

1 **Validation of a fear test in sport horses using infrared thermography**

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18

19 **Abstract**

20 The aims of the present study were to assess feasibility and validity of a fear test in adult sport
21 horses and to investigate whether the exposure to a fearful stimulus induces a change in eye
22 temperature. Fifty horses, aged 14 ± 6 years of different breed and gender, entered the study. For
23 each horse, a caretaker was asked to fill in a validated temperament questionnaire. A novel object
24 fear test (NOT), has been selected from literature to examine fearfulness. Temperature of the
25 lacrimal caruncle was measured pre-test and post-test on 22 horses, representative of the whole
26 sample. In order to assess discriminant validity of the NOT three human-animal relationship tests
27 were performed on the same horses. Data were analyzed with descriptive, non-parametric and
28 multivariate statistic methods. No significant differences were found between females and geldings
29 for any of the measured variables. Horses that were described by caretakers as more prone to panic,
30 vigilant, excitable, skittish and nervous ($p < 0.001$), needed significantly longer time to re-approach
31 the novel object ($p < 0.01$). Eye temperature was significantly higher after the NOT compared to
32 basal ($p < 0.01$), with subjects who did not re-approach the novel object tending to present larger
33 increases ($p < 0.10$). Horses showing more fear related responses to the NOT did not show more
34 negative reactions to humans during the human-animal tests. These results suggest that, to some
35 extent, the NOT predicts horses' behaviour in real on-farm situations. Our findings reject the
36 hypothesis that reactivity to humans and general fearfulness belong to the same basic feature of
37 temperament. Importantly, infrared thermography proved to be useful in assessing physiological
38 reactions of fear in horses.

39
40 **Keywords: fear test, horse, infrared thermography, validity, welfare**

41

42 **1. Introduction**

43 Fear in domestic animals has been defined by Boissy (1998) as a reaction of the perception of actual
44 danger. Fear responses are characterized by behavioural and physiological modifications (Forkman
45 et al., 2007): active defense (attack, menace), active flight (hiding, escape) and passive avoidance
46 (freezing) are some of the behaviours that are frequently related to an underlying emotion of fear in
47 animals (Erhard and Mendl, 1999). When experiencing fear, cardiovascular changes occur in
48 different parts of the body with the ultimate effect of increasing perfusion pressure and redirecting
49 blood flow to the Central Nervous System and skeletal musculature. Sport horses may be subject
50 to different fearful events, for example, being transported and competing in different environments
51 with novel stimuli and sounds (McGreevy and McLean, 2010), being approached by unfamiliar
52 people or undergoing many handling and management procedures. Horses, as prey animals, have a
53 tendency to escape from frightening stimuli and may show flight reactions which can be dangerous
54 for both horse and man (Christensen et al., 2008, 2005; McGreevy and McLean, 2010): Keeling
55 (1999) demonstrated that in equitation sports, many serious human injuries occur as a result of
56 unexpected horse fear reactions. Because owners often misunderstand the reason for the
57 development of such behaviours in their horses, attempts at correcting them often involve
58 suppression or punishment based approaches (Hothersall and Casey, 2012). Although repeated
59 subjugation of undesirable fear responses may ultimately appear to solve the overt behavioural
60 reaction, this method can cause short- or long-term stress (McGreevy and McLean, 2010) and can
61 worsen the problem or lead to the development of alternative avoidance strategies such as abnormal
62 behaviours (Hothersall and Casey, 2012). Besides possible problems caused by inappropriate
63 human reactions to fear displays, a long-term negative emotional state related to fear can *per se*
64 cause chronic stress and reduced welfare (Dantzer and Mormede, 1983; Désiré et al., 2006; Minch
65 et al., 2008; Willner et al., 1992).

