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### Abstract

Strong and persistent low-level winds blowing over the Adriatic basin are often associated with intense precipitation events over Italy. Typically, in case of moist southeasterly wind (Sirocco), rainfall affects northeastern Italy and the Alpine chain, while with cold northeasterly currents (Bora) precipitations are localized along the eastern slopes of the Apennines and central Italy coastal areas. These events are favoured by intense air-sea interactions and it is reasonable to hypothesize that the Adriatic Sea surface temperature (SST) can affect the amount and location of precipitation. High-resolution simulations of different Bora and Sirocco events leading to severe precipitation are performed using a convection-permitting model (MOLOCH). Sensitivity experiments varying the SST initialization field are performed with the aim of evaluating the impact of SST uncertainty on precipitation forecasts, which is a relevant topic for operational weather predictions, especially at local scales. Moreover, diagnostic tools to compute water vapour fluxes across the Italian coast and atmospheric water budget over the Adriatic Sea have been developed and applied in order to characterize the air mass that feeds the precipitating systems. Finally, the investigation of the processes through which the SST influences location and intensity of heavy precipitation allows to gain a better understanding on mechanisms conducive to severe weather in the Mediterranean area and in the Adriatic basin in particular. Results show that the effect of the Adriatic SST (uncertainty) on precipitation is complex and can vary considerably among different events. For both Bora and Sirocco events, SST does not influence markedly the atmospheric water budget or the degree of moistening of air that flows over the Adriatic Sea. SST mainly affects the stability of the atmospheric boundary layer, thus influencing the flow dynamics and the orographic flow regime, and in turn, the precipitation pattern.

<b>Keywords</b>	Heavy precipitation; SST; air-sea interaction; orography; water budget
<b>Manuscript category</b>	Mesoscale meteorology and numerical modelling
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1 **Intense air-sea exchanges and heavy orographic precipitation over Italy: the role**  
2 **of Adriatic Sea surface temperature uncertainty**

3

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9

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28 Results show that the effect of the Adriatic SST (uncertainty) on precipitation is complex and can  
29 vary considerably among different events. For both Bora and Sirocco events, SST does not  
30 influence markedly the atmospheric water budget or the degree of moistening of air that flows over  
31 the Adriatic Sea. SST mainly affects the stability of the atmospheric boundary layer, thus  
32 influencing the flow dynamics and the orographic flow regime, and in turn, the precipitation  
33 pattern.

34

35 **Highlights**

- 36       • Numerical simulations of heavy precipitation associated with intense air-sea interaction over  
37       the Adriatic Sea.
- 38       • Sensitivity of forecast precipitation to SST **uncertainty**.
- 39       • The response of intense precipitation patterns to SST uncertainties is complex.
- 40       • SST impact on dynamics and on orographic flow regime and, in turn, on precipitation.

41

42 **Keywords:**

43 Heavy precipitation; SST; air-sea interaction; orography; water budget

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45

## 46 1. Introduction

47

48 The Adriatic basin is characterized by a shallow and semi enclosed sea surrounded by orography on  
49 both the western (Apennines) and the eastern (Dinaric Alps) sides, as well as to the north (Alps).  
50 This morphology plays a key role in favouring severe weather events over Italy, in particular those  
51 associated with the interaction between orography and two types of intense low-level flow over the  
52 Adriatic Sea, namely moist southeasterly flow (typically referred to as Sirocco) and cold and  
53 relatively dry gusty northeasterly flow (Bora). Specifically, Sirocco wind impinges on the Alpine  
54 reliefs and makes northeastern Italy (NEI) an area prone to heavy precipitation, floods and storm  
55 surges (De Zolt et al., 2006; Borga et al., 2007; Davolio et al., 2009; Barbi et al., 2012; Manzato et  
56 al., 2015, Davolio et al., 2016). Bora wind jets impinge on the complex coastal orography of the  
57 Apennines reliefs, exposing the northern and central regions of Italy to strong winds, heavy  
58 precipitation, including snowfall, and severe storm surges and floods along the coast (Grazzini,  
59 2013; Ferretti et al., 2014; [Maiello et al., 2016](#)).

60 Although Bora and Sirocco display different characteristics, they are both associated with intense  
61 air-sea exchange of heat, momentum and humidity, and differences between air and sea surface  
62 temperature (SST), together with intense winds, are responsible for a remarkable enhancement of  
63 heat and moisture fluxes at the sea surface (Pullen et al., 2006; Dorman et al., 2007; Raicich et al.,  
64 2013; Davolio et al., 2015; Ricchi et al., 2016). The consequence of intense air-sea interaction in  
65 terms of its capacity to lead to heavy precipitation events is a topic still under discussion and that  
66 has been receiving lot of attention (Ludwig et al., 2014; Rainaud et al., 2016; Stocchi and Davolio,  
67 2016). Recent studies (Ferretti et al., 2014; Manzato et al., 2015; Davolio et al., 2016; Davolio et  
68 al., 2017), described the thermodynamic mechanisms leading to intense rainfall in the Adriatic  
69 basin, identifying also air-sea exchange as a key aspect to be considered. Whether characterized by  
70 orographic (stratiform or convective) precipitation over the Alpine/Apennines slopes or over the  
71 plain region between the sea and the mountain ranges, it is reasonable to hypothesize that the  
72 amount of heat and moisture gained from the Adriatic Sea and transported by Sirocco and Bora  
73 wind [can have an impact on](#) severe weather events. In fact, surface fluxes contribute to increase  
74 atmospheric moisture content and to determine the characteristic of the low-level flow and  
75 especially its stability. This in turn could [influence](#) the orographic flow regimes (Smith, 1979) and  
76 thus the location, intensity and characteristics of the precipitation (Cassola et al., 2016; Davolio et  
77 al., 2017).

78 Several studies in the last decade focused on the analysis of the interaction between the sea and the  
79 atmosphere and in particular on its impact on heavy rain (e.g. Rainaud et al., 2016). All these

80 studies pointed out the important role of SST, which influences turbulent heat and water vapour  
81 surface fluxes (e.g. Dorman et al., 2007; Pullen et al., 2007). In the tropics the relationship between  
82 SST and atmospheric phenomena is well **known**. In this area higher SST are generally accompanied  
83 by enhanced convective activity and precipitation (Trenberth and Shea, 2005). Roxy and Tanimoto  
84 (2012) found that positive SST anomalies induce unstable conditions in the lower atmosphere and  
85 enhance the precipitation anomalies. Toy and Johnson (2014) highlighted that even mesoscale SST  
86 fronts influence the PBL stability, resulting in increased horizontal convergence and precipitation.  
87 On the other hand, at mid-latitudes and in the Mediterranean basin in particular, the impact of SST  
88 on severe weather events is still debatable and different recent studies tried to address this issue for  
89 different atmospheric phenomena. For instance, the effect of SST has been recently evaluated for  
90 the development of Mediterranean tropical-like cyclones (Miglietta et al., 2011; Romaniello et al.,  
91 2015), for deep cyclones in the Eastern Mediterranean (Katsafados et al., 2011), for mid-latitude  
92 storms (Booth et al., 2012) and for heavy precipitation (Meredith et al., 2015; Pastor et al., 2015).  
93 Concerning intense rainfall, Pastor et al. (2001), using a high-resolution non-hydrostatic model,  
94 showed significant improvements in the peak precipitation forecasts using a SST derived from  
95 NOAA satellite data. Lebeaupin et al. (2006) examined the influence of SST on short-range  
96 forecasts of torrential rain events that occurred over southeastern France. They showed that  
97 variations of SST by several degrees on average and on a large enough area have a noticeable  
98 influence on surface fluxes, on PBL stability and on the intensity of convective systems, while  
99 small-scale SST patterns, as those produced by the use of high-resolution SST products, are not of  
100 primary importance. Cassola et al. (2016), found a positive impact of high-resolution SST analyses  
101 for heavy precipitation forecasts over Liguria (Italy), except in the short-range when it is generally  
102 neutral or even negative. Senatore et al. (2014) obtained contrasting results concerning the effect of  
103 SST on simulations of intense rain events in southern Italy. Finally, on longer time-scale, Berthou et  
104 al. (2014, 2015), using a coupled atmospheric-ocean modelling system, showed that **intense rain**  
105 **events in the Cévennes region are** mainly sensitive to the long-term change of SST, with a smaller  
106 but significant contribution of rapid events. **Moreover, analysing 30 extreme precipitation events**  
107 **over the whole Mediterranean basin, Berthou et al. (2016) showed a relation between rainfall and**  
108 **SST in the upstream area mainly ascribable to modification in low-level dynamics rather than in**  
109 **low-level specific humidity.**

110 All these studies indicate a vivid interest in the subject but they also suggest that although an  
111 intense rain event can be sensitive to SST definition and evolution, the response is always complex  
112 and hardly systematic. Moreover, regarding the Adriatic area, evidences on the role of SST on  
113 heavy rain have not been clearly showed yet. Therefore, the role of SST deserves further

114 investigations, especially considering that SST fields (from global analyses or satellite estimates),  
115 used to initialize numerical weather prediction (NWP) forecasts, can be affected by significant  
116 uncertainties and sometimes display relevant differences among each other, even of the order of  
117 some degrees during particular intense events like Bora (Davolio et al., 2015). Moreover, NWP  
118 models, applied to short-range forecasts, often keep SST fixed at its initial value or allow just slow  
119 changes according to surface fluxes during the integration. Some recent studies in this area (Tudor  
120 et al., 2015; Davolio et al., 2015; Ricchi et al., 2016), although not focusing specifically on heavy  
121 precipitation, showed that an accurate Adriatic SST initialization and evolution is critical for a  
122 correct description of an exceptional Bora wind event, and that SST analysis can be affected by  
123 remarkable errors, especially in small and shallow basin like the Adriatic Sea.

124 The purpose of the present study is thus to better understand the **influence** of the Adriatic SST  
125 uncertainty on the physical processes (dynamics, surface fluxes, water budget) leading to heavy  
126 precipitation events associated with intense Sirocco and Bora winds, thus with intense air-sea  
127 interactions. To attain this aim, sensitivity experiments using a high-resolution NWP model were  
128 performed. This work may be viewed as an extension and a completion of a preliminary study by  
129 Stocchi and Davolio (2016) that has already analysed three Sirocco events showing a complex  
130 effect of the Adriatic SST on precipitation. Here a more detailed analysis of Sirocco cases is  
131 performed, even extended to Bora events, thus complementing previous preliminary results. Also, a  
132 specific tool aimed at evaluating water budget in the atmosphere over the Adriatic Sea has been  
133 developed and applied, together with the computation of vertical profiles and vertically integrated  
134 water vapour fluxes. This allows to characterize the air masses feeding the precipitation systems  
135 and to describe the low-level flow interaction with the orography.

