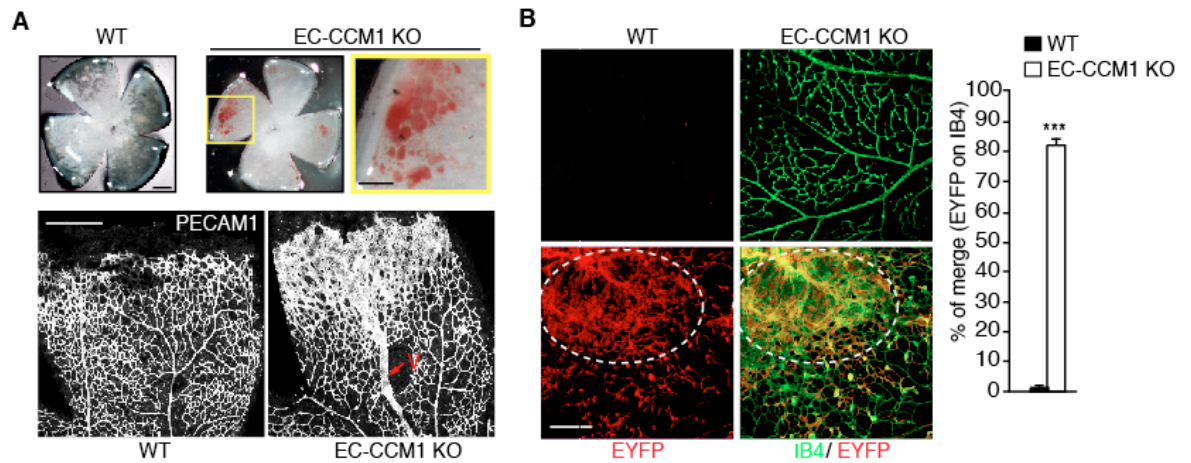


Figure 13 - Endothelial *Ccm1* deletion at P1 in the mouse results in retinal vascular malformations comparable to human CCM lesions.

A) Upper panels: representative images of WT and EC-CCM1 KO mouse retinas upon dissection at P15; Lower panels: PECAM1 staining of WT and EC-CCM1 KO mouse retinas. V= vein. B) Analysis and quantification of *Ccm1* recombination in retinal endothelium of WT and EC-CCM1 KO mice at P15 by EYFP staining (red). Isolectin B4 (IB4) (green) marks the endothelium. Dotted line indicates the lesion area. Data are representative of 3 independent observations (n = 5 for genotype from 2 different litters). Scale bars: 500 μ m (A, left and middle); 200 μ m (I, upper panels); 100 μ m (A, right; I, lower panels; J); 60 μ m (B-G);

6.2 CCM vascular lesions are lined by endothelial cells with a mesenchymal and stem-like phenotype

Since CCM proteins interact with critical components of adherens junction (AJ), to investigate the phenotype of ECs lining the vascular lesions we first analyzed the expression and the organization of junctional proteins. The key AJ protein VE-cadherin expressed presented a disorganized distribution outside cell-to-cell contacts in the ECs forming the lesion (Figure 14 A, left and middle panels) as compared with ECs lining the pseudo-normal vessels surrounding the lesion where it appeared to be perfectly localized at intercellular cleft (Figure 14 A, right panel). Conversely N-cadherin, a pro-migratory cadherin that was previously reported to be weakly expressed at cell-to-cell junctions in normal quiescent vessels ¹², was remarkably upregulated in the CCM lesion (Figure 14 B). Cadherin switch (downregulation of VE- and upregulation of N-cadherin) has been previously associated to EndMT ^{276, 277}. During this transition ECs lose progressively the expression and proper localization of endothelial specific molecules and acquire the expression of mesenchymal and stem-like markers as well as a higher proliferative and migratory capability. To further prove the hypothesis that EndMT occurred in *Ccm1* null ECs, we evaluated the expression of a number of mesenchymal markers, such as SLUG (also known as SNAI2), ID1 and α SMA ^{122, 278, 279}. Interestingly we found that these proteins were absent in pseudo-normal peri-lesion vessels, whereas they were strongly upregulated in the ECs forming the vascular malformation (Figure 14 C-E). In addition, SCA1 and CD44, which are widely accepted stem cell-markers in normal and tumor tissues ^{280, 281} were also highly expressed in ECs lining the lesions in comparison to ECs of the surrounding normal vessels (Figure 14 F, G). Importantly, KLF4, a zinc finger transcription factor involved in the regulation of many endothelial biological processes such as proliferation, differentiation, development, inflammation and apoptosis ²²²⁻²²⁸, was the only EndMT related marker analyzed whose expression was increased both in the lesion and the normal vasculature of EC-CCM1 KO mice (Figure 14 H), while it was

poorly expressed in brain vessels of WT mice (Figure 14 I). Interestingly, the upregulation of mesenchymal and stem-cell markers in the absence of *Ccm1* was confirmed in freshly isolated PECAM+ brain EC isolated by EC-CCM1 KO mice (Figure 15).

Thus, our results showed that *Ccm1*-ablation *in vivo* causes a general modification of the endothelial phenotype conferring mesenchymal and stem-like traits to these cells.

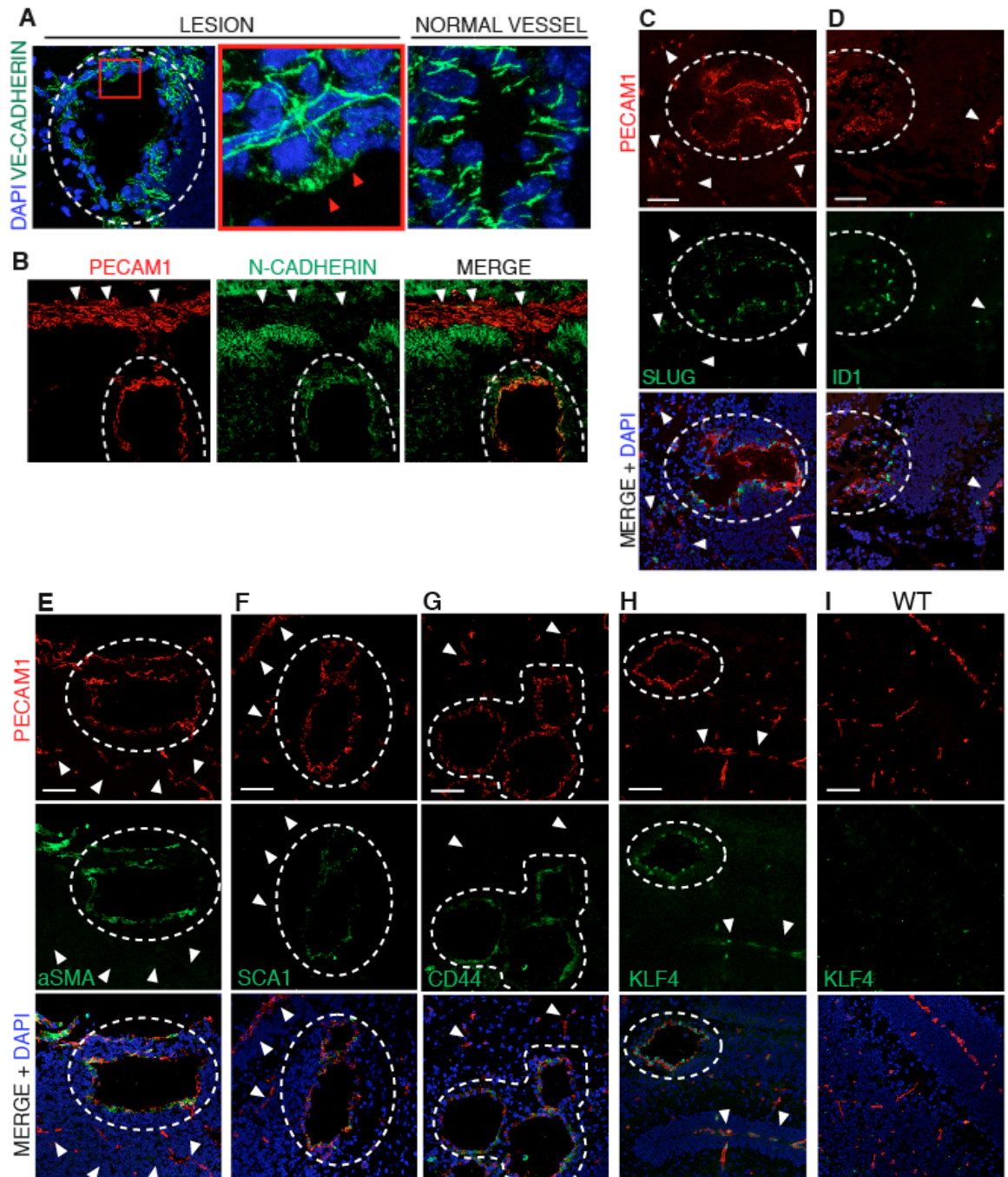


Figure 14 - *Ccm1* deletion causes EndMT *in vivo*.

A) VE-cadherin expression (green) in normal vessels and vascular lesions (indicated by dotted area) of EC-CCM1 KO mouse brains. DAPI visualizes cell nuclei. Red arrowheads denote VE-cadherin out of the

junctions. Central and right panels show higher magnification. B) N-cadherin staining (green) in lesion and in normal peri-lesion vessels (PECAM1, red) of EC-CCM1 mouse brains. PECAM1 staining identifies ECs. C-H) Mesenchymal and stem-cell markers (green) in the vascular lesions (PECAM1, red) of EC-CCM1 KO mouse brains. PECAM1 marks ECs. DAPI visualizes cell nuclei. I) KLF4 expression (green) in WT mouse brain. Data are representative of three independent observations (n= 5 for each genotype from two different litters). White arrowheads highlight normal peri-lesion vessels; dotted areas denote vascular lesions. Scale bars: 50 μ m (A, left); 60 μ m (B-I); 8 μ m (A, middle and right).

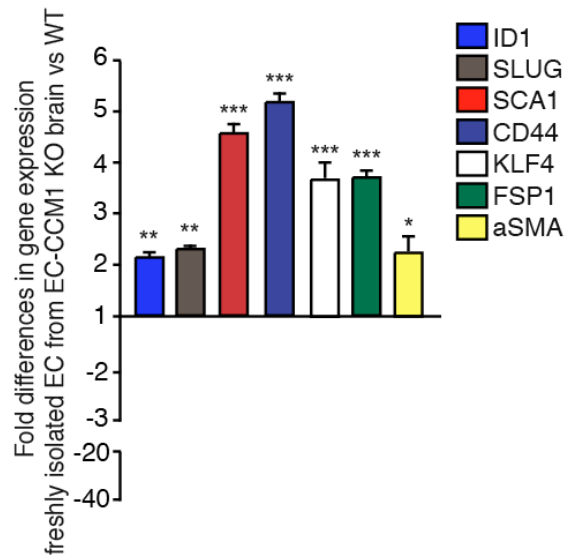


Figure 15 - *Ccm1* deletion causes EndMT marker mRNA upregulation *in vivo*.

qRT-PCR of EndMT markers in freshly isolated PECAM⁺ brain ECs (fold difference in gene expression in EC-CCM1 KO- versus WT-derived ECs). Data are mean \pm SD from three independent observations.

*P<0.05; **P<0.01; ***P<0.001.

6.3 Loss of *Ccm1* expression induces EndMT transition in a cell-autonomous way

To directly investigate whether EndMT induction in EC-CCM1 KO mouse lesions is a cell-autonomous process, ECs were isolated from lungs of *Ccm1* floxed/floxed mice, immortalized with PmT antigen and then treated with TAT-Cre to induce gene recombination. TAT-Cre treatment resulted in the complete loss of *Ccm1* at both mRNA and protein level *in vitro* (Figure 16 A). The absence of CCM1 caused a switch in phenotype of cultured ECs, which recapitulated, to a large extent, the phenotype observed *in vivo* in EC-CCM1 KO mice. In *Ccm1* null ECs both VE-CADHERIN and the tight junctions protein CLAUDIN-5 were significantly reduced while a large number of representative mesenchymal markers such as ID1, FSP1, VIMENTIN, FIBROBLASTIN, L1, MMP14 were strongly increased^{114,282} (Figure 16 B, C). Interestingly, we also observed an increased expression of stem cell markers CD44, SCA1, KLF4 in CCM1 KO ECs (Figure 16 B, C).

To exclude the contribution of any culture contaminant to the increased expression of EndMT markers observed in the absence of *Ccm1*, we performed a co-staining analysis of a representative set of EndMT markers together with a specific endothelial molecule in WT and CCM1 KO ECs. As depicted in Figure 17 (A-C), endothelial cultures were 100% pure and the increased expression of either FSP1 (Figure 17 A), SCA1 (Figure 17 B) or KLF4 (Figure 17 C) was always observed in VE-CADHERIN positive CCM1 KO ECs, thus proving that cells that acquired a mesenchymal phenotype had an endothelial origin.

Since CCM is a disease that affects specifically brain microvasculature, we moved to confirm our findings in a more physiological cellular model such as cultured primary brain derived ECs (BMECs). ECs isolated from the brain of both WT or *Ccm1* floxed/floxed mice were seeded in culture and then treated with TAT-cre recombinase to induce recombination of floxed alleles *in vitro*. As depicted in Figure 18 A, a good but not complete reduction of CCM1 protein levels was obtained. Inhibition of CCM1 expression in BMECs recapitulated the data observed in lung derived CCM1 KO ECs since several

mesenchymal and stem-cell markers were strongly increased (Figure 18 A, B) while VE-CADHERIN organization at cell-to-cell junctions was dismantled in CCM1 KO compared to WT BMECs (Figure 19). However, due to limitations in both the supply of primary ECs from the brain and their extremely limited mitotic index, most of the analyses were performed in lung derived cell lines to understand the molecular alteration occurring in *Ccm1* null ECs and finally validated in BMECs.

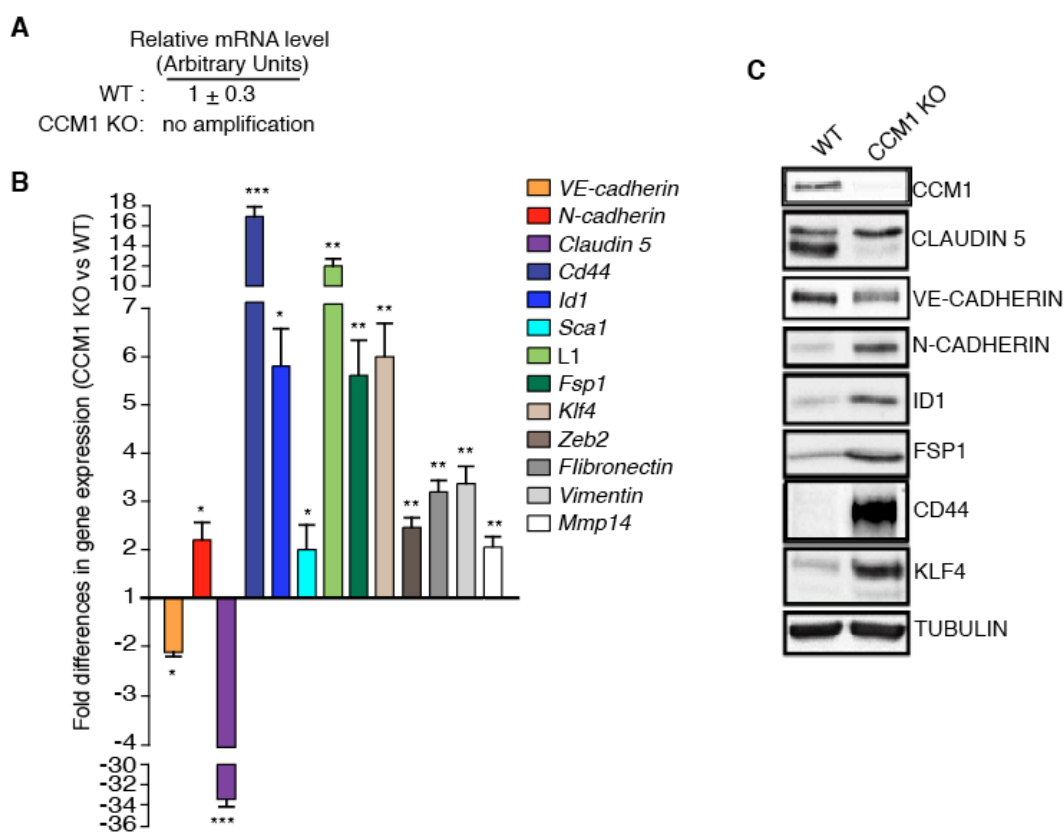


Figure 16 - *In vitro* endothelial *Ccm1* gene ablation in lung derived ECs induces a mesenchymal and stem cell-like phenotype.

A) qRT-PCR of *Ccm1* in lung derived WT and CCM1 KO ECs recombined *in vitro*. B-C) qRT-PCR (B) and WB (C) analysis of EndMT-related marker expression in WT and CCM1 KO ECs. qRT-PCR data are means \pm SD from three independent experiments. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$. WB results are representative of three independent observation.

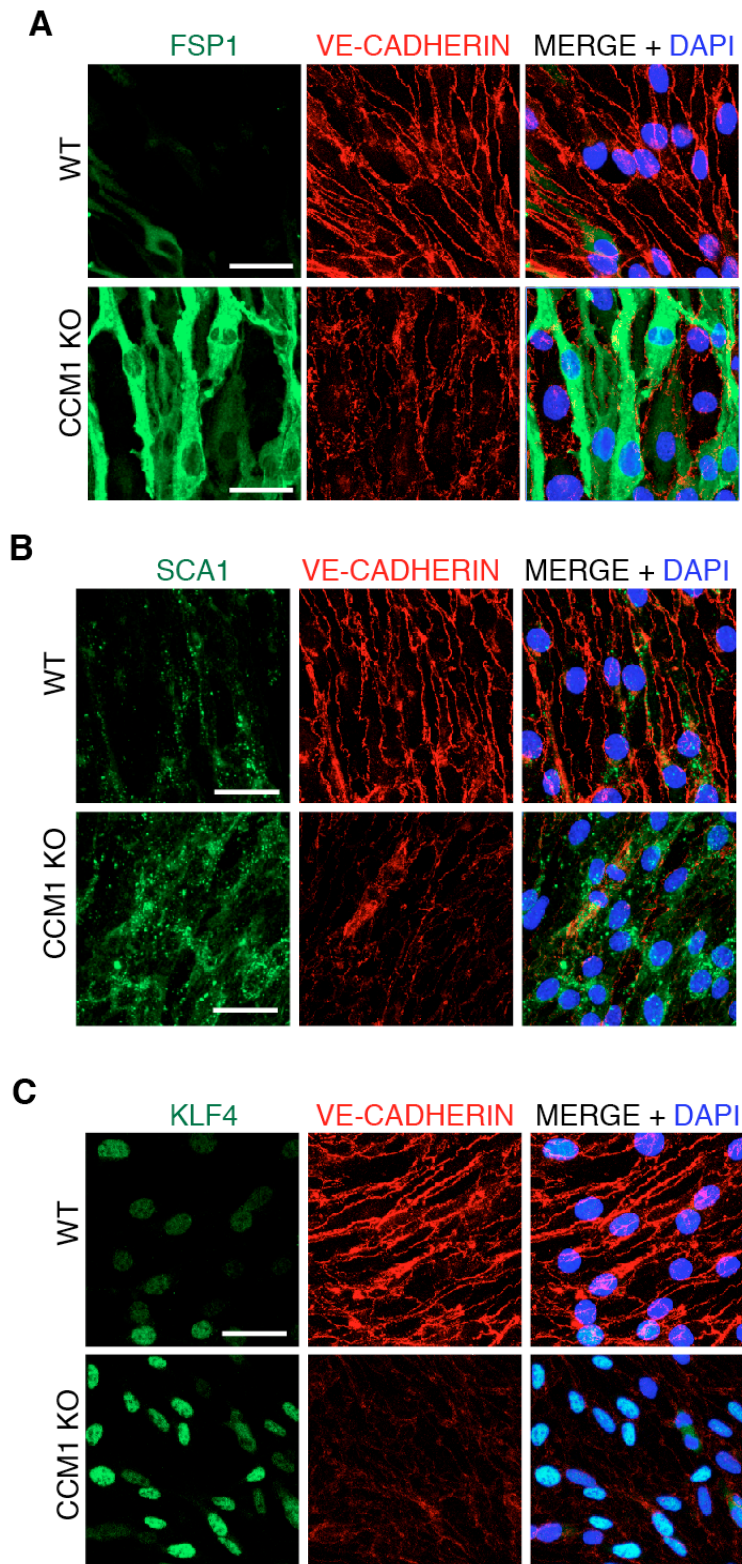


Figure 17 - Co-expression of VE-CADHERIN and representative EndMT markers in CCM1 KO ECs.

A) Confocal analysis of VE-CADHERIN (red) and FSP1 (green) in cultured lung derived WT and CCM1 KO ECs. B) Confocal microscopy of VE-CADHERIN (red) and SCA1 (green) in the cell lines reported in A. C) Immunofluorescence of VE-CADHERIN (red) and KLF4 (green) in the cell lines reported in A. These data are representative of three independent observations. Scale bar: 30 μ m

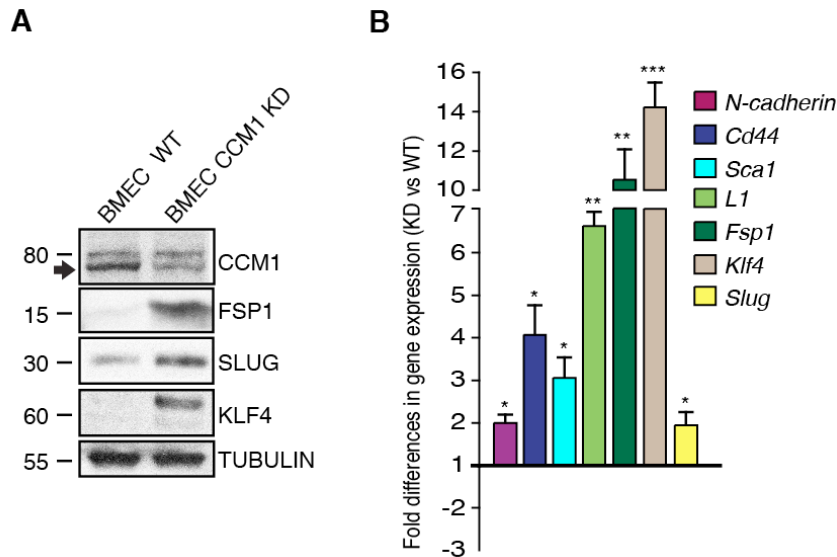


Figure 18 - Endothelial *Ccm1* gene ablation induces a mesenchymal and stem cell-like phenotype in vitro in brain derived ECs (BMECs).

A) WB of CCM1 and mesenchymal/stem cell markers in WT and CCM1 KD BMECs. Tubulin was the loading control. B) qRT-PCR analysis of several mesenchymal and stem cell expression in WT and CCM1 knocked-down (KD) BMECs. Means \pm SD from three independent experiments. Fold changes are relative to WT BMECs * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$.

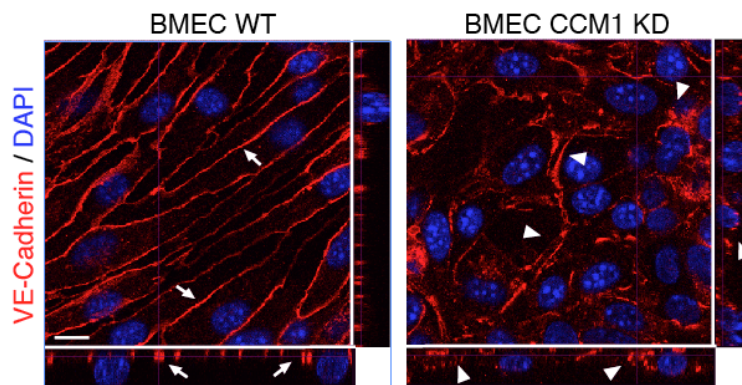


Figure 19 - Alteration of VE-CADHERIN localization in CCM1 KO BMECs.

Confocal microscopy analysis of VE-CADHERIN in WT and CCM1 KD BMECs. Arrows: normal VE-CADHERIN localisation at cell-to-cell junctions. Arrowheads: altered VE-cadherin distribution. DAPI visualizes nuclei. Scale bar: 10 μ m.

Cell-transition to a mesenchymal phenotype is usually accompanied by increased proliferation, enhanced migratory capacity and invasiveness¹¹⁴. Consistently we found that the *Ccm1* gene ablation caused a significant enhancement of EC proliferation both *in vitro* and *in vivo* at the level of vascular lesion, as determined by the staining of the proliferation-associated nuclear protein Ki-67²⁸³ (Figure 20 A-C). In addition, to test migratory capacity of WT and CCM1 KO ECs, we performed a spheroid assay. A certain number of ECs seeded in a methylcellulose-based medium collagen matrix were allowed to form a 3D spheroid. These spheroids were then transferred in a collagen matrix and stimulated with pro-angiogenic factors such as VEGFA and FGF-2. In line with previous observations obtained in different experimental settings¹⁰⁵, CCM1 KO ECs showed a greater invasive/sprouting capacity in response to VEGF-A/FGF-2 (Figure 21).

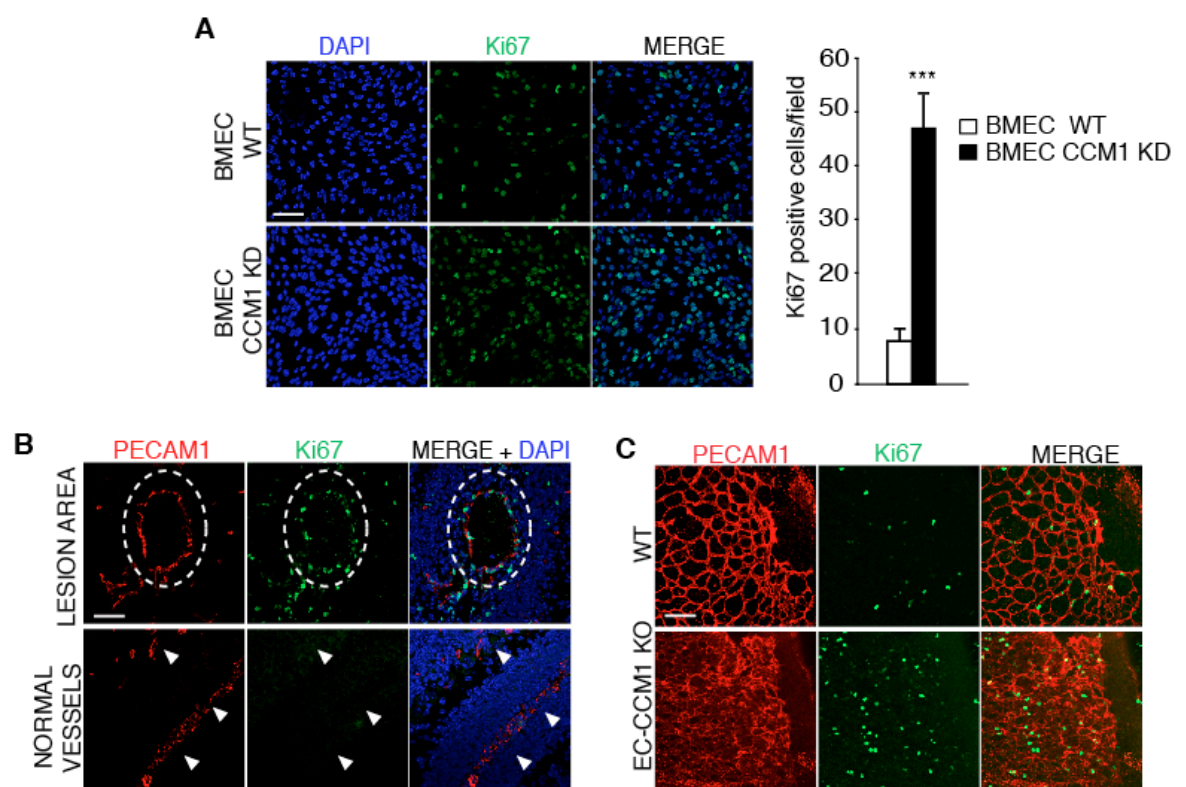


Figure 20 - *Ccm1* gene ablation increases cell proliferation *in vitro* and *in vivo*.

A) Proliferation of WT and CCM1 KD BMECs was evaluated using Ki-67 staining (green). A representative image was shown. The number of Ki-67 positive cells for each analysed field was indicated in the chart (n=8 fields were analyzed for each cell type). Means \pm SD from three independent experiments. ***P<0.001. B-C)

EC proliferation in brain sections (B) and retinas (C) of WT and EC-CCM1 KO mice (P13) analysed by Ki-67 staining. The dotted area showed a vascular lesion formed by proliferating ECs, while arrowheads indicated very limited mitosis in normal brain vessels (PECAM1 staining marks ECs, red; Ki-67, green). Scale bars: 50 μ m

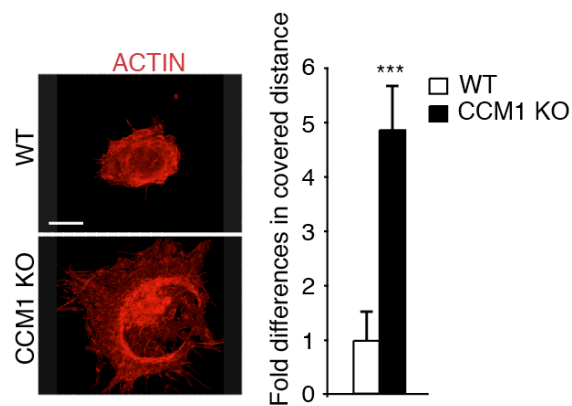


Figure 21 - *Ccm1* null ECs shows increased cell migration *in vitro*.

WT and CCM1 KO lung EC migration in a 3D collagen-based matrix system visualized by actin staining (red). Quantification of the covered migration distance has been represented as fold difference relative to WT ECs. Data represent the means \pm SD from three independent experiments. *** $P < 0.001$. Scale bars: 100 μ m.

6.4 Activation of the TGF- β signalling contributes to EndMT in CCM1 null ECs

TGF- β /BMP signalling pathway has been suggested as major inducer of EndMT in several physiological and pathological conditions ²⁸⁴. We therefore investigated whether the EndMT switch observed in CCM1 deficient endothelium was due to an alteration of this signalling pathway. Upon ligand binding, a heterotetrameric complex of type I and II receptors phosphorylates the cytosolic mediator SMADs that, in turn, translocate into the nucleus to regulate the transcription of specific target genes in complex with several transcription factors ¹²⁶. As shown in Figure 22 A, both SMAD1/5/8 and SMAD3 phosphorylation was enhanced in CCM1 null ECs. Moreover, in agreement with a possible increased transcriptional activity, immuno-staining revealed a stronger nuclear localization of both pSMAD1 and pSMAD3 in CCM1 KO compared to WT ECs (Figure 22 B).