66 Due to the aforementioned reasons, it is blatantly obvious that fear in horses plays an important role
67 in their welfare, and thus it is important that it is recognized and assessed accordingly. Various fear
68 tests have been used to determine temperament characteristics in horses: novel object (e.g.
69 Anderson et al., 1999; Christensen et al., 2008, 2005; Seaman et al., 2002; Visser et al., 2003b,
70 2002; Wolff et al., 1997), novel arena (e.g. Le Scolan et al., 1997; Seaman et al., 2002; Wolff et al.,
71 1997), and restraint and human fear tests (e.g. Le Scolan et al., 1997; Visser et al., 2003b, 2001;
72 Wolff et al., 1997). The novel object test (NOT) is an experimental situation where the animal is
73 exposed to an unknown stimulus to provoke a fear reaction. Although it is not possible to attribute a
74 given measure to any single emotion, time to approach the new stimulus appears to be one of the
75 most appropriate indicators of fearfulness (Górecka-Bruzda et al., 2011; Wolff et al., 1997).
76 Feasibility under field conditions and ease and duration of fear tests are important characteristics for
77 them to be applied as well as reliability and validity (Górecka-Bruzda et al., 2011). Validity means
78 the degree to which a test measures what it purports to measure (Martin and Bateson, 1993;
79 Weiblinger et al., 2006). Predictive validity measures the ability of an indicator to predict some later
80 criterion (Cronbach and Meehl, 1955). In order to assess predictive validity of fear tests, different
81 studies investigated their correlation with surveys via questionnaires which aimed to detect those
82 characteristics of temperament in horses that influence their habitual behaviour (e.g. Anderson et
83 al., 1999; Le Scolan et al., 1997; Momozawa et al., 2007, 2003; Morris et al., 2002a, 2002b).
84 Respondents were generally caretakers or riding teachers who were familiar with horses, thus their
85 responses were based on long-term observation and were not influenced by a temporary change in
86 equine behaviour, which may occur in behavioural tests (Momozawa et al., 2005).
87 Discriminant validity analyzes the divergence between measures of conceptually unrelated
88 concepts, for instance fear and human-animal relationship, and has seldom been evaluated for fear
89 tests (Górecka-Bruzda et al., 2011; Visser et al., 2003b). Convergent validity regards the
90 relationships between independent measures of the same conceptually related construct (Weiblinger
91 et al., 2006). Assessment of convergent validity of fear tests usually considers whether their

92 outcome is related to physiological changes due to fear. Some of the most frequently used
93 physiological indicators are heart rate (e.g. Christensen et al., 2008; Momozawa et al., 2003), heart
94 rate variability (e.g. Rietmann et al., 2004; Stewart et al., 2008c; Visser et al., 2002; von Borell et
95 al., 2007), cortisol concentration (e.g. Anderson et al., 1999; Cook et al., 2001; Flauger et al., 2010;
96 Stewart et al., 2008a), and Infrared Thermography (IRT). Infrared Thermography can be used to
97 detect changes in peripheral blood flow (which causes changes in body heat) as a response to fear
98 induced stress. Studies in different animal species have revealed that after a stressing event, the
99 small areas around the posterior border of the eyelid and the caruncula lacrimalis change
100 temperature. This area has rich capillary beds innervated by the sympathetic system (e.g. McGreevy
101 et al., 2012; Stewart et al., 2009, 2007) and thus represents an ideal place for measuring local
102 changes in blood flow resulting from tuning of the Autonomic Nervous System. Stewart et al.
103 (2007) measured an increase in eye temperature in cows after intramuscular injection of ACTH,
104 CRH and epinephrine. Research carried out on different species correlated increased eye
105 temperature with cortisol concentrations in response to pain (Stewart et al., 2008b, 2008c), stress
106 (Ludwig et al., 2007; Stewart et al., 2007; Valera et al., 2012), and fear (Stewart et al., 2008a). In a
107 study on horses undergoing stressful situations Valera et al. (2012) found that the eye temperature
108 increased as a consequence of stress. Similar results were found by Hall et al. (2001) who found a
109 higher eye temperature in horses lunged with the Pessoa Training Aid (held responsible for
110 increasing the stress during training) than horses without. Bartolomé et al. (2013), were able to
111 demonstrate a correlation between an increase in heart rate and eye temperature after jumping
112 competitions. Cook et al. (2001) investigated the underlying causes of increase in eye temperature
113 in horses and found that it was correlated to activation of the HPA axis.

114 To our knowledge changes in superficial temperature during fear exposure have never been studied
115 in horses. This study aims to assess the feasibility and predictive, convergent, and discriminant

116 validity of a fear test in adult sport horses and investigates whether the exposure to a fearful
117 stimulus induces a thermographic change in eye temperature.

118

119 **2. Methods**

120 This study was conducted in agreement with ISAE ethical guidelines (ISAE Ethics Committee,
121 2002) on adult non-pregnant horses and no animals underwent more than minimal distress. In
122 addition, if horses displayed any hyper-reactive behaviour that could compromise the horse or the
123 assessors' safety, the test was immediately ended and the observer left the box (this was recorded as
124 a result).