136 The paper is organized as follows. The NWP system and its specific implementation for the present  
137 research activity are described in Section 2. An overview of the selected case studies is presented in  
138 Section 3 and 4. Section 5 describes atmospheric water balances and vertically integrated water  
139 vapour fluxes analysis. Section 6 presents the main findings concerning involved physical  
140 mechanisms and dynamics. Finally conclusions are drawn in Section 7.

141

## 142 **2. Numerical models: description and set up**

143

144 The NWP system employed for the simulation is based on the hydrostatic BOLAM and non-  
145 hydrostatic MOLOCH models, developed by the Institute of Atmospheric Sciences and Climate of  
146 the Italian National Research Council (CNR-ISAC). This NWP system is used operationally at

147 various Italian national agencies and regional meteorological services and it is also used  
148 operationally at ISAC as part of an agreement with the National Civil Protection Department.

149 BOLAM and MOLOCH limited area models differ mainly in the dynamical core, in the vertical  
150 coordinate discretization, and by the fact that BOLAM includes the Kain–Fritsch scheme – using a  
151 modified version based on Kain (2004) – to parameterize convection, while in MOLOCH, deep  
152 convection is explicitly simulated and a simple shallow convection scheme is applied. However,  
153 atmospheric radiation, atmospheric boundary layer and surface layer parameterizations, soil  
154 processes and, to a large extent, microphysical processes are common in the two models.

155 In the present study, initial and boundary conditions for BOLAM are provided every 3 hours by  
156 IFS-ECMWF forecasts and thus the SST initial field is derived by the OSTIA analyses (Donlon et  
157 al., 2012). BOLAM runs over a European domain (shown in Fig. 1a), with a horizontal resolution of  
158 about 11 km, 418 x 290 grid points and 50 vertical levels. BOLAM is mainly employed to provide  
159 lateral boundary conditions at 1-hour intervals to the inner grid of MOLOCH. MOLOCH  
160 integration domains are shown in Fig. 1b (354 x 322 grid points) and in Fig. 4a (514 x 514 grid  
161 points) for Sirocco and Bora events simulations, respectively. MOLOCH is initialized with a 3-hour  
162 BOLAM forecast in order to avoid a sudden change in the grid resolution from the global model to  
163 the 2.2 km MOLOCH grid-spacing, based on pure interpolation. Since the results discussed here are  
164 based mainly on MOLOCH simulations, only a brief and general description of MOLOCH is  
165 provided in the following. For a description of BOLAM see Buzzi et al. (2003) and Malguzzi et al.  
166 (2006).

167 MOLOCH is a non-hydrostatic, fully compressible, convection-permitting model (Malguzzi et al.,  
168 2006; Buzzi et al., 2014). It integrates the set of atmospheric equations with 12 prognostic variables,  
169 pressure, absolute temperature, specific humidity, horizontal and vertical components of velocity,  
170 turbulent kinetic energy and five water species (cloud water, cloud ice, rain, graupel and snow)  
171 represented on the latitude–longitude, rotated Arakawa C-grid. It employs a hybrid terrain-  
172 following vertical coordinate (50 vertical levels in this application, lowest level at 70 m above the  
173 surface), depending on air density and smoothing to horizontal surfaces at higher altitudes. Time  
174 integration is based on an implicit scheme for the vertical propagation of sound waves, while  
175 explicit time-splitting schemes are implemented for integration of the remaining terms of the  
176 equations of motion. Three-dimensional advection is computed using the Eulerian weighted average  
177 flux scheme (Billet and Toro, 1997). The microphysical scheme is based on the parameterization  
178 proposed by Drofa and Malguzzi (2004). Atmospheric radiation is computed with a combined  
179 application of the Ritter and Geleyn (1992) scheme and the ECMWF scheme (Morcrette et al.,  
180 2008). The turbulence scheme is based on a turbulent kinetic energy–mixing length (E–l) order 1.5

181 closure theory (Zampieri et al., 2005). The soil model uses seven layers and it takes into account the  
182 observed geographical distribution of different soil types, vegetation coverage and soil physical  
183 parameters. In particular, the evolution of SST is computed by a simple slab ocean model, in  
184 which the deep ocean temperature is kept fixed, while SST evolves in the surface layer depending  
185 on radiative and latent/sensible heat fluxes. The surface layer is a few metres deep, and its  
186 thickness may vary as a function of the wind intensity. The initial analysed distribution of SST is  
187 used as a relaxation reference value (relaxation time of about 2 days), thus allowing a smooth  
188 transition toward the deep ocean.

189 In order to cover as much as possible different types of events and periods of the year, the NWP  
190 system was applied to simulate three Bora and three Sirocco cases (Table 1). First, a verification of  
191 the simulations against available observations was performed in order to assess that the model  
192 correctly reproduced the main dynamical features and precipitation patterns of each event. Then, in  
193 order to evaluate the influence of SST uncertainty on precipitation forecasts and to investigate the  
194 physical processes involved, sensitivity tests were carried out for each event, modifying the initial  
195 value of SST (used in the reference CNTRL simulation) over the Adriatic Sea by +2°C (experiment  
196 SST2P) or -2°C (SST2M). These values can be considered reasonably representative of the  
197 uncertainty associated with SST operational analysis, as shown in previous studies (e. g. Lebeaupin  
198 et al., 2006; Davolio et al., 2015). The model chain is initialized at least 12 hours before the onset of  
199 intense rainfall, in order to allow the PBL to adjust to the modified SST initial field.

200

### 201 3. Sirocco events and simulation results

202

203 The direct orographic uplift of the southerly moisture-laden flow from the Adriatic Sea is often  
204 responsible for long-lasting orographic precipitation in the NEI Pre-Alpine and Alpine areas. In  
205 case of conditionally unstable flow, the interaction with the orography may lead to the formation of  
206 intense convective system upstream of the orography (Barbi et al., 2012; Manzato et al., 2015;  
207 Davolio et al. 2016). In the present study, the three analysed events occurred under similar synoptic  
208 conditions (Fig. 1a), characterized by an approaching upper-level trough extending over the  
209 Mediterranean, associated with an intense low-level southeasterly flow (Fig. 1b) over the Adriatic  
210 Sea (Sirocco). However, the three events presented quite different precipitation characteristics  
211 (Table 1), due to the different season and the different thermodynamic properties of the impinging  
212 flow. In particular SIR-03 event (Fig. 2c) occurred in late summer (14 - 16 September 2006) and  
213 was characterized by a marked convective activity, with precipitation systems affecting also the  
214 plain area close to the Adriatic coast. On the other hand, the other two cases, SIR-01 (Fig. 2a) and



215 SIR-02 (Fig. 2b) occurred in autumn, on 10 - 12 November 2012 and 30 October - 2 November  
216 2010, respectively, and were characterized mainly by stratiform orographic rainfall (more details in  
217 Davolio et al., 2016). The results of the SST sensitivity tests were already discussed in Stocchi and  
218 Davolio (2016) and just a brief summary is provided in the following.

219

### 220 3.1 SIR-01

221 Between 10 and 11 November 2012, an upper level trough deepening over the Iberian peninsula  
222 and northern Africa (Fig. 1a) activated intense warm and moist southwesterly flow over the central  
223 Mediterranean. The trough moved slowly eastward, eventually evolving into a cut-off low over  
224 Spain. Heavy rainfall (almost 400 mm in 24 hours), sustained by the moist low-level flow over the  
225 Adriatic Sea (Fig. 1b), affected the Pre-Alpine area (Fig. 2a) between Veneto and Friuli Venezia  
226 Giulia (FVG) regions, while weak precipitation was recorded over the plain.

227 MOLOCH correctly predicts the orographic precipitation. However, the maximum accumulation is  
228 slightly underestimated: 310 instead of 390 mm in 24 hours at Piancavallo, over the FVG Alps. The  
229 different SST initialization (SIR-01-SST2P, SIR-01-SST2M) produces relevant displacements of  
230 rainfall location (Figs. 3a, b): a colder (warmer) SST is associated with upstream (downstream)  
231 displacement of the intense precipitation area with respect to the Alpine orography. Moreover, in  
232 SIR-01-SST2M intense rainfall is also displaced over the plain and affects a large portion of the Po  
233 Valley (more details in Stocchi and Davolio, 2016).

234

### 235 3.2 SIR-02

236 As in SIR-01 event, in SIR-02 rainfall was associated with a deep trough over the Mediterranean  
237 basin, evolving into a cut-off low over the Gulf of Lion, and with intense low-level Sirocco wind  
238 over the Adriatic Sea. The eastward movement of the trough was slowed by the presence of a  
239 pressure ridge over Eastern Europe progressively reinforcing, thus favouring the persistence of  
240 precipitation over the same Alpine area. Intense rainfall distribution resulted strictly correlated with  
241 orographic features along a WSW–ENE direction over the Pre-Alps (Fig. 2b) and the pattern did  
242 not change during 31 October and 1 November. Heavy rainfall reached 460 mm in 48 hours in  
243 Veneto and about 600 mm in 48 hours in FVG (Davolio et al., 2016).

244 Contrary to SIR-01, in SIR-02 event the impact of the different SST initialization (SIR-02-SST2P  
245 and SIR-02-SST2M) produces just rather small displacements of rainfall location (Figs. 3c, d).

246

### 247 3.3 SIR-03

248 During 14 September 2006, an upper level trough deepened over the western Mediterranean. While

249 moving slowly eastward, it was responsible for the development of a cyclonic circulation in the  
250 lower troposphere characterized by a pressure minima located between southern France and Corsica  
251 on 15 September. This synoptic pattern favoured intense Sirocco over the Adriatic basin. Due to the  
252 unstable vertical profile, the southeasterly low-level flow triggered convective activity over the sea,  
253 over the Italian coastal area and over NEI plain. However, rainfall reached maximum intensity over  
254 FVG orography (almost 300 mm in 24 hours), directly exposed to the impinging moist currents. As  
255 in SIR-01, in SIR-03 the impact of SST is relevant (Figs. 3e, f). SIR-03-SST2M is generally dryer  
256 than SIR-03-CNTRL, while a warmer SST (SIR-03-SST2P) produces a shift of the rainfall towards  
257 the northern Adriatic coast and towards the eastern part of the domain.  
258 As discussed in Stocchi and Davolio (2016), the response to different SST seems complex. SST  
259 does not **affect** directly precipitation by modifying the amount of water vapour that impinges on the  
260 Alps. Instead, SST plays mainly an indirect role (as will be further discussed in the next sections),  
261 since it determines the boundary layer (PBL) thermodynamic structure, which in turn affects the  
262 flow dynamics and its interaction with the orography.