To investigate the involvement of the activated TGF- β /BMP pathway in the EndMT occurring in CCM1 null ECs, we treated WT and CCM1 KO ECs for 4 days with LY-364947, a selective ATP-competitive of Transforming Growth Factor- β Type I receptor kinase (T β RI ALK5) ²⁸⁵. The inhibitor abrogated the increased SMAD1 and 3 phosphorylation observed in CCM1-null ECs (Figure 23 A). Most importantly, LY-364947 treatment of CCM1 KO ECs restored in part the normal EC phenotype by significantly decreasing the expression of the EndMT related transcripts *Fsp1*, *Klf4*, *Cd44*, *Id1* while up-regulating *Claudin5* (Figure 24). Furthermore, we found that LY-364947 inhibited the abnormal proliferation of CCM1 KO ECs (Figure 25).

Overall these results showed that *in vitro* loss of *Ccm1* led to a hyperactivation of TGF- β /BMP signalling that, in turn, was responsible for the EndMT phenotype observed in CCM1 KO ECs.

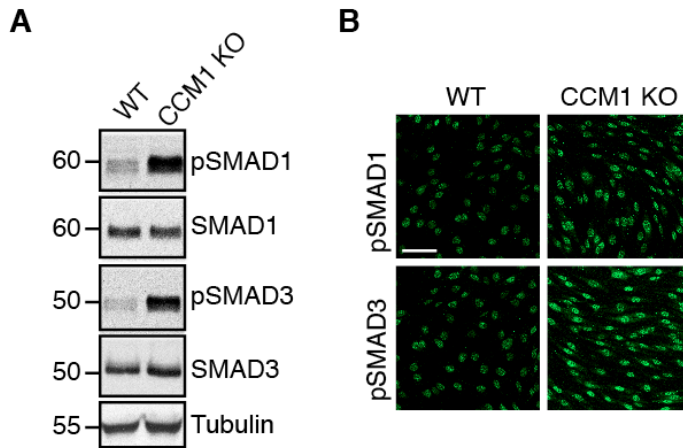


Figure 22 - Loss of *Ccm1* induces TGF- β /BMP signalling activation in ECs.

A) WB analysis of SMAD1/5/8 and SMAD3 phosphorylation and total amount in WT and CCM1 KO ECs. Tubulin was used as loading control. WB results are representative of three independent observations. B) Immunofluorescence analysis of pSMAD1 and pSMAD3 in WT and CCM1 KO lung derived ECs. Images are representative of three independent experiments. Scale bar: 50 μ m.

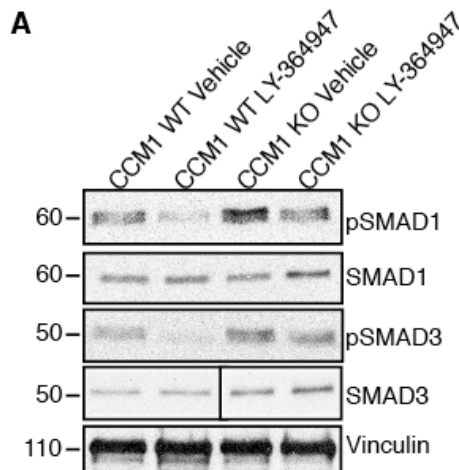


Figure 23 - The ALK5 inhibitor LY-364947 effectively inhibits SMAD signalling activation in CCM1 KO ECs.

WB analysis of pSMADs after LY-364947-mediated TGF- β pathway inhibition. Vinculin was used as loading control. Images are representative of three independent experiments.

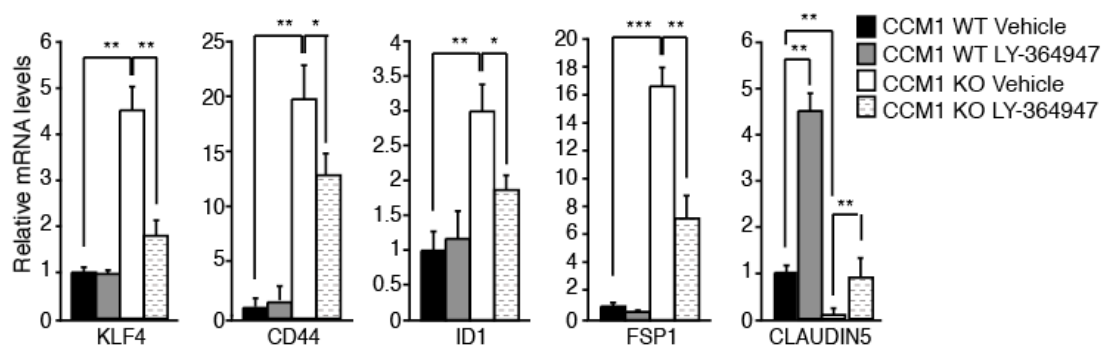


Figure 24 - *Ccm1* deletion induces EndMT via TGF- β /BMP signalling activation.

qRT-PCR analysis of the effect of the treatment of LY-364947 on the expression of *Klf4*, *Claudin-5*, *Cd44*, *Id1* and *Fsp1* in WT and CCM1 KO ECs. Data represent the means \pm SD from three independent experiments. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$.

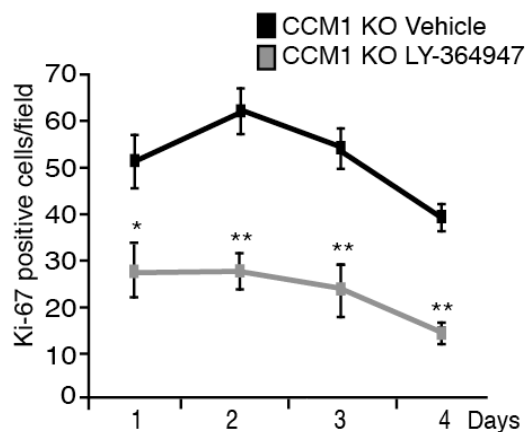


Figure 25 - Inhibition of TGF β /BMP signalling decreases the proliferation rate of CCM1 KO ECs.

Proliferation of vehicle- or LY-364947-treated CCM1 KO ECs quantified by counting Ki-67-positive cells (n=8 fields for each treatment). Data are mean \pm SD from three independent experiments. * $P < 0.05$; ** $P < 0.01$.

6.5 TGF- β /BMP signalling and EndMT are critical events for development and progression of CCM lesions

To investigate the role of the activation of TGF- β /BMP signalling in the appearance and progression of CCM pathology, we tried to inhibit this pathway *in vivo*. To this purpose WT and EC-CCM1 KO pups were treated daily with LY-364947 two days after tamoxifen injection (performed at P1) and then sacrificed at P13 to evaluate the number/size of vascular lesions together with the inhibition of pSMAD-dependent signalling. Pilot experiments using doses of this inhibitor reported in literature to be active *in vivo* (5mg/kg or 10 mg/kg) revealed only a partial inhibition of the TGF- β /BMP signalling that was accompanied by a mild reduction in lesion burden of EC-CCM1 KO mice (Figure 26 A, right panel). Therefore in order to achieve a more robust inhibition of TGF- β /BMP signalling we decided to combine the treatment of LY-364947 with SB-431542, another inhibitor of pSMAD signalling reported to be very effective *in vivo* ²⁹³. The animals were subjected to a daily treatment with 10mg/Kg of LY-364947 plus 10mg/kg of SB-431542 two days after tamoxifen injection (performed at P1). As expected, vehicle-treated mice presented at P13 large and frequent cerebellar lesions, with associated diffuse hemorrhagic zones. In contrast, LY-364947/SB-431542-treated pups showed a macroscopic reduction in the number and extension of the lesions and associated hemorrhage (Figure 26 A). Since CCM lesions are highly permeable ²⁸⁶, we tested also the effect of TGF- β /BMP pathway inhibition on vascular permeability by injecting IP fluorescent cadaverine two hours before mouse sacrifice at P13 ²⁸⁷. As reported in Figure 26 B, *Ccm1* depletion resulted in marked cadaverine extravasation that was almost abrogated by LY-364947/SB-431542 treatment, thus suggesting that inhibition of the TGF- β /BMP pathway not only reduced number and size of lesions, but also restored a normal BBB function by strongly decreasing the marked brain vascular leakage observed in EC-CCM1 KO mice. To test whether LY-364947/SB-431542 treatment was able to effectively inhibit TGF- β /BMP signalling dependent EndMT *in vivo*, we analyzed the phosphorylation

state of SMAD proteins and the expression of some representative EndMT/stem cell markers by immuno-staining. As shown in Figure 27 (A-C), SMAD3 phosphorylation was markedly downregulated in LY-364947/SB-431542-treated EC-CCM1 KO pups as well as KLF4 and ID1 expression.

Taken together these data showed that pharmacological inhibition of TGF- β /BMP signalling pathway was able to reduce lesion burden and EndMT *in vivo* in *Ccm1* null mice.

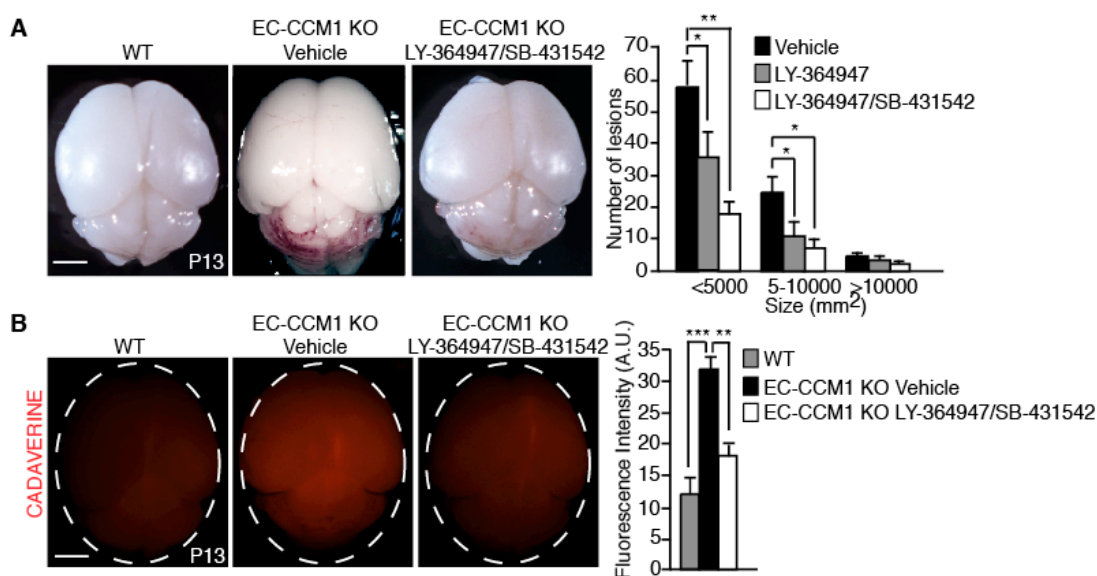


Figure 26 - TGF- β /BMP signalling inhibition reduces number and size of lesions and vessel leakage in CCM1 null mice.

A) Representative images of either WT or EC-CCM1 KO vehicle-treated and LY-364947/SB-431542-treated mouse brains after dissection (left panels) and quantification of number and size of lesions (right panel) ($n = 5$ in each group from three different litters). B) Whole brains photographed after fluorescent cadaverine injection of mice treated as reported in A (left panels) and quantification of the recovered fluorescence (right). Data are mean \pm SD from three independent experiments. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$. Scale bar: 500 μ m.

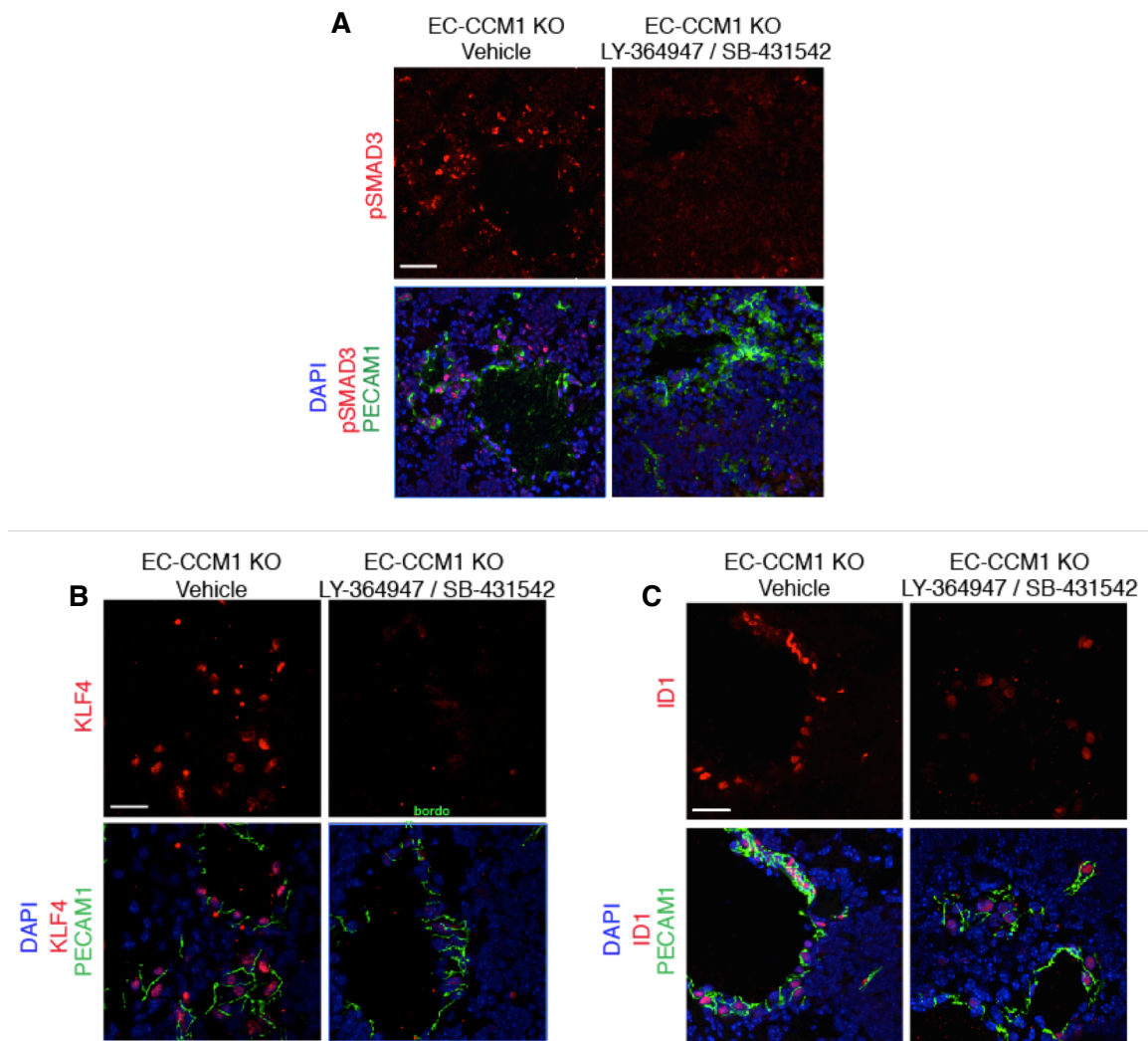


Figure 27 - TGF- β /BMP signalling inhibition reduces the mesenchymal phenotype of ECs lining CCM lesions *in vivo*.

(A-C) Confocal analysis of pSMAD3, KLF4 and ID1 in the cavernomas of vehicle- and LY-364947/SB-431542-treated EC-CCM1 KO mice. (PECAM1 identifies ECs, green; KLF4, ID1, pSmad3 red; DAPI visualized nuclei, blue). Images are representative of three independent experiments. Scale bars: 50 μ m (A) 30 μ m (B, C).

6.6 Autocrine production of BMP6 in CCM1 null ECs induces pSMAD signalling activation and EndMT

In order to understand the molecular mechanism through which loss of CCM1 induced TGF- β /BMP signalling and EndMT, we investigated whether ablation of CCM1 could modulate the expression of TGF- β /BMP superfamily ligands, receptors and other critical components of this signalling pathway (such as the common partner SMAD4 or the known negative regulators such as SMURFs). Among all the genes examined only *Bmp6* ligand was significantly increased after CCM1 ablation in cultured ECs (Figure 28 A, B). Interestingly *Bmp6* upregulation was confirmed also *in vivo* in EC-CCM1 KO mice in comparison to WT animals as verified by qRT-PCR analysis performed on RNA extracts of PECAM1 positive freshly isolated brain ECs (Figure 28 C). BMPs are secreted proteins that, upon binding to their receptors, signal through both autocrine and paracrine mechanisms by phosphorylating SMADs ²⁸⁹. Since BMPs have been associated with EMT ²⁹⁰, we hypothesized that the increased amount *Bmp6* could be responsible of TGF- β /BMP signalling activation and EndMT in CCM1 null ECs. In agreement with this hypothesis the treatment of WT ECs with recombinant BMP6 resulted in an increased SMADs phosphorylation (Figure 29) together with a significant induction of mesenchymal and stem cell markers and a reduction of claudin5 expression (Figure 30). In order to prove that increased SMAD phosphorylation and EndMT marker upregulation in CCM1 KO ECs were BMP6-dependent, we performed siRNA mediated knockdown of *Bmp6 in vitro*. Interestingly, *Bmp6* silencing resulted in decreased phosphorylation state of both SMAD1 and SMAD3 proteins, with a concomitant reduction of the mesenchymal/stem cell markers upregulated in the absence of CCM1 (Figure 31).

Altogether our data suggested that loss of CCM1 increased *Bmp6* expression that, in turn, strongly contributed to the observed EndMT.

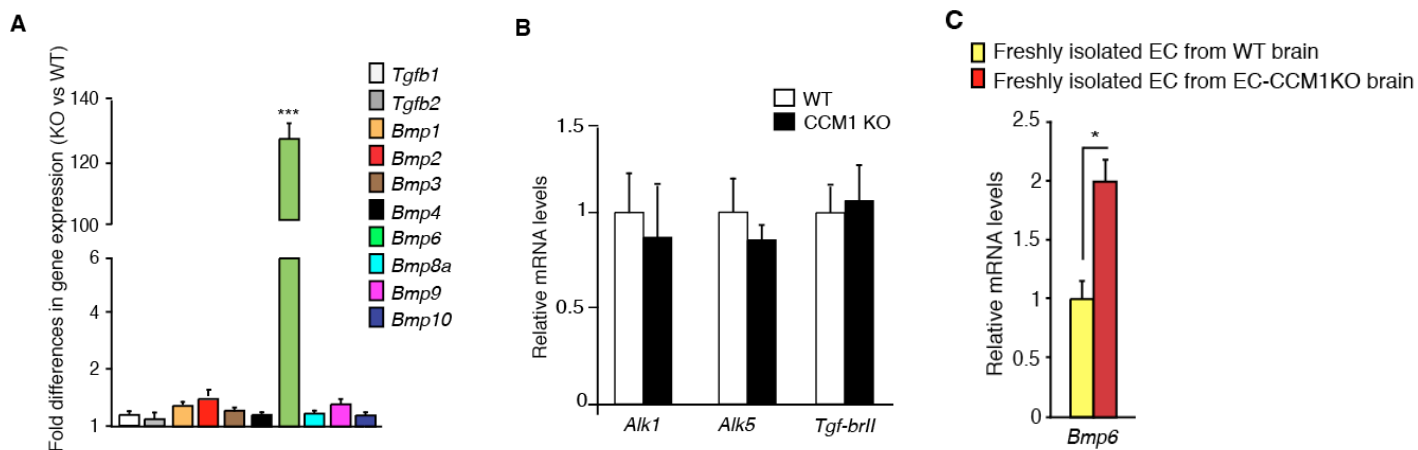


Figure 28 - *Bmp6* expression is upregulated upon loss of *Ccm1* in vitro and in vivo

A) TGFb/BMP superfamily ligand gene expression profile in WT and CCM1 KO ECs (fold changes in CCM1 KO versus WT). Data are mean \pm SD from three independent experiments. B) qRT-PCR analysis of *Alk1*, *Alk5* and *Tgf-brll* in WT and CCM1 KO ECs. Means \pm SD from three independent experiments. C) qRT-PCR analysis of *Bmp6* in freshly isolated brain ECs from WT and EC-CCM1 KO mice. Data are mean \pm SD from three independent observations. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$.

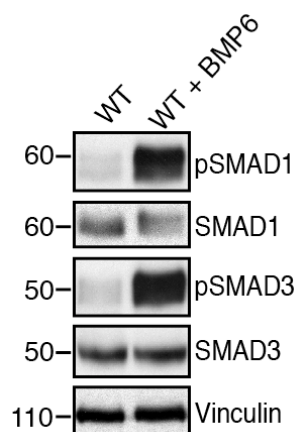


Figure 29 - BMP6 stimulation induces SMAD phosphorylation in WT ECs.

WB analysis of SMAD1 and SMAD3 phosphorylation upon 4 hours of treatment of WT ECs with 100ng/ml of recombinant BMP6. Vinculin was the loading control. Images are representative of three independent observations.

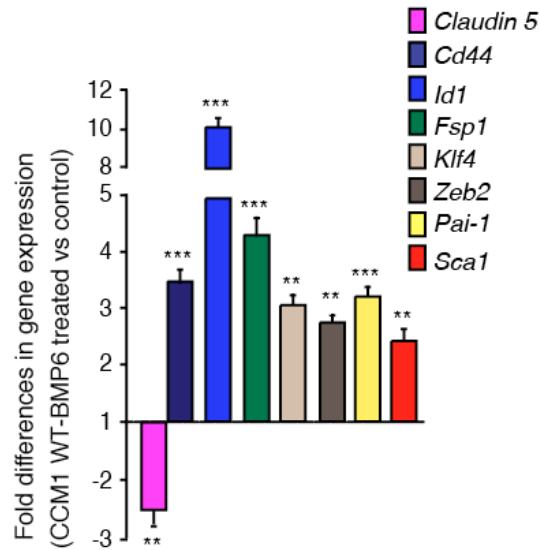


Figure 30- BMP6-mediated upregulation of mesenchymal and stem-cell makers in WT ECs.

qRT-PCR analysis of mesenchymal and stem-cell markers upon 96 hours of recombinant BMP6 stimulation. Fold changes in gene expression in BMP6-treated versus control. Data are mean \pm SD from three independent experiments. *P < 0.05; **P < 0.01; ***P < 0.001.

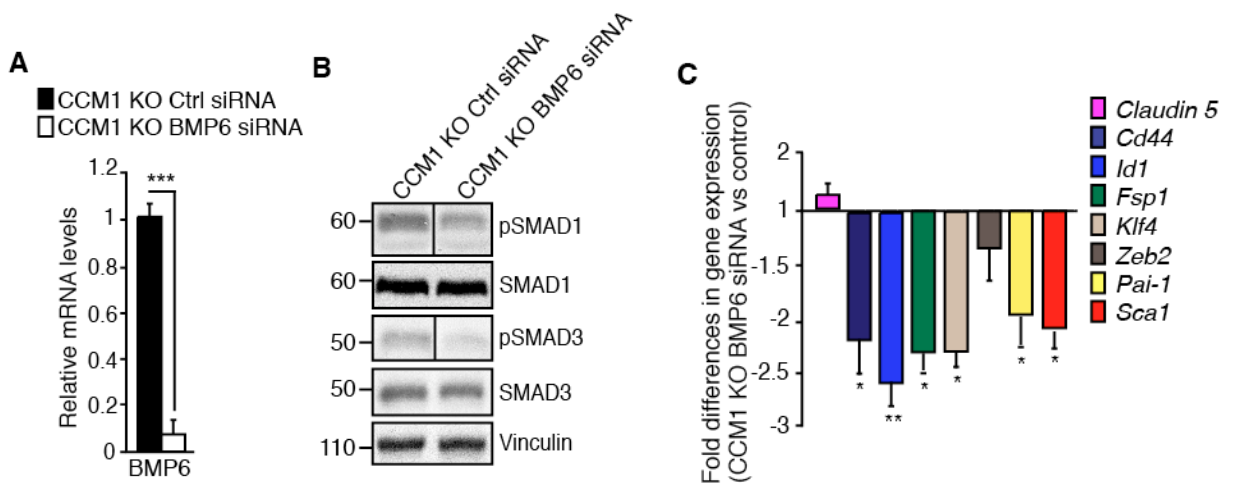


Figure 31 - *Ccm1* deletion induces TGFb/BMP signalling activation and EndMT via BMP6 upregulation.

A) *Bmp6* relative mRNA levels in both *Bmp6* and control (ctrl) short interfering RNA siRNA-treated CCM1 KO ECs. Data are mean \pm SD from three independent experiments. B) WB analysis of SMAD phosphorylation in *Bmp6* and control (ctrl) short interfering RNA (siRNA)-treated CCM1 KO ECs. Vinculin was the loading control. WB data are representative of three experiments. C) qRT-PCR of EndMT markers in *Bmp6* and control siRNA-treated CCM1 KO ECs. Fold change in *Bmp6* siRNA versus control. Data are mean \pm SD from three independent experiments. *P < 0.05; **P < 0.01; ***P < 0.001.

6.7 KLF4 expression is increased in murine models of CCM disease and in CCM patients

In order to identify upstream regulators of *Bmp6* expression and TGF β /BMP signalling activation we performed a global gene expression analysis of CCM1 KO BMECs in comparison to WT using the Affymetrix chip platform. When ranked according to fold change, the transcription factor KLF4 emerged as one of the most significantly upregulated gene upon *Ccm1* gene recombination (Appendix Table 1). As reported above KLF4 was the only analyzed EndMT marker poorly expressed in brain vessels of WT mice (Figure 15 I), while strongly upregulated not only in ECs lining the cavernae of any size but also in peri-lesion vessels that still did not show any abnormal phenotype at the time of the analysis (Figure 15 H). This preliminary observation led us to hypothesize KLF4 up-regulation upon loss of *Ccm1* as an event preceding the formation of vascular lesions, thus suggesting KLF4 as a good candidate for the induction of both TGF β /BMP activation and EndMT. Further characterization of KLF4 expression *in vivo* revealed that KLF4 was poorly expressed in the retinal vessels of WT mice, while its expression was strongly increased in lesion and peri-lesion vessels located both at the centre and at the periphery of the retina vasculature in EC-CCM1 KO mice (Figure 32). *Klf4* upregulation was an early event during CCM pathogenesis since it appeared at P3, soon after *Ccm1* gene recombination, in freshly isolated ECs from EC-CCM1 KO brains compared to WT brain ECs and it remained high during the disease progression (Figure 33). The increase in KLF4 and its pattern of expression *in vivo* in ECs lining the lesions as well as in pseudonormal peri-lesion vessels were further confirmed in tamoxifen-inducible endothelial-specific *Ccm2* (EC-CCM2 KO) and *Ccm3* (EC-CCM3 KO) loss-of-function mice^{69,92} (Figure 34). Consistently, *in vitro* siRNA mediated knockdown of any one of the three *Ccm* genes in cultured ECs resulted in *Klf4* upregulation (Figure 35). Interestingly, the increase in KLF4 was observed also in cultured human brain ECs (hCMEC/D3) upon *Ccm1* silencing (Figure 36) and, most importantly, immunohistochemical analysis of tissue biopsies of

CCM1 familial and sporadic patients confirmed the increase of KLF4 nuclear signal in ECs lining the cavernomas in comparison to normal peri-lesion vessels used as internal control (Figure 37).

Taken together, these data showed that KLF4 upregulation is an hallmark of murine models of CCM disease as well as of the human pathology. KLF4 expression was already increased at early stages during the development and progression of the disease and is detectable also in pseudo-normal peri lesion vessels, thus prompting us to investigate a possible causal role of KLF4 during CCM pathogenesis.

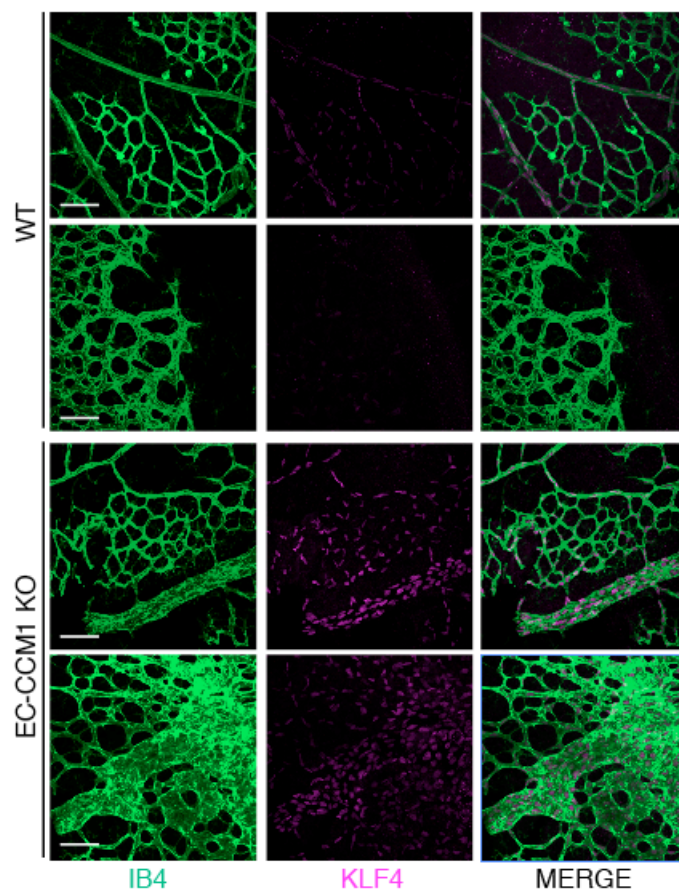


Figure 32 - KLF4 expression is increased in the retina of EC-CCM1 KO mice.

KLF4 staining (magenta) in WT and EC-CCM1 KO mouse retinas at P7. ECs are marked by IB4 (green). Data are representative of three independent observations (n = 4 for each genotype from 2 different litters). Scale bars: 50 μ m.