125 *2.1 Animals*

126 Experiments took place from January to May 2013 at six different riding centers in Northern Italy.
127 A total of 50 adult riding horses (mean age 14 ± 6 years) of different sex (30 geldings, 16 mares, 4
128 stallions) were used in the study. Horse breeds were variously distributed and comprised
129 warmblood horses, draft horses and thoroughbreds. All horses were stabled in single boxes with
130 daily access to group paddocks for one to ten hours. Straw bedding was used in two centers,
131 whereas horses were kept on wood shavings in the remaining three centers. Horses were fed three
132 times a day with hay and concentrated industrial feed, depending on the type of activity they carried
133 out. Water was provided ad libitum.

134

135 *2.2 Questionnaire survey*

136 Six caretakers (one per riding center) completed the questionnaires for the 50 tested horses; the
137 number of questionnaires filled in per caretaker varied from six to ten. The questionnaire was
138 developed and validated by Momozawa et al. (2005) and contained 20 questions regarding horse
139 temperament (table 1). The responses were ranked on a scale from one to nine, with one being the

140 lowest rank for each item. Two animal welfare experts translated the questionnaire into Italian; the
141 mother tongue of both translators was Italian and their level of English was advanced. In a second
142 round, the authors discussed and refined some of the items, which they felt might be difficult to
143 interpret.

144 *2.3 Behavioural tests*

145 Four behaviour tests were chosen and are described in paragraphs 2.3.1 to 2.3.4. All tests were
146 conducted on the same day and in the same housing conditions. Horses were tested at least one hour
147 before work and between meals to avoid possible distractions and confounding food motivation. A
148 map of the facility was drawn before testing the horses in order to facilitate the randomization of the
149 testing order. To avoid habituation, horses kept in adjacent boxes were not tested consecutively.
150 The test order was designed to firstly measure reactivity to a human, followed by the fear test. Two
151 female experimenters (aged 24-28yrs), experienced in the field of animal welfare, conducted the
152 tests. The first assessor performed the tests, while the second assessor scored the reactions of the
153 horse to the different tests from a distance and without interfering with the test performance. To
154 maintain consistency, the assessors always wore the same type and colour of clothing at all the
155 riding centers, including appropriate safety clothing (e.g. accident prevention shoes) to reduce risk
156 of injuries. Preventive safety measures always included making sure that there were no obvious
157 physical hazards in the environment. Prior to the first assessment, both assessors familiarized
158 themselves with the tests by researching relevant scientific literature and performing preliminary
159 practical trials with a trainer familiar with the experimental procedures.

160 *2.3.1 Fear Test (NOT)*

161 For the fear test (NOT) an object which was not familiar to the horses was used. The procedure was
162 derived and adapted from the work conducted by Górecka-Bruzda et al. (2011). A green, 1.5 l,
163 plastic bottle, filled with small stones and attached by a 4 m cord, was placed at the box entrance

164 and the cord was hung over the box door to keep the bottle at a height of approximately 1.5 m. In
165 the original test the plastic container was placed next to the feeding bucket. The latency time to
166 explore (sniffing, touching) the novel object was measured (first latency). When the horse
167 approached, or after 300 seconds, the experimenter released the cord allowing the bottle to drop,
168 thus emitting an unexpected, muffled noise. Latency to re-approach the bottle was then measured
169 (second latency). The test was considered finished when the horse re-approached the bottle or after
170 300 seconds.

171 *2.3.2 Avoidance Distance Test (AD)*

172 At a distance of 2 m from the door of the horse-box, the observer waited until the horse's attention
173 was directed towards them, and then slowly began to approach the horse at approximately one step
174 per second. The observer never made direct eye contact with the horse; conversely they kept their
175 eyes focused on the muzzle and an arm raised in front of them at an angle of 45°, with the palm
176 facing downwards. The test terminated at any point when the horse showed an avoidance reaction
177 (taking steps away from the observer or turning of the head). In such instances, a score of 0 was
178 assigned. If the horse remained stationary and accepted being touched by the observer, a score of 1
179 was recorded.