263

#### 264 **4. Bora events and simulation results**

265

266 Bora wind frequently affects the Adriatic basin especially during the cold season. Long-lasting  
267 events are characterized by intense air-sea interaction and they are often associated with heavy  
268 precipitation that affects the northern and central regions of Italy particularly exposed to  
269 northeasterly currents impinging on the Apennines.

270 Three events are selected and analysed in this study (Table 1) in order to cover as much as possible  
271 different types of Bora (cyclonic and anticyclonic Bora, Horvath et al., 2009) that produced relevant  
272 effects in terms of precipitation over Italy, in particular over the Apennines slopes, in different  
273 periods of the year. Two of them are cyclonic Bora events, **BOR-01** (9 - 11 February 2012) and  
274 **BOR-02** (13 - 15 September 2012, Fig. 4a), and one is an anticyclonic Bora event, **BOR-03** (30 - 31  
275 December 2014, Fig. 4b). They are all long-lasting episodes, characterized by at least 48 hours of  
276 strong northeasterly flow.

277 It is worth mentioning that in the two winter events precipitation was mostly snowfall, and  
278 **raingauge** measurements were affected by a relevant underestimation. **Therefore, reliable observed**  
279 **precipitation maps are not available. MOLOCH forecast validation was not straightforward and it**  
280 **was performed using a subset of significant weather stations for which data have been quality-**  
281 **controlled, as well as comparing model results against technical reports and data (including snow**  
282 **depth) from Regional Meteorological Services (as described in details in Henin, 2015, Davolio et**

283 al., 2017 and reference therein). Moreover, a validation of model forecasts for the exceptional Bora  
284 case of February 2012 (BOR-01) was recently undertaken (Davolio et al., 2015).  
285 Only a brief description of the selected events is provided in the following and model simulation  
286 results are presented.

287

#### 288 **4.1 BOR-01**

289 BOR-01 event is part of an exceptionally severe Bora episode, which lasted for almost twenty days  
290 (Raicich et al., 2013; Mihanović et al., 2013; Grazzini 2013) and produced important effects also on  
291 the Adriatic Sea circulation (Benetazzo et al., 2014). By the end of January 2012, the synoptic  
292 situation over Europe was dominated by a blocking configuration characterized by a pressure ridge  
293 meridionally elongated over the eastern Atlantic. This configuration determined a prevailing  
294 meridional circulation over Europe and favoured the descent of cold continental air towards the  
295 Mediterranean. Until mid-February, the Mediterranean basin was characterized by a persistent  
296 cyclonic circulation associated with an exceptionally cold anomaly. This pattern produced heavy  
297 precipitation over northern and central Italy, as well as persistent and strong Bora wind over the  
298 Adriatic Sea. In this study we focus our attention on the period between 10 and 12 February when  
299 precipitation reached its most intense phase (even exceeding 120 mm in 48 hours both over  
300 northern and central Apennine) and exceptional snowfall occurred along the foothill of the  
301 Apennines exposed to northeasterly winds. In the following a description of the modelling results is  
302 provided.

303 MOLOCH simulation (BOR-01-CNTRL) correctly forecasts the two distinct area of heavy  
304 precipitation over the northern and central Apennines regions, with almost 100 mm in 24 hours  
305 (Fig. 5a). Over the central Adriatic Sea, a wide band of intense precipitation is observed from radar  
306 (not shown) and correctly simulated, extending from southeast to northwest towards the Italian  
307 coast. This band corresponds with a region of convergence between the southernmost Bora jet and a  
308 warmer southeasterly low-level flow associated with the cyclonic circulation (Fig. 6a).

309 When the Adriatic SST is modified in the sensitivity experiments, the precipitation pattern presents  
310 a different distribution. Figure 7 shows the difference among daily-accumulated precipitation of  
311 BOR-01-CNTRL simulation and the simulations with modified SST (BOR-01-SST2P and BOR-01-  
312 SST2M). Red colour indicates areas where the CNTRL run is more rainy. A colder SST produces a  
313 slight decrease of the intensity of the northern precipitation maximum and a clear shift to the south  
314 of the rainfall area over central Italy (Fig. 7a). Conversely, the effect of a warmer SST is to slightly  
315 increase the precipitation over the northern Apennines and to displace to the north the precipitation  
316 pattern over central Italy (Fig. 7b). The wind field in the lower troposphere (Fig. 6) shows that the

317 region of convergence (over the sea, around 43 N) between the southernmost Bora jet and the  
318 warmer southeasterly wind is displaced consistently. While the position of the Mediterranean  
319 cyclone, close to the western Italian coast, remains unchanged, in BOR-01-SST2P (Fig. 6c) the  
320 moist southerly low-level wind penetrates more to the north over the Adriatic basin. Contrarily, in  
321 BOR-01-SST2M the southerly cyclonic wind is confined more to the south by the presence of a  
322 more intense Bora jet. The different interaction between Bora and southeasterly cyclonic flow, due  
323 to different SST, is responsible for the different precipitation patterns. Understanding how the SST  
324 affects this interaction and its impact on surface fluxes is therefore essential and requires a more  
325 detailed analysis that will be provided in Sections 5 and 6.

326

## 327 4.2 BOR-02

328 After a prolonged warm and moist period that produced high temperature and humidity values over  
329 Italy, by 12 September 2012 a large-scale trough moved from Scandinavia towards Germany and  
330 northern Italy. The associated cold front passed over the Alps and a lee cyclone (Buzzi and Tibaldi,  
331 1978) formed over the Tyrrhenian Sea on 13 September (Fig. 4a). The slow southerly movements  
332 of the cyclone favoured a prolonged period of Bora wind over the Adriatic Sea, with persistent  
333 orographic and convective rainfall affecting the central Italy area (Fig. 5b). It is worth noting that  
334 this event occurred during the first field campaign (SOP1) of the Hydrological Cycle of the  
335 Mediterranean Experiment (HyMeX) programme (Ducrocq et al., 2014) and thus it was extensively  
336 monitored (Ferretti et al., 2014).

337 The reference simulation (BOR-02-CNTRL) succeeds in reproducing the observed precipitation  
338 field (see Fig. 2 in Maiello et al., 2016), in terms of both intensity and distribution with only a slight  
339 underestimation of some local maxima (Fig. 5b). Specifically four distinct rainfall peaks quite close  
340 to each other over central Italy, with intensities between 130 and 290 mm in 24 hours, are well  
341 reproduced over an area approximately enclosed between Marche and Abruzzo regions.

342 Differences between daily-accumulated precipitation fields of BOR-02-CNTRL simulation and of  
343 BOR-02-SST2P and BOR-02-SST2M experiments (Figs. 7c, d) underline that colder (warmer) SST  
344 produces a weaker (stronger) pattern of rainfall, slightly displaced upstream (downstream) with  
345 respect to the orography. Figure 8 reveals different characteristics of the low-level wind. Colder  
346 SST (BOR-02-SST2M) produces less intense Bora jets, which propagate to a smaller distance  
347 inland of the Italian coast (Fig. 8b). The wind associated with the southernmost Bora jet is almost  
348 blocked by the presence of the Apennines and even deflected to the south along the coast. Warmer  
349 SST (BOR-02-SST2P) produces a more intense Bora (Fig. 8c), and the wind is able to flow over the  
350 orography of the central Apennines. The modification of the orographic flow regime in these

351 experiments seems responsible for the differences in the precipitation fields showed in Figs. 7c, d.

352

### 353 **4.3 BOR-03**

354 By 30 December 2014, the synoptic circulation was characterized by the presence of a high-  
355 pressure ridge extended meridionally along the European Atlantic coast, progressively turning its  
356 axis while expanding north of the Alps and over Central Europe at all levels (Fig. 4b). The strong  
357 pressure gradient drove cold air from continental Eurasia towards the Dinaric Alps, flowing across  
358 the southern edge of the ridge, thus producing intense Bora over the Adriatic Sea, in particular over  
359 the central and meridional part of the basin. As typical of anticyclonic Bora events, the wind was  
360 northeasterly all along the vertical profile, since anticyclonic Bora is usually much deeper and  
361 weaker than cyclonic one (Göhm and Mayr, 2005). This case was not particularly severe in terms of  
362 precipitation, but it affected the entire Adriatic (the most intense Bora jet blew in correspondence to  
363 the southern Dinaric gaps), producing a quite exceptional snowfall over the Italian coastal areas.  
364 The precipitation pattern organized along narrow bands starting from the coast and aligned with the  
365 low-level wind streaks (Fig. 5c) with a localized maximum inland over central Italy (70 mm/24h,  
366 not shown).

367 **BOR-03-CNTRL** simulation is able to reproduce the main peaks, but forecasts the precipitation  
368 mainly inland, over the Apennines **reliefs**, where Bora wind directly impinges (Fig. 5c). The  
369 precipitation differences between **BOR-03-CNTRL**, **BOR-03-SST2M** and **BOR-03-SST2P** for this  
370 event (Fig. 7e, f) show just rather small displacements of the precipitation, without a critical direct  
371 or indirect impact of the Adriatic SST.

372

## 373 **5. Water vapour profile and water balance in the atmosphere**

374

375 The sensitivity experiments indicate that SST affects precipitation and the wind pattern of Sirocco  
376 and Bora over the Adriatic Sea and inland. SST changes could affect the precipitation directly,  
377 increasing or decreasing the evaporation from the Adriatic Sea, or indirectly modifying the PBL  
378 thermodynamic characteristics and consequently the flow dynamics and its interaction with  
379 orography. In order to better understand the role of SST on different processes relevant for heavy  
380 precipitation, some diagnostic tools were developed and applied. Results will be discussed in the  
381 following sections. This aspect was only preliminarily and partially tackled in Stocchi and Davolio  
382 (2016) for Sirocco cases, with the analysis of vertically integrated water vapour fluxes. Here, it is  
383 complemented with the computation of the atmospheric water budget over the Adriatic Sea and  
384 extended to Bora events.

385

## 386 **5.1 Analysis of Sirocco events**

387 Vertically integrated water vapour fluxes (IWVF), as in Manzato (2007), Duffourg and Ducrocq  
388 (2011) and Davolio et al. (2017), were computed along the Adriatic coastline (see Fig. 3 in Stocchi  
389 and Davolio, 2016) in order to evaluate the **influence** of SST changes on the total amount of water  
390 vapour transported by the low-level flow (up to 3000 m) towards the Alps and feeding the  
391 precipitation system. Results showed that a different SST does not modify **systematically the**  
392 **amount of moisture moving inland**. In particular, IWVF are just slightly modified or even  
393 unaffected by changing SST for **SIR-01 and SIR-02**, respectively, while for **SIR-03**, an increase of  
394 SST produces an evident eastward shift of the main moisture advection across the northern Adriatic  
395 coastline, as the wind field is also modified (as will be shown in detail in Section 6).