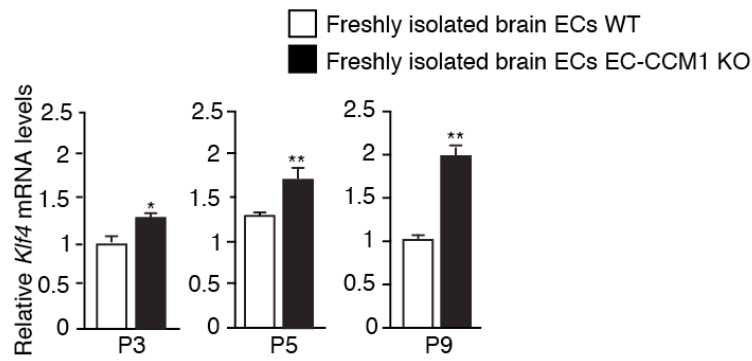


Figure 33 - *Klf4* is upregulated at an early stage of CCM disease progression *in vivo*.

qRT-PCR analysis of *Klf4* at different times (P3, P5 and P9) during disease progression after tamoxifen-induced *Ccm1* recombination in freshly isolated brain ECs derived from WT and EC-CCM1 KO pups. Data are mean \pm SD (n=3 in each group). Fold changes are relative to WT animals. * P<0.05, **P<0.01

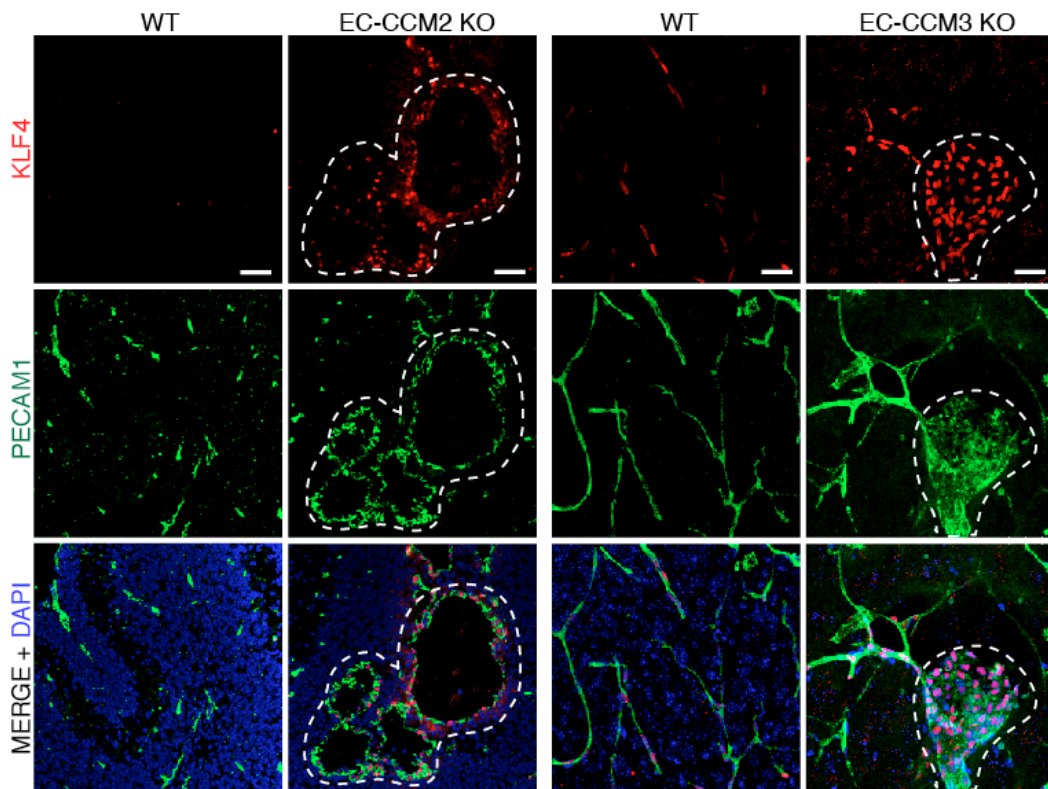


Figure 34 - Endothelial-specific ablation of either *Ccm2* or *Ccm3* induces KLF4 upregulation in the brain vasculature.

Representative immunostaining of KLF4 (red) in combination with PECAM1 (green, to identify ECs) in brain sections of EC-CCM2 KO, EC-CCM3 KO mice and their relative WT controls (one out of three performed). Cell nuclei are visualized with DAPI; dotted area highlights lesion area. Scale bars: 50 μ m.

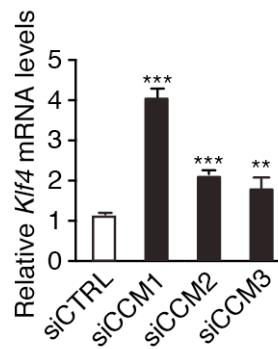


Figure 35 - Silencing of any of the three *Ccm* genes leads to *Klf4* upregulation *in vitro*.

qRT-PCR analysis of *Klf4* in lung derived WT ECs transfected with either siRNA directed to anyone of the three *Ccm* genes or control siRNA (siCTRL). Data are presented as mean \pm SD (n=3). Results are shown as fold changes relative to control siRNA treated ECs. **P<0.01; ***P<0.001.

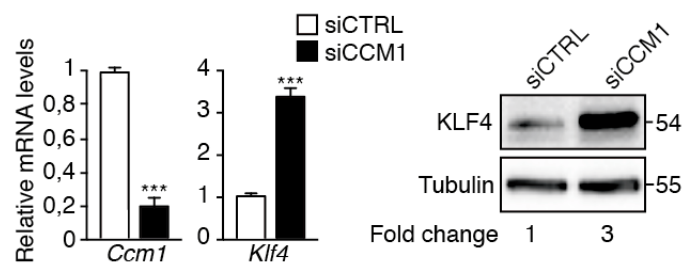


Figure 36 - KLF4 upregulation upon *Ccm1* silencing is conserved in brain ECs of human origin.

Left panel: *Ccm1* and *Klf4* relative mRNA levels in *Ccm1* (siCCM1) and control (siCTRL) siRNA-treated hCMEC/D3. The result was shown as fold changes in gene expression in siCCM1-treated versus control. Data are presented as mean \pm SD (n=3). ***P<0.001. Right panel: WB analysis of KLF4 amount in both siCCM1- and siCTRL-treated hCMEC/D3. Tubulin was used as loading control. KLF4 normalized over tubulin was quantified by densitometry scan. These data are representative of three independent observations.

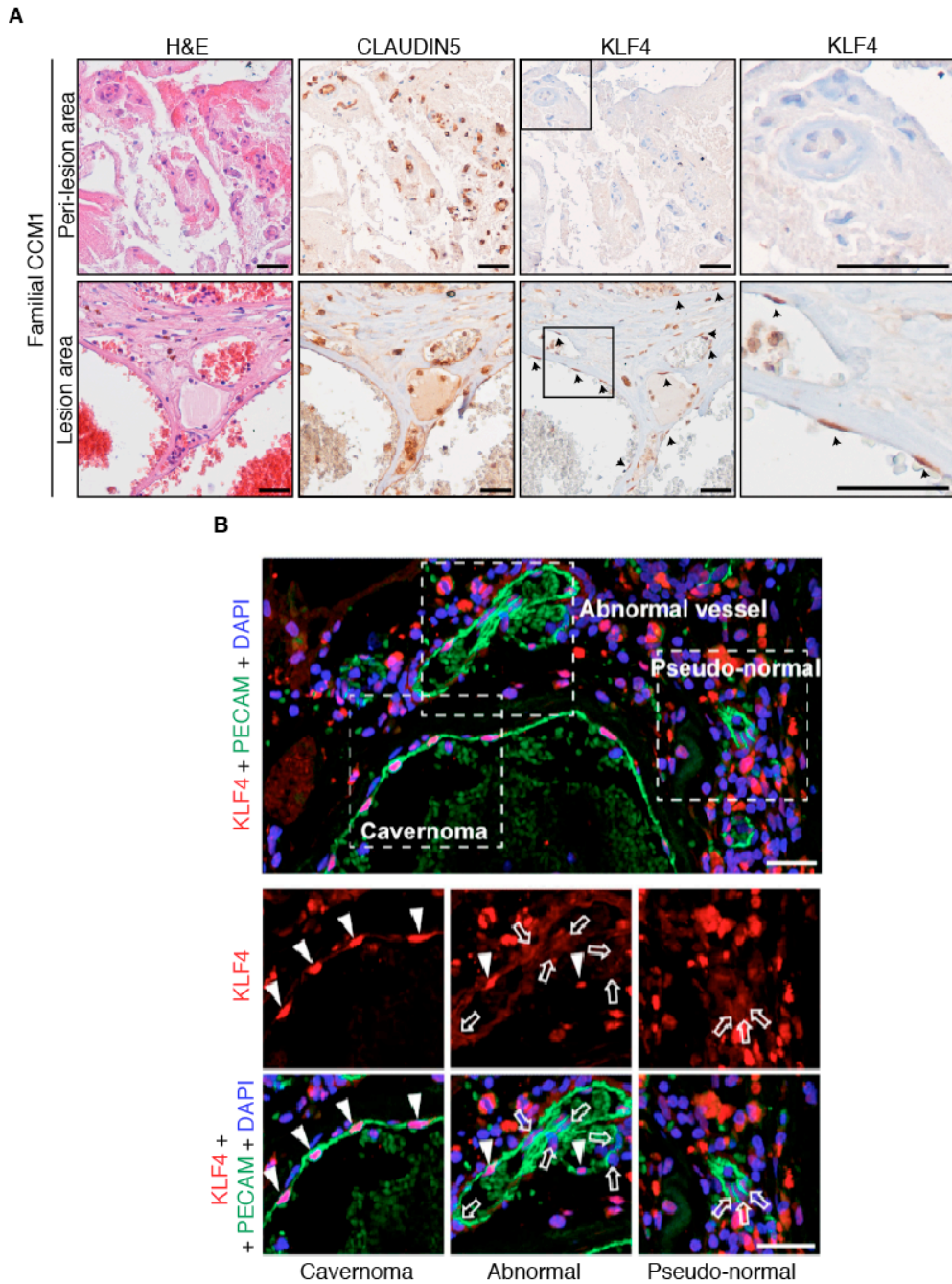


Figure 37 - The increase of KLF4 in ECs lining the cavernomas is observed in familial and sporadic CCM patients.

A) Immunohistochemical analysis performed on serial sections of brain tissue derived from a familial CCM1 patient. Hematoxylin and Eosin (left panels), CLAUDIN5 (central panels) and KLF4 (right panels) stainings were performed in brain lesion and normal peri-lesion vessels as control brain tissue. Higher magnification images of KLF4 staining of the boxed regions are shown. CLAUDIN5 identifies ECs and arrowheads mark endothelial KLF4 positive nuclei. Scale bar: 100 μ m. These data are representative of three independent observations. B) Immunofluorescence analysis of KLF4 expression (red) on sections of brain tissue derived from a sporadic CCM patient. Arrowheads indicate KLF4 positive EC nuclei; arrows highlight KLF4-

negative EC nuclei. Images are representative of three independent observations. Single staining for KLF4 of boxed areas is shown on the right. PECAM1 (green) marks endothelial cells. DAPI visualises cell nuclei. Arrows identify peri-lesion pseudo-normal vessels. Scale bar: 30 μm .

6.8 KLF4 is a causative factor for the development and progression of CCM lesions

In order to study the role of KLF4 in the development of CCM malformations, we generated both endothelial-specific tamoxifen-inducible *Ccm1* and *Klf4* double loss of function mice (EC-CCM1 KO KLF4 KO) and *Ccm1* loss of function mice heterozygous for *Klf4* (EC-CCM1 KO KLF4 HET)^{219, 260, 291}. As previously described, the newborn mice were tamoxifen-injected at P1 to induce expression of Cre-recombinase and endothelial-specific gene ablation. The pups were analyzed within two weeks upon gene recombination. *Ccm1* deletion was comparable in freshly isolated brain ECs derived from EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO animals at P12 (Figure 38). *Klf4* expression was almost completely abrogated in the double KO pups as verified by qRT-PCR (Figure 38) and immunofluorescence (Figure 39 B). EC-CCM1 KO KLF4 KO mice showed a macroscopic reduction in the number, size and extension of the CCM vascular malformations in the cerebellum (Figure 39 A-C). Quantification of the number of cavernomas of any size revealed a 70% reduction in EC-CCM1 KO KLF4 KO in comparison to EC-CCM1 mice (Figure 39 C). Very interestingly, abrogation of *Klf4* also reduced by 75% mouse mortality observed in *Ccm1* deficient pups (Figure 40). Notably, in EC-CCM1 KO KLF4 HET mice *Klf4* expression was almost comparable to WT mice as assessed by qRT-PCR while immunofluorescence analysis revealed that endothelial cells lining vascular lesions of EC-CCM1 KO KLF4 HET mice showed a marked KLF4 positivity comparable to the one observed in EC-CCM1 KO mice (Figure 38 and Figure 39 B). EC-CCM1 KO KLF4 HET animals display a milder phenotype characterized by both a partial reduction in lesion burden (that anyway lacked a proper quantification) and a 33% increase in overall mice survival, thus suggesting that the effect of KLF4 activity was gene dosage dependent (Figure 39 A-B and Figure 40).

Since EC-CCM1 KO animals developed vascular malformations also at the periphery of the retinal vascular plexus^{69, 291} (Figure 13 A and B), we then analyze the morphology of the retinal vessels by isolectin B4 staining upon *Klf4* gene deletion. Loss of

either one or both *Klf4* alleles significantly reduced both the area and the vascular density at the front of malformed *Ccm1* deficient retinal vessels (Figure 41 C and D), while the advancing of the vasculature across the vitreal surface (vascular progression) was not modified by the absence of KLF4 (Figure 41 E). To date no studies in literature analyzed the retinal vascular plexus upon endothelial-specific *Klf4* gene deletion. To this purpose we generated inducible endothelial-specific KLF4 KO (EC-KLF4 KO) mice by crossing tamoxifen-inducible *Cdh5(PAC)*-CreERT2 and *Klf4* floxed/floxed transgenic mice. The newborn mice were tamoxifen-injected at P1 and then analyzed at P6, a stage during which endothelial cells are actively expanding across the superficial layer of the retina ²⁹². As shown in Figure 42, abrogation of *Klf4* expression *per se* did not significantly alter the vasculature in term of vascular progression, number of branching points and tip cells at the leading edge of the plexus.

In conclusion, our data indicate that KLF4 was strongly required for the development and progression of brain and retina cavernomas in the absence of *Ccm1*.

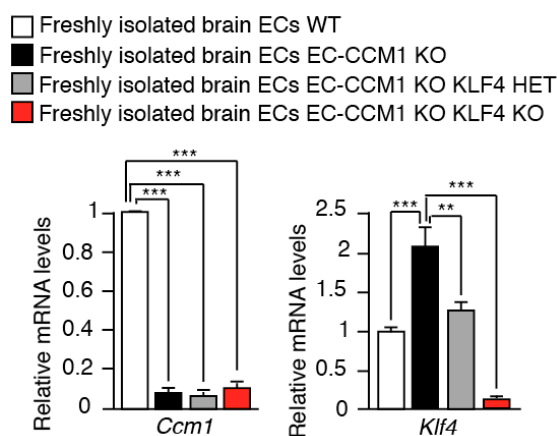


Figure 38 - Recombination efficiency of *Ccm1* and *Klf4* in the brain vasculature of EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO mice.

qRT-PCR of both *Ccm1* and *Klf4* performed in freshly isolated brain ECs derived by WT, EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO pups at P12. Data are mean \pm SD (n=3 for each genotype). Fold difference in gene expression are relative to WT mice. *P<0.05; ** P<0.01; ***P<0.001.

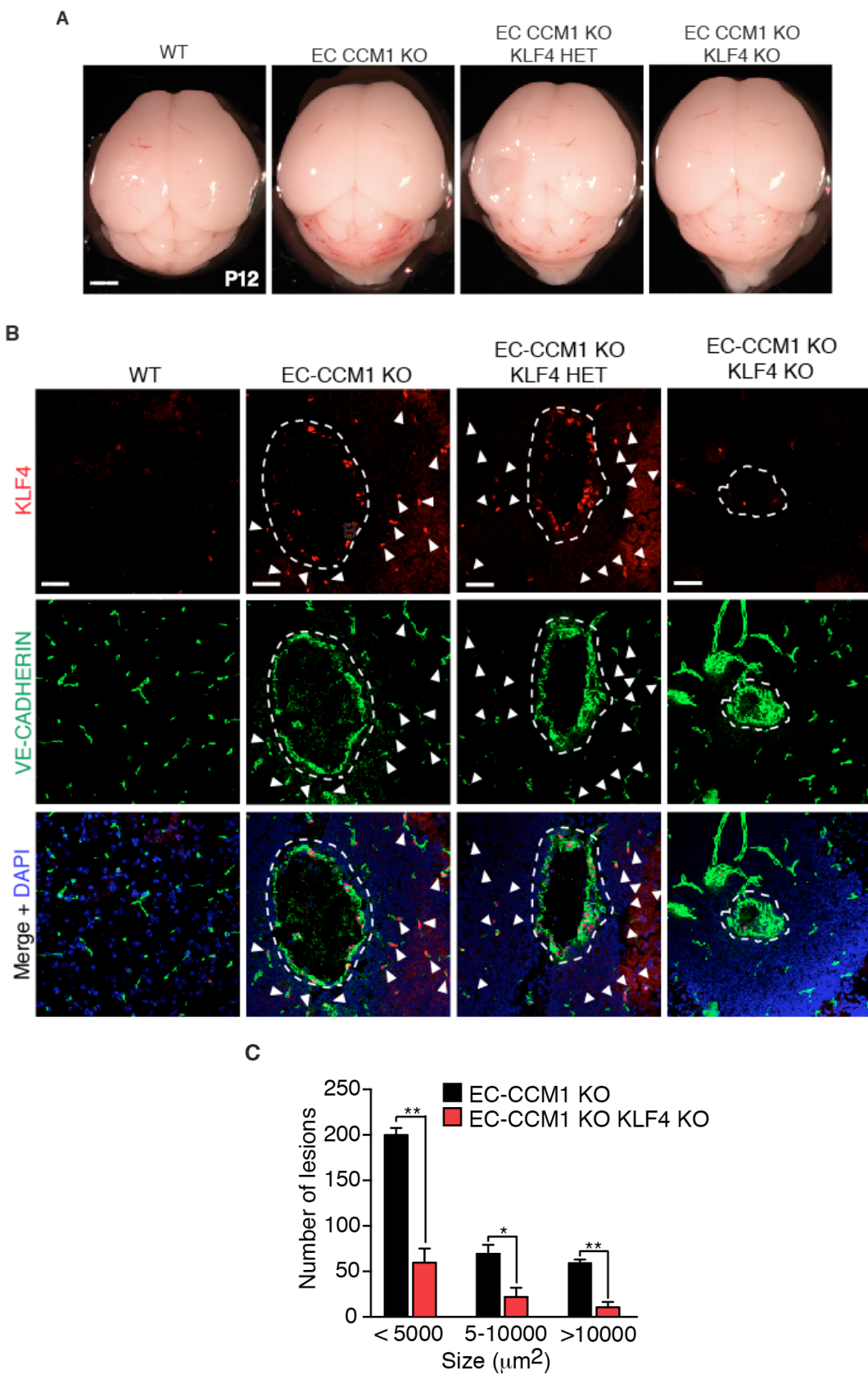


Figure 39 - KLF4 is determinant for CCM development and progression *in vivo*.

A) Representative images of WT, EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO mouse brains at P12 (n=5 for each genotype). Scale bar: 500 μm . B) Representative confocal analysis of VE-CADHERIN (green) and KLF4 (red) in vascular lesions (dotted area) and pseudo-normal cerebellar vessels

(white arrowheads) of WT, EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO (n=4 in each group). VE-CADHERIN identifies ECs; DAPI visualizes nuclei. Scale bar: 50 μ m. C) Quantification of number and size of vascular lesions in the cerebellum of both EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice at P12. Columns represent means \pm SD (n=4 for each genotype from 2 litters). *P<0.05; ** P<0.01.

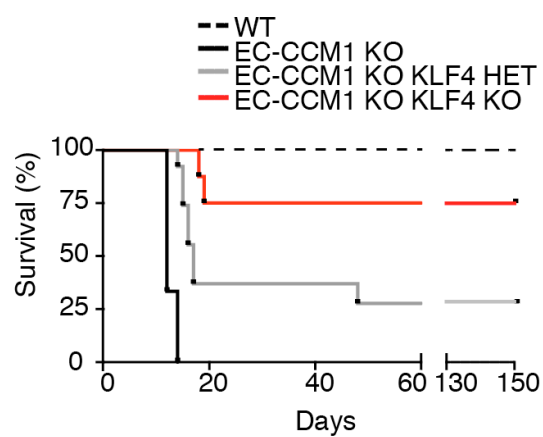


Figure 40 - Loss of *Klf4* stringly increases survival in *Ccm1* null mice.

Kaplan–Meier survival curve of WT, EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO mice (n=8 for each group). P<0.001.

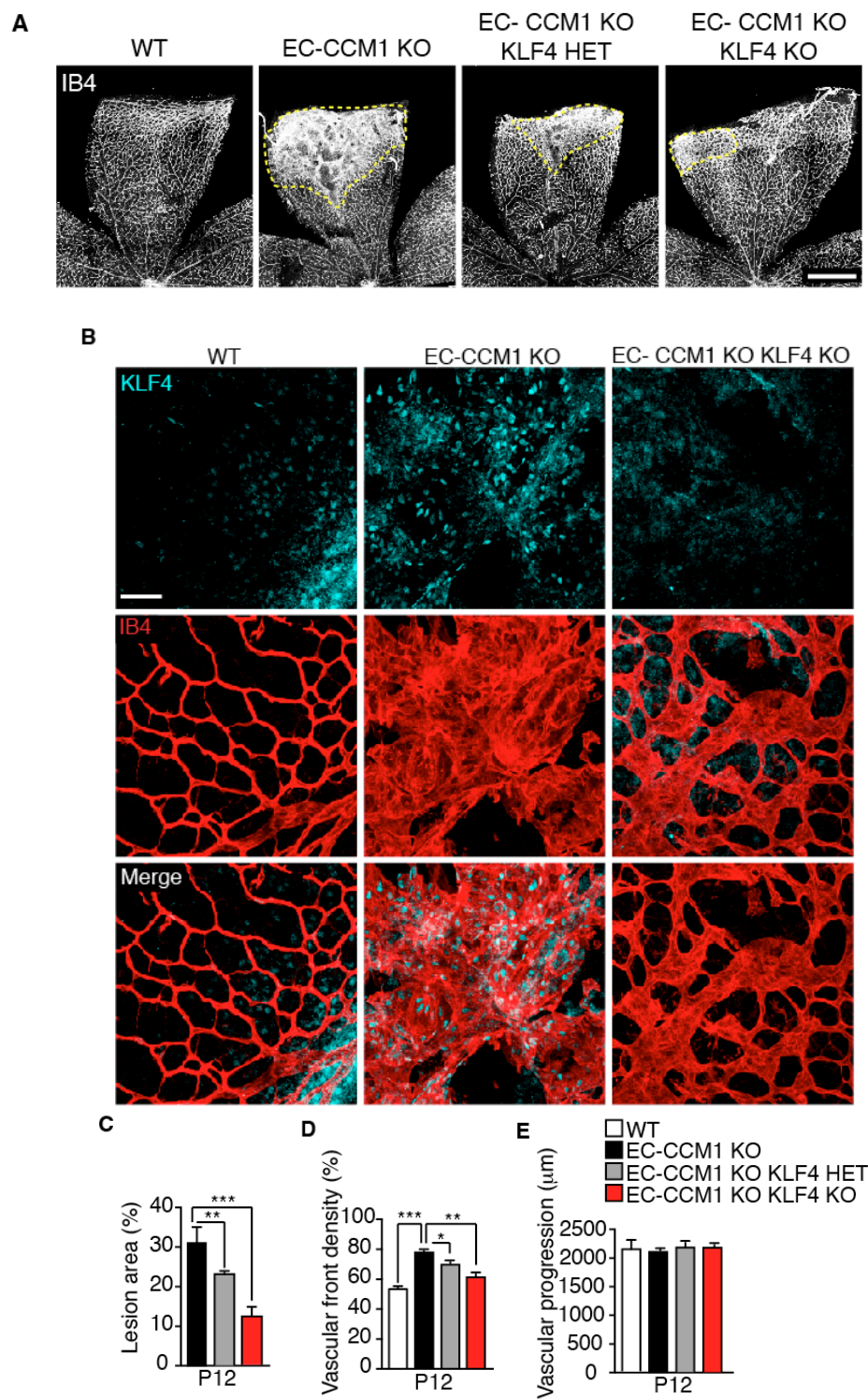


Figure 41 - *Klf4* is critical for cavernoma development in the retina in the absence of *Ccm1*.

A) Isolectin B4 staining (IB4, used to identify vasculature) on WT, EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO retinas at P12. Dotted area highlights macroscopic differences in the extension of CCM lesion area between EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO mice. Images are representative of 5 mice for each genotype. B) Representative immunostaining (one out

of three performed) for KLF4 (light blue) in the retinæ of WT, EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice. Vasculature at the periphery of the retina is shown after isolectin B4 staining (red). C-E) Quantification of several vascular parameters in retinæ from WT, EC-CCM1 KO, EC-CCM1 KO KLF4 HET and EC-CCM1 KO KLF4 KO mice at P12. Data are mean \pm SD (n=5 for each genotype from 3 different litters). C) Percentage of retinal area covered by vascular lesions. D) Vascular front density at the leading edge of the plexus. E) Average distance covered by the growing vessels measured as vascular progression. Scale bar: 500 μ m (A), 60 μ m (B). **P<0.01, ***P<0.001

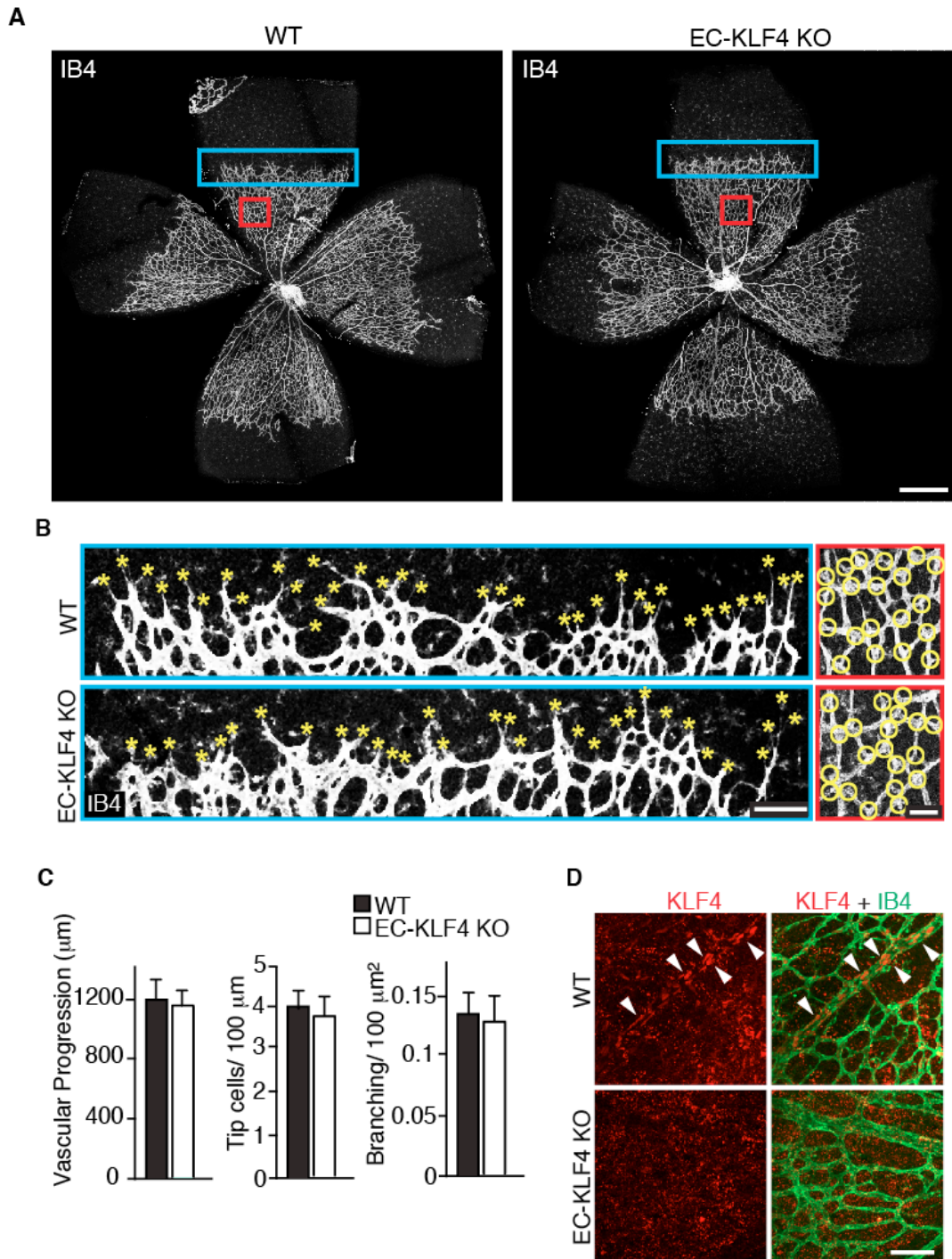


Figure 42 - The vasculature of the retina is not altered in the absence of KLF4.

A) Isolectin B4 staining (IB4, used to identify vasculature) on WT and EC-KLF4 KO retinas at P6. Images are representative of 5 mice for each genotype. Scale bar: 300 μm . B-C) Quantification of several vascular parameters (vascular progression, number of tip cells and branching points) in the retinas from WT and EC-KLF4 KO mice at P6. Data are mean \pm SD (n=5 for each genotype from 3 different litters). B) Higher magnification pictures of the blue and red boxed areas shown in A and used as representative images for quantification of both number of tip cells (indicated by yellow asterisks) and branching points (indicated by

yellow circles) in the retinal vasculature of WT and EC-KLF4 KO animals. Scale bars: 30 μm (blue boxes) and 20 μm (red boxes). C) Quantification of the average distance covered by the growing vessels measured as vascular progression (left panel), number of tip cells in 100 μm at the leading edge of the plexus (middle panel) and number of branchings in 100 μm^2 area (right panel). D) Representative immunostaining (one out of three performed) for KLF4 (red) in the retinae of WT and EC-KLF4 KO mice to show gene ablation. Vasculature of the retina is stained with IB4 (green). Scale bar: 40 μm .

6.9 KLF4 induces EndMT in CCM1 null ECs *in vitro*

Endothelial-specific deletion of *Ccm1* gene induces EndMT switch in ECs lining the cavernomas²⁹¹. To investigate whether KLF4 had a key role in this process we stably transduced lung derived WT and CCM1 KO ECs with either a lentiviral vector expressing a short hairpin RNA directed to *Klf4* (shKLF4) or with a lentiviral vector expressing a non-targeting shRNA as control (shCTRL). As depicted in Figure 43, we achieved a 65% knockdown, restoring *Klf4* mRNA and protein level similar to WT ECs (Figure 43 A and B). *Klf4* silencing strongly attenuates the expression of mesenchymal and stem cell markers upregulated in CCM1 KO ECs (Figure 43 A and B), but it was ineffective in restoring the expression of the endothelial junction molecules *VE-Cadherin* and *Claudin5* (Figure 43 A and B).