180 *2.3.3 Voluntary Animal Approach Test (VAA)*

181 The assessor stood in front of the horse-box with their body at an angle of approximately 45°, and
182 placed one hand on the box door whilst remaining motionless for 20 seconds. The latency until the
183 horse approached and touched the hand was measured. If the horse did not approach the
184 experimenter, a score of "more than 20 seconds" was given. The behaviour of the horse was also
185 recorded on a three-point scale: 0 was given when the horse was aggressive (ears back, trying to
186 kick, trying to bite, rearing); 1 when the horse showed no interest in human presence; 2 when the
187 horse was interested and friendly (sniffing, turning the head toward the observer, approaching).

188 2.3.4 Forced Human Approach Test (FHA)

189 Once the horse had touched the experimenter or after a period of 20 seconds had passed with no
190 signs of aggression shown, the assessor entered the box and approached the horse. Remaining
191 approximately 0.5 m from the animal, the assessor placed a hand on the horse's neck and walked
192 slowly to the rear of the horse maintaining contact with the horse. The behaviour toward the
193 observer was recorder on a three-point scale: 0 was given when the horse did not allow the observer
194 to touch them; 1 when the horse allowed the observer to touch them, but then tried to move away; 2
195 when the horse allowed the touch.

196 2.4 Infrared Thermography

197 On a group of subjects (N=22) from 3 riding centers and representative of the whole sample, eye
198 temperature pre-test and post-test was evaluated. This group was composed of horses of different
199 breed and gender (10 mares, four stallions and eight geldings), aged between three and 27 years
200 (mean=13). An infrared camera (NEC AVIO TVS500, Nippon Avionics Co., LTD, Tokyo, Japan)
201 with standard optic system was used to record the temperature (°C) of the lacrimal caruncle. The
202 thermographic infrared images were captured by a certified technician (E.H.).

203 Lacrimal caruncle was chosen as target area based on information derived from (Bartolomé et al.,
204 2013; Cook et al., 2001; McGreevy et al., 2012; Stewart et al., 2009) and because its temperature is
205 not influenced by the presence of hair. In our study it was not possible to regulate room temperature
206 and humidity but they were relatively stable across all situations (min=19.30 °C, max=21.00 °C,
207 mean=19.73 °C).

208 To optimize the accuracy of the thermographic image and to reduce sources of noise, before every
209 work session the same image of a Lambert surface was taken to define the radiance emission and to
210 nullify the effect of surface reflections on tested animals (Mallick et al., 2005). Only images
211 perfectly on focus were used. To determine the caruncle temperature, Grayess IRT analyzer 6.0

212 software (Grayess, 2007) was used and the maximum temperature (°C) within a circular area traced
213 around the area was measured. This maximum value was used for subsequent analysis.

214 All the horses undergoing this procedure were accustomed to being restrained with head collar and
215 a loose rope. In order to collect sharp images without using potentially stressful restraint methods,
216 all the thermographic images were taken while the subject was gently restrained by holding the lead
217 rope fixed to the head collar, allowing enough movement away from the approaching observer
218 should the horse want to retreat. All horses were scanned from the same angle (90°) and distance
219 (approximately 0.5 m) inside their own box. Five images were taken before and five images
220 immediately after the test. All thermographic data was analyzed with Grayess-IRTAnalyzer
221 (GRAYESS Inc., Bradenton, FL, USA) software.

222 *2.5 Statistics*

223 Data was entered into Microsoft Excel (Microsoft Corporation 2010) and then analyzed with SPSS
224 statistical package (IBM SPSS Statistic 21). Descriptive statistics including relative proportions,
225 minimum and maximum values, median, mean and standard deviations were calculated. The data
226 was tested for normality using the Kolmogorov-Smirnov test. The U Mann-Withney test was used
227 to verify if the gender of horses affected the questionnaire scores or the test outcomes. Differences
228 were considered to be statistically significant if $p \leq 0.05$. Factor analysis was performed using the
229 principle factor method for factor extraction, to evaluate any relationship between questionnaire
230 items. A correlation matrix with varimax rotation was used, and factor scores were calculated for
231 horses when the factor's Eigen value was greater than 1. A TwoStep Cluster analysis with
232 automatic determination of the number of clusters was performed on questionnaire items relating to
233 "fearfulness/anxiety" (as determined by Factor analysis) and outcomes of the NOT, in order to
234 identify groups of horses that are similar to each other for the considered variables. The TwoStep
235 clustering algorithm handles both continuous and categorical variables, continuous variables are z-
236 standardized by default in order to make them comparable. The U Mann-Withney test was used to

237 verify if the horses assigned to different clusters significantly differed for the considered variables.
238 A match-paired Wilcoxon's test was used to compare thermographic data before and after the test
239 and analysis of variance ANOVA was used to compare thermographic variations between horses
240 who did or did not approach the novel object. The Kruskal Wallis ANOVA test was used to
241 evaluate if the horses showing more intense fear reactions to the NOT also showed higher reactivity
242 to the human-animal tests.