396 The computation of IWVF does not provide information about the sources of moisture and does not  
397 allow to quantify the importance of surface fluxes, and the possible impact of SST, with respect to  
398 the transport of moisture due to large scale circulation. Therefore, in order to compute a water  
399 budget analysis inside a defined volume of atmosphere over the Adriatic Sea, a 3d-rectangular box  
400 (Fig. 1b) is arranged as to cover the area affected by the low-level Sirocco flow. Its bottom face is  
401 attached to the sea surface and the top face is in the troposphere. The northern side of the box is  
402 placed in correspondence of the northern Adriatic coast, just upstream of the area affected by  
403 intense rainfall, and together with the southern face it is aligned in order to intercept the prevailing  
404 southeasterly flow, feeding the precipitation system. The water budget analysis tool computes  
405 evaporation and precipitation at the bottom side, advection of vapour and hydrometeors (solid and  
406 liquid components of clouds and precipitation) across the four lateral sides and the top. The box  
407 balance is computed hourly and converted into energy units (Watts). A more detailed description of  
408 the water budget computations is provided in Davolio et al. (2017). **It is worth saying that results do**  
409 **not depend significantly on the box design, in particular on its meridional extension, as verified**  
410 **with sensitivity tests.**

411 First, the closure of the balance was verified by placing the top of the box at 20 km, as in Duffourg  
412 and Ducrocq (2013), thus assuming that the contribution to total fluxes of water at the top were  
413 negligible, and comparing all the mentioned contributions with the tendency of the Integrated Water  
414 Vapour inside the box (computed considering all the atmospheric water species). A satisfactory  
415 agreement is found, with only some negligible discrepancies, probably ascribable to the comparison  
416 of instantaneous hourly values on lateral sections with integral values at the bottom, as well as to  
417 numerical inaccuracies due to interpolation of the variables on the sections. Then the budget was  
418 computed for boxes with top at different elevation in order to highlight the contribution associated

419 with the low-level flow and the role of surface fluxes (results for 3-km high boxes are shown).  
420 The evolution in time of the water budget terms for each side of the box (negative values always  
421 indicate outflow from the box) is displayed in Fig. 9 for the three CNTRL simulations. The  
422 contribution through each side of the box is displayed together with upward fluxes from the  
423 Adriatic Sea. For all the events, the contribution associated with the Sirocco is evident, with inflow  
424 (outflow) from the southern (northern) face attaining maximum values around  $1.0 \cdot 10^{14}$  W. The  
425 contribution of the western section becomes more relevant as the height of the box increases, due to  
426 the typical veering of the wind at higher elevation. For 3-km high boxes, it is comparable or even  
427 higher (as for **SIR-01** and **SIR-02** events) than that of the southern section. Outflow from the  
428 northern section (and partially also from the eastern one) is supposed to directly contribute to the  
429 precipitation downstream. It is worth noting that the bottom term of the Adriatic box is by far the  
430 least important ( $0.1 \cdot 10^{14}$  W) with a negligible contribution to the water supply.  
431 The water budget analysis for SST2P and SST2M (not shown) exhibits similar pattern, with  
432 negligible differences in the values of lateral terms, and still a very modest contribution of the  
433 evaporation to the total water budget.  
434 These results underline that the Adriatic SST does not influence markedly the atmospheric water  
435 budget available for precipitation, since it is dominated by mesoscale transport, while the amount of  
436 moisture that enriches the airmass humidity during its passage over the sea is almost negligible. It  
437 follows that the **influence** of the SST on heavy precipitation in the three analysed Sirocco cases can  
438 be explained through dynamical processes (see Section 6) and not as a consequence of a direct  
439 effect on the water mass-balance.

440

## 441 **5.2 Analysis of Bora events**

442

### 443 **5.2.1 Water vapour profile**

444 For the Bora events, the IWVF are computed across a vertical section aligned along the Italian  
445 Adriatic coast, up to 3000 m, in order to analyse **the amount of moisture** moving from the Adriatic  
446 towards the Apennines, where most of the precipitation occurs. The IWVF are computed for each  
447 model grid point corresponding to the Italian coastline (Fig. 10) from about 42.2 N to 45.5 N.  
448 For **BOR-01-CNTRL** simulation, the IWVF maximum value ( $180 \text{ kg} \cdot \text{m}^{-3}$ ) is associated with the  
449 most intense Bora jet reaching the Italian coast at a latitude between 42.5 N and 43.0 N. This  
450 corresponds also to the area where the southeasterly flow associated with the cyclonic circulation  
451 converges with Bora (Fig. 6) and where the maximum of precipitation is observed inland (Fig. 5a).  
452 **BOR-02-CNTRL** simulation produces a similar IWVF pattern, but with a higher maximum value

453 (380 kg·m<sup>-3</sup>). This is consistent with a much higher amount of precipitation over the Apennines  
454 (Fig. 5b) for this event. In both cases, the abrupt decrease of IWVF south of 42.5 N is due to the  
455 fact that the wind becomes almost aligned with the coastline. Finally, **BOR-03-CNTRL event**  
456 produces an IWVF pattern that reveals the presence of several low-level jets and thus a more  
457 uniform distribution of the peaks intensity all along the Italian coast.

458 For all the three events, IWVF values simulated in CNTRL are compared with IWVF values  
459 obtained in the SST2P and SST2M experiments (Fig. 10). As observed for the Sirocco events, also  
460 in case of Bora the SST changes do not markedly modify the IWVF, that is the amount of water  
461 vapour transported inland by the low-level wind. For **BOR-01** case, the differences in IWVF among  
462 the experiments are mainly in terms of displacement of the peaks along the coast. In fact, the  
463 location where the maximum IWVF value is attained is shifted to the north (south) in **BOR-01-**  
464 **SST2P (BOR-01-SST2M)** case, consistently with the displacement of precipitation and of the  
465 convergence line described in the previous section.

466 For **BOR-02**, and to a **lesser** extent also for **BOR-03** case, experiment SST2M produces a slight  
467 reduction of the IWVF maximum, consistently with the decrease in Bora intensity and in  
468 precipitation described in the previous section. However, this suggests also a possible direct role of  
469 the SST on low-level moisture amount, which is eventually responsible for orographic precipitation  
470 over the Apennines. An evaluation of the atmospheric water balance over the Adriatic Sea is carried  
471 out in the following section to gain a deeper understanding concerning the role of the SST in heavy  
472 precipitation events associated with intense and persistent Bora.

473

### 474 **5.2.2 Water balance in the atmosphere**

475 For the three Bora cases, the same procedure described in Section 5.1 is adopted, but a different 3d-  
476 rectangular box is employed (as shown in Fig. 8c). Here, the eastern and western sides are aligned  
477 almost parallel to the mountain ranges, namely the Dinaric Alps and the Apennines, intercepting the  
478 Bora wind flow almost orthogonally, while the northern and southern sides are consequently placed  
479 across the Adriatic Sea. First the closure of the balance is verified; then the budget is computed for  
480 a box with top at 3 km.

481 The water balance for **BOR-01** case (Fig. 11a) is dominated by the contribution of advection  
482 through the eastern and western sections due to Bora, with maximum values of about  $0.8 \cdot 10^{14}$  W  
483 and  $-0.8 \cdot 10^{14}$  W, respectively. The contribution across the southern face becomes relevant only at a  
484 later stage of the event (up to  $0.4 \cdot 10^{14}$  W) as a consequence of the intensification of cyclonic  
485 circulation, which conveys water from the south and the southeast into the box in the middle-lower



486 troposphere. Finally, the bottom term of the Adriatic box is by far smaller ( $0.1 \cdot 10^{14}$ ) than lateral  
487 advection, providing a negligible contribution to the budget.

488 A similar balance characterizes **BOR-02** event (Fig. 11b), although with much higher values,  
489 exceeding  $2.0 \cdot 10^{14}$  W and  $-2 \cdot 10^{14}$  W at the western and eastern sections, respectively, as a  
490 consequence of the higher temperature and water content in that period (early autumn) of the year.  
491 The magnitude of southern component and the northern component is almost unchanged with  
492 respect to the previous event, and the contribution from the bottom is still negligible.

493 Conversely, a different balance characterizes **BOR-03** event (Fig. 11c). Advection across the  
494 western section ( $-0.7 \cdot 10^{14}$  W), which is supposed to directly contribute to the precipitation, still  
495 dominates the outgoing portion of the balance, and it is essentially compensated by the sum of the  
496 inflow through the eastern side ( $0.4 \cdot 10^{14}$  W) and the evaporation from the sea surface ( $0.2 \cdot 10^{14}$  W).  
497 It is interesting to note that in general, all the horizontal transport (advection) terms present  
498 significantly lower values than those attained in the two previous cyclonic Bora cases. Therefore, in  
499 this situation, the contribution of the sea surface fluxes to the water budget becomes more relevant,  
500 although the magnitude of Adriatic surface flux is only slightly higher than in the cases of cyclonic  
501 Bora (**Table 1**). Both northern and southern sections contributions are almost negligible, due to a  
502 northeasterly wind which blows uniformly at all levels and all over the Adriatic basin (as expected  
503 in case of anticyclonic Bora).

504 As for the Sirocco cases, the water budget analysis for SST2P and SST2M experiments for all the  
505 three Bora cases (not shown) provides results similar to the CNTRL runs, with just some negligible  
506 differences. Therefore, this analysis indicates that also for Bora events the SST does not influence  
507 markedly the atmospheric water budget, which is still dominated by the mesoscale transport. Once  
508 more, the analyses of IWVF and of the water budget indicate that the **influence** of SST on heavy  
509 precipitation is complex and it is not ascribable solely to a modification of moisture supply from the  
510 surface. In case of intense air-sea interaction, the response of precipitation to SST probably involves  
511 a modification of the dynamics of low-level winds.

512

## 513 **6. Influence on dynamics and interaction with orography**

514

515 The analysis of the atmospheric water budget pointed out a limited contribution due to evaporation  
516 from the Adriatic Sea, except to some extent for the anticyclonic Bora event (**BOR-03**) occurred in  
517 December 2014. Consequently, the variations of  $\pm 2$  °C of SST applied over the Adriatic in the  
518 sensitivity experiments do not modify significantly the balance. However, SST clearly **influences**  
519 precipitation amount and location as well as the wind field. Therefore the effect of SST on the

520 dynamics needs to be investigated.