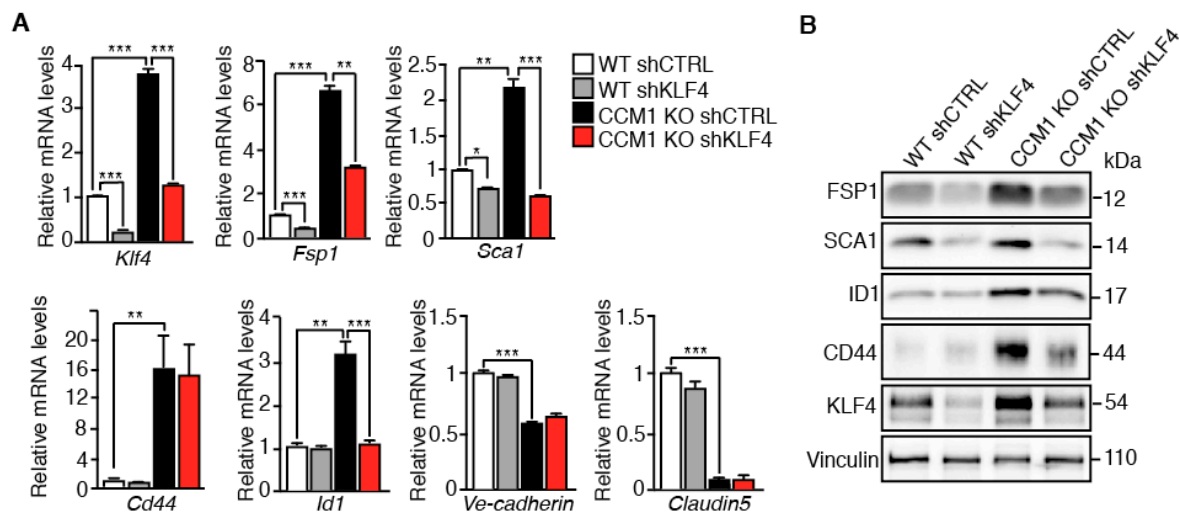


Figure 43 - *Klf4* silencing inhibits EndMT marker expression in CCM1 KO lung derived ECs.

A-B) WT and CCM1 KO ECs were lentiviral transduced with shRNA directed to either *Klf4* (shKLF4) or control sequence (shCTRL). A) qRT-PCR of mesenchymal (*Fsp1*, *Id1*), stem cell-like (*Sca1*) and endothelial markers (*VE-cadherin* and *Claudin5*) in WT shCTRL, WT shKLF4, CCM1 KO shCTRL and CCM1 KO shKLF4 ECs. Data are mean \pm SD (n=3). Fold difference in gene expression are relative to WT shCTRL ECs. *P<0.05; **P<0.01; ***P<0.001 B) WB of EndMT markers in WT shCTRL, WT shKLF4, CCM1 KO shCTRL and CCM1 KO shKLF4 ECs. Vinculin was the loading control. These data are representative of three independent observations.

As previously mentioned cell-transition to a mesenchymal phenotype in CCM1 KO ECs is accompanied by increased proliferation and migratory capacity^{105,291}. Interestingly we found that *Klf4* down-regulation in cultured CCM1 KO ECs inhibited ECs proliferation (Figure 44) and migration (Figure 45). Interestingly, in line with what previously reported by Hale et al.²³², *Klf4* silencing impairs proliferation also in WT ECs (Figure 44).

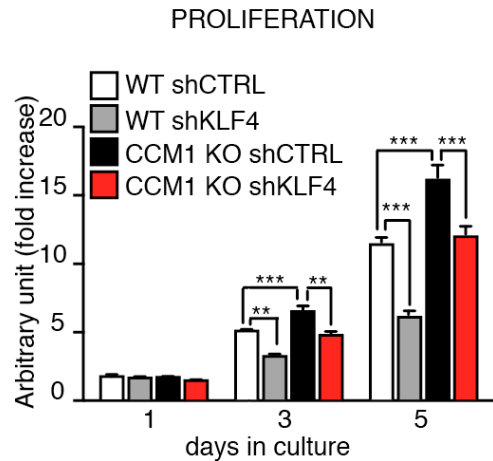


Figure 44 - Klf4 silencing decreases the proliferation rate of both lung derived WT and CCM1 KO ECs.

Proliferation rate of WT shCTRL, WTshKLF4, CCM1 KO shCTRL and CCM1 KO shKLF4 ECs cultured for 5 days. Columns represent mean \pm SD (n=8). *P<0.05, **P<0.01, ***P<0.001

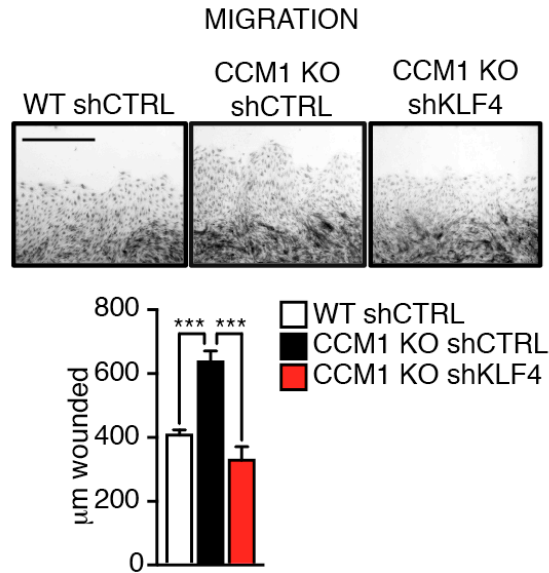


Figure 45 - KLF4 silencing affects lung derived CCM1 KO ECs migration.

Migration rate, measured in a wound assay, of WT shCTRL, CCM1 KO shCTRL and CCM1 KO shKLF4 ECs. Mean \pm SD is graphed (n=6). Scale bar: 500 μ m*P< 0.05, **P<0.01,***P<0.001

To further confirm these data in a more reliable cellular model and to understand whether loss of *Klf4* expression was able to prevent the EndMT process in CCM1 null ECs, we concomitantly deleted *Ccm1* and *Klf4* expression in primary brain derived ECs. Cells were isolated from brain of WT, *Ccm1* floxed/floxed and double *Ccm1-Klf4* floxed/floxed mice and treated with TAT Cre-recombinase *in vitro* to induce recombination of LoxP-site flanked genes (Figure 46 and 47). *Ccm1* deletion was comparable between CCM1 KO and CCM1-KLF4 KO BMECs (Figure 46 A) while, as expected, KLF4 expression was increased in CCM1 KO BMECs and almost abrogated in the double CCM1-KLF4 KO BMECs. Loss of KLF4 prevented the increased expression of mesenchymal and stem cell markers observed in the absence of *Ccm1* as verified by qRT-PCR (Figure 46 A), WB (Figure 46 B) and immunofluorescence analysis (Figure 46 C). Also in this experimental, VE-CADHERIN organization at junctions was not affected by KLF4 depletion (Figure 47), thus suggesting that KLF4 was not involved in junctional disorganization observed in CCM1 null ECs.

To investigate whether KLF4 is sufficient to promote EndMT we infected WT ECs in culture with a lentiviral vector expressing *Klf4* (LentiKLF4) or an empty vector (Mock) as control, achieving a 3-fold *Klf4* induction (Figure 48), a level of upregulation comparable to that observed in CCM1 KO ECs. KLF4 upregulation in WT ECs increased EndMT markers at both mRNA (Figure 48, left panel) and protein level (Figure 48, right panel), whereas it did not affect the expression of the endothelial junction molecules as *VE-cadherin* and *Claudin5* (Figure 48, left panel), confirming that KLF4 did not seem to be involved in junction organization.

Taken together, loss and gain of function approaches showed that KLF4 was necessary to induce EndMT in CCM1 null ECs *in vitro*.

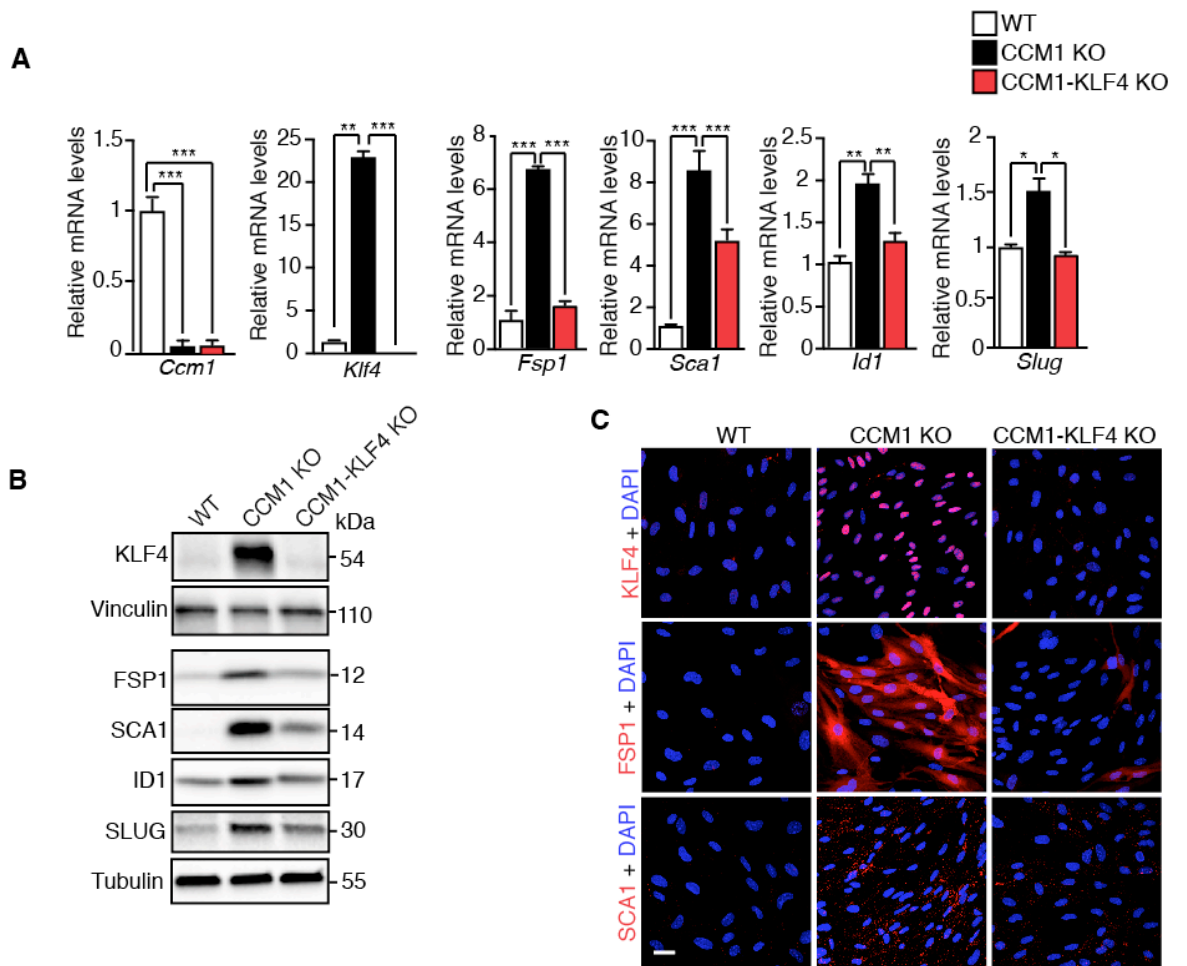


Figure 46 - Loss of KLF4 prevents the EndMT switch induced by the loss of *Ccm1* in BMECs.

A) qRT-PCR of *Ccm1*, *Klf4* and several EndMT markers after *in vitro* TAT-Cre recombinase treatment of BMECs from WT, *Ccm1* floxed/floxed and *Ccm1-Klf4* floxed/floxed mice to originate cultured WT, CCM1 KO and CCM1-KLF4 KO primary brain ECs, respectively. Data are presented as mean \pm SD (n=3). Fold changes are relative to WT BMECs. B) WB of KLF4 and EndMT markers in WT, CCM1 KO and CCM1-KLF4 KO BMECs. Tubulin was the loading control. These data are representative of three independent observations. C) Representative confocal analysis (out of three performed) of KLF4, FSP1 and SCA1 (red) in WT, CCM1 KO and CCM1-KLF4 KO BMECs obtained as in A. DAPI visualizes nuclei. Scale bar: 30 μ m.

*P< 0.05, **P<0.01, ***P<0.001

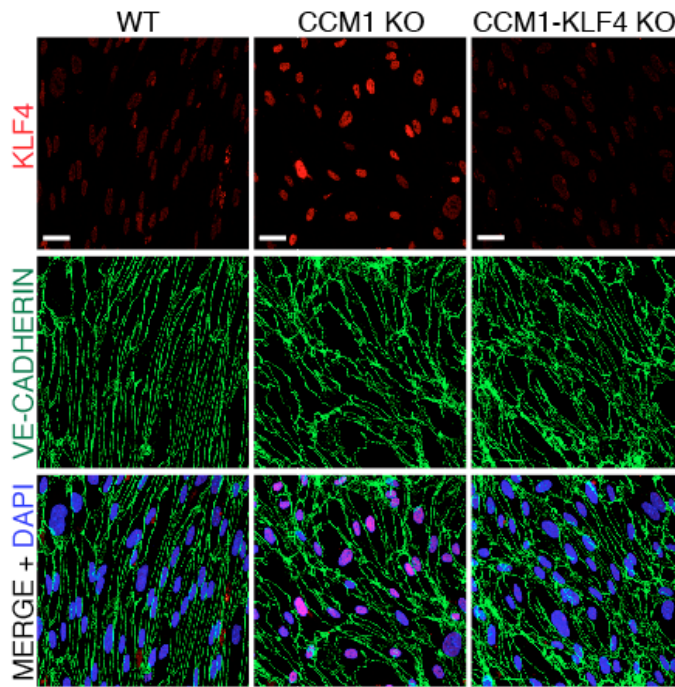


Figure 47 - *Klf4* gene ablation did not restore the proper VE-CADHERIN localization at the cell-to-cell contacts.

Representative confocal analysis (out of three performed) of KLF4 (red) and VE-CADHERIN (green) in WT, CCM1 KO and CCM1-KLF4 KO primary brain ECs obtained as in A. DAPI visualizes nuclei. Scale bar: 30 μm .

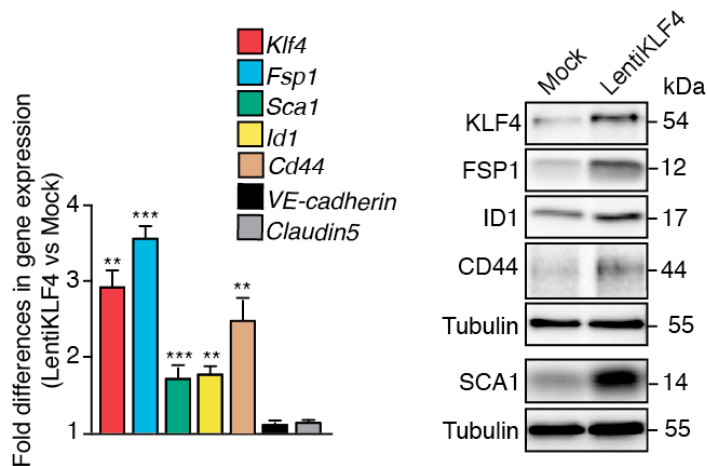


Figure 48 - KLF4 overexpression induces EndMT marker expression in WT ECs.

Cultured lung derived WT ECs were lentiviral transduced with a full length murine *Klf4* (LentiKLF4) or empty vector (Mock). qRT-PCR (left panel) and WB (right panel) of EndMT markers in Mock and LentiKLF4 ECs. qRT-PCR data are mean \pm SD (n=3) and the fold changes are relative to Mock ECs. WB results are representative of three independent observations. Tubulin was the loading control. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$

6.10 KLF4 induces EndMT in CCM1 null ECs *in vivo* in a context dependent manner

To investigate whether the absence of KLF4 was able to prevent EndMT switch ongoing in the absence of CCM1 *in vivo* ²⁹¹, we analyzed the expression of a number of mesenchymal and stem-cell markers both in normal cerebellar vessels of WT mice and vascular lesions of EC-CCM1 KO and EC-CCM1 KO KLF4 KO animals. Unlike EC-CCM1 KO mice, immunofluorescence analysis revealed that EC-CCM1 KO KLF4 KO animals developed a limited number of vascular lesions, which were smaller in size and weakly positive for the expression of FSP1, SCA1 and ID1 (Figure 49). A strong reduction in EndMT marker expression was also confirmed *ex vivo* in freshly isolated brain derived ECs from EC-CCM1 KO KLF4 KO mice compared to EC-CCM1 KO (Figure 50 A). Moreover qRT-PCR analysis of freshly isolated brain ECs from EC-KLF4 KO and WT mice showed that abrogation of *Klf4* expression *per se* resulted in reduction of both *Scal* and *Id1* expression, thus suggesting that KLF4 could modulate the transcription of some of EndMT markers *in vivo* also in normal conditions when *Ccm1* is not deleted (Figure 50 B). A residual amount of EndMT expression in the few small lesions developed in EC-CCM1 KO KLF4 KO mice might be ascribable to an incomplete KLF4 recombination. Notably, preliminary immunofluorescence analysis revealed that ECs positive for EndMT markers staining still retained KLF4 (Figure 51 A and B). In this regard, to understand whether KLF4 incomplete recombination is responsible for the development of lesions in EC-CCM1 KO KLF4 KO, it would be important in the future to quantify how many lesions in EC-CCM1 KO KLF4 KO mice still retain *Klf4* expression.

Intriguingly, the role of KLF4 as inducer of EndMT appears strongly cell-context dependent. CCM malformations at the periphery of the retina vasculature are restricted to veins and the surrounding capillaries ^{69, 92, 291}. By measuring KLF4 intensity in retinal endothelial cell nuclei we observed that KLF4 amount was higher in veins than arteries in both WT and EC-CCM1 KO mice and was further increased at the level of lesion area (Figure 52 A-C). To test whether a higher KLF4 expression correlated with an increased

amount of EndMT markers, we performed immunofluorescence staining also for ID1, FSP1 and SCA1 in retinae of WT and EC-CCM1 KO mice at P12. Accordingly, ID1 expression pattern in the retina correlated with KLF4 one (Figure 52 A, B and D), while FSP1 and SCA1 staining did not work properly in retina samples. However this analysis, even if partial, suggested that higher levels of KLF4 in veins may explain the venous origin of the cavernomas.

Moreover, in order to understand the role of KLF4 in the brain vasculature specificity of the disease, we compared freshly isolated endothelial cells derived from brain, lung, heart and spleen of WT and EC-CCM1 KO after gene recombination. In the absence of CCM1, KLF4 expression was almost equally increased in ECs of different origins while among all the EndMT markers analysed only *Scal* expression was increased also in spleen and in lung of EC-CCM1 KO mice, even if not at comparable levels to brain microvasculature. Since a full EndMT marker upregulation was detected only in brain ECs (Figure 53), it is likely that KLF4 upregulation may require a specific environment in order to induce the acquisition of a mesenchymal phenotype.

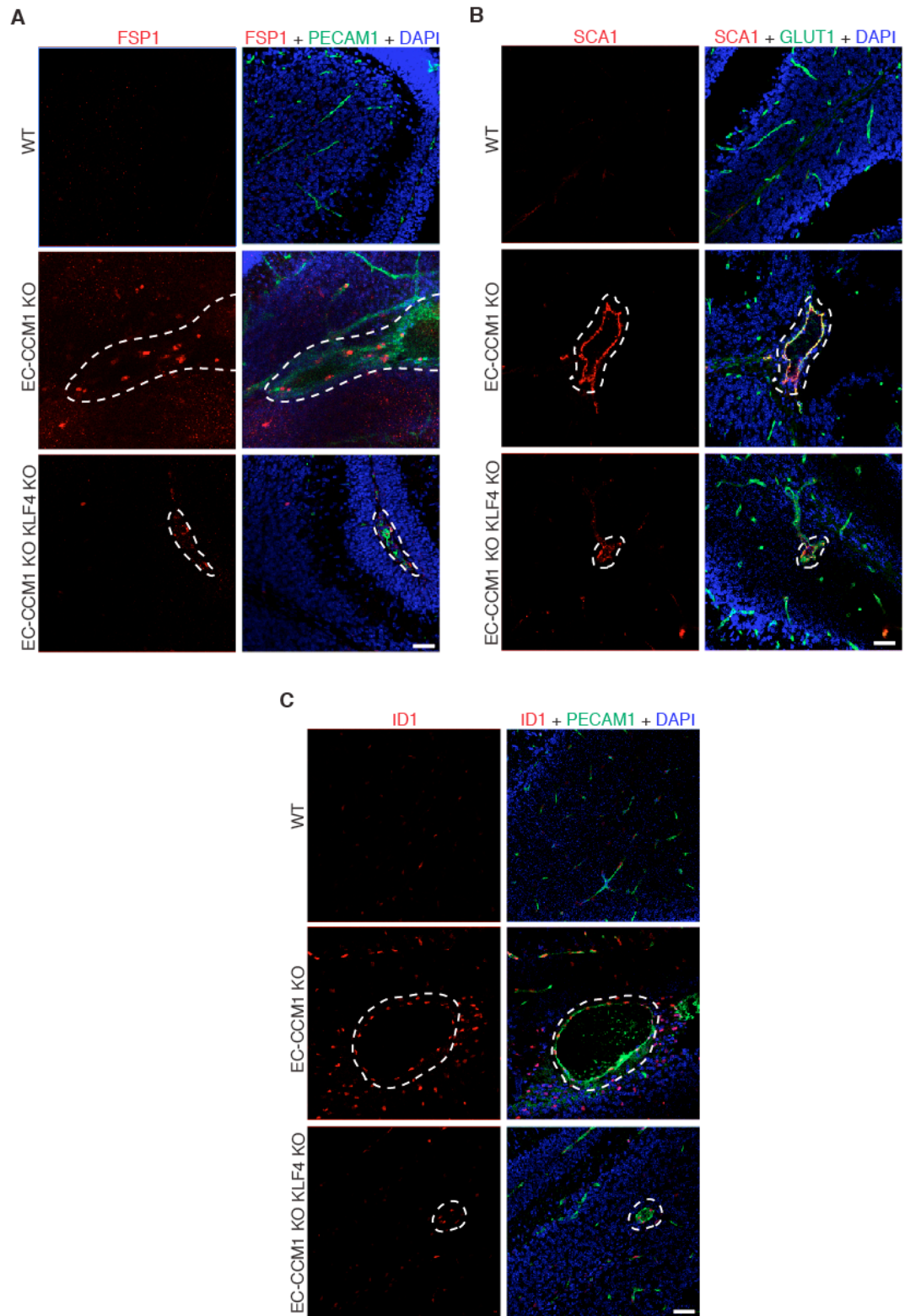


Figure 49 - EndMT marker expression is reduced in ECs lining the cavernomas in the absence of *Klf4*.

A) Representative confocal analysis of PECAM1 (green) and FSP1 (red) in normal cerebellar vessels of WT mice and vascular lesions (dotted area) of both EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice (n=4 in each group). PECAM1 identifies ECs; DAPI marks nuclei. B) Representative confocal analysis of GLUT1 (green) and SCA1 (red) in normal cerebellar vessels of WT mice and vascular lesions (dotted area) of both

EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice (n=4 in each group). GLUT1 identifies ECs; DAPI marks nuclei. C) Representative confocal analysis of PECAM (green) and ID1 (red) in normal cerebellar vessels of WT mice and vascular lesions (dotted area) of both EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice. PECAM1 identifies ECs; DAPI visualizes nuclei. Scale bars: 50 μ m (B, C, D).

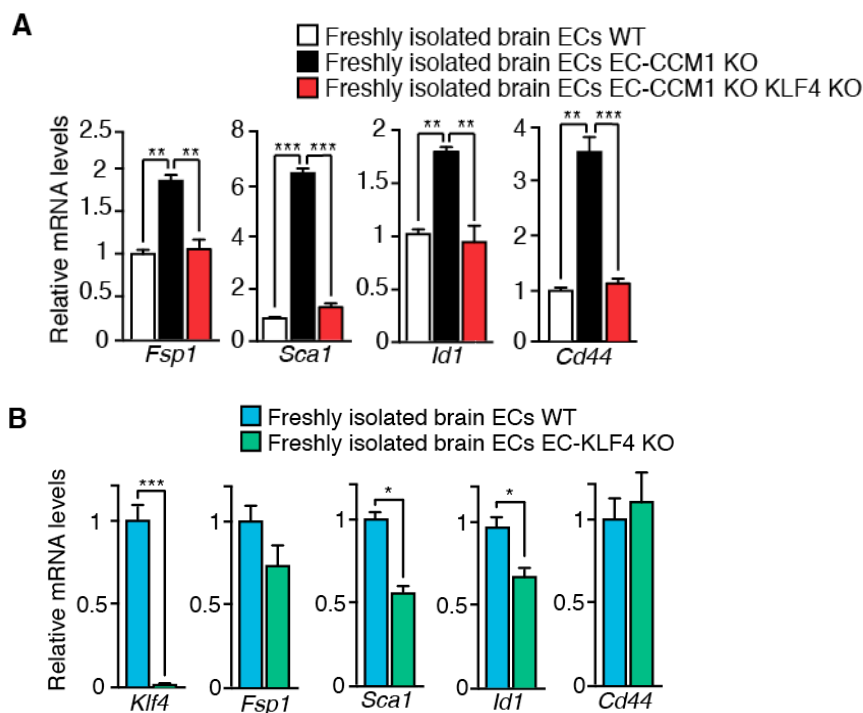


Figure 50 - EndMT markers gene expression analysis in freshly isolated brain ECs from mice WT or null for *Ccm1*, *Klf4* or both genes

A) qRT-PCR of some EndMT markers in freshly isolated brain ECs from WT, EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice analyzed at P12. Fold changes are relative to WT animals. Data are mean \pm SD (n=3 for each genotype). ** P < 0.01, ***P<0.001. B) qRT-PCR of some EndMT markers in freshly isolated brain ECs from WT and EC-KLF4 KO mice analyzed at P12. Fold changes are relative to WT animals. Data are mean \pm SD (n=3 for each genotype). * P < 0.05

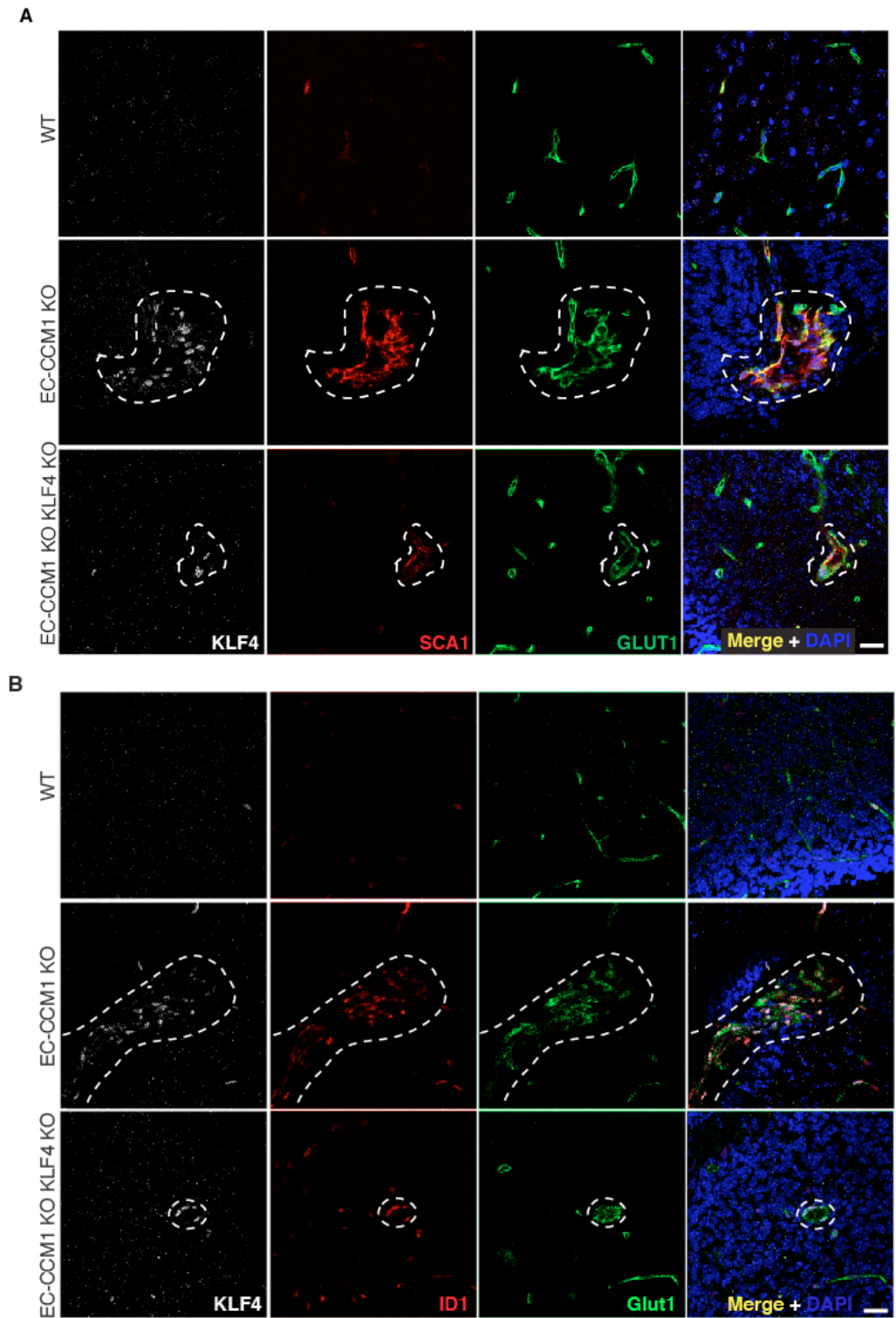


Figure 51 - Incomplete *Klf4* gene recombination in ECs lining the vascular lesions of EC-CCM1 KO *KLF4* KO mice.