243

244 **3. Results and discussion**

245 The startling novel object test chosen as a reference (Górecka-Bruzda et al., 2011) and further
246 refined in this study was selected because it is used in horses for measuring fear and its validity has
247 been confirmed in a previous scientific work, although only in cold blood horses. It was also
248 promising in terms of feasibility as it is of simple execution, it can be performed in the horse home
249 box and its lead time is relatively short. However, prior to considering implementation in an on-
250 farm welfare assessment protocol, refinement of the original test was deemed necessary to avoid
251 possible conflicting motivations initially caused by proximity of the novel object to the food bucket.
252 Our results revealed that the NOT was feasible under field conditions in sport horses. No safety
253 issues were encountered, no tests had to be interrupted because of dangerous reactions of horses and
254 all owners showed good acceptability of the procedure adopted to test the animals. Total time
255 required to perform the test revealed substantial individual variability, ranging from 0 to 600 sec
256 (mean 141 ± 177 sec), mean latency time to first approach the bottle was 23 ± 45 sec, and horses
257 needed 27 ± 34 sec to re-approach the bottle after it had been dropped in the box.
258 Table 2 reports the scores (min, max, median and standard deviation) of each questionnaire item.
259 Horses were prevalently described by their caretakers as trainable, friendly towards people, with a

260 good memory, cooperative, docile and were easy to get onto the trailer, as attested by high scores in
261 these descriptors.

262 No significant differences were found in questionnaire scores or NOT results between females and
263 geldings (U Mann-Whitney $p > 0.05$). Stallions were not compared to the other genders due to their
264 limited number (N=4). Several authors investigated the effect of gender on personality traits of
265 horses of different breeds and ages, using diverse methods and coming to different conclusions
266 (Bartolomé et al., 2013; Kędzierski and Janczarek, 2009; Maros et al., 2010; Momozawa et al.,
267 2007; Rietmann et al., 2004; Seaman et al., 2002; Visser et al., 2002; Wolff et al., 1997). Our results
268 are consistent with Rietmann et al., (2004) who found that geldings did not differ from mares in any
269 investigated measure of mental stress during training (HR, HRV and stress-related behaviour) and
270 Seaman et al. (2002), who found no significant differences between the factor scores of mares and
271 geldings subjected to three different behavioural tests (an arena test, an unknown person test and a
272 novel object test). However, our findings are in contrast with studies by Momozawa et al. (2007)
273 and Maros et al. (2010) who found differences between sexes in the response to a behavioural
274 isolation test (Momozawa et al., 2007) and in the behaviour following a response to familiar
275 humans (Maros et al., 2010). These dissimilarities between researches may be attributed to the
276 diverse temperamental traits investigated using different experimental settings. Results of this study
277 confirm that most of the differences between subjects seem to relate to individual behavioural
278 differences and not to gender.

279

280 *3.1 Predictive validity*

281 In order to assess predictive validity of the NOT, the relation with a validated questionnaire
282 (Momozawa et al., 2005) was investigated. Most results concerning predictive validity are similar to
283 those obtained by Górecka-Bruzda et al. (2011) in cold blood horses. Table 3 shows the outcomes
284 of the PCA performed on the scores of the questionnaire items. The analysis identified four main