521

## 522 **6.1 Sirocco cases**

523 The preliminary dynamical analysis presented in Stocchi and Davolio (2016) for the Sirocco events  
524 showed that the SST plays an indirect role in determining the location of rainfall, influencing the  
525 PBL characteristics and consequently the low-level wind and its interaction with the orography.  
526 Here the purpose is to identify the relevant dynamical processes, associated with SST changes; to  
527 attain this aim meteorological variables are analysed over vertical sections (see Fig. 1b for their  
528 location).

529 Figure 12 shows potential temperature and tangent wind component over the section drawn just  
530 upstream (and parallel to) the Alpine chain (section d1 shown in Fig. 1b), for the **SIR-01** case at  
531 0900 UTC, 11 November 2012. In the **SIR-01-CNTRL** experiment (Fig. 12a), cold air is present  
532 over NEI plain areas and at the foothills of the eastern Alps, from the surface up to 1000 m. Winds  
533 are more intense over the eastern part of the Alps, and gradually decrease in intensity moving to  
534 southwest over the plain. Just upstream the Alpine chain, the section shows a shallow layer of  
535 relatively cold air flowing towards southwest, parallel to the Alps. As described in Davolio et al.  
536 (2016) this is due to southeasterly low-level wind blocked and deflected by the orography, resulting  
537 in a northeasterly barrier wind. In **SIR-01-SST2M** (Fig. 12b), the low-level northeasterly barrier  
538 wind is more intense and blows parallel to the Alps all along the section. Potential temperature in  
539 the lower troposphere is colder and a stronger vertical stratification is produced with respect to **SIR-**  
540 **01-CNTRL**, especially over the plain. The intensity of the vertical motion over the orography is  
541 markedly reduced. Conversely, in **SIR-01-SST2P**, air in the lower troposphere is warmer and  
542 characterized by more intense vertical motions over the orography. It is worth noting that the low-  
543 level wind in the western portion of the section is not deflected anymore over the plain by the  
544 orography, since it is able to entirely flow over the Alps.

545 Therefore, changes of SST influence the thermodynamic structure of the PBL even downstream of  
546 the sea, over northeastern Italy plain, thus modifying the dynamical behaviour of the Sirocco flow  
547 impinging on the orography. This determines a transition from blocked-flow in **SIR-01-SST2M** to  
548 flow over in **SIR-01-SST2P**. In **SIR-01-SST2M**, the deflection of the low-level flow from the  
549 Adriatic Sea, due to orographic blocking, is responsible for a larger precipitation amount over the  
550 Po Valley (Fig. 3a) and an upstream shift of the rainfall pattern with respect to the Alps. In **SIR-01-**  
551 **SST2P**, where the warmer and more **buoyant** impinging flow is able to rise over the orography, the  
552 precipitation pattern is shifted downstream compared to **SIR-01-CNTRL**. This result is confirmed  
553 by the cross sections (section d2, shown in Fig. 1b) drawn along the direction of the Sirocco wind

554 and showing cloud water/ice content and vertical velocity (Fig. 13). The effect of increasing SST is  
555 to intensify the vertical motions and to shift downstream, towards the mountain crest, the area with  
556 intense orographic uplift and condensation.

557 Also in **SIR-03** case (Fig. 14) the SST effect is relevant, because it determines the interaction  
558 between the Sirocco over the Adriatic and the southwesterly flow descending from the northern  
559 Apennines as a dry föhn-like wind. In **SIR-03-SST2P** simulation (Fig. 14c) the latter flow is able to  
560 penetrate all over the northern Adriatic and the Sirocco is progressively confined along the eastern  
561 border of the basin. As shown by the vertical sections (d3, see Fig. 1b for the location) of potential  
562 temperature and tangent wind component, drawn across the convergence area in the middle of the  
563 Adriatic basin (Fig. 15), SST change has a critical impact on the PBL structure, which influence the  
564 dynamical evolution and superposition between the two flows. Higher SST (**SIR-03-SST2P**) makes  
565 the low-level Sirocco flow more buoyant, so that it is forced to rise over the dry southwesterly flow  
566 from the Apennines and it is progressively displaced eastward together with the moisture advection  
567 feeding the precipitation. Conversely, in **SIR-03-SST2M** (and to a lesser extent also in the **SIR-03-**  
568 **CNTRL**), the southwesterly flow is not able to penetrate and to displace the Sirocco wind over the  
569 Adriatic (Figs. 15a, b) and the low-level flow over the sea is not markedly modified. However, this  
570 behaviour explains only the westward shift of the rainfall patterns in **SIR-03-SST2P** shown in Fig.  
571 3e, f. To better explain the large sensitivity of the precipitation to different SST, vertical profiles are  
572 computed to characterize the impinging flow over the Adriatic, upstream of the orography, by  
573 taking an average of the meteorological fields over an area of about 20 x 20 km centred in 45.3 N,  
574 13.0 E. Profiles (Fig. 16) are computed at 0900 UTC, 15 September 2006, two hours later than the  
575 vertical sections. The vertical profile for **SIR-03-SST2P** shows a warmer and drier atmosphere in  
576 the lower layers (up to 950 hPa) with southerly winds due to the air descending from the Apennines  
577 and turning once over the Adriatic (as clearly shown also in Fig. 14). Conversely, **SIR-03-CNTRL**  
578 **and SIR-03-SST2M** profiles indicate southeasterly (Sirocco) wind at the surface. However, both  
579 **SIR-03-SST2P and SIR-03-CNTRL** profiles present high values of CAPE and are representative of  
580 an environment prone to intense convective activity. Under these unstable conditions, even small  
581 perturbations can trigger convection and produce large differences in precipitation, as actually  
582 occurs in these two experiments. On the other hand, in **SIR-03-SST2M** the instability of the vertical  
583 profile is largely reduced and the moist almost neutral stratification is not supportive of intense  
584 convective precipitation.

585 Finally, for **SIR-02** case, rainfall is not particularly sensitive to SST changes, although the dynamics  
586 of the events is very similar to **SIR-01** case. The vertical thermodynamic profiles simulated by  
587 MOLOCH (not shown) indicate that the impact of SST is very limited on the PBL structure and not

588 sufficient to change the flow regime with respect to the orography. A flow over condition persists in  
589 all the simulations with only a minor effect on its intensity that determines small differences in the  
590 precipitation field. This result underlines the fact that when the SST does not determine a change of  
591 the PBL structure large enough to modify the flow regime, the effects on precipitation are almost  
592 negligible.

593

## 594 **6.2 Bora cases.**

595 The same procedure, aimed at identifying the dynamical processes that relate SST changes with  
596 precipitation patterns, is applied for the three Bora events, and the meteorological variables are  
597 analysed on vertical sections (see Figs. 6 and 8 for sections location).

598 As described in Section 4.1 for the simulation of **BOR-01** event, the main effect of different SST  
599 initializations is to modify the low-level wind field (Fig. 6), displacing the line of convergence  
600 between Bora and the warmer cyclonic southeasterly wind. The different interaction between these  
601 two flows is responsible for different precipitation patterns. Figure 17 shows potential temperature  
602 and tangent wind component over a section drawn across the Adriatic along the southernmost Bora  
603 jet in correspondence with the area of convergence with southeasterly wind (Fig. 6). The contour  
604 lines mirror the Bora jet pattern (colder) and the southeasterly flow (warmer) that characterizes the  
605 low-level wind field from the surface up to 3000 m.

606 In **BOR-01-CNTRL** experiment (Fig. 17a), the Bora propagates over the eastern portion of the  
607 Adriatic basin, but towards the Italian coast it is progressively replaced by the warmer southeasterly  
608 flow. **BOR-01-SST2M** experiment (Fig. 17b) results in a colder and stably stratified PBL, which  
609 allows the low-level Bora flow to propagate over the Adriatic, against the competing southeasterly  
610 wind. The latter moist and warm flow is lifted over colder Bora layer as also confirmed by  
611 increased velocity of the wind at 850 hPa (not shown). Conversely, in **BOR-01-SST2P** a more  
612 mixed, warmer and less stable PBL is produced in correspondence to the low-level Bora flow,  
613 which is not able to confine the cyclonic southeasterly flow, and the latter can penetrate further to  
614 the north determining a displacement of the convergence line and thus of the associated  
615 precipitation. In both **BOR-01-CNTRL** and **BOR-01-SST2M** experiments, the selected section  
616 intercepts the area of sharp convergence close to the Italian coast, which determines intense and  
617 localized vertical velocities (clearly visible close to the western border of the sections). In **BOR-01-**  
618 **SST2P**, this uplift occurs to the north with respect to the selected section, due to the displacement of  
619 the convergence line.

620 Also in **BOR-02** event, SST **affects** the low-level flow characteristics. As shown in Fig. 8, intensity  
621 and propagation of Bora over the Adriatic change among the experiments. In particular, as

622 described in Section 4.2, in **BOR-02-SST2M** the Bora jet located at around 43 N is deflected to its  
623 left in front of the Italian coast, while in **BOR-02-SST2P** the same Bora jet penetrates more inland.  
624 Vertical sections of cloud water/ice content and wind along this jet display the different behaviour  
625 of the northeasterly wind interacting with the Apennines (Fig. 18). In **BOR-02-CNTRL** simulation  
626 (Fig. 18a), except for a thin layer close to the surface, the flow is lifted over the orography where  
627 most of the condensation (and precipitation) occurs. In **BOR-02-SST2M** (Fig. 18b) the low-level  
628 flow is blocked, the main uplift occurs further upstream with respect to the Apennines and a lower  
629 amount of hydrometeors is produced. In **BOR-02-SST2P** (Fig. 18c) the flow over involves the  
630 entire impinging airflow, vertical velocity and condensation are much stronger and attain their  
631 maxima closer to the Apennines crest. Therefore, it is the stronger blocking effect of the Apennines,  
632 as the SST is decreased, that produces a weakening of the impinging wind even upstream of the  
633 orography. This is consistent with the fact that the effects of a mountain ridge having an average  
634 height of 1000-1500 m can extend more than 100 km upstream (Lin, 2007). The weakening of the  
635 winds reduces also the IWVF across the coast (presented in Section 5.2) that feeds the precipitation  
636 system.