A) Representative confocal analysis of *KLF4* (white), *SCA1* (red) and *GLUT1* (green) in normal cerebellar vessels of WT mice and vascular lesions (dotted area) of both EC-CCM1 KO and EC-CCM1 KO *KLF4* KO mice at p12 (n=4 for each genotype). B) Representative confocal analysis of *KLF4* (white), *ID1* (red) and *GLUT1* (green) in normal cerebellar vessels of WT mice and vascular lesions (dotted area) of both EC-

CCM1 KO and EC-CCM1 KO KLF4 KO mice at p12 (n=4 for each genotype). GLUT1 marks endothelial cells while DAPI visualized nuclei. Scale bar: 20μm

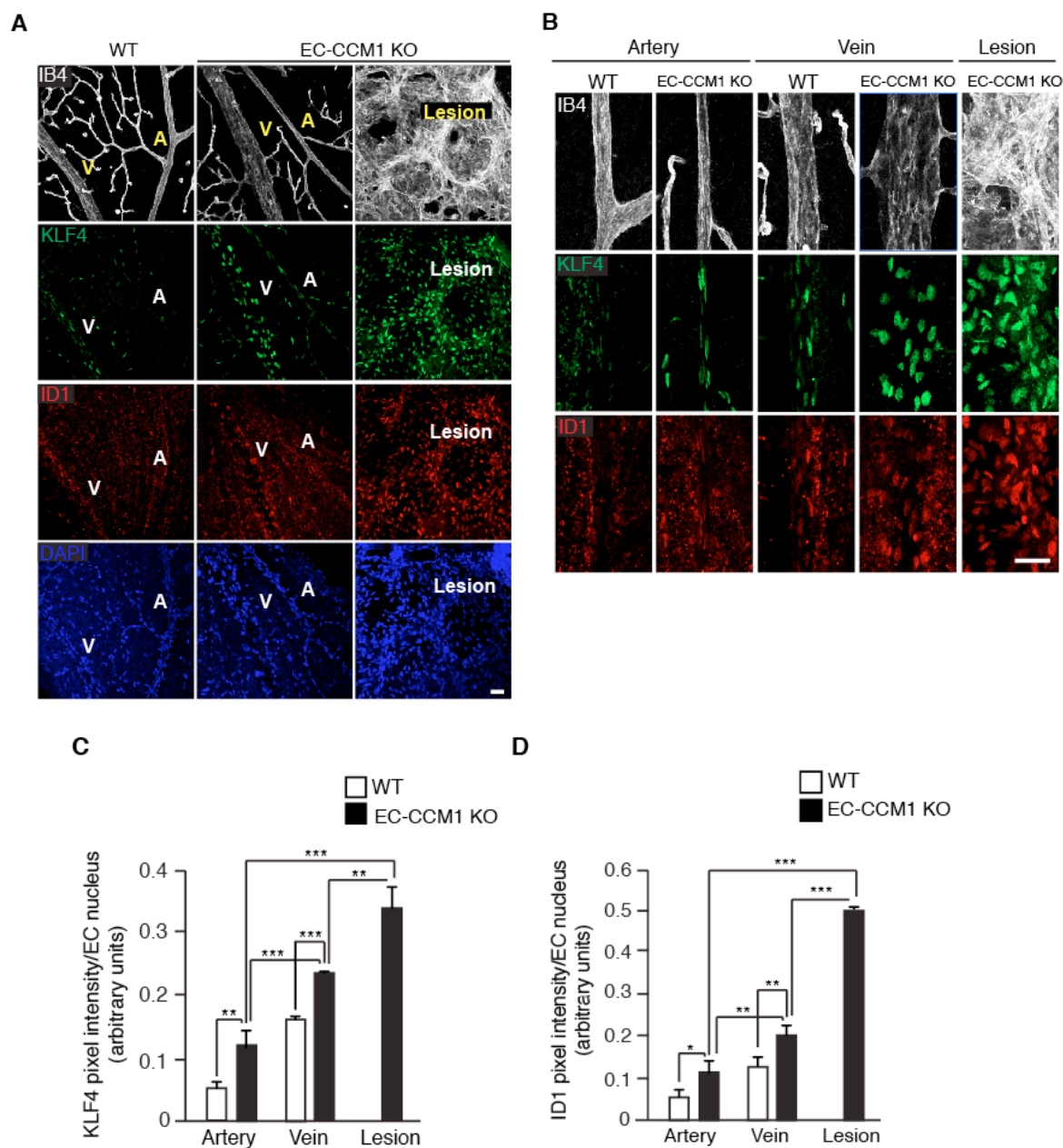


Figure 52 - KLF4 amount is higher in veins than in arteries of the retinal vasculature in both WT and EC-CCM1 KO mice.

A) Confocal analysis of isolectin B4 (IB4, white), KLF4 (green) and ID1 (red) in the retinæ of WT and EC-CCM1 KO mice at p12. A:artery; V:vein. IB4 identifies the vasculature; DAPI visualizes nuclei. Images are representative of three mice for each genotype. Scale bar: 30 μm. B) Higher magnification images of IB4 (white), KLF4 (green) and ID1 (red) in artery, vein and lesion of WT and EC-CCM1 KO mice retinæ at p12.

Scale bar: 30 μ m. C) Quantification of the KLF4 pixel intensity per endothelial nucleus in retinal arteries, veins and lesions of WT and EC-CCM1 KO mice. Co-localization highlighter plug in of Image J has been used to identify KLF4 positive pixels co-localizing with DAPI (to exclude background). Mean intensity of co-localizing pixel per field has been calculated through Image J and normalized on the number of endothelial nuclei. Three different fields for three different samples for each group (arteries, veins and lesions) have been analysed. D) Quantification of the ID1 pixel intensity per endothelial nucleus in retinal arteries, veins and lesion of WT and EC-CCM1 KO mice performed as described in C. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$

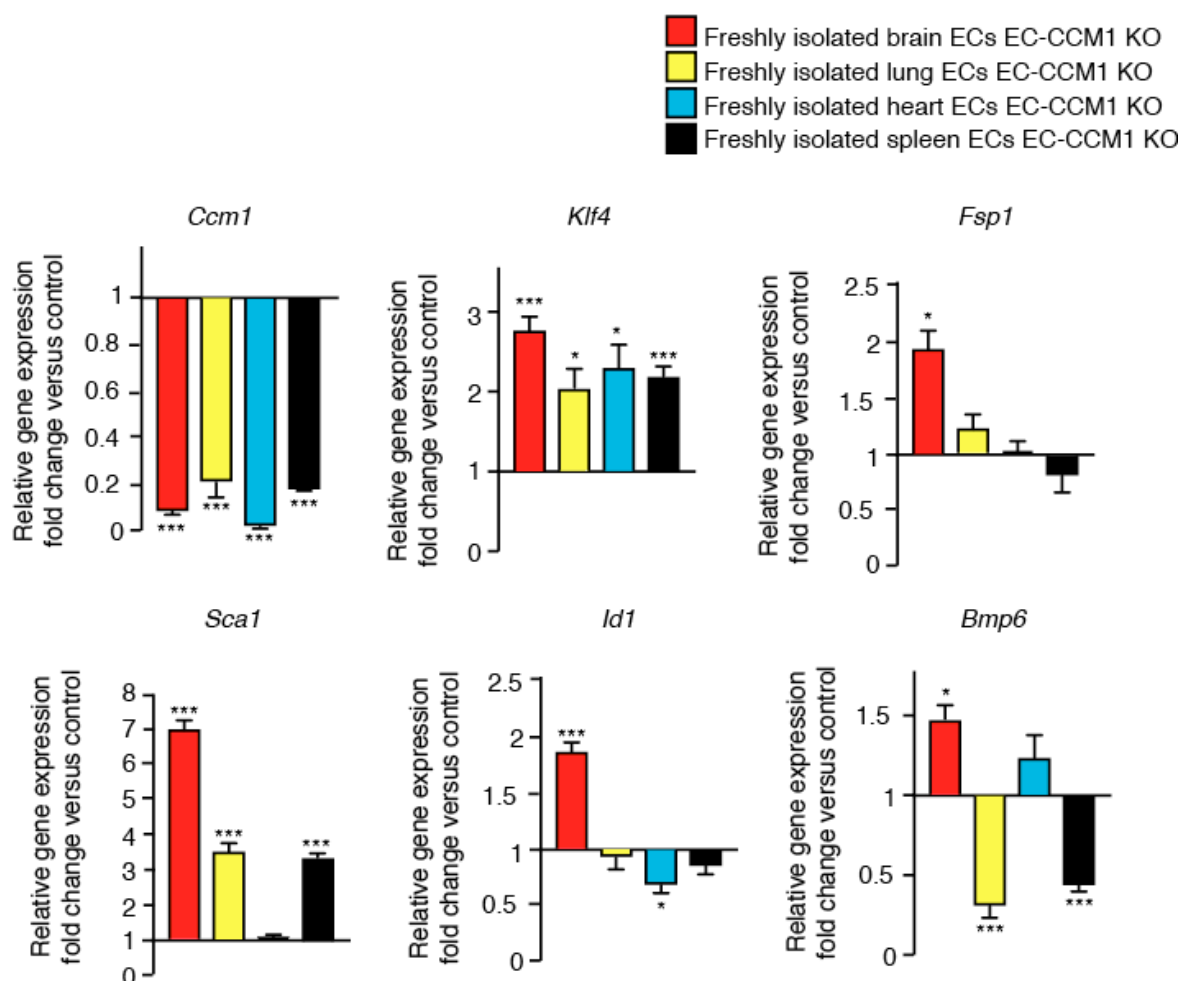


Figure 53 - EndMT occurs specifically in the brain vasculature of EC-CCM1 KO mice.

qRT-PCR analysis of *Ccm1*, *Klf4*, *Fsp1*, *Sca1*, *Id1* and *Bmp6* in freshly isolated ECs from brain, lung, heart and spleen of WT and EC-CCM1 KO mice at P12. Fold changes are relative to matched WT animals. Data are expressed as fold change versus control WT animals and are means \pm SD from at least three mice for

group. The SD of values obtained in control mice did not exceed 10% of the mean. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$

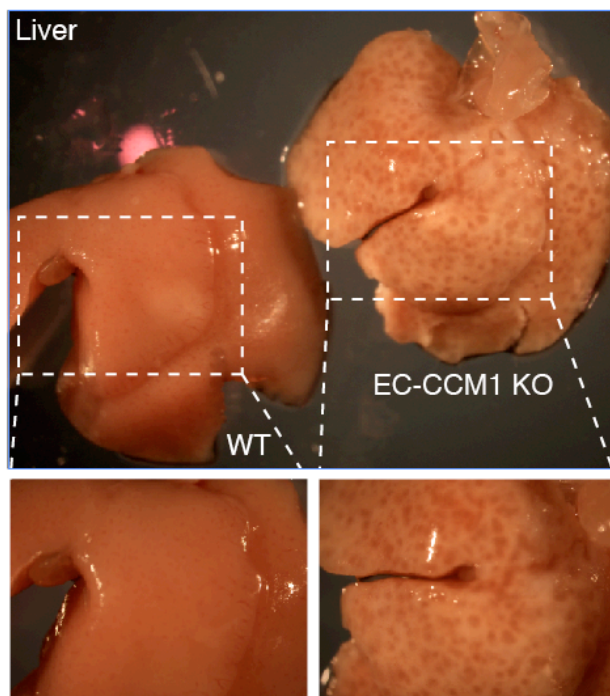


Figure 54 - Phenotype of livers in EC-CCM1 KO mice.

Representative images of WT and EC-CCM1 KO mouse livers at P12 (n=3 for each genotype).

To note, while harvesting different organs to perform fresh EC isolation in EC-CCM1 KO pups, we observed that all the organs appeared macroscopically normal with the exception of the liver which showed a pale colour with diffuse red spots on the surface (Figure 54). As liver angiomas were reported to be found in a low percentage of familial CCM patients ²⁹³, further experiments will be directed in the future to analyse this phenotype in CCM mouse model.

Taken together, these results showed that KLF4 upregulation was critical to promote the EndMT switch induced by CCM1 abrogation *in vivo*. However, the strength of this effect was context dependent being observed preferentially in the brain microvasculature.

6.11 KLF4 regulates BMP6-mediated signalling

TGF- β /BMP pathway is a major inducer of EndMT in CCM1 KO ECs ¹¹⁹. Upon loss of CCM1, endogenous *Bmp6* up-regulation in ECs leads to an increased SMAD phosphorylation resulting in EndMT marker acquisition ²⁹¹. We therefore asked whether KLF4 could promote the EndMT switch observed in CCM1 KO ECs by modulating TGF- β /BMP signalling. As shown in Figure 55, *Klf4* silencing decreased *Bmp6* expression together with a significant reduction in phosphorylated SMAD1 (pSMAD1) (Figure 56), that, as expected are strongly increased in CCM1 KO ECs shCTRL. The same data was confirmed in BMECs since *Bmp6* amount was reduced in CCM1-KLF4 KO in comparison to CCM1 KO ECs (Figure 57). Interestingly, immunohistochemical analysis of brain tissues confirmed BMP6 reduction *in vivo* in ECs lining the cavernomas of double EC-CCM1 KO KLF4 KO mice compared to EC-CCM1 KO animals (Figure 58).

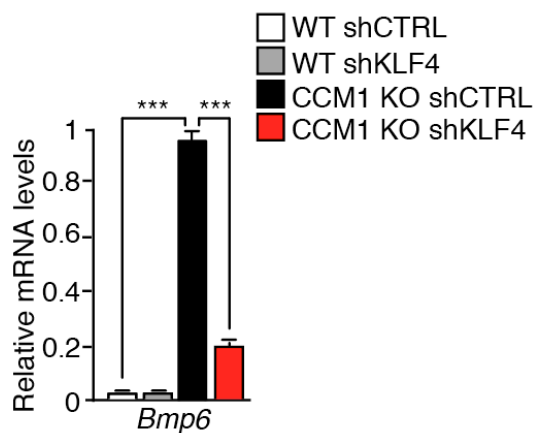


Figure 55 - *Klf4* silencing decreases *Bmp6* expression in CCM1 KO lung ECs.

qRT-PCR of *Bmp6* expression in WT shCTRL, WT shKLF4, CCM1 KO shCTRL and CCM1 KO shKLF4 cultured ECs. Data represent the mean \pm SD (n=3). Fold changes are relative to CCM1 KO shCTRL ECs.

***P<0.001

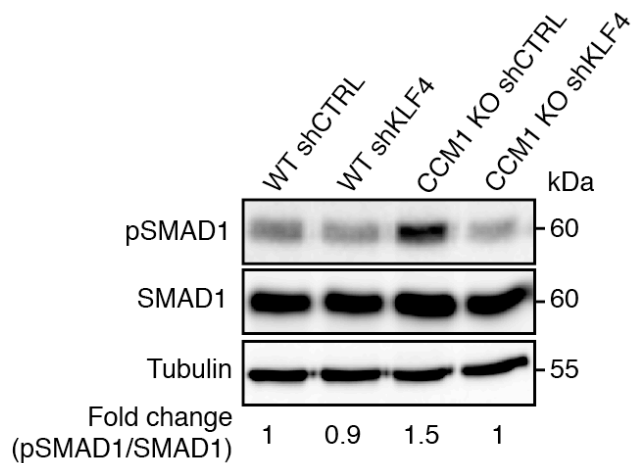


Figure 56 - *Klf4* silencing decreases SMAD1 phosphorylation in CCM1 KO lung ECs.

WB of pSMAD1 and SMAD1 in WT shCTRL, WT shKLF4, CCM1 KO shCTRL and CCM1 KO shKLF4 ECs. pSMAD1/SMAD1 ratio normalized over tubulin was quantified by densitometry scan. These data are representative of three independent observations.

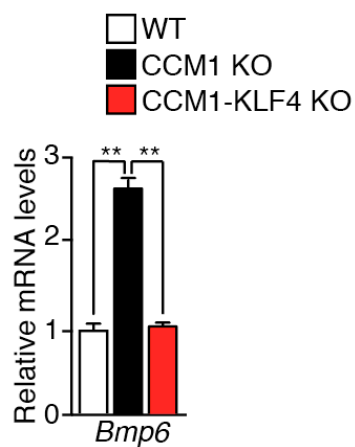


Figure 57 - *Klf4* increases *Bmp6* in CCM1 KO BMECs.

qRT-PCR of *Bmp6* in WT, CCM1 KO and CCM1-KLF4 KO BMECs. Data are mean \pm SD (n=3). Fold changes are relative to WT ECs. **P<0.01,

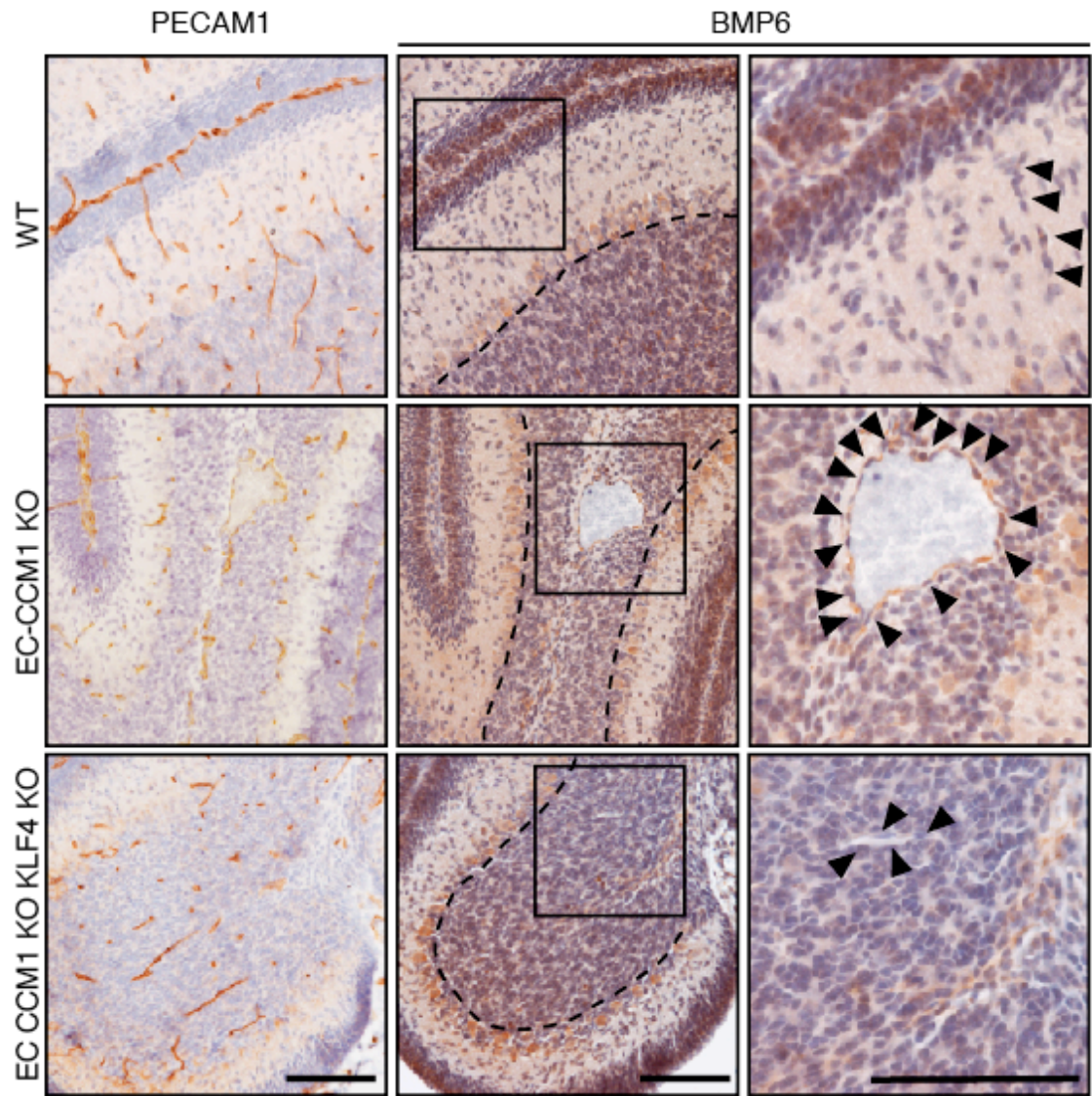


Figure 58 - Loss of endothelial *Klf4* decreases BMP6 amount *in vivo* in ECs lining the cavernomas in EC-CCM1 KO mice.

Immunohistochemical analysis of PECAM1 (left panels) and BMP6 (central panels) performed on serial sections of cerebellum derived from WT, EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice at P12. Higher magnification images of the boxed regions are shown in the right panels. Black arrowheads mark ECs, dotted area indicates the Purkinje cell layer used as positive control of the staining. Scale bar: 100 μ m. These data are representative of three independent observations (n=3 for each genotype).

To understand whether *Bmp6* is a direct transcriptional target of KLF4, we performed chromatin immunoprecipitation assay (ChIP). Eight putative KLF4 binding sites were identified within the murine *Bmp6* promoter region at -5.0KB and +1.0 KB from the transcription start site (tss). As depicted in Figure 59, KLF4 binding was enriched at the selected sites on *Bmp6* promoter in CCM1 KO ECs but not in WT cells, thus suggesting *Bmp6* as a KLF4 target gene in ECs. To demonstrate that the binding of KLF4 to *Bmp6* promoter is functionally active, we generated a *Bmp6* reporter construct by cloning the promoter region enriched in KLF4 binding sites upstream of the Luciferase cDNA of the PGL3 basic cloning vector (Figure 60). A transcriptional reporter assay was performed by co-transfecting the *Bmp6* reporter construct together with an expression plasmid of a full length KLF4 or a mutant KLF4 lacking the DNA-binding zinc finger domains (KLF4 Δ ZnF). We found that KLF4 induced *Bmp6* promoter activity and this effect was reduced when KLF4 binding to DNA was altered (Figure 60), thus suggesting that KLF4 actively regulated *Bmp6* transcription.

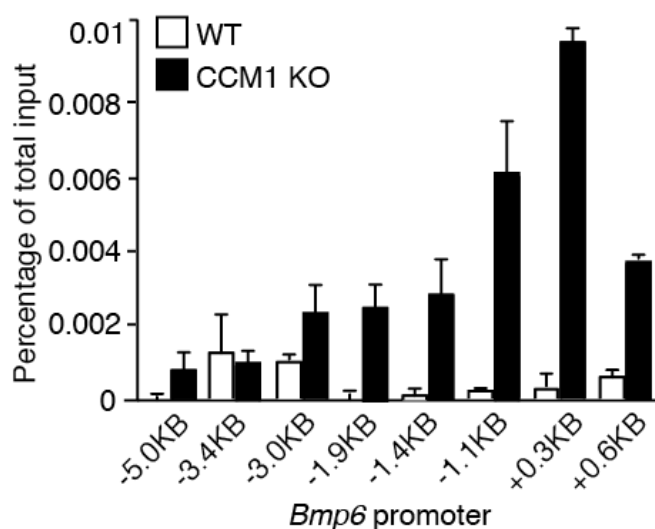


Figure 59 - KLF4 binds *Bmp6* promoter in CCM1 null ECs.

ChIP analysis of KLF4 binding to *Bmp6* promoter. Putative KLF4 binding sites identified by MatInspector are indicated. The levels of DNA are normalized to input. Columns are mean \pm SD of triplicates from a representative experiment out of three.

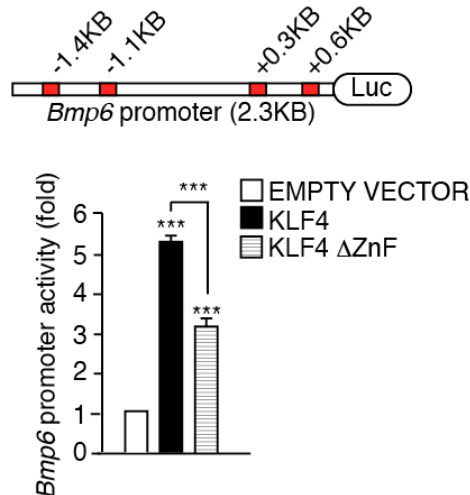


Figure 60 - KLF4 regulates *Bmp6* promoter activity.

Transcriptional reporter assay performed in HEK-293 cells transfected with *Bmp6* reporter plasmid together with an empty vector, a full length KLF4 or a mutant KLF4 lacking the DNA binding zinc finger domain (KLF4 ΔZnF). Red boxes in the picture indicate KLF4 binding sites validated by ChIP. Fold change in the *Bmp6* promoter activity are relative to empty vector transfected cells. Data are mean \pm SD (n=3). ***P<0.001

Furthermore, we confirmed the same results through a gain of function approach. Both *Bmp6* mRNA (Figure 61) and pSMAD1 amount (Figure 62) were upregulated in KLF4 overexpressing ECs (LENTIKLF4) in comparison to empty vector transduced cells (MOCK). Similarly ChIP analysis revealed enriched KLF4 binding to selected putative sites within *Bmp6* promoter region in LENTIKLF4 ECs (Figure 63). To prove that increased SMAD1 phosphorylation and EndMT marker up-regulation were BMP6-dependent, we infected Mock and LENTIKLF4 ECs with a lentiviral vector expressing a short hairpin directed to *Bmp6* (shBMP6) or a control sequence (shCTRL) (Figure 64). Stable *Bmp6* silencing prevented the increase of both pSMAD1 (Figure 65 A) and EndMT markers induced by KLF4 in LentiKLF4 ECs (Figure 65 B).

Overall our results demonstrate that KLF4 was able to promote *Bmp6* expression that, in turn, contributed to the EndMT program of CCM1 null ECs.

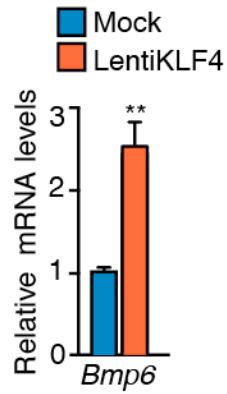


Figure 61 - KLF4 overexpression in WT ECs upregulates *Bmp6*.

qRT-PCR of *Bmp6* in Mock and LentiKLF4 cultured ECs. Data are mean \pm SD (n=3). Fold changes are relative to Mock ECs. **P<0.01

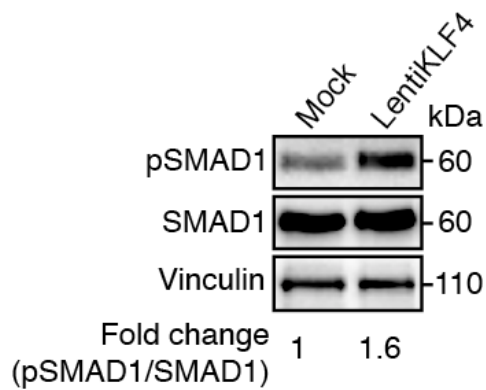


Figure 62 - KLF4 overexpression in WT ECs increases SMAD1 phosphorylation.

WB analysis of pSMAD1 and SMAD1 in Mock and LentiKLF4 ECs. Vinculin was used as loading control. pSMAD1/SMAD1 ratio normalized over vinculin was quantified by densitometry scan. These data are representative of three independent experiments.

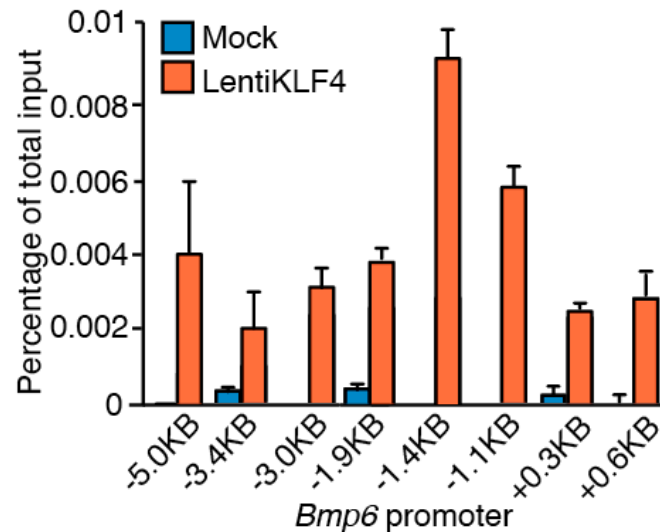


Figure 63 - KLF4 binds *Bmp6* promoter in KLF4 overexpressing cells.

ChIP of KLF4 interaction with *Bmp6* promoter in Mock and LentiKLF4 ECs. Putative KLF4 binding sites identified by MatInspector are indicated. The levels of DNA are normalized to input. Columns are mean \pm SD of triplicates from a representative experiment out of three.

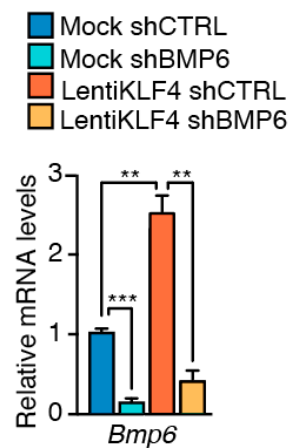


Figure 64 - Knockdown efficiency of *Bmp6* in Mock and LentiKLF4 ECs transduced with a lentiviral vector expressing a shRNA directed to *Bmp6*.

Mock and LentiKLF4 cultured ECs were lentiviral transduced with shRNA directed to either *Bmp6* (shBMP6) or control sequence (shCTRL). qRT-PCR analysis of *Bmp6* in Mock shCTRL, Mock shBMP6, LentiKLF4 shCTRL and LentiKLF4 shBMP6 ECs. qRT-PCR data represent the mean \pm SD (n=3) and the fold changes are relative to Mock shCTRL ECs. ***P<0.001, **P<0.01

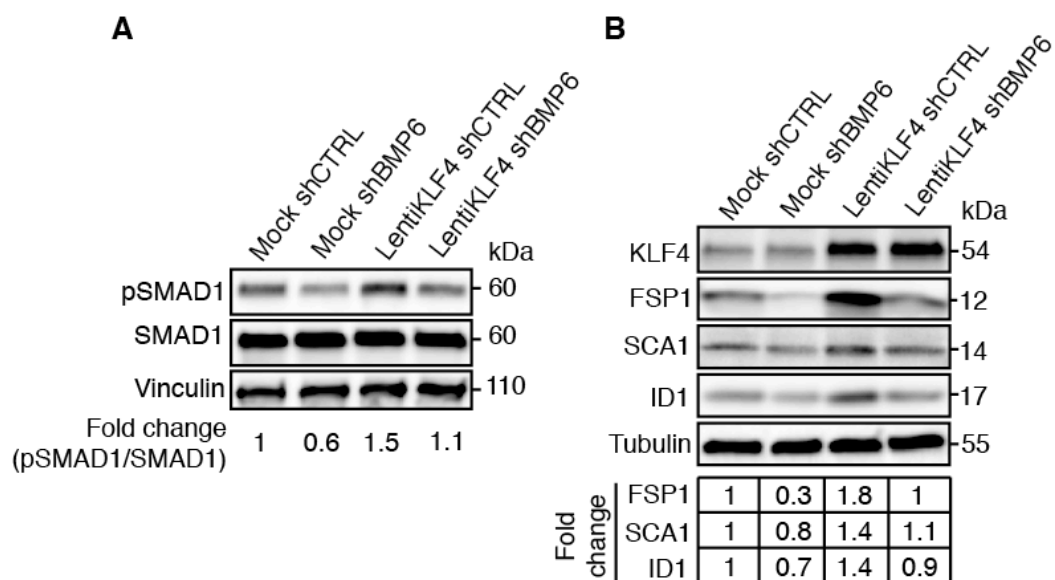


Figure 65 - Silencing of *Bmp6* in KLF4 overexpressing ECs decreases SMAD phosphorylation and EndMT marker expression.

Mock and LentiKLF4 ECs were lentiviral transduced with shRNA directed to either *Bmp6* (shBMP6) or control sequence (shCTRL). A) WB of pSMAD1 and SMAD1 in Mock shCTRL, Mock shBMP6, LentiKLF4 shCTRL and LentiKLF4 shBMP6 ECs. Vinculin was used as loading control. pSMAD1/SMAD1 ratio normalized over vinculin was quantified by densitometry scan.. These WB data are representative of three independent observations. B) WB analysis of EndMT markers in Mock shCTRL, Mock shBMP6, LentiKLF4 shCTRL and LentiKLF4 shBMP6 ECs. Tubulin was the loading control. FSP1, SCA1 and ID1 fold changes were normalized over tubulin and quantified by densitometry scan. These WB data are representative of three independent observations.