285 factors with Eigenvectors greater than 1, which together explain 61.9% of the variation between
286 horses. Figure 1 represents the PCA loadings on the first two factors. The first factor, accounting for
287 31.3% of the total variance, shows high negative loadings for “nervousness”, “excitability”,
288 “panic”, “inconsistent emotionality”, and “skittishness” suggesting that horses registering high
289 negative scores on this factor can be described as more aroused and fearful than horses with high
290 positive scores. These questionnaire items were considered for further analysis as the authors
291 assumed that they could potentially be related to other indicators of fearfulness as the latency to
292 approach a novel object. The first factor is also characterized by positive loadings of questionnaire
293 items relating to trainability (“concentration”, “trainability”, “cooperation”, “perseverance”,
294 “trailer”) and attitude toward humans (“docility”, “friendliness toward people”). Fearfulness,
295 attitude toward humans and trainability might have common background in the sense that owners
296 could have inappropriate reactions to fear displays affecting horses’ propensity to cooperate with
297 humans. The second factor accounts for 13.6% of the total variance and shows high positive loadings
298 for “memory” and “vigilance” as opposed to “friendliness toward horses”, suggesting that horses
299 with high positive loadings on this factor tend to be more alert. The meaning of the other two
300 factors, accounting for 10.2% and 6.6% of the total variance respectively, seems more elusive. The
301 third factor shows high loadings for “curiosity” and “stubbornness” opposed to “timidity”. Only
302 “competitiveness” belongs to factor four, so this factor retains the name “competitiveness”. The
303 results of two questionnaire items -“stubbornness” and “friendliness toward horses”- are difficult to
304 explain unambiguously as they appear not to be meaningfully associated with the others. One
305 possible explanation is that the owners interpreted these questions differently. One possible problem
306 with interpretation of the questionnaire is that it was developed for a specific population (Japanese)
307 and respondents with a different cultural background might interpret it differently. To avoid these
308 drawbacks, the questionnaire has been discussed among authors, as described in Method section.
309 Despite these precautions, our results indicate that some questions could have been interpreted
310 differently, hence correct wording of questionnaire items is essential.

311 A TwoStep Cluster analysis was performed on questionnaire items relating to “arousal/anxiety”
312 (negative loadings on the first factor) and latency to approach and re-approach the bottle in the fear
313 test, in order to identify groups of horses that are similar to each other for the considered variables.
314 Two clusters were found based on the seven input variables selected. Fifty-two percent (N=26) of
315 the horses were assigned to the first cluster and 48% (N=24) to the second. Horses in cluster 2
316 needed significantly more time to approach the bottle after it was dropped (U Mann-Whitney $p <$
317 0.01) and were described by their caretakers as more prone to panic, vigilant, excitable, skittish and
318 nervous (U Mann-Whitney $p < 0.001$) (Figure 2). However, they did not differ in the latency time
319 to approach the bottle when it was first placed at the box entrance (U Mann-Whitney $p > 0.05$). The
320 bottle, when used as a static novel object, probably did not possess features that induced a clear
321 reaction of fear enabling the differentiation of horses with various levels of fearfulness. Other
322 studies revealed a moderate correlation between behaviour test outcomes and subjective evaluations
323 of horse temperament provided by caretakers (Flentje, 2008; McCall et al., 2006; Visser et al.,
324 2003b). For example, Momozawa et al. (2007, 2003) found comparable results in studies
325 investigating correlations between the caretakers’ responses about ordinary behaviours, heart rate,
326 behaviour and latency times recorded during a Balloon Reaction Test or an isolation stress test.
327 Although questionnaire surveys have the advantage of being based on long-term observation, they
328 have the flaw of being subject to bias based on respondents’ personal beliefs and temperament.
329 Moreover, they should be carried out solely among those who are familiar with the behaviour of
330 horses under different circumstances (Momozawa et al., 2007), as was the case in this study. When
331 feasible and valid, standardised behaviour tests represent a preferable asset to people who deal with
332 horse temperament evaluation in a broad range of facilities as they prevent unreliability of
333 participants’ responses. Relationships between results of the NOT and evaluation of caretakers
334 suggest that, to some extent, the NOT outcomes represent a fearfulness temperamental trait.