637 Therefore, similarly to what **was shown** for **BOR-01** case, it is the mesoscale circulation that  
638 provides most of the moisture feeding the precipitation, while SST does not affect markedly the  
639 water balance. Instead, SST plays an important role influencing the thermodynamic characteristics  
640 of the PBL and thus its interaction with the orography. The computation of the moist Froude  
641 number ( $Fr$ ) confirms the above results.  $Fr$  is defined as the ratio between the wind speed impinging  
642 on the orography and the product of the significant orographic height and the static stability  
643 ( $Fr=U/N\cdot h$ ).  $Fr$  (computed as in Davolio et al., 2016) is evaluated at 0600 UTC, 14 September  
644 2012, assuming an average orographic height of 1000 m and vertical profiles of  $U$  and  $N$   
645 representative of an area of about 20 x 20 km centred at 43.2 N, 14.7 E, thus sampling the  
646 impinging flow over the Adriatic Sea before being disturbed by the presence of the orography.  $Fr$   
647 values are larger than one (1.35 for **BOR-02-CNTRL**, 1.40 for **BOR-02-SST2P**), in agreement with  
648 prevailing flow over conditions, even more evident with warmer SST.  $Fr$  decreases to 0.95 with  
649 colder SST, supporting the blocking effect of the orography.

650 Concerning the anticyclonic Bora events **BOR-03**, no relevant dynamical differences appear, since  
651 the change in SST by 2°C modifies only slightly the low-level wind intensity, which is stronger for  
652 **BOR-03-SST2P**. However, neither the stability nor the orographic regime of the low-level flow is  
653 changed and in all the three experiments Bora is forecast to flow over the Apennines where the  
654 direct orographic uplift is responsible for the precipitation. This is consistent with the large values  
655 of  $Fr$  that characterize the upstream low-level flow in all the simulations. The low-level moisture

656 content, largely ascribable to surface fluxes from the Adriatic Sea, which are relevant in this case,  
657 does not change significantly with different SST as to impact on the amount of precipitation over  
658 the Apennines.

659 It is worth mentioning that the majority of previous studies dealing with Bora were devoted to  
660 investigate the processes responsible for its generation along the eastern Adriatic. Some of these  
661 studies (Cesini et al., 2004; Kraljević and Grisogono, 2006; Grisogono and Belušić, 2009) showed  
662 that a warmer SST can modify the lee-side (with respect to the Dinaric Alps) flow stability,  
663 resulting in a more vigorous Bora over the Adriatic Sea. This is consistent with our results (see for  
664 example Fig. 8) since Bora is more intense in the SST2P simulations. We interpret the variation of  
665 Bora intensity in terms of a different interaction with the downstream orography (Apennines), since  
666 we focussed the attention mainly on the western Adriatic coast, where intense precipitation  
667 occurred. However, it can also be partially explained considering the effect of SST along the eastern  
668 Adriatic coast.

669

## 670 7. Conclusions

671

672 In the Adriatic basin, a semi enclosed sea surrounded by complex orography, strong low-level  
673 winds and intense air-sea exchanges are often harbinger of heavy precipitation events over Italy,  
674 since the Alpine and Apennines reliefs become exposed to intense impinging currents.

675 The present study, mainly based on diagnosing numerical simulations, aims at a better  
676 understanding of the role of the Adriatic SST on heavy precipitation associated with intense air-sea  
677 interaction. It tackles the problems from two different perspectives. From the one hand, it evaluates  
678 the impact of uncertainties in SST analysis, used to initialize NWP models, on severe weather  
679 predictions. On the other hand, it also investigates the physical processes through which the SST  
680 (uncertainty) possibly modifies location and intensity of heavy precipitation. The former is a  
681 relevant topic especially for operational forecasting activities; the latter allows to improve our  
682 knowledge on the mechanisms that often lead to severe weather in the Mediterranean area, in  
683 particular around the Adriatic basin, focusing the attention on those processes that modify the low-  
684 level flow characteristics and, consequently, its dynamics and eventually the interaction with the  
685 complex orography surrounding the basin. Only few preceding studies, if any, attempted to relate  
686 heavy precipitation over Italy and the Adriatic SST under intense Bora or Sirocco wind conditions.  
687 In fact, for Bora the main interest was placed on the study of the mechanisms associated with its  
688 generation and effects, including turbulence, near the eastern Adriatic coast (e.g. Grisogono and  
689 Belušić, 2009). For Sirocco, the attention was mainly focused on mesoscale dynamics, convection

690 and orographic effects (e.g. Barbi et al., 2012), but not on the possible contribution of the Adriatic  
691 Sea, in terms of moisture and heat supply.

692 For this purpose, six different precipitation events, three associated with persistent moist  
693 southeasterly flow (Sirocco), and three with strong and long-lasting cold northeasterly flow (Bora),  
694 are selected and studied through high-resolution numerical simulations. A sensitivity analysis of  
695 modelled precipitation to SST **uncertainties** is performed, increasing/decreasing the **initial** SST by  
696 2°C over the Adriatic Sea.

697 For the above consideration and since we are interested in precipitation over Italy, it is  
698 straightforward to focus the attention on processes associated with air-sea interaction, that  
699 determine the amount of heat and moisture fluxes from the Adriatic Sea, and on low-level flow  
700 thermodynamic characteristics, especially its stability and its interaction with the orography. Along  
701 this line, after a dynamical analysis and the application of different diagnostic tools for the  
702 evaluation of the integrated water vapour fluxes and for the atmospheric water budget computation,  
703 some novel results have emerged from the present study, summarized as follows.

704 Numerical simulations reveal that the response of intense precipitation patterns to SST variations  
705 (**uncertainties**) is complex since it involves non-linear effects associated with flow regimes in  
706 response to the orographic forcing. Therefore, results modify the simplistic representation that  
707 associates more intense precipitation with increasing SST, as a consequence of a larger degree of  
708 moistening of the low-level flow during its passage over the sea. In fact, **it is shown that in the**  
709 **Adriatic basin the atmospheric water budget** is not influenced markedly by the evaporation from the  
710 sea, regardless of the SST initialization. It is instead modulated primarily by large-scale/mesoscale  
711 circulation. The contribution to the water budget due to evaporation from the Adriatic Sea is  
712 relevant only in the case of anticyclonic Bora, when the low-level flow is particularly dry and there  
713 is no cyclonic circulation providing moisture aloft. Nevertheless, also in that case, the impact of  
714 SST **uncertainties** on precipitation is quite limited.

715 However, SST plays an important role on heavy precipitation associated with Bora and Sirocco, but  
716 its effect is indirect and involves the modification of the PBL characteristics. Changes induced in  
717 the thermodynamic profile and in the low-level stability can be critical in order to determine the  
718 interaction between converging low-level flows or to establish the flow regime in response to  
719 orographic forcing. As also supported by the orographic Froude number computation, if the flow  
720 conditions are **close** to the transition between flow over and flow around (Lin, 2007), different SST  
721 may alter the precipitation pattern favouring airflow rising over the mountain or flow retardation,  
722 deviation and blocking upstream. For some cases, the SST uncertainty is not large enough to  
723 modify the low-level dynamics, and its impact on heavy precipitation forecasts results almost

724 negligible. Conversely, if the atmospheric profile is particularly unstable, small perturbation as  
725 those associated with SST variations can trigger convective activity over the sea, changing the  
726 precipitation pattern almost randomly.

727 SST turns out to be important for precipitation prediction in case of both Bora and Sirocco, despite  
728 the different fetch, which is markedly larger for southeasterly flow, given the morphology of the  
729 basin. Therefore, the results point out that even for short-range forecasts, the precise definition of  
730 the initial SST field can be important as well as **its correct evolution during the event simulation,**  
731 **since it may affect mesoscale flow characteristics, and in turn the interaction with orography.**  
732 **However, SST initialization is not the only source of forecast error and as stated by Cassola et al.**  
733 **(2016) the large scale forcing needs to be accurately predicted first.**

734

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741

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921

922

923 **Table captions**

924 Table 1: **Selected Sirocco and Bora events.** Initial conditions for BOLAM simulations (MOLOCH  
925 is initialized 3 hours later) are reported, together with the indication of the period of intense  
926 precipitation and of the type of event. The last column shows the maximum contribution to the  
927 atmospheric water budget provided by the surface latent heat fluxes from Adriatic Sea (see Sect.  
928 5.2.2).

929

930 **Figure captions**

931 Figure 1: **SIR-01** event: (a) ECMWF analysis at 0000 UTC, 11 Nov. 2012 of mean sea level  
932 pressure (black contour lines), 500 hPa geopotential height (colour shading); (b) 10-m wind at 0600  
933 UTC, 11 Nov. 2012 (MOLOCH-CNTR, +15h forecast) **and model orography (contour interval 500**  
934 **m).** The area shown in (a) represents the BOLAM integration domain, while in (b) the MOLOCH  
935 integration domain used for **Sirocco events (SIR) simulations** is shown. The three red lines in (b)  
936 indicate the location of the cross sections (d1, d2 and d3) shown in Figs. 12, 13 and 15; the black  
937 dashed rectangle identifies the box used for the water balance computation.

938

939 Figure 2: 24-h observed accumulated precipitation (mm) for the three Sirocco events: (a) **SIR-01**,  
940 11 Nov. 2012, (b) **SIR-02**, 31 Oct. 2010 and (c) **SIR-03**, 15 Sep. 2006. Data provided by ARPAV  
941 and OSMER – ARPA FVG; courtesy of Andrea Cicogna.

942

943 Figure 3: Difference of 24-h accumulated precipitation (mm) fields between CNTRL and SST2M  
944 (a, c, e) or CNTRL and SST2P (b, d, f). SIR-01 case (a), (b), 11 Nov. 2012; SIR-02 case (c), (d), 31  
945 Oct. 2010; SIR-03 case (e), (f), 15 September 2006. Red colour indicates areas where the CNTRL  
946 run is more rainy.

947

948 Figure 4: ECMWF analysis interpolated on the BOLAM grid (a) at 0000 UTC, 14 Sep. 2012 (BOR-  
949 02) and (b) at 0000 UTC, 31 Dec. 2014 (BOR-03). Mean sea level pressure (black contour lines),  
950 500 hPa geopotential height (colour shading). The black rectangle in (a) indicates the MOLOCH  
951 integration domain used for Bora event (**BOR) simulations**.

952

953 Figure 5: 24-h accumulated precipitation (mm). MOLOCH CNTRL experiment for Bora events: (a)  
954 BOR-01 (10 Feb. 2012), (b) BOR-02 (14 Sep. 2012), and (c) BOR-03 (31 Dec. 2014).

955

956 Figure 6: 950 hPa equivalent potential temperature and wind for BOR-01 event. 24-h MOLOCH  
957 forecasts valid at 1500 UTC, 10 Feb. 2012, for experiment (a) BOR-01-CNTRL, (b) BOR-01-  
958 SST2M and (c) BOR-01-SST2P. Only wind exceeding  $10 \text{ m s}^{-1}$  is shown. The black line in (a)  
959 indicates the location of the cross section shown in Fig. 17.