6.12 KLF4 directly regulates the expression of some EndMT markers

We then investigated whether KLF4-induced EndMT was fully dependent on the upregulation of BMP6 or whether KLF4 could also have an independent and direct effect on EndMT marker expression. To this purpose we isolated ECs from lungs of KLF4 floxed/floxed mice, we immortalized them PmT antigen and then we recombined the gene through a treatment with TAT-Cre *in vitro* (Figure 66). WT and KLF4 KO ECs were stimulated with 100ng/ml of recombinant BMP6 for 96 hours and a number of representative mesenchymal and stem-cells markers were analyzed. Although the induction of SMAD1 phosphorylation was comparable in both cell type (Figure 67), BMP6-induced expression of *Fsp1* and *Scal*, but not *Id1*, was reduced in the absence of KLF4 (Figure 68), thus suggesting a direct contribution of KLF4 to the upregulation of some EndMT markers. Consistently with this hypothesis several consensus sequences for KLF4 were identified in the promoter region of murine *Fsp1*, *Scal* and *Id1*. ChIP analysis revealed KLF4 binding to both *Fsp1* (Figure 69 A) and *Scal* promoters (Figure 69 B), with the binding occurring mainly in the regions located at +0.1KB and +0.7 KB (*Fsp1*) and -0.1 KB and +0.4KB (*Scal*) from the tss, respectively. Conversely KLF4 binding to *Id1* promoter was barely detectable, suggesting that *Id1*, a *bona fide* BMP6 target gene²⁹⁴ was not directly regulated by KLF4 (Figure 69 C). Moreover, both *Fsp1* and *Scal* transcriptional reporters (generated by cloning the promoter regions enriched in KLF4 binding sites validated by ChIP upstream of the Luciferase cDNA into the PGL3 basic vector) were strongly induced by KLF4 in a DNA-binding dependent manner (Figure 70 A and B). Interestingly, regions bound by KLF4 in the *Fsp1* and *Scal* promoters are surrounded by canonical SMAD binding elements. SMADs bind weakly to the promoter of target genes²⁹⁵ and through the association with KLF4 this binding could be reinforced.

In conclusion, our results demonstrated that Klf4, besides modulating TGFb/BMP signalling pathway, could also regulate EndMT marker expression by directly binding to promoter regions of specific EndMT markers.

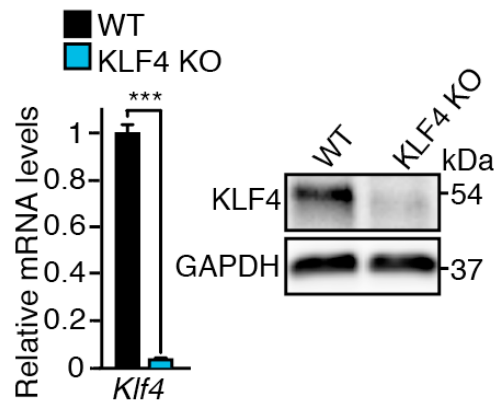


Figure 66 - Establishment of lung derived endothelial cell line KO for KLF4.

A) qRT-PCR (left panel) and WB (right panel) analysis of KLF4 in cultured lung WT and KLF4 KO ECs. qRT-PCR data represent the mean \pm SD (n=3) and the fold changes are relative to WT ECs. A two-tailed unpaired *t*-test was performed. ***P<0.001. GAPDH was the loading control in WB. These WB data are representative of three independent observations.

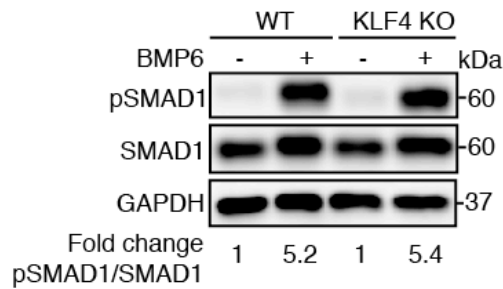


Figure 67 - Loss of KLF4 does not affect SMAD1 phosphorylation upon BMP6 stimulation.

WB analysis of pSMAD1 and SMAD1 in WT and KLF4 KO ECs left untreated or treated with recombinant BMP6 for 4 h. GAPDH was the loading control in WB. pSMAD1/SMAD1 ratio normalized over GAPDH was quantified by densitometry scan. These data are representative of three independent observations.

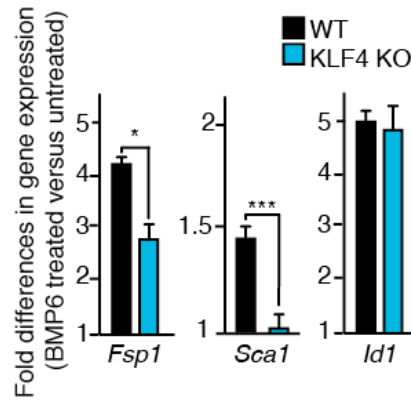


Figure 68 - BMP6-dependent *Fsp1* and *Sca1* induction is strongly reduced in KLF4 KO lung ECs.

qRT-PCR of *Fsp1*, *Sca1* and *Id1* in WT and KLF4 KO ECs stimulated with BMP6 for 96 h. qRT-PCR data are mean \pm SD (n=3). Fold changes in gene expression in BMP6-treated versus untreated ECs. *P<0.05, ***P<0.001

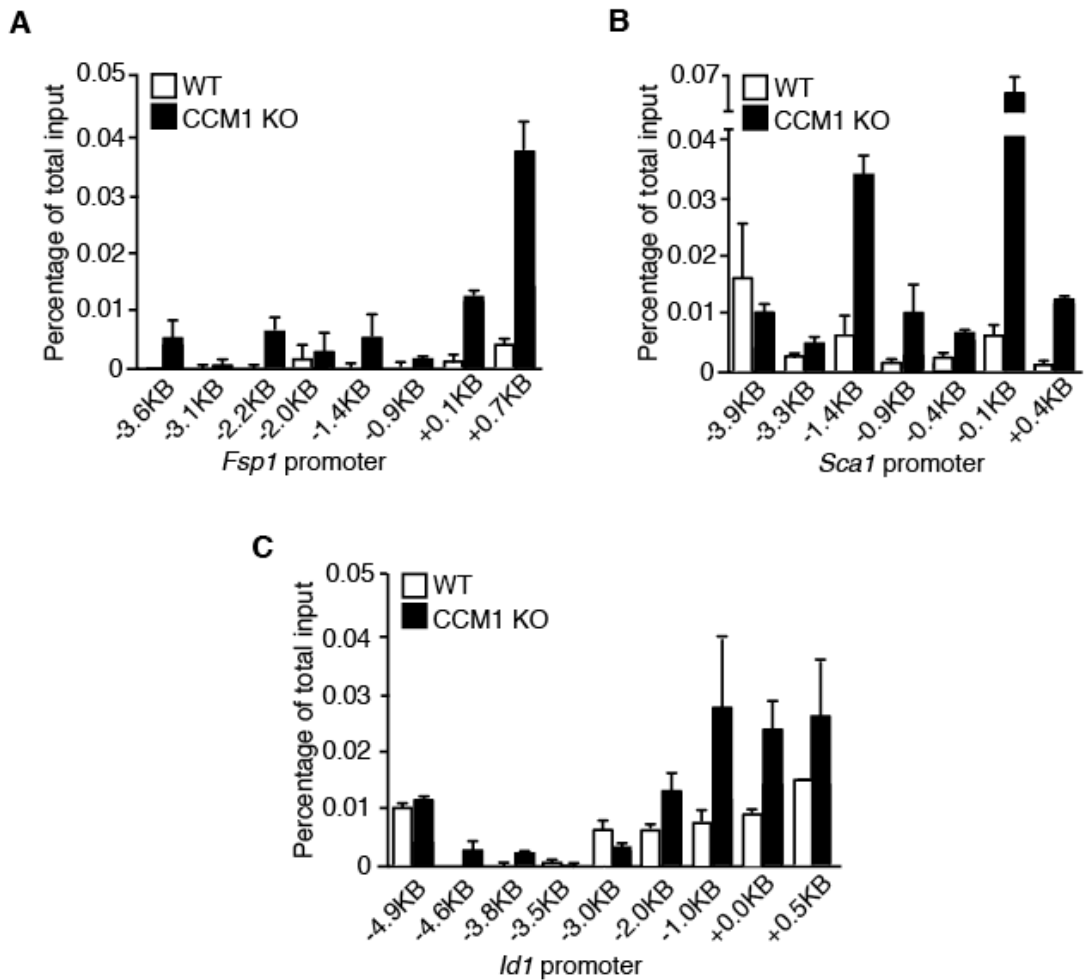


Figure 69 - KLF4 directly binds to promoter regions of specific EndMT markers.

ChIP analysis of KLF4 binding to the promoters of *Fsp1*(A), *Sca1*(B) and *Id1*(C) in WT and CCM1 KO ECs.

The positions of the putative KLF4 binding sites identified with MatInspector in the promoters of the genes

analysed were indicated. The levels of DNA were normalized to input. Columns are mean \pm SD of triplicates from a representative experiment out of three performed.

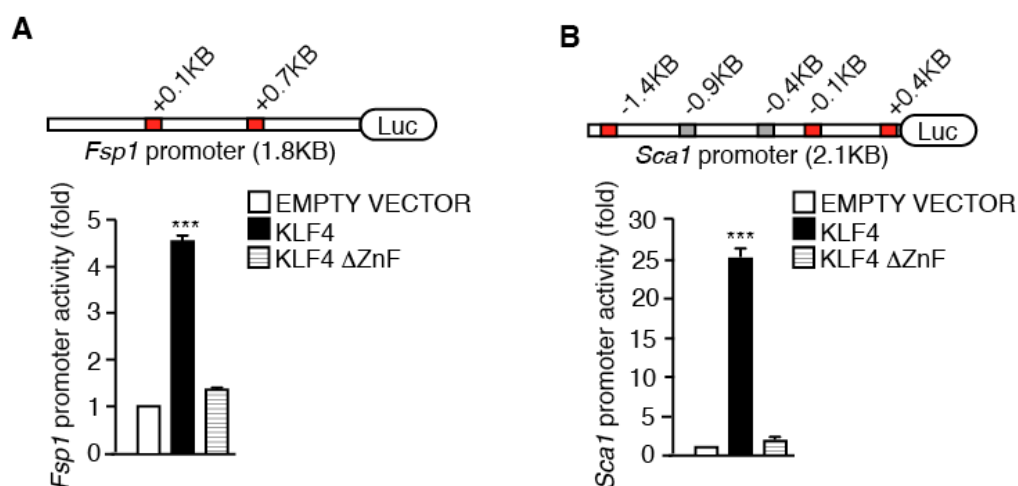


Figure 70 - KLF4 increases promoter activity of specific EndMT markers.

Transcriptional reporter assays performed in HEK-293 cells transfected with either *Fsp1* (A) or *Sca1* (B) reporter plasmid together with an empty vector, full length KLF4 or KLF4 Δ ZnF. In the pictures: red boxes indicate KLF4 binding sites validated by ChIP, while grey boxes indicate KLF4 binding sites not validated by ChIP. Fold change in the promoter activity are relative to empty vector transfected cells. Data are mean \pm SD (n=3). ***P<0.001

6.13 KLF2 expression is dispensable for EndMT transition in CCM1 null ECs

KLF2, another member of KLF family that shares a high level of structural and functional homology with KLF4, was recently reported to be increased upon loss of *Ccm* genes^{107, 296}. KLF2 was found to play a causative role in the cardiac abnormalities observed in the absence of CCM in both mouse and zebrafish embryos since it was responsible for both digestion of the cardiac jelly²⁹⁶ and excessive angiogenesis¹⁰⁷. Moreover *in vitro* and *in vivo* studies showed that loss of KLF2 resulted in an increase of KLF4 and *viceversa* thus raising the possibility that these factors may compensate one another^{228, 297}. KLF2 was found to be present in the list of the most upregulated transcripts that we obtained by Affimetrix analysis (Appendix Table1) performed to compare the gene expression profile of WT and CCM1 KO BMECs. In order to validate microarray data we first evaluated *Klf2* expression *ex vivo* in freshly isolated PECAM+ brain ECs derived from WT, EC-CCM1 KO and double EC-CCM1 KO KLF4 KO mice (Figure 71 A and B). *Klf2* was found to be upregulated in the absence of *Ccm1* in EC-CCM1 KO compared to WT matched controls. However *Klf4* depletion did not alter *Klf2* expression levels either in EC-CCM1 KO KLF4 KO or in EC-KLF4 KO mice (Figure 71), thus suggesting a non redundant role of these two transcription factors in CCM1 null ECs *ex vivo*. To further confirm these data *in vitro* we evaluated *Klf2* expression in WT, CCM1 KO and double CCM1-KLF4 KO BMECs. As shown in Figure 72, *Klf2* was found to be induced in the absence of *Ccm1* and its expression was not further increased upon *Klf4* ablation in CCM1-KLF4 double KO BMECs and did not apparently compensate for the lack of *Klf4* in inducing EndMT (Figure 37) .

In conclusion, even if the relative contribution of KLF2 to CCM brain disease remains an open issue that requires future studies, our data might suggest that KLF2 upregulation is apparently dispensable for the EndMT phenotype observed in the absence of *Ccm1*.

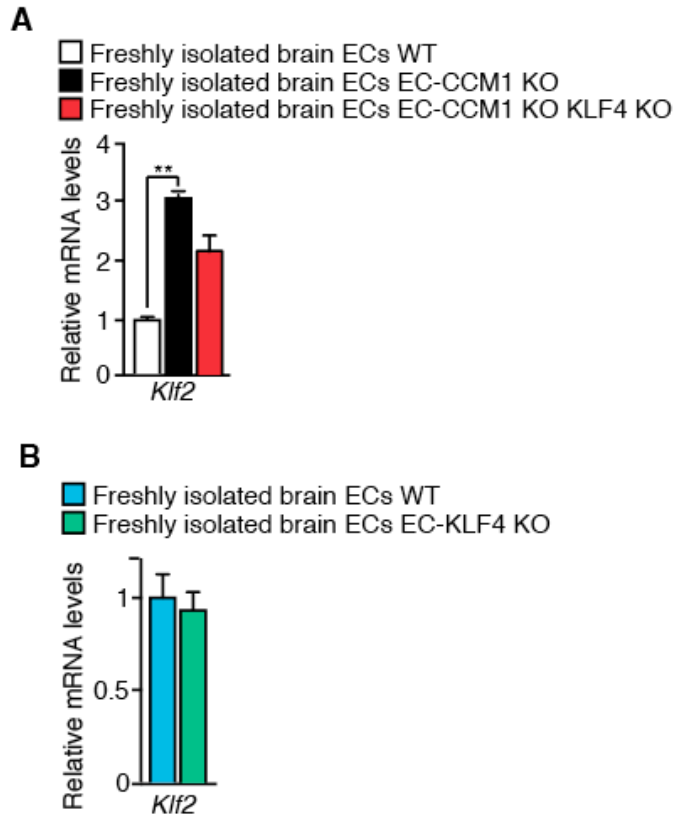


Figure 71 - Loss of *Klf4* does not affect *Klf2* expression in ECs *in vivo*.

A) qRT-PCR of *Klf2* in freshly isolated brain ECs from WT, EC-CCM1 KO and EC-CCM1 KO KLF4 KO mice analyzed at P12. Fold changes are relative to WT animals. Data are mean \pm SD (n=3). ** P<0.01, B) qRT-PCR of *Klf2* in freshly isolated brain ECs from WT and EC-KLF4 KO mice analyzed at P12. Fold changes are relative to WT animals. Data are mean \pm SD (n=3).

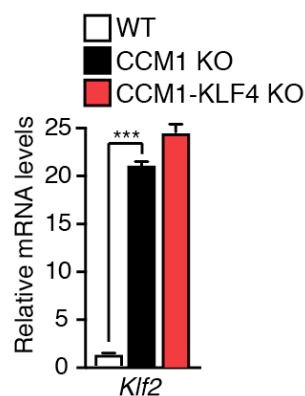


Figure 72 - Loss of *Ccm1* induces *Klf2* upregulation *in vitro* in BMECs.

qRT-PCR of *Klf2* after *in vitro* TAT-Cre recombinase treatment of primary brain ECs derived from WT, *Ccm1* floxed/floxed and *Ccm1-Klf4* floxed/floxed mice to originate cultured WT, CCM1 KO and CCM1-KLF4 KO brain ECs, respectively. Data are presented as mean \pm SD (n=3). Fold changes were relative to WT brain ECs. ***P<0.001

6.14 Erk5 activation mediates KLF4 upregulation and KLF4-dependent EndMT in the absence of *Ccm1*

We then moved to investigate the molecular mechanism through which loss of *Ccm* lead to *Klf4* upregulation. Previous studies extensively reported that ERK5 phosphorylation and activation promotes *Klf2* and *Klf4* transcription upon shear stress in ECs^{226, 298, 299}. As both *Klf2* and *Klf4* were concomitantly upregulated upon loss of *Ccm1*, we hypothesized that ERK5 could be involved in the regulation of *Klf4* expression also in our experimental models. We found that ERK5 phosphorylation was increased in freshly isolated brain ECs of EC-CCM1 KO mice in comparison to matched controls (Figure 73). Accordingly, ERK5 phosphorylation was higher in cultured BMECs upon *Ccm1* ablation and was not further modified by KLF4 depletion (Figure 74). To investigate whether ERK5 activation was responsible for the upregulation of both KLF4 and EndMT marker expression in the absence of CCM1, we transfected WT ECs with siRNAs directed to *Erk5* and *Ccm1*. The downregulation of ERK5 strongly prevented KLF4 upregulation in *Ccm1* silenced ECs (Figure 75). Similarly, the treatment of WT and CCM1 KO ECs for 72 hours with 5 μ m of XMD8-92, a specific ATP-competitive for ERK5 kinase^{259, 299}, strongly decreased ERK5 phosphorylation and KLF4 overexpression together with an inhibition of *Bmp6* upregulation, SMAD1 phosphorylation and EndMT marker acquisition in CCM1 null ECs (Figure 76 A-D). Comparable data were obtained when XMD8-92 treatment was performed in WT and CCM1 KO BMECs (Figure 77).

Myocyte enhanced (MEF) family of transcription factors are the best characterized downstream targets of ERK5 and were previously reported to be directly involved in the regulation of *Klf2* and *Klf4* expression in ECs^{255, 256, 300, 301}. To investigate a possible role of MEF2 transcription factors in KLF4 upregulation in the absence of CCM1 we silenced anyone of *Mef2a*, *Mef2c* and *Mef2d* in WT and CCM1 KO ECs. Both *Mef2a* and *Mef2c* knockdown in CCM1 KO ECs led to a partial but significant decrease in *Klf4* expression (Figure 78 A and B) while *Mef2d* silencing did not affected KLF4 upregulation at all

(Figure 78 C).

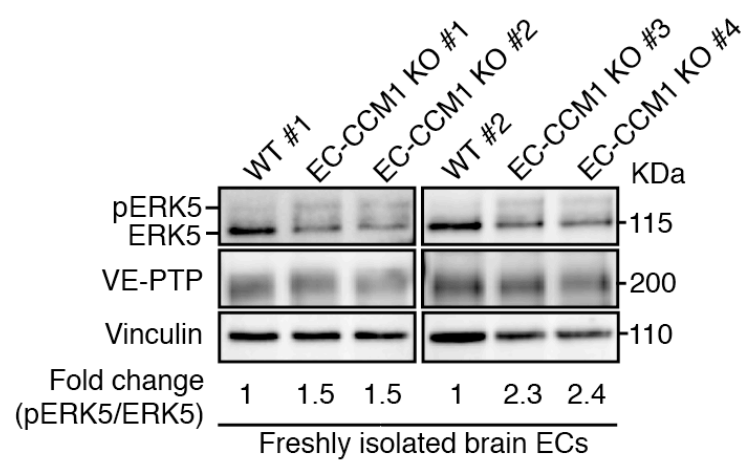


Figure 73 - Loss of *Ccm1* induce Erk5 phosphorylation in ECs *in vivo*.

A) WB analysis of phosphorylated ERK5 (pERK5) and total ERK5 in freshly isolated brain ECs from WT (n=2) and EC-CCM1 KO (n=4) mice from 2 different litters at P12. VE-PTP measured the endothelial content and vinculin was the loading control. pERK5/ERK5 ratio normalized over vinculin and VE-PTP was quantified by densitometry scan.

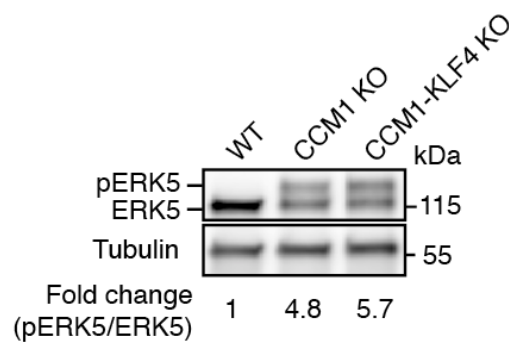


Figure 74 - Erk5 phosphorylation is increased upon loss of *Ccm1* in BMECs *in vitro*.

A) WB analysis of pERK5 and ERK5 protein levels after *in vitro* TAT-Cre recombinase treatment of primary brain ECs derived from WT, *Ccm1* floxed/floxed and *Ccm1-Klf4* floxed/floxed mice to originate cultured WT, CCM1 KO and CCM1-KLF4 KO BMECs. pERK5/ERK5 ratio normalized over the loading control tubulin was indicated. This WB data is representative of three independent experiments.

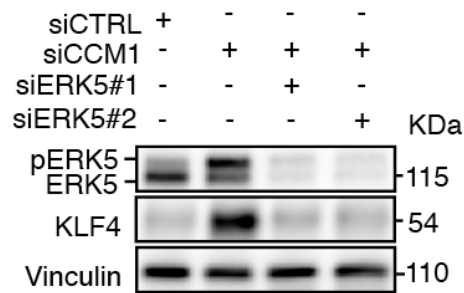


Figure 75 - Erk5 activation is responsible for KLF4 upregulation upon *Ccm1* silencing *in vitro*.

WB analysis of pERK5, ERK5 and KLF4 protein levels in cultured lung derived WT ECs either *Ccm1* (siCCM1) or control (siCTRL) siRNA-treated alone or in combination with two siRNA targeting *Erk5* (siERK5#1,2). pERK5/ERK5 ratio normalized over the loading control vinculin was indicated. This WB data is representative of three independent experiments.

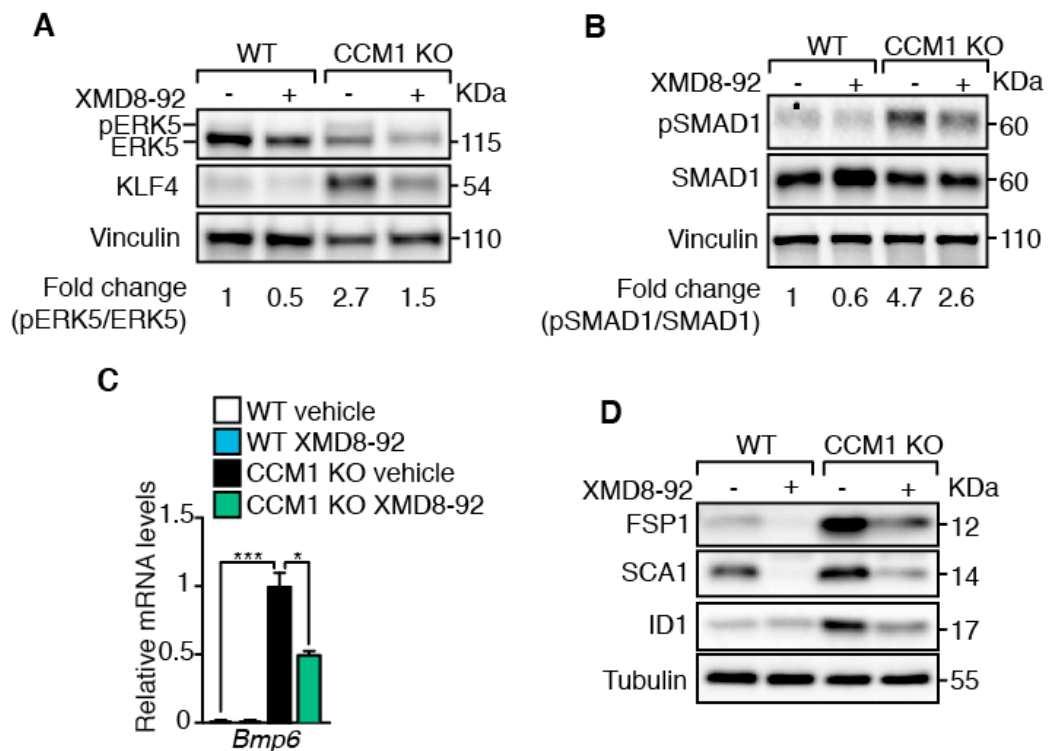


Figure 76 - In the absence of *Ccm1* increased ERK5 phosphorylation is responsible for KLF4 upregulation, *Bmp6*-dependent SMAD signalling and KLF4-dependent EndMT *in vitro* in CCM1 KO ECs.

A-D) Treatment of WT and CCM1 KO ECs with either 5 μ M of XMD8-92 or the vehicle for 72 hours. A) WB analysis of pERK5, ERK5 and KLF4. pERK5/ERK5 ratio was quantified over the loading control

vinculin. B) Evaluation of pSMAD1 and SMAD1 protein levels by immunoblot analysis. pSMAD1/SMAD1 ratio normalized over the loading control vinculin was shown. C) qRT-PCR of *Bmp6*. The data represent the mean \pm SD (n=3). Fold changes are relative to vehicle-treated CCM1 KO ECs. **P<0.001, *P<0.01. D) Representative WB analysis of EndMT markers expression. Tubulin was used as loading control. All WB data presented in this figure are representative of three independent experiments.

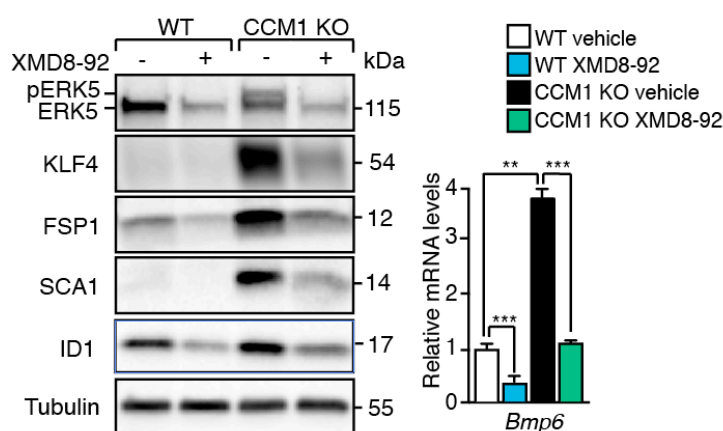


Figure 77 - In the absence of *Ccm1* increased ERK5 phosphorylation is responsible for KLF4 upregulation, *Bmp6* overexpression and KLF4-dependent EndMT *in vitro* in CCM1 null BMECs.

Treatment of WT and CCM1 KO BMECs with either 5 μ M of XMD8-92 or the vehicle for 72 hours. Left panel: WB analysis of pERK5, ERK5, KLF4, FSP1, SCA1, ID1. Tubulin was the loading control. These WB data are representative of three independent experiments. Right panel: qRT-PCR of *Bmp6*. Data are shown as mean \pm SD (n=3) and fold changes are relative to vehicle-treated WT ECs. ***P<0.001, *P<0.01

It has been reported in literature that MEF transcription factors show functional redundancy and can compensate one another³⁰¹. To exclude the possibility that the partial KLF4 downregulation that we observed upon the single knockdown of any of *Mef2a*, *Mef2c* and *Mef2d* was due to a functional compensation of the other MEF family members, we performed a simultaneous triple knockdown of *Mef2a*, *Mef2c* and *Mef2d*. The combined silencing of all the three members of this family of transcription factors resulted in a decreased expression of *Klf4* in CCM1 KO ECs (Figure 79) that, however, was comparable to that obtained upon *Mef2a* or *Mef2c* single knockdown. Thus, it is conceivable that either other ERK5-downstream transcription factors or ERK5 alone²⁴³ could be involved in KLF4 upregulation upon loss of *Ccm* genes.

Interestingly, to identify ERK5-mediated KLF4 upregulation and KLF4-dependent EndMT as common molecular nodes in CCM, we analyzed ERK5 activation, KLF4 amount and EndMT marker expression in lung-derived WT, CCM2 KO or CCM3 KO ECs obtained as previously described for CCM1 null ECs. More in detail, ECs from the lung of WT, *Ccm2* and *Ccm3* floxed/floxed mice were isolated, immortalized and then *in vitro* treated with TAT-cre recombinase to induce gene ablation. Notably, we found that in ECs null for either *Ccm2* or *Ccm3* ERK5 phosphorylation was increased (Figure 80 A and 81 A, respectively). Furthermore the treatment of both CCM2 KO and CCM3 KO ECs with 5 μ m of XMD8-92 for 3 days strongly inhibited KLF4 overexpression and EndMT marker upregulation (Figure 80 B and 81 B, respectively).

Taken together these results showed that ERK5-MEF2A/C signalling axis is a common molecular node responsible for KLF4 upregulation and KLF4-dependent EndMT in ECs null for any of the three *Ccm* genes.