335

336 *3.2 Convergent validity*

337

338 Convergent validity of the NOT was evaluated by examining relations between the test outcomes
339 and variation of lacrimal caruncle temperature. This study shows for the first time that lacrimal
340 caruncle temperature of horses undergoing the NOT was significantly higher after the test compared
341 to basal (mean temperature before the test: 35.90 ± 0.59 °C; mean temperature after the test:
342 36.19 ± 0.60 °C; Wilcoxon's $p < 0.01$), indicating the presence of a physiological response to the
343 test. Examples of thermographic pictures taken before and after the NOT are presented in Figure 3
344 (columns B and C, respectively). As shown in the figure, the temperature of the caruncle was higher
345 in the post-test period (yellow and white areas), whereas it was relatively low before the NOT
346 (orange areas). Also Nakayama et al. (2005) detected transient increases in temperature in the eye
347 regions of four macachi resus (*Macaca mulatta*) during the stimulation of a potentially threatening
348 person. Increased caruncle temperature was described by Stewart et al. (2007) in dairy cows
349 injected with ACTH, CRH and epinephrine. Although, the same authors reported contradictory
350 findings in cattle undergoing fear-eliciting (being hit with a plastic tube on the rump, being startled
351 by the sudden waving of a plastic bag, restraint, electric prod, startled accompanied by shouting)
352 (Stewart et al., 2008a) or painful stimuli (disbudding with or without local anesthetic) (Stewart et
353 al., 2008b). A possible reason for discrepancy between these studies may be due to the nature of the
354 fear stimuli used, as some of them might have caused pain besides fear. The magnitude of
355 temperature variation was related to the intensity of reaction to the NOT: subjects who did not re-
356 approach the bottle after it had been dropped in the box had a higher increase in lacrimal caruncle
357 temperature (ANOVA $p < 0.1$) (Figure 4). These results confirm that horses who experienced
358 intense negative emotions during the fear test presented more evident behavioural signs related to
359 fear (they do not re-approach the bottle) and higher variation in lacrimal caruncle temperature.
360 Analogously to Vianna and Carrive (2005), who investigated changes in laboratory rats undergoing
361 a conditioned fear response to footshock chambers and who found that tail temperature was

362 sensitive to the level of arousal, the findings of the present study suggest that the stronger the
363 arousal, the stronger the physiological response.

364

365 *3.3 Discriminant validity*

366

367 Discriminant validity of the NOT was studied by examining the possible relationship with fear of
368 people. Table 4 shows descriptive results of the three human-animal relationship tests. Fifty-six
369 percent of the horses did not show any avoidance behaviour when approached by the assessor in the
370 AD test. In VAA and FHA tests, only 6.1 % of the horses displayed negative reactions. The horses
371 which had shown avoidance reactions during the AD test or negative reactions to the FHA test did
372 not need more time to re-approach the novel object compared to horses that had expressed an
373 amicable behaviour towards humans during human-animal relationship tests (ANOVA Kruskal-
374 Wallis $p > 0.05$).

375 These results suggest that fear reactions shown in the NOT are not related to the responses of horses
376 towards unfamiliar humans. Other research failed to prove that different behaviour tests effectively
377 distinguish between fear of people and a more general fearfulness trait (Górecka-Bruzda et al.,
378 2011). In this study, similarly to Visser et al. (2003a), we demonstrated that the NOT is specifically
379 informative of the general fearfulness trait. These results do not support the hypothesis that
380 reactivity to humans and general fearfulness belong to the same basic feature of temperament.

381

382 **5. Conclusion and future directions**

383

384 The fear test originally developed by Górecka-Bruzda et al. (2011), refined and adapted by the
385 authors of this study to horses of different breeds and to different conditions, proved to be a valid
386 measure of general fearfulness of horses and could be easily implemented for use in an on-farm
387 welfare assessment protocol. The relatively limited number of subjects on which the thermographic

388 measures were performed (N=22) constitutes a limiting factor for the generalization of the results of
389 the present study. In any case, our results are a valid indication for a relationship between
390 superficial eye temperature and fear emotion. This study provides a new angle on mechanisms
391 regulating interaction between horse emotions and behaviour. Future studies should consider a
392 larger sample of horses in order to substantiate the results and to measure time to return to baseline
393 eye temperature after the fear stimulus.

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401 **Ethic Statement**

402 The work described in this article has been carried out in accordance with EU Directive
403 2010/63/EU for animal experiments. Horses were involved in this study at the request of their
404 owner on a voluntary basis and approval by an Ethical Committee was not required.

405 **Conflict of interests statement**

406 The Authors certify that there is not any actual or potential conflict of interest.

407 **Authorship statement**

408 The idea for the paper was conceived by Dai, Minero, Canali

409 The experiments were designed by Dai, Cogi, Minero

410 The experiments were performed by Dai, Cogi, Heinzl

411 The data were analyzed by Dai and Minero

412 The paper was written by Dai, Cogi, Heinzl, Dalla Costa, Canali, Minero

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