960

961 Figure 7: Difference of 24-h accumulated precipitation (mm) fields between CNTRL and SST2M  
962 (a, c, e) or CNTRL and SST2P (b, d, f). BOR-01 event (a), (b), 10 Feb. 2012; BOR-02 event (c),  
963 (d), 14 Sep. 2012; BOR-03 event (e), (f), 31 Dec. 2014. Note that the label bar is different in (c) and  
964 (d). Red colour indicates areas where the CNTRL run is more rainy.

965

966 Figure 8: 10-m wind at 1000 UTC, 14 Sep. 2012. 19h MOLOCH forecasts for experiment (a) BOR-  
967 02-CNTRL, (b) BOR-02-SST2M and (c) BOR-02-SST2P. The black line in (a) indicates the  
968 location of the cross section shown in Fig. 18. The dashed rectangle in (c) indicates the box used for  
969 the water balance computation for all Bora cases.

970

971 Figure 9: Atmospheric water balance for the CNTRL experiments of Sirocco events: (a) SIR-01, (b)  
972 SIRO-02 and (c) SIRO-03. Individual contributions through each lateral sides (North, South, East,  
973 West) and from the bottom interface (surface latent heat fluxes and precipitation) are plotted.

974

975 Figure 10: Vertically integrated horizontal Water Vapour Flux (IWVF) along the Italian Adriatic  
976 coast (shown in the left part of the panel) computed for the three Bora events: at 1500 UTC, 10 Feb.  
977 2012, BOR-01 (left); at 0900 UTC, 14 Sep. 2012, BOR-02 (middle); 0700 UTC, 31 Dec. 2014,  
978 BOR-03 (right) from MOLOCH simulations: CNTRL (black stars), SST2M (blue line), SST2P (red  
979 line). Note that for September event (central panel) the x-axis scale is different.

980

981 Figure 11: As in Fig. 9, but for the three Bora events: (a) BORA-01-CNTRL, (b) BORA-02-  
982 CNTRL, and (c) BORA-03-CNTRL. Note that in (b) the y-axis scale is different.

983

984 Figure 12: Cross sections (d1, location in Fig. 1b) of potential temperature (colour shading, K) and  
985 wind component tangent to the section, at 0900 UTC, 11 Nov. 2012 for (a) SIR-01-CNTRL, (b)  
986 SIR-01-SST2M and (c) SIR-01-SST2P MOLOCH experiments.

987

988 Figure 13: Cross sections (d2, location in Fig. 1b) of cloud water/ice content (colour shading,  $\text{g kg}^{-1}$ ) and vertical wind velocity ( $\text{m s}^{-1}$ ) (contour, starting from  $1 \text{ m s}^{-1}$ ), at 0900 UTC, 11 Nov. 2012 for  
989  
990 (a) SIR-01-CNTRL, (b) SIR-01-SST2M and (c) SIR-01-SST2P MOLOCH simulations.

991

992 Figure 14: Relative humidity (%) and 10-m wind at 1200 UTC, 15 Sep. 2006. MOLOCH  
993 simulations (a) SIR-03-CNTRL, (b) SIR-03-SST2M and (c) SIR-03-SST2P.

994

995 Figure 15: Cross sections (d3, location in Fig. 1b) of potential temperature (colour shading, K) and  
996 wind component tangent to the section, at 0700 UTC, 15 Sep. 2006 for (a) SIR-03-CNTRL, (b)  
997 SIR-03-SST2M and (c) SIR-03-SST2P MOLOCH experiments.

998

999 Figure 16: Skew T/log P thermodynamic diagrams at 0900 UTC, 15 Sep. 2006 (**bold line indicates**  
1000 **temperature, dotted line indicates dew point temperature, red dashed line indicates the saturation**  
1001 **adiabatic curve from the lifting condensation level**), computed from MOLOCH simulations: (a)  
1002 SIR-03-CNTRL, (b) SIR-03-SST2M and (c) SIR-03-SST2P. The profiles are representative of an  
1003 area of about 20 km x 20 km centred over the Adriatic Sea in 45.3 N, 13 E.

1004

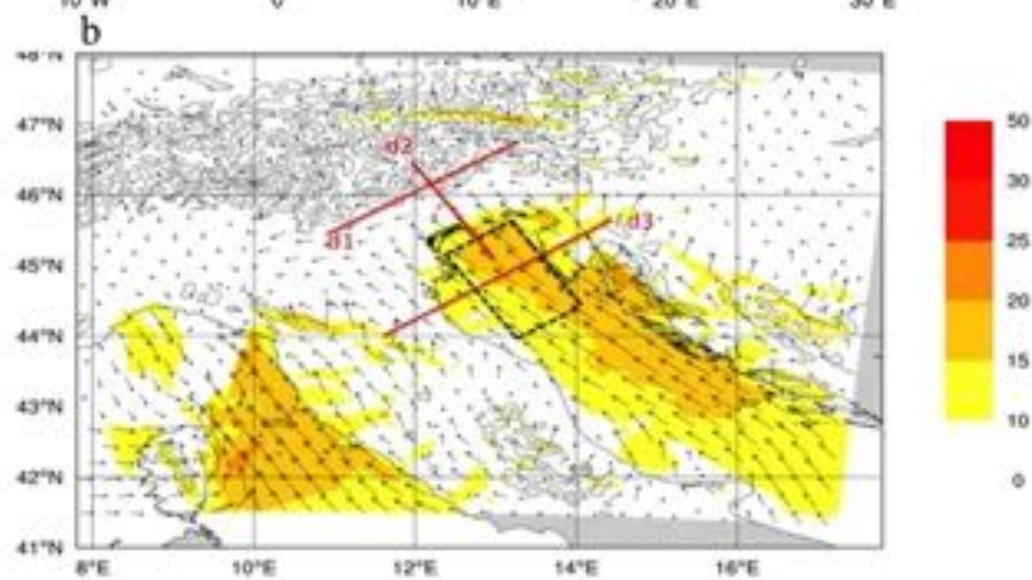
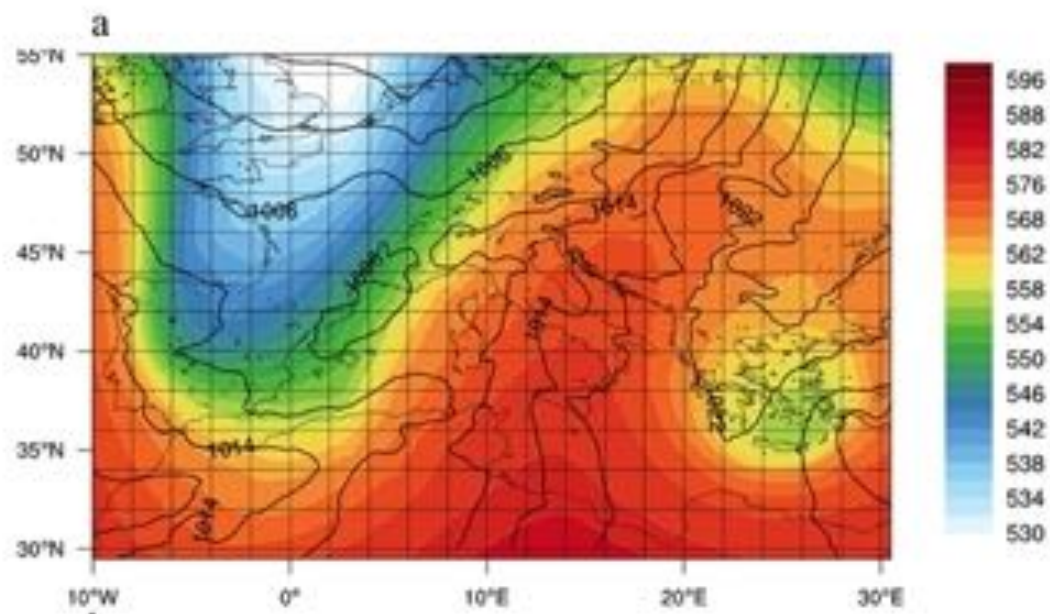
1005 Figure 17: Cross sections of potential temperature (colour shading) and wind component tangent to  
1006 the section, at 1500 UTC, 10 Feb. 2012 for (a) BOR-01-CNTRL, (b) BOR-01-SST2M and (c)  
1007 BOR-01-SST2P MOLOCH experiments. Location of sections is shown in Fig. 6a.