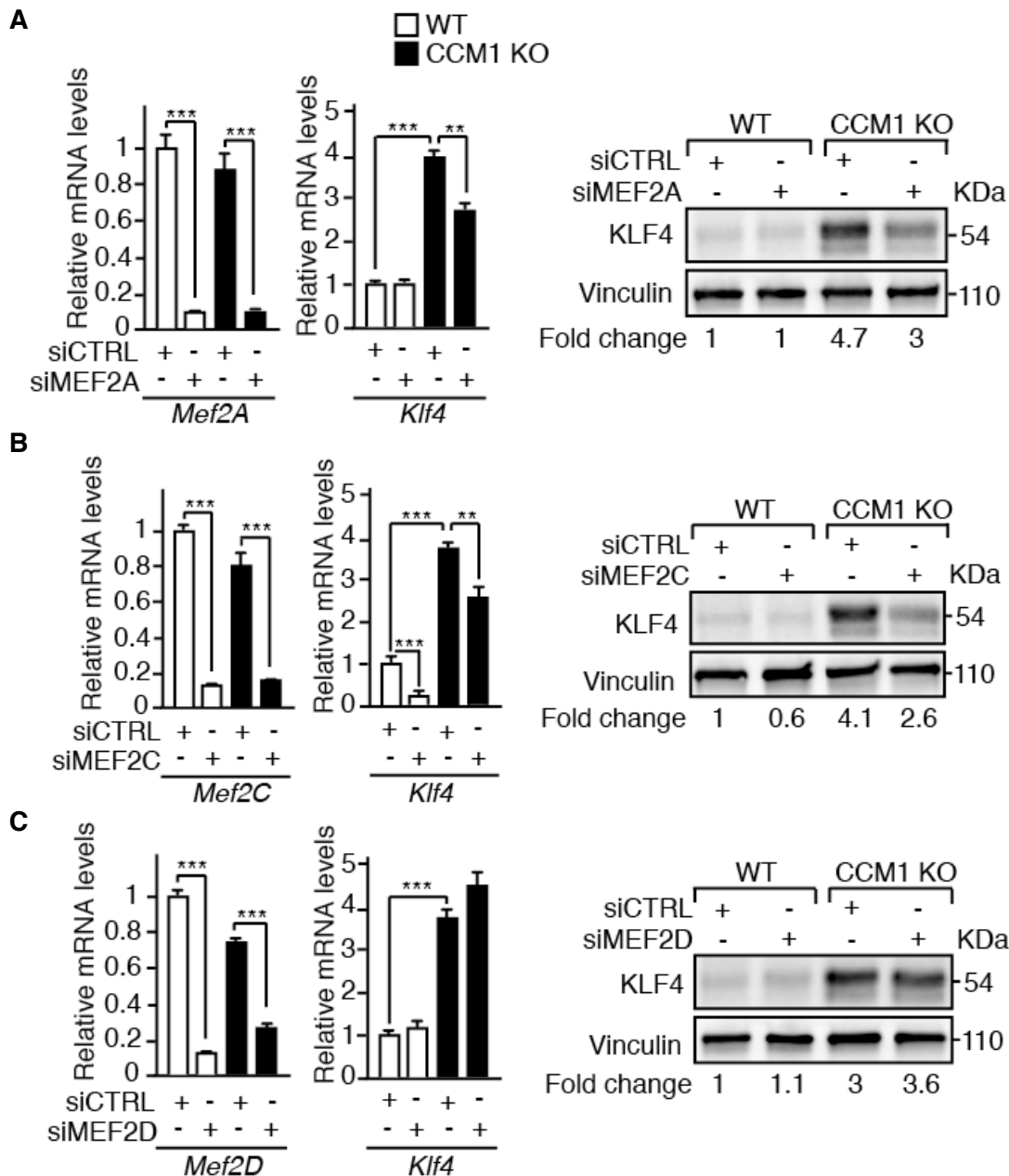


Figure 78 - Mef2A and Mef2C contribute to KLF4 upregulation in CCM1 KO ECs.

A) qRT-PCR analysis of *Klf4* and *Mef2A* (left panel) and WB analysis of KLF4 (right panel) in WT and CCM1 KO ECs treated with either control siRNA (siCTRL) or siRNA directed to *Mef2A*. qRT-PCR data represent the mean \pm SD (n=3) and the fold changes are relative to WT siCTRL ECs. B) qRT-PCR analysis of *Klf4* and *Mef2C* (left panel) and WB analysis of KLF4 (right panel) in WT and CCM1 KO ECs treated with either control siRNA (siCTRL) or siRNA directed to *Mef2C*. qRT-PCR data represent the mean \pm SD (n=3) and the fold changes are relative to WT siCTRL ECs. C) qRT-PCR analysis of *Klf4* and *Mef2D* (left panel) and WB analysis of KLF4 (right panel) in WT and CCM1 KO ECs treated with either control siRNA (siCTRL) or siRNA directed to *Mef2D*. qRT-PCR data represent the mean \pm SD (n=3) and the fold changes are relative to WT siCTRL ECs.

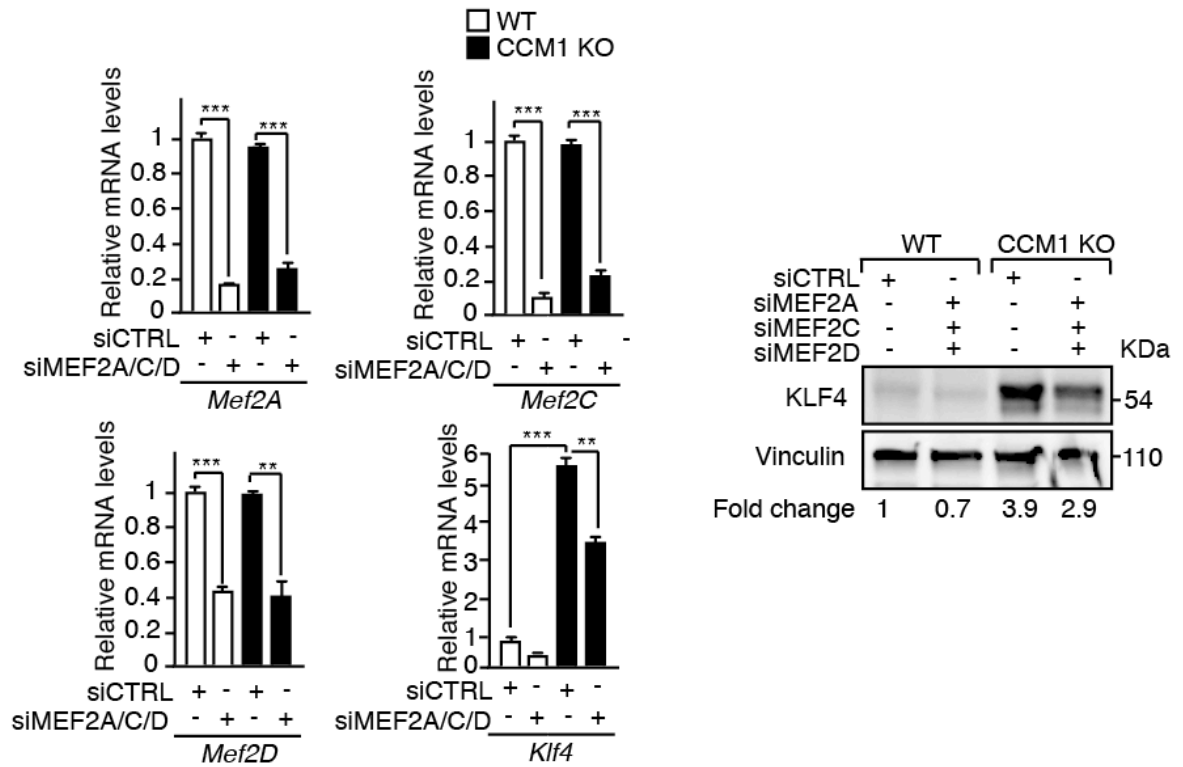


Figure 79 - Combined silencing of *Mef2A*, *Mef2C* and *Mef2D* leads to partial downregulation of *Klf4* expression in CCM1 KO ECs.

A) Left panels: qRT-PCR analysis of *Mef2A*, *Mef2C*, *Mef2D* and *Klf4* in WT and CCM1 KO IECs treated with either control siRNA (siCTRL) or a combination of siRNA directed to *Mef2A*, *Mef2C* and *Mef2D*. qRT-PCR data represent the mean \pm SD (n=3) and the fold changes are relative to WT siCTRL ECs. Right panel: WB analysis of KLF4 (right panel) in WT and CCM1 KO ECs treated with either control siRNA (siCTRL) or siRNA directed to *Mef2A*, *Mef2C*, *Mef2D*. Vinculin was the loading control. These WB data are representative of three independent experiments. **P<0.01, ***P<0.001

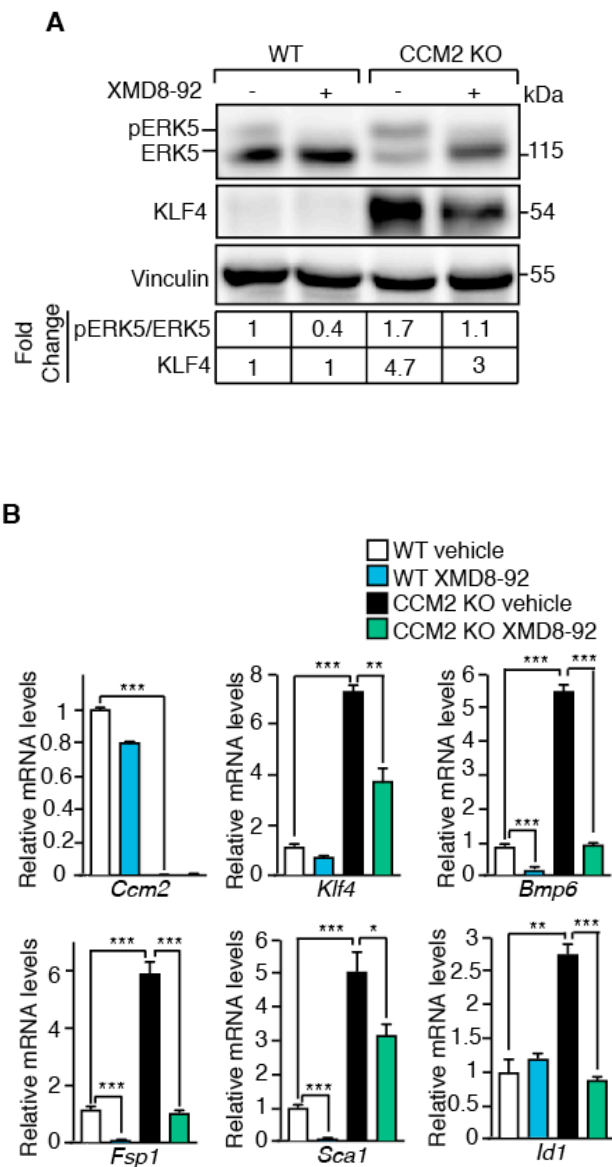
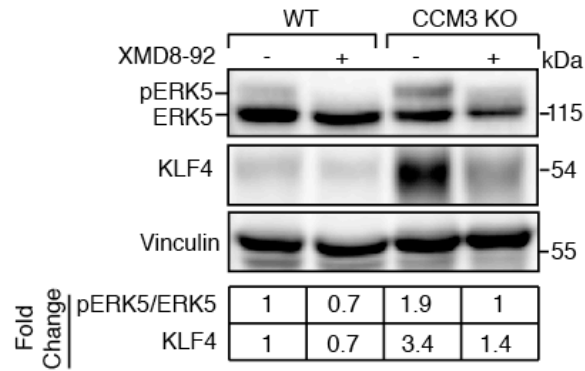


Figure 80 - ERK5 activation in CCM2 null ECs is responsible for KLF4 upregulation and increased EndMT marker expression.

A) WB analysis of pERK5, ERK5 and KLF4 in lung derived WT and CCM2 KO ECs treated with XMD8-92 or vehicle for 72 h. Both pERK5/ERK5 ratio and KLF4 amount normalized over vinculin, the loading control, were quantified by densitometry scan. These data are representative of three independent experiments. B) qRT-PCR of *Ccm2*, *Klf4*, *Bmp6* and some EndMT markers in WT and CCM2 KO ECs treated as in A. qRT-PCR results are shown as mean \pm SD (n=3) and fold changes are relative to vehicle-treated WT ECs.

*P<0.05, **P<0.01, ***P<0.001

A



B

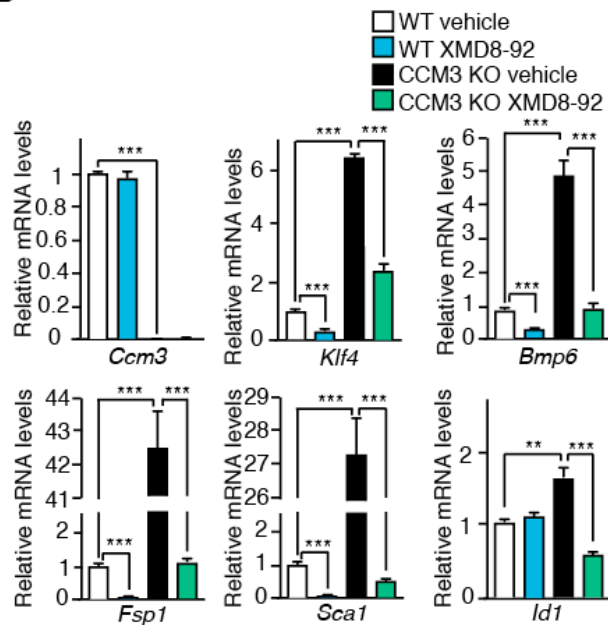


Figure 81 - ERK5 activation in CCM3 null ECs is responsible for KLF4 upregulation and increased EndMT marker expression.

A) WB analysis of pERK5, ERK5 and KLF4 in lung derived WT and CCM3 KO ECs treated with XMD8-92 or vehicle for 72 h. Both pERK5/ERK5 ratio and KLF4 amount normalized over vinculin, the loading control, were quantified by densitometry scan. These data are representative of three independent experiments. B) qRT-PCR of *Ccm3*, *Klf4*, *Bmp6* and some EndMT markers in WT and CCM3 KO ECs treated as in A. qRT-PCR results are shown as mean \pm SD (n=3) and fold changes are relative to vehicle-treated WT ECs.

P<0.01, *P<0.001

6.15 Mekk3-Mek5-dependent signalling cascade promotes ERK5 activation and KLF4 upregulation in CCM1 KO ECs

The final goal of this study was to clarify the signalling cascade through which the disruption of CCM complex promotes ERK5 activation and ERK5-dependent KLF4 upregulation. In order to clarify this molecular step we referred to previous publications in which the MAP3K MEKK3 was identified as a CCM2 and CCM1 binding partner^{296, 302}. Active MEKK3 phosphorylates the MAP2K MEK5 that, in turn, directly activates ERK5^{303, 304}. We therefore explored the possibility that the lack of CCM might increase *Klf4* expression through the MEKK3-MEK5-ERK5 signalling axis. To this purpose we silenced *Mekk3* in WT and CCM1 KO ECs using two different siRNA. Even if MEKK3 levels were reduced in CCM1 KO ECs, a further *Mekk3* knockdown strongly reduced ERK5 phosphorylation in the absence of CCM1 (Figure 82). Interestingly, this effect was accompanied by the inhibition of *Klf4* mRNA (Figure 82) and protein (Figure 83) upregulation. These results strongly correlated with *Mekk3* downregulation efficiency that we obtained with the two different siRNA, thus supporting the idea that *Mekk3* activity is fundamental for activation of the ERK5-dependent KLF4 regulation.

Then, to evaluate if MEK5 could be the molecular link between MEKK3 and ERK5, we silenced *Mek5* in WT and CCM1 KO ECs. Knockdown of *Mek5* led to a decrease in ERK5 phosphorylation (Figure 84) and KLF4 upregulation (Figure 84 and 85) observed in CCM1 KO ECs. Accordingly, the treatment of WT and CCM1 KO ECs with BIX-02189, a specific MEK5 inhibitor²⁵⁸, resulted in the loss of ERK5 activation (Figure 86) and KLF4 upregulation in CCM1 KO ECs (Figure 86 and Figure 87).

Thus, *Ccm1* gene ablation resulted in increased MEKK3-MEK5-dependent ERK5 phosphorylation responsible for KLF4 upregulation and KLF4-dependent EndMT. These data suggest that future pharmacological approaches targeting the MEKK3-MEK5-ERK5-KLF4 signalling could be very promising for the treatment of CCM.

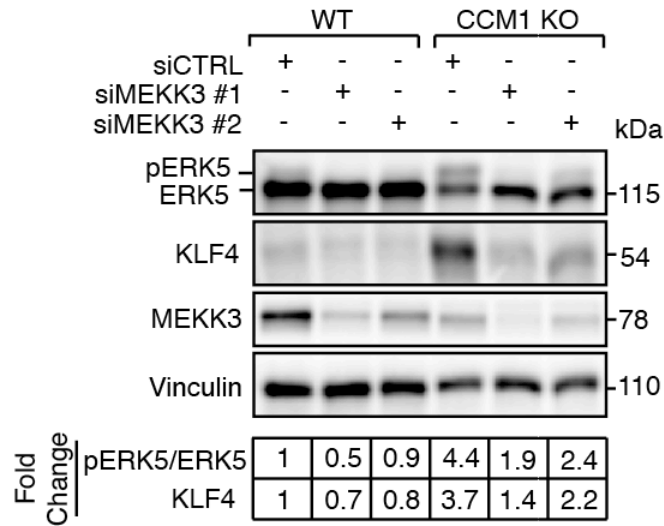


Figure 82 - MEKK3 activity is required for ERK5-mediated KLF4 upregulation.

WB analysis of pERK5, ERK5, KLF4 and MEKK3 in WT and CCM1 KO lung ECs treated with either two different siRNAs against *Mekk3* (siMEKK3 #1 and siMEKK3 #2) or a control sequence (siCTRL). Both pERK5/ERK5 ratio and KLF4 amount normalized over vinculin were quantified by densitometry scan. These WB data are representative of three independent experiments.

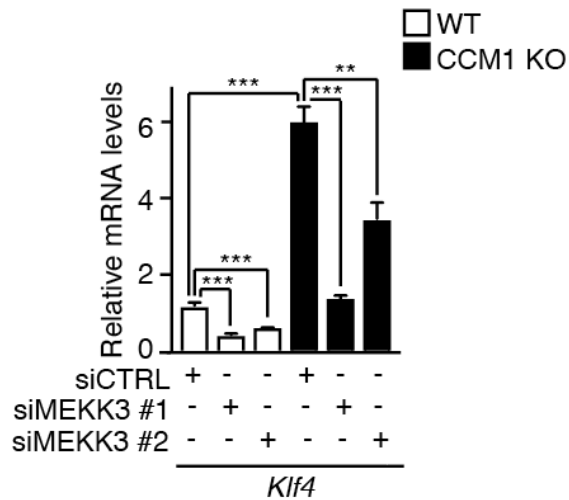


Figure 83 - *Mekk3* silencing inhibits KLF4 upregulation in CCM1 KO ECs.

qRT-PCR of *Klf4* in WT and CCM1 KO lung ECs treated with two different siRNAs directed to *Mekk3* (siMEKK3 #1 and siMEKK3 #2) or a control sequence (siCTRL). qRT-PCR results are shown as mean \pm SD (n=3) and fold changes are relative to siCTRL-treated WT ECs. **P<0.01, ***P<0.001

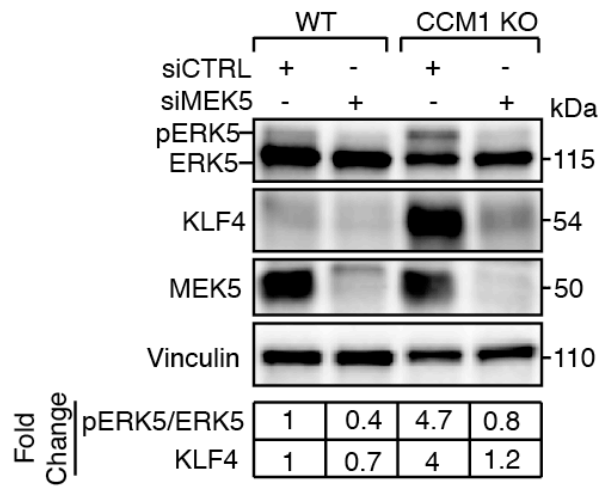


Figure 84 - MEK5 activity is required for ERK5-mediated KLF4 upregulation.

WB analysis of pERK5, ERK5, MEK5 and KLF4 in WT and CCM1 KO lung ECs treated with either a siRNA against *Mek5* or a control sequence (siCTRL). Quantification of pERK5/ERK5 and KLF4 protein levels over vinculin were performed. These WB data are representative of three independent experiments.

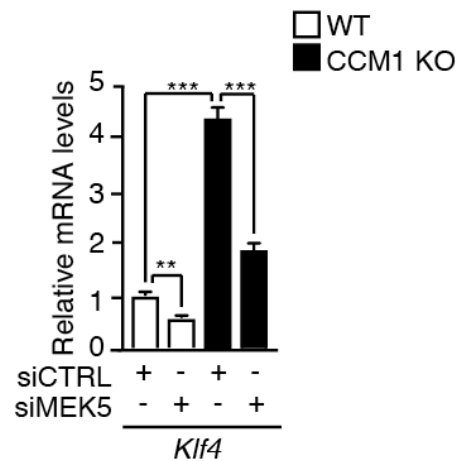


Figure 85 - *Mek5* silencing decreases *Klf4* mRNA levels in CCM1 KO ECs.

qRT-PCR of *Klf4* in WT and CCM1 KO lung ECs treated with either siMEK5 or siCTRL. qRT-PCR results are shown as mean \pm SD (n=3). Fold changes are relative to siCTRL treated WT ECs. **P<0.01, ***P<0.001

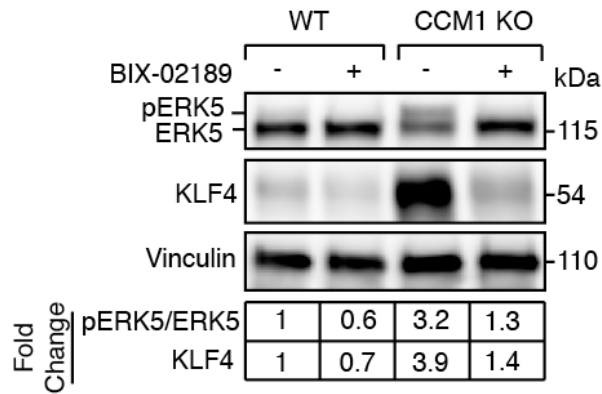


Figure 86 - Chemical inhibition of MEK5 activity is required for ERK5-mediated KLF4 upregulation.
 WB analysis of pERK5, ERK5, and KLF4 in WT and CCM1 KO lung ECs treated with 10 μ M of BIX-02189 or vehicle for 48 hours. pERK5/ERK5 and KLF4 protein quantification over vinculin were shown. These WB data are representative of three independent experiments.

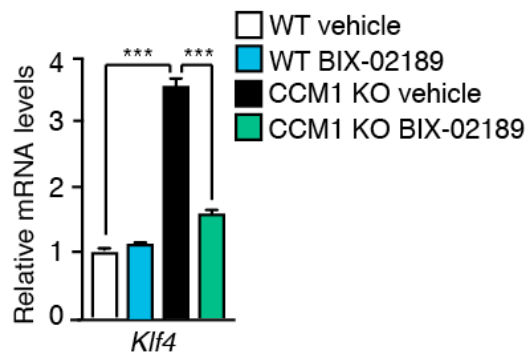


Figure 87 - Chemical MEK5 inhibition decreases *Klf4* mRNA levels in CCM1 KO ECs.

qRT-PCR of *Klf4* in WT and CCM1 KO lung ECs after 48 hours of treatment with 10 μ M of BIX-02189 or vehicle. Fold changes are relative to vehicle treated WT ECs. qRT-PCR results are shown as mean \pm SD (n=3). ***P<0.001

DISCUSSION

In the present study we introduced the concept that CCM lesions are formed by ECs undergoing EndMT transition. In the attempt to understand the molecular mechanisms through which loss of *Ccm* genes led to the high susceptibility of ECs to acquire a mesenchymal/stem cell-like phenotype, we identified the transcription factor KLF4 as a master regulator of EndMT ongoing in *Ccm* null ECs. KLF4 promoted an endogenous production of *Bmp6* in ECs that, in turn, activated the TGF- β /BMP signalling pathway. *Klf4* genetic inactivation as well as the pharmacological TGF- β /BMP pathway inhibition blocked the development and progression of CCM vascular lesions. Importantly, loss of *Klf4* almost abolished the 100% mortality observed in EC-CCM1 KO mice due to brain hemorrhage.

7.1 Development of a new mouse model of CCM disease

We developed a relevant mouse model for CCM disease with a complete penetrance and a rapid progression of the disease useful to study in detail the signalling pathways involved in the etiology of CCM disease. Endothelial cell-specific deletion of the *Ccm1* gene at P1 in mice resulted in the development of several vascular lesions within the CNS and in retina that faithfully phenocopied the human malformations. Thus, vascular lesions presented a mulberry-like structure composed of dilated vessels with multiple lumens, hemosiderin accumulation and a marked inflammatory reaction due to immune cell infiltration. In the area of the cavernomas the neurovascular unit was compromised due to an impaired endothelial/astrocyte interaction and pericyte coverage and the architecture of the endothelial junctions was strongly affected. The lesions had a venous origin and the number and size of malformations did not regress but, instead, increased with time. Finally, the morphology of the malformations was similar in the three strains of

mutants (*Ccm1*, *Ccm2* or *Ccm3* loss-of-function mice), with the phenotype of mice with *Ccm3* deficiency being the most severe ^{69, 92, 291}. All these alterations were previously described in human CCM. Although every mouse model had always limitations and it would be unrealistic to assume that they could reproduce in all details what occurs in human patients, our murine CCM model was quite close to the human disease. The rapid onset of the disease in this model makes it suitable for deciphering the molecular mechanisms involved in the different phases of development of the disease and for the study of future therapeutic agents. This was particularly important since, so far, no pharmacological therapy is available for CCM patients.

7.2 Molecular mechanisms associated to CCM disease: KLF4 upregulation and KLF4-dependent EndMT

The process of EndMT occurring in several physiological and pathological conditions is characterized by multiple EC functional changes that include altered junction organisation, loss of cell polarity, increased cell proliferation and migratory capacity and finally modifications in the cytoskeleton and in the ECM organization ^{114, 278}. Thus, the EndMT switch could explain most of the previously reported changes in phenotype of CCM null ECs ^{69, 88, 100, 103}. Here we demonstrated that the increased *Klf4* expression promoted most of the cellular phenotypic transitions associated to EndMT in the absence of *Ccm1*. In agreement, inhibition of KLF4 in CCM null ECs resulted in decreased expression of mesenchymal and stem-cell markers together with a relevant inhibition of cell proliferation and migration while a correct junction organization was not restored. In this regard KLF4 has been reported to maintain the endothelial barrier integrity by regulating the expression of important endothelial junction molecules *in vitro* ^{227, 229, 230}. In our cellular models neither KLF4 depletion nor KLF4 overexpression seemed to affect *VE-cadherin* or *Claudin5* expression and localization, thus suggesting that junction dismantling described in the absence of *Ccm1* is KLF4 independent and other molecular

mechanisms altered upon loss of *Ccm1* could cause modifications in endothelial cell-to-cell junction organization.

The mechanism of action of KLF4 in inducing CCM malformations is complex and here we defined some of the molecular steps involved (Figure 88). *Klf4* expression increased soon after *Ccm* ablation and it remained high in ECs lining CCM lesions and pseudo normal peri-lesion vessels. KLF4 induction led to a strong endogenous production of BMP6 in CCM null ECs. This growth factor was able to activate the TGF- β /BMP signalling pathway and to induce the EndMT switch ²⁹¹. In agreement, chemical inhibition of the TGF- β /BMP signalling prevented the EndMT switch in CCM1 null ECs and the appearance of CCM lesions *in vivo* ²⁹¹. Besides modulating *Bmp6* expression and TGF- β /BMP pathway activation, KLF4 binds to the promoters of some EndMT markers (*Scal* and *Fsp1*) and directly promotes their transcription. Intriguingly, the promoter regions of these representative mesenchymal and stem-cell markers with a higher KLF4 binding affinity were surrounded by putative SMAD binding sites. Since pSMADs bind weakly to their target gene promoters ²⁹⁵ it could be possible that their DNA binding is reinforced through the association with KLF4, adding a further level of regulation of SMAD activity by KLF4 ³⁰⁵. *Viceversa*, we found that TGF- β /BMP signalling pathway was able to regulate *Klf4* expression. Stimulation of ECs with different TGF- β /BMP family ligands induces KLF4 upregulation ^{306, 307}. Moreover treatment with TGF- β /BMP pathway inhibitors as well as *Bmp6* silencing decreased KLF4 expression in CCM1 null ECs both *in vitro* and *in vivo* ²⁹¹, thus suggesting that TGF- β /BMP pathway, once activated, could sustain *Klf4* expression in a positive feedback loop.

KLF4 could regulate the expression of other genes whose activity is potentially relevant for both EndMT dependent or independent CCM development and progression. Among a large number of genes that were differentially expressed upon loss of *Ccm1* in our Affimetrix analysis performed using mRNA extracts derived from WT and CCM1 KO BMECs, we focused our attention on *Lrg1*, *Edn1* and *Ednrb*. The leucine-rich alpha-2-

glycoprotein 1 (*Lrg1*) is an angiogenic molecule that is able to promote T β RII-ALK1-SMAD1/5/8 signalling pathway in ECs by binding to the accessory receptor ENG³⁰⁸. Since *Lrg1* expression increased upon loss of *Ccm1* in the Affimetrix analysis, we performed preliminary experiments in order to validate this data. Silencing of any one of the three *Ccm* genes in lung WT ECs (Appendix Figure 1 A) as well as loss of *Ccm1* in primary brain ECs (Appendix Figure 1 B) led to *Lrg1* upregulation. Interestingly loss of *Klf4* abolished *Lrg1* upregulation in the absence of *Ccm1* both *in vitro* and *in vivo* (Appendix Figure 1B and C), thus suggesting *Lrg1* as a putative KLF4 target gene. Since *Lrg1* is a modulator of TGF- β signalling pathway³⁰⁸, we will investigate the role of this molecule during EndMT and CCM lesion development.

Both *Edn1* and *Ednrb* expression has been previously linked to KLF4 transcriptional activity²²⁴. EDN1 fulfills complex functions in vascular biology, including cellular proliferation, apoptosis and angiogenesis³⁰⁹. EDN1 has a dual role in blood vessels: 1) it induces potent and long lasting vasoconstriction by binding to the endothelin receptor A (*Ednra*), a receptor expressed specifically in SMCs; 2) it promotes vasodilation by binding EDNRB on ECs and inducing nitric oxide (NO) synthesis. Endothelial KLF4 modulates the EDN-dependent pathway by repressing *Edn1* expression and increasing *Ednrb* transcription²²⁴. In line with these data, qRT-PCR analysis in primary brain ECs *in vitro* revealed that *Edn1* was reduced while *Ednrb* was strongly increased in CCM1 KO BMECs compared to WT (Appendix Figure 1D). Moreover, loss of *klf4* increased *Edn1* levels while it abolished *Ednrb* upregulation in the absence of *Ccm1* (Appendix Figure 1D). Since abnormal vessel dilation is a hallmark of CCM vascular malformations, future studies will be directed to evaluate the relevance of EDN-dependent signalling dysregulation in CCM disease.

Thus, since we report that KLF4 is a master regulator of EndMT in CCM, a tempting idea is to understand whether KLF4 could play a role also in tumor EndMT. ECs are prominent component of tumor microenvironment and during cancer progression they

can undergo EndMT. Recent studies showed that a significant number of cancer-associated fibroblasts (CAFs) derive from ECs undergoing EndMT^{118,310}. CAFs are key component of the tumor stroma that facilitate tumor growth and metastasis by modifying tumor microenvironment^{118,310}. Moreover, as EndMT leads to the loss of a correct function and organization of the endothelium, a number of other processes involved in tumor progression can be affected. For instance, by weakening endothelial barrier function, EndMT has been reported to enable metastatic dissemination by facilitating tumor cell intra and extravasation³¹¹. As TGF- β /BMP signalling pathway has been suggested as major inducer of EndMT²⁸⁴ in several physiological and pathological conditions including cancer¹¹⁸, it would be of particular interest to understand whether KLF4 might act as a critical molecular effector of TGF- β /BMP signalling in the induction of EndMT during tumorigenesis.