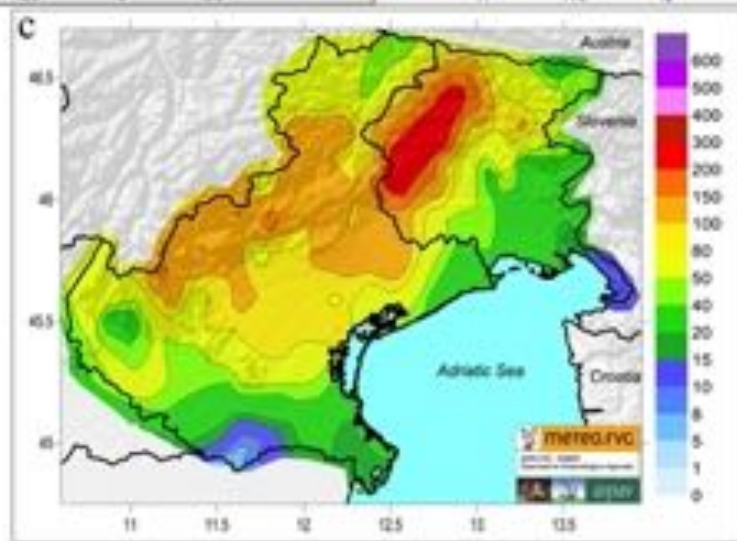
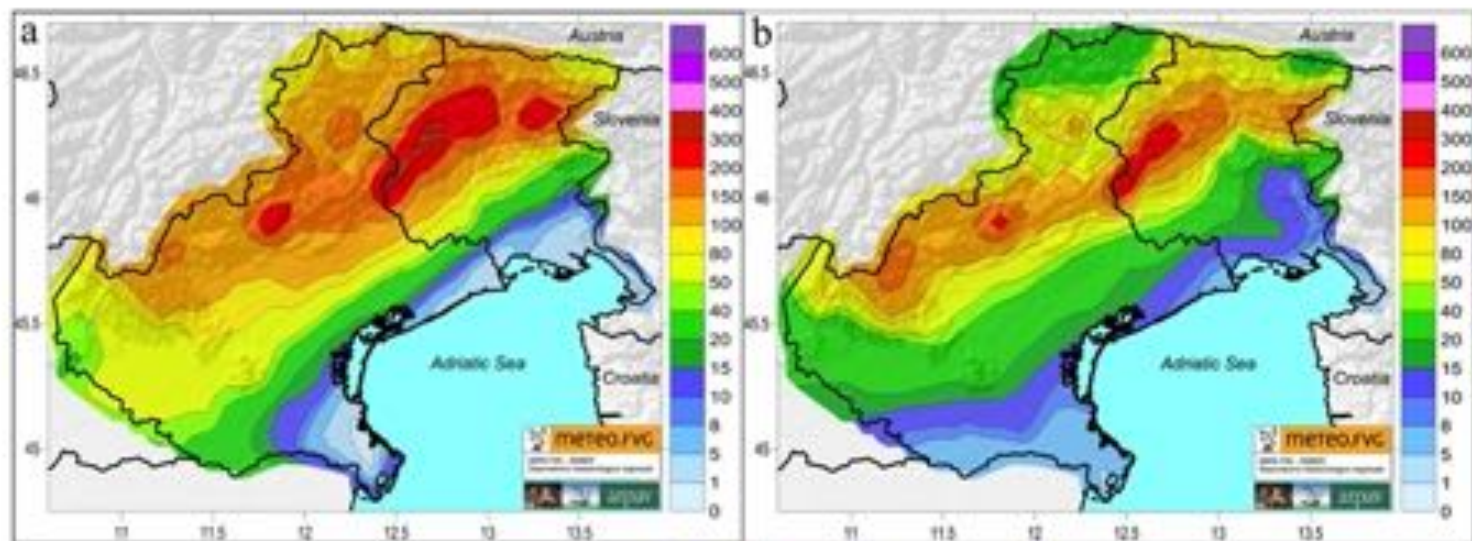
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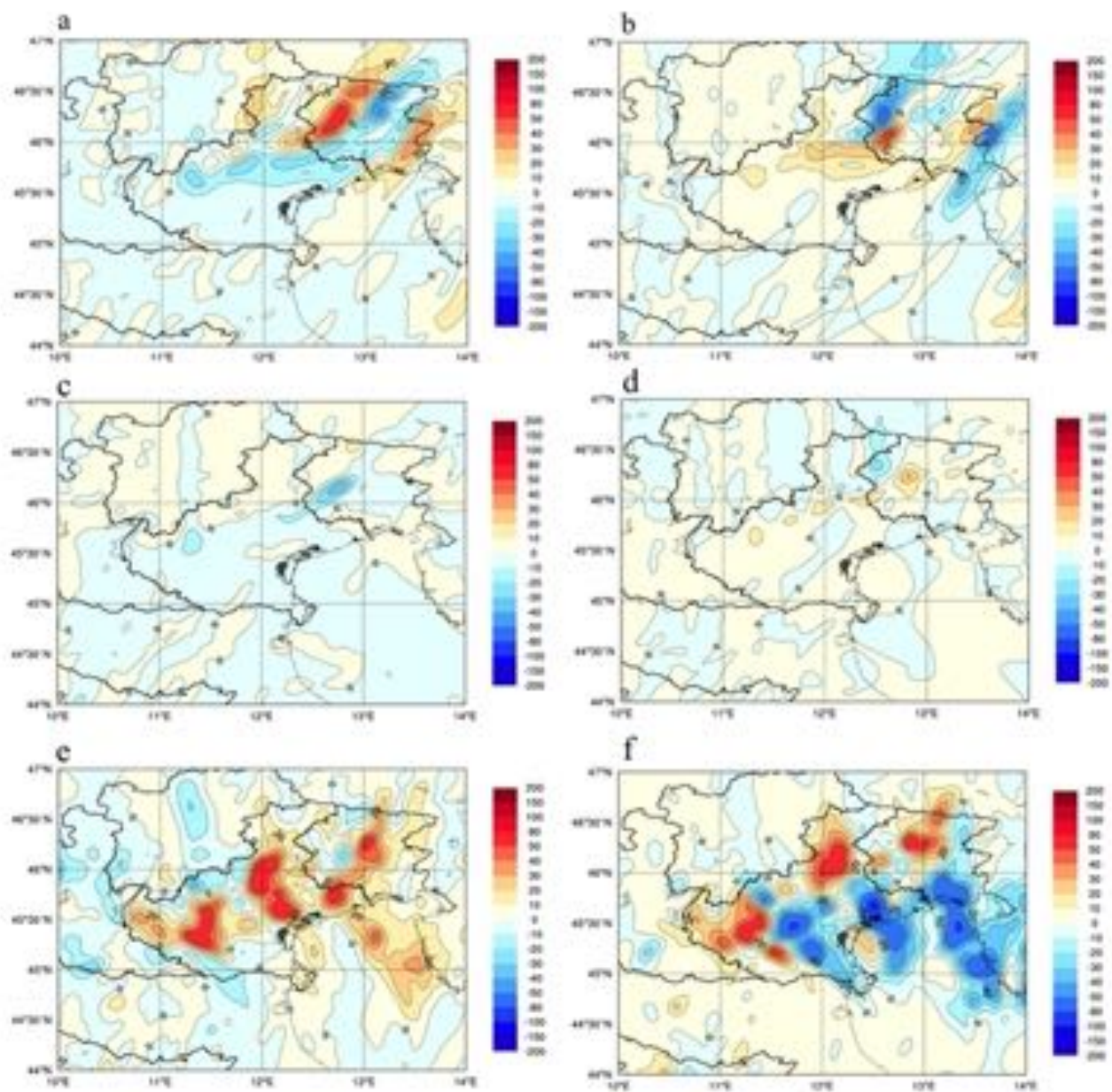
1009 Figure 18: Cross section (location in Fig. 8a) of cloud water/ice content (colour shading) and wind  
1010 velocity tangent to the section ( $\text{m s}^{-1}$ ), at 0900 UTC, 14 Sep. 2012 for (a) BOR-02-CNTRL, (b)  
1011 BOR-02-SST2M and (c) BOR-02-SST2P MOLOCH experiments.

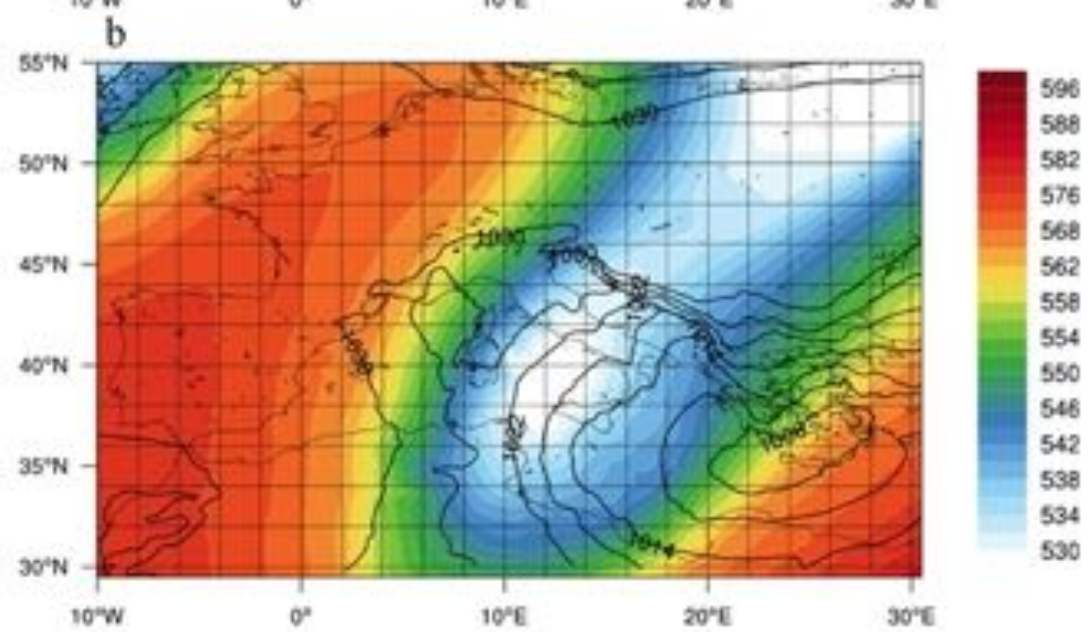
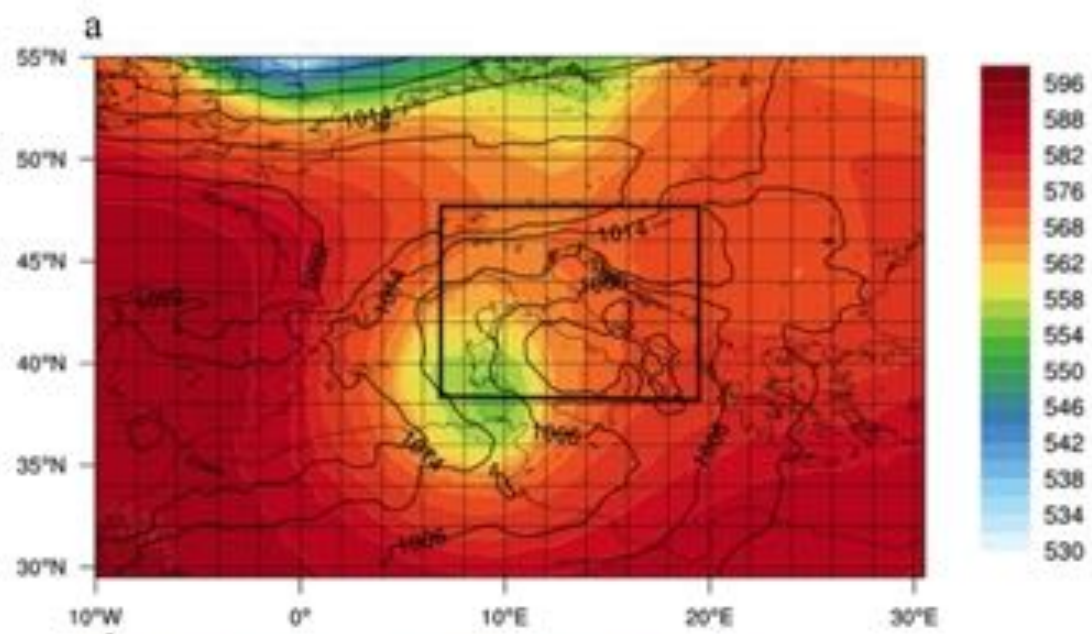
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