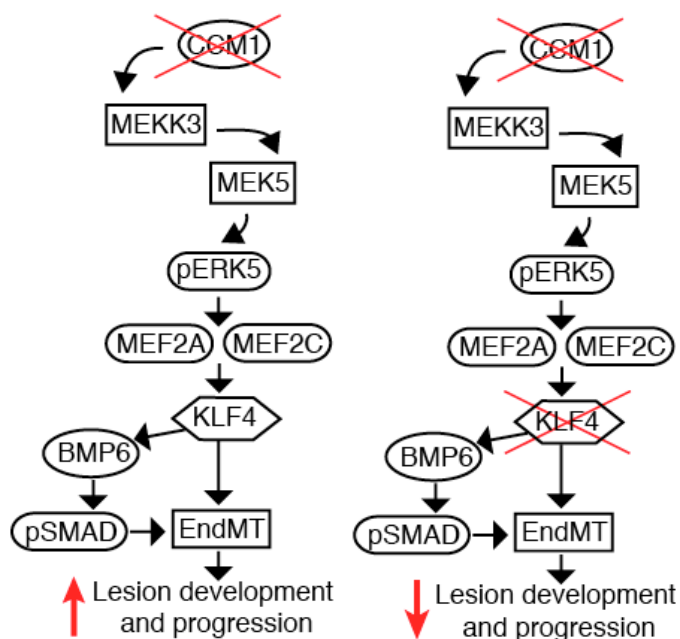


Figure 88 - Schematic model of KLF4 activity and regulation during CCM pathogenesis.

Loss of *Ccm1* led to MEKK3-MEK5-dependent ERK5 phosphorylation that, in turn, induced a strong upregulation of *Klf4* expression in brain ECs. The resulting increased KLF4 transcriptional activity was responsible for the EndMT switch observed in CCM1 null ECs, since it was able to: i) promote *Bmp6* expression and consequent SMAD signalling activation; ii) drive the transcription of a number of EndMT

markers involved in CCM pathogenesis. *In vivo Klf4* genetic inactivation blocked the development and progression of cavernomas.

7.3 MEKK3-MEK5-ERK5 signalling pathways induce KLF4 expression in Ccm null ECs

In the present study we also investigated the mechanism through which loss of *Ccm* genes leads to an increased *Klf4* expression with the final aim of identifying new targets for future pharmacological therapies. Laminar flow through ERK5 activation is a well-known inducer of *Klf4* expression and activity^{226, 236}. CCM complex disruption in the endocardium modulates the MEKK3-MEK5-ERK5 axis to upregulate *Klf4* and *Klf2* expression resulting in mid-gestation heart failure²⁹⁶. MEKK3, identified as a CCM2 binding partner in the osmosensing complex³⁰², activates MEK5 that, in turn, through ERK5 dual phosphorylation, induces transcription factors MEF2A, MEF2C and MEF2D to regulate their target genes^{303, 304}. In agreement with these reports we found that MEKK3-MEK5 axis promoted ERK5 activation upon loss of *Ccm1* and the inhibition of ERK5 prevented KLF4 upregulation and EndMT induction in cultured CCM1 KO ECs (Figure 88), thus supporting the concept that ERK5 is a key regulator of *Klf4* expression after CCM complex disruption. Importantly, ERK5-dependent KLF4 upregulation is induced by inactivation of any one of the three *Ccm* genes consistent with the comparable phenotype observed in patients. Phosphorylated ERK5 promoted *Klf4* expression largely through MEF2A and MEF2C transcriptional activity. However, since a complete KLF4 downregulation was not observed when these two transcription factors were silenced, either ERK5 alone or other ERK5-downstream transcription factors could be responsible for KLF4 upregulation²⁴¹.

A marked increase in ERK5 activity and *Klf4* expression in CCM null ECs was described in the absence of flow, thus suggesting that CCM complex disruption could mimic a condition of permanent flow. In line with this hypothesis Jilkova et al demonstrated that *Ccm* depletion led ECs to acquire an elongated “shear stress-like”

phenotype even in the absence of flow ³¹². Since differentially from the arteries the venous capillary bed, in which CCM specifically arises, is characterized by low shear stress, a molecular condition that mimics a sustained flow could explain the venous manifestation of the disease. Moreover CCM complex is necessary for maintaining $\beta 1$ integrin in a low activation state *in vitro* in condition of low blood flow ³¹². In the absence of *Ccm* genes $\beta 1$ integrin is hyperactivated by flow and could be responsible for the vessel dilation observed in CCM ³¹². Thus CCMs appeared as mechanotransducers able to modulate the EC response to different conditions of blood flow.

7.4 Possible crosstalk between KLF4 and other signalling pathway involved in CCM

Several recent publications unveiled that beyond KLF4-dependent signalling other pathways may contribute to CCM ^{92, 96, 112, 313, 314}.

Ccm genes deletion promoted β -catenin nuclear translocation and transcriptional signaling ^{92, 101}. The activation of β -catenin-mediated transcription is a very early event in lesion formation in EC-CCM3 KO mice and is critical for the acquisition of a mesenchymal phenotype in ECs lining the cavernomas ⁹². Accordingly, inhibition of β -catenin signalling in CCM3 null ECs both by using a dominant-negative mutant of TCF4 (dnTCF4) or by a treatment with Sulindac, a nonsteroidal anti-inflammatory drug reported to switch off β -catenin signalling, resulted in strong reduction in the number and size of lesions as well as associated EndMT ⁹². A possible link between β -catenin and KLF4 emerged from this study ⁹². β -catenin signalling inhibition reduced KLF4 upregulation in CCM3 null ECs both *in vitro* and *in vivo* thus suggesting a possible contribution of β -catenin transcriptional activity to the increase of *Klf4* expression at least in the absence of *Ccm3* ⁹². β -catenin signalling was found to be increased also in CCM1 KO ECs opening the possibility of a β -catenin-dependent KLF4 upregulation as a common molecular node upon loss-of-function of any of the three *Ccm* genes. Since we demonstrated that ERK5

activity is critical for KLF4 upregulation in CCM null ECs, future studies will be directed to evaluate a possible crosstalk between β -catenin and the MEKK3-MEK5-ERK5 signalling cascade. Differentially to what we observed upon *Klf4* silencing in CCM1 null ECs, β -catenin signalling inhibition was able, at least in part, to restore a proper junction organization in CCM3 KO ECs. Thus, β -catenin could promote multiple features of the EndMT switch through both KLF4-dependent and independent mechanisms.

CCM1 deficiency promoted also RhoA activation^{88, 96} and Rho-dependent cytoskeletal rearrangements. Pharmacological inhibition of the Rho effector ROCK through Fasudil reduced stress fibers *in vitro* in CCM null ECs and decreased the number and size of vascular lesions *in vivo*⁹¹. Since Rho activation has been reported to occur during TGF- β -dependent EMT^{315,316}, we hypothesized that KLF4-dependent TGF- β /BMP pathway activation could result in RhoA-dependent cytoskeletal rearrangements and increased endothelial permeability observed in CCM.

A recent report claimed that defective autophagy could play a role during CCM pathogenesis³¹⁷. Loss of *Ccm1* induced mTOR hyperactivation that, in turn, was important for the EndMT transition³¹⁷. The inhibition of mTOR activity with rapamycin or Torin1 reduced the expression of some mesenchymal markers (such as *Cd44* and *Id1*) and increased the levels of junctional molecules such as VE-cadherin and *Pecam1* *in vitro*, thus suggesting mTOR inhibitor, which are currently used in several clinical trials, as a promising therapy for CCM³¹⁷. Since KLF4 has been reported to control autophagy in cardiomyocyte³¹⁸ and in ECs (M.Jain personal communication), future studies will evaluate a possible relationship between KLF4 and *Ccm* defective autophagy.

7.5 KLF4 context-dependent functions: a possible explanation for the brain specificity of the CCM disease

KLF4 fulfills pleiotropic functions in EC biology. It is widely considered a vascular protective factor due to its ability to activate specific transcriptional programs with anti-inflammatory, anti-coagulant and anti-oxidant roles in the endothelium^{224, 227, 228}. However, recent publications have associated KLF4 also to endothelial pathologies, since it can promote sprouting angiogenesis by regulating the Notch signalling pathway and it is upregulated in several pathological conditions^{225, 319, 320}.

A context-dependent function of KLF4 could explain its protective versus pathogenic role in different type of vessels. While it confers vascular protection to atherothrombosis and pulmonary arterial hypertension when is upregulated in arteries^{224, 228}, it could play a causative role in the development of venous-derived CCM cavernomas^{69, 291}. Albeit we still do not know the molecular basis of these discrepancies, it is conceivable that the interaction with cell-specific transcription factors or differences in kinetics and levels of expression may modulate the response of ECs to KLF4. In this direction, it is noticeable that the levels of KLF4 are higher in retinal veins than in arteries in both physiological condition and upon *Ccm1* deletion. Since KLF4 can differentially regulate EC gene expression also through coactivator competition by interacting with/sequestering p300 acetylase^{215, 228}, different cell-type specific and context-dependent function KLF4 could be placed in a central position in promoting either vascular health or disease.

A large debate on CCM is related to the tissue specificity of the pathology. The lesions develop mainly in CNS vasculature although the gene was inactivated in all ECs^{69, 92, 291}. In this regard a comparable increase in KLF4 induced in ECs of different organs by loss of *Ccm1* resulted in a full EndMT switch only in brain ECs. These data support the idea of a KLF4-dependent specific activation of brain ECs to undergo EndMT. The difference between the endothelium of the brain in comparison to other organs is due to the

surrounding environment that strongly influences EC function. ECs of the brain vasculature are enwrapped by pericyte, astrocytic end feet processes and neuronal synapses and the correct crosstalk between these multiple components has been found to be necessary for proper formation and function of BBB. This type of interaction is unique for the brain vessels and may explain why in other organs the appearance of vascular malformations is much less frequent.

7.6 KLF4 constitutes a novel target for a future pharmacological therapy for the treatment of CCM

Pharmacological treatment limiting CCM disease progression is dearly needed as available therapy is limited to surgical lesion eradication. Loss of *Klf4* *in vivo* prevented the onset and progression of vascular lesion and almost abolished mouse mortality due to brain hemorrhage in EC-CCM1 KO mice. Since the upregulation in KLF4 observed in our murine model of CCM was confirmed both in familial and sporadic CCM patients, the use of inhibitors of KLF4 could constitute a common strategy for the treatment of the familial and sporadic forms of the disease. Sporadic patients, at variance with the familial ones, got a diagnosis frequently at late phases of the disease when established vascular lesion are already symptomatic. Thus, the treatment of these patients with KLF4 inhibitors will require further studies on the effects of KLF4 inhibition at later stages of the CCM disease.

Transcription factors are considered, in general, “undruggable agents”. Indeed, KLF4 is a member of the large family of Kruppel-like factors that are highly homologous sharing a triple zinc finger DNA-binding domain ¹⁸². The functions of the KLF family members can be exclusive or in some cases overlapping and redundant, compensating for one another and this might impair the efficacy of a possible therapy based on specific chemical compounds ¹⁹⁸. An alternative approach could be the use of inhibitor of the MEKK3-MEK5-ERK5 axis responsible for the upregulation of KLF4 upon loss of anyone of the *Ccm* genes. Since the specific ERK5 inhibitor XMD8-92 was very effective in

reducing KLF4-dependent EndMT in cultured CCM1 KO ECs and was previously used *in vivo*²⁵⁹, we are going to test its efficacy in preventing lesion development and progression in EC-CCM1 KO mice. However, widespread inhibition of ERK5 kinase could lead to severe side effects since ERK5 activity was reported to be critical for endothelial cell physiology by preventing apoptosis, regulating tumor angiogenesis and mediating EC response to shear stress^{241, 243}. The use of KLF4 or ERK5 inhibitors bound to nanoparticles targeting specifically CCM vascular lesions may represent a more safe therapeutic approach.

In the immediate future a high throughput screening of small molecule libraries will be performed in collaboration with the Uppsala University to identify new compounds able to inhibit the MEK5-ERK5-KLF4 signalling axis. They will be tested *in vivo* in our mouse model for their efficacy in preventing, arresting or even reverting the growth of CCM lesions.

A targeted therapy with KLF4 inhibitors could anyway promote inflammation in vascular lesions. A robust infiltration of immune cells in human CCM specimens had been reported in literature²⁷². In agreement, vascular lesions in EC-CCM1 KO mice were infiltrated by CD11b and CD3 positive cells and showed an increased expression of cell adhesion molecules (CAMs) such as ICAM1 and VCAM1²⁸². KLF4 was described as a modulator of EC inflammation by its ability to inhibit NF- κ B activity and the expression of pro-adhesive (such as *Vcam1*) and pro-thrombotic genes (such as *Pai-1*)^{227, 228}. Since inflammatory cytokines produced by immune cell infiltrated in CCM could act in synergism with TGF- β /BMP signalling to promote EndMT²⁷⁸, targeting KLF4 expression in an established CCM lesion with an inflammatory reaction ongoing could lead to unexpected side effects.

7.7 KLF2 and KLF4 do not have overlapping roles in CCM

Two different research groups recently reported a causative role for KLF2 in the cardiac phenotype observed in the absence of CCM in both mouse and zebrafish embryos^{107, 296}.

In these studies KLF2 was responsible for both digestion of the cardiac jelly²⁹⁶ and increased angiogenesis¹⁰⁷. In our CCM disease model we found that *Klf2* was upregulated in freshly isolated brain derived CCM1 null ECs or in cultured CCM1 KO BMECs supporting the idea of a possible cooperation between KLF2 and KLF4. However, although these two transcription factors have many common targets, they still exhibit specificity due to individual differences in their affinities for jointly regulated promoters. The lack of a fully overlapping biological role of KLF2 and KLF4 is also demonstrated by the different phenotype of the respective null mice. While *Klf2* null mice exhibit abnormal blood vessel formation resulting in embryonic hemorrhage and death³²¹, *Klf4* null mice die within one day after birth due to loss of skin barrier function²¹⁸. The relative contribution of KLF2 to the development of CCM remains an open question and requires future studies, since *Klf2* was not further upregulated upon *Klf4* ablation in our CCM model and apparently did not compensate for the lack of KLF4 in inducing EndMT.

7.8 Concluding remarks

In conclusion, this study highlights the importance of KLF4 transcriptional activity for the onset and development of CCM pathology and identifies novel potential pharmacological targets to prevent the progression of this so far incurable disease.

APPENDIX

List of upregulated genes (threshold =1.2, P<0.05)		
Gene name	Fold change	Gene ID
SERPINB9B	3,324091282	10404439
KLF4	3,148817186	10513008
STMN2	3,131122603	10490818
FBLN2	3,034081838	10540085
EDNRB	2,951564768	10422164
DLL1	2,941944438	10448034
KLF2	2,790241509	10572800
LMCD1	2,610977454	10540523
NEURL2	2,556606278	10489566
NPNT	2,450489559	10502240
PLTP	2,426118469	10489569
F5	2,404721801	10351224
LRG1	2,396352264	10451953
CYP1B1	2,375432151	10453057
FNDC1	2,329047398	10447649
RAMP3	2,321472193	10374197
LTBP4	2,298734744	10561212
TNFSF18	2,294468499	10351099
CMKLR1	2,260794718	10532711
FAM20A	2,24657932	10392464
PGLYRP1	2,193401621	10550509
FAM107A	2,101296871	10417561
NMNAT2	1,992120616	10350697
CEACAM1	1,930902074	10561008
KCNB1	1,9282004	10489872
PTHLH	1,926136571	10549388
JAM2	1,904576187	10436666
CD55	1,900916287	10357488
RTP3	1,880380989	10597273

LSR	1,875324167	10562181
SERPINB1A	1,865516344	10408557
ADAMTS5	1,85637735	10440534
SNCG	1,840400814	10418921
SLCO2A1	1,838692212	10588263
FAM189A2	1,83422421	10466735
TSC22D3	1,823784159	10606989
FMO1	1,818948883	10359571
FAM55D	1,80884025	10585068
OLFML2A	1,803982071	10471882
DHRS3	1,77509963	10510129
LBP	1,769553034	10478048
SELP	1,765736419	10351206
GBP4	1,757384653	10531987
NR4A1	1,739273725	10427035
ITGB4	1,706050084	10382713
CD14	1,701638995	10458382
NOV	1,700778188	10424119
NQO1	1,687818767	10581538
MGLL	1,687052652	10539894
LFNG	1,67692132	10527012
TRPV4	1,667625109	10532839
GPR1	1,66470899	10355109
1700020C07RIK	1,664512841	10489562
PMP22	1,662783111	10376950
C430048L16RIK	1,65900703	10503359
2310046K01RIK	1,656725977	10477052
PPAP2B	1,645972235	10506488
CLIC5	1,644865933	10445347
CXX1A	1,644672122	10604633
SERPINB8	1,636189673	10349174
EGFL8	1,634330772	10450212
PKN3	1,630613653	10470913
CADM3	1,624944	10360349

CXX1A	1,62113024	10604637
TSPAN7	1,61505131	10598626
TINAGL1	1,603417361	10516735
ATP2B4	1,599099822	10357833
CYSLTR1	1,593092459	10606355
2200002K05RIK	1,574550468	10583291
CBFA2T3	1,572309247	10582429
CRIP1	1,570365083	10399005
CAR7	1,570256237	10574480
AFAP1L2	1,562065555	10468668
XDH	1,55413303	10452815
CKB	1,552189822	10402708
RASSF1	1,54975483	10596671
RET	1,547581084	10547227
RIPPLY3	1,532572156	10437073
ATP2A3	1,52136888	10378216
SCGB3A1	1,521295065	10375608
GPRC5A	1,520673048	10542335
P2RY2	1,519292869	10565962
ANPEP	1,513758436	10564818
L1CAM	1,510148057	10605113
DISP2	1,508751285	10474814
APLNR	1,50703717	10473444
PNKD	1,501214365	10347310
NR4A2	1,494424487	10482772
KLF10	1,483546349	10428302
ARF2	1,481737616	10381744
ZFP341	1,476503729	10488802
SCD1	1,451430005	10467979
CBFA2T3	1,448595702	10582427
CDC42EP2	1,438385119	10465278
SLC9A3R2	1,434452305	10448676
PLVAP	1,430956683	10579525
FMO2	1,427311276	10359582

4930578C19RIK	1,422225275	10603796
ADAMTS1	1,422077411	10440522
SLC46A3	1,421717672	10535841
RAMP2	1,420550382	10381298
MS4A6D	1,419079092	10466210
TRIL	1,418641444	10544837
CAPN5	1,415493378	10565689
APOD	1,409564993	10439009
SLCO1A4	1,409545452	10548996
LY6C2	1,405662262	10429573
DOK4	1,404464346	10580782
PDLIM1	1,402163903	10467420
MAL	1,401940382	10487441
EZR	1,397332155	10447602
NRGN	1,396852802	10592330
JAG2	1,3934971	10402808
SLCO2B1	1,389436594	10565819
SPA17	1,387103077	10592336
CAR5B	1,383545414	10607738
EMP2	1,375923252	10437639
TMEM44	1,371885427	10438942
ACER3	1,366130212	10565712
CD97	1,3649991	10580033
CST6	1,363712949	10464999
DNAJA1	1,359668546	10503995
2210411K11RIK	1,359404685	10559673
WFDC1	1,357121606	10575917
PBK	1,353547015	10416037
LCN2	1,349355042	10481627
S1PR4	1,347415686	10371217
LEPREL1	1,345059506	10438753
ANKRD37	1,344155456	10578515
FAM78B	1,338034208	10351400
MN1	1,330718116	10524327

ZFYVE9	1,328750284	10515028
MYBL1	1,327806574	10353010
STC1	1,327121079	10416181
UPP1	1,32317144	10374236
ID1	1,32317144	10477169
ZFP697	1,322447088	10494655
AQP11	1,321146084	10565627
NRM	1,318218936	10444927
ABI3	1,317739321	10390186
NCOA3	1,312374985	10478718
NT5E	1,310897605	10587639
LY6C1	1,308940937	10429568
THBD	1,300304944	10488378
RFK	1,299989526	10461898
PODXL	1,295447007	10543791
PROM1	1,294751294	10529824
AI836003	1,29463463	10426581
KLF7	1,294369932	10355141
MUSTN1	1,293697215	10413609
HSPH1	1,292751519	10535904
PIK3R6	1,289221363	10377286
TEAD4	1,28403542	10548146
KCTD12	1,283021192	10422059
BAIAP2L1	1,282598833	10535559
APOLD1	1,281781187	10542319
BANP	1,27980588	10576073
ALS2CL	1,275882082	10589654
IDH1	1,275444392	10355214
GBP6	1,27525875	10531994
KIF23	1,273721618	10594251
PAQR5	1,272962571	10594277
STARD8	1,272327437	10600921
FAM20C	1,272274524	10526853
BC020535	1,271428207	10477237

NUSAP1	1,269864884	10474984
CALCRL	1,269666854	10484371
8430408G22RIK	1,269037763	10541071
NSG1	1,26791673	10529656
PIR	1,267161142	10603087
CHORDC1	1,266318226	10583347
DGKA	1,262820862	10373542
SH3BGRL2	1,261967713	10587503
SDPR	1,261464844	10346164
NEK7	1,259804614	10358259
SHE	1,256272992	10493604
GJA1	1,256229453	10363173
PLAUR	1,254258748	10550906
KRAS	1,253589499	10549256
KIF11	1,253298444	10462796
HLX	1,25103747	10360834
CDC25B	1,248707001	10476252
E2F8	1,248503616	10563780
2810417H13RIK	1,244770694	10586448
RNF125	1,243951295	10454198
ADAMTS4	1,243882318	10351551
OXA1L	1,240180405	10419744
HIST1H1B	1,239974112	10408081
GPR182	1,239565924	10373325
STK10	1,23741978	10375083
RYBP	1,237346876	10546706
NOS3	1,236061049	10520126
MEST	1,234121983	10537062
EMP3	1,233920973	10563441
SLC16A3	1,232984788	10383502
KANK3	1,232681428	10444028
CDA	1,232126174	10517609
RNF167	1,228616787	10377927
BST1	1,225945632	10521667

AZIN1	1,224455209	10428310
2610034B18RIK	1,222229324	10564849
ADAMTS9	1,221623737	10546432
GPR31C	1,219787638	10447647
RACGAP1	1,219141008	10432511
EBP	1,218756573	10603485
HIST1H1A	1,218570736	10404069
ENTPD1	1,21828359	10463070
SERPINB6B	1,218156929	10404422
DPAGT1	1,217777025	10584700
DHRS7	1,217363487	10400941
PPDPF	1,216089997	10599576
HES1	1,215955136	10434925
PDGFA	1,215529578	10535043
AVPI1	1,214607346	10467739
TEK	1,214531577	10505954
PALMD	1,213732084	10501734
LAYN	1,212849046	10593449
ACY3	1,212630488	10460263
MMP15	1,212382556	10574350
GPR146	1,211861645	10526943
FUCA2	1,209214346	10361790
ADAMTS9	1,209029964	10546450
CASKIN2	1,208338782	10392998
MARVELD1	1,206476655	10463224
PPP1R11	1,206351222	10450814
AURKB	1,205348224	10377405
3110001D03RIK	1,205264679	10513952
C2CD2L	1,203720131	10592802
ERI1	1,203290515	10578193
PIK3R3	1,202010918	10507273

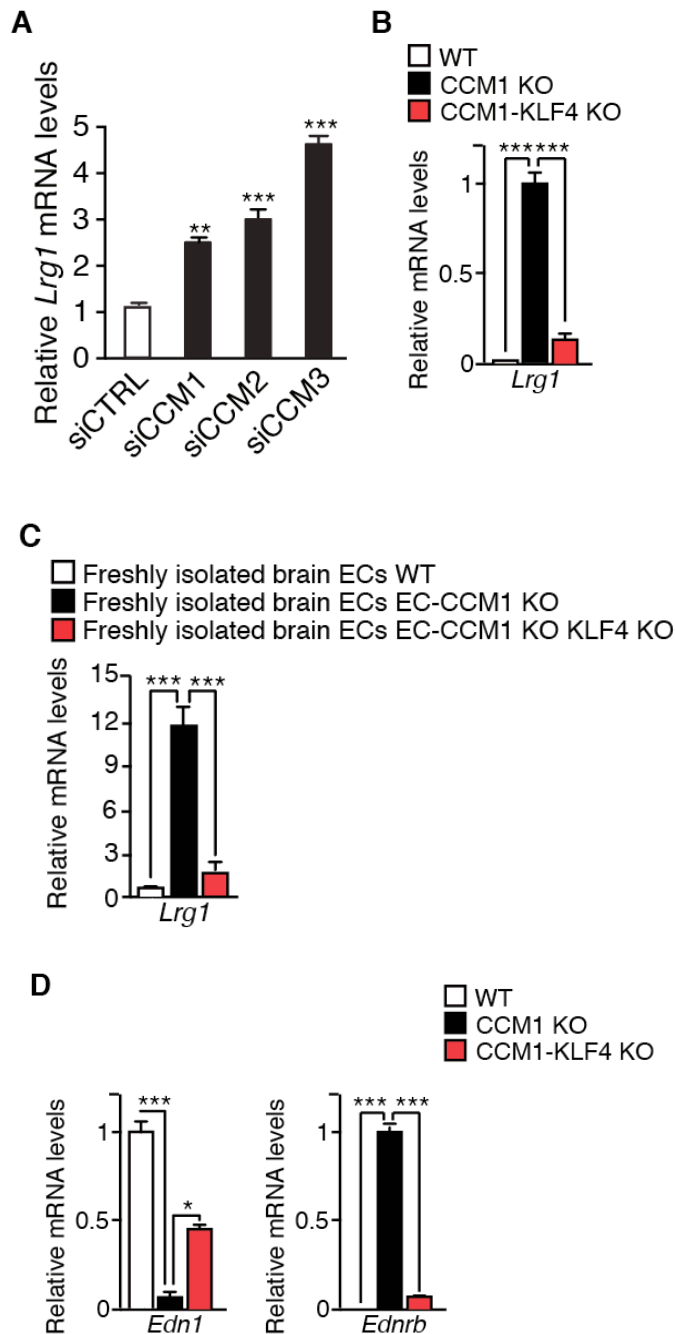
Appendix Table 1- List of upregulated genes in CCM1 KO BMECs obtained by Affymetrix analysis and ranked according to the fold change.

List of down regulated genes threshold =1.2, P<0.05		
Gene name	Fold change	Gene ID
FAM163A	2,220246717	10359113
STFA1	1,875376162	10435501
ZFP462	1,748848117	10505073
CCL2	1,673246504	10379511
KDM4D	1,651824026	10590915
SELE	1,597720445	10351182
IRG1	1,576510742	10416837
ANKRD1	1,56004214	10467191
EPHA7	1,535533514	10503659
CCRN4L	1,532758069	10491915
PRSS23	1,527407486	10554814
CLDN1	1,523025398	10438769
CCL5	1,515811125	10389207
THY1	1,48728899	10584628
ARRDC4	1,45031874	10564507
SNORD49B	1,42795449	10376885
MYL9	1,423167036	10477920
ADAMTS7	1,419098765	10587748
ACPP	1,417235983	10596303
TGFB2	1,398325279	10360920
ITGAV	1,396001024	10473281
F2RL2	1,3950579	10406736
GPX3	1,3823903	10376201
SRPX2	1,38148031	10601659
CSF1	1,377115915	10501164
HMCN1	1,351615687	10358577
ZIC3	1,351503268	10599812
PLXDC2	1,347051492	10469457
APCDD1	1,346206754	10456184
ST5	1,344551486	10566767
SNCA	1,338191884	10545086
ARHGAP28	1,337677185	10452613

GNAS	1,335675916	10490203
ICAM1	1,327627115	10583519
LCP2	1,326293436	10375145
RASSF2	1,323905365	10487894
TAGLN	1,321553655	10593123
BC046404	1,317958552	10386916
MT2	1,314077169	10574023
VCAM1	1,313166636	10501608
GM8979	1,312848098	10566578
CHGA	1,307005308	10397882
LPAR4	1,306493548	10601412
TNS3	1,305972936	10384233
NFKBIE	1,302149415	10445412
H2-Q6	1,295626606	10444824
JAG1	1,291815468	10488060
ESM1	1,287604925	10407281
PHF15	1,285567177	10385747
KCNQ4	1,284133327	10515960
RCAN2	1,281732322	10445325
PTGS2	1,281470262	10350516
GM8979	1,280493562	10566571
KRIT1	1,27832529	10519392
SLC39A4	1,277811475	10430006
RND1	1,273809909	10432236
EDN1	1,272927277	10404783
RND3	1,26779809	10482500
SERPINE1	1,265142594	10534667
NCKAP5L	1,263381192	10432473
GADD45B	1,261683458	10364950
ITIH5	1,261591635	10469151
RGS16	1,255620075	10350733
ISM1	1,253858894	10476560
ENC1	1,25078602	10406817
RIPK2	1,250720999	10511703

ODC1	1,250668984	10394770
2610008E11RIK	1,250582297	10370544
HSPG2	1,24971576	10509280
SLC40A1	1,23876283	10354374
GNAI1	1,235508555	10528227
F2R	1,232296994	10411229
HIVEP1	1,227267722	10404774
COL15A1	1,222004841	10504775
LAMB1	1,221327405	10395163
VWA1	1,219212839	10519196
ERN1	1,219128332	10392183
VWF	1,218748126	10541910
TCFEC	1,218490497	10543239
ODC1	1,218241368	10582295
NLRC5	1,217295984	10574100
MEOX1	1,216330256	10391504
NUAK1	1,215550642	10371379
GVIN1	1,213774149	10566574
WBP5	1,208904265	10601854
TBC1D1	1,208736687	10522024
TXNDC5	1,206142195	10408741
HBEGF	1,202427575	10458340
ENPP2	1,202194229	10428619

Appendix Table 2 - List of downregulated genes in CCM1 KO BMECs obtained by Affymetrix analysis and ranked according to the fold change.



Appendix Figure 1 – Analysis of *Lrg1*, *Edn1* and *Ednrb* expression in WT, CCM1 KO and CCM1-KLF4 BMECs KO.

A) qRT-PCR of *Lrg1* upon silencing of anyone of the three *Ccm* genes in lung WT ECs. Data are mean \pm SD (n=3) and fold changes are relative to siCTRL-treated WT ECs. B) qRT-PCR of *Lrg1* in WT, CCM1 KO and CCM1-KLF4 KO BMECs. Data are mean \pm SD (n=3) and fold changes are relative to WT BMECs C) qRT-PCR of *Lrg1* in freshly isolated ECs from WT, EC-CCM1 KO and EC- CCM1 KO KLF4 KO. qRT-PCR results are shown as mean \pm SD (n=3) and are relative to WT freshly isolated ECs D) qRT-PCR of *Edn1* and *Ednrb* in WT, CCM1 KO and CCM1-KLF4 KO BMECs. Data are mean \pm SD (n=3) and fold changes are relative to to WT BMECs. *P<0.05, ** P<0.01, ***P<0.001.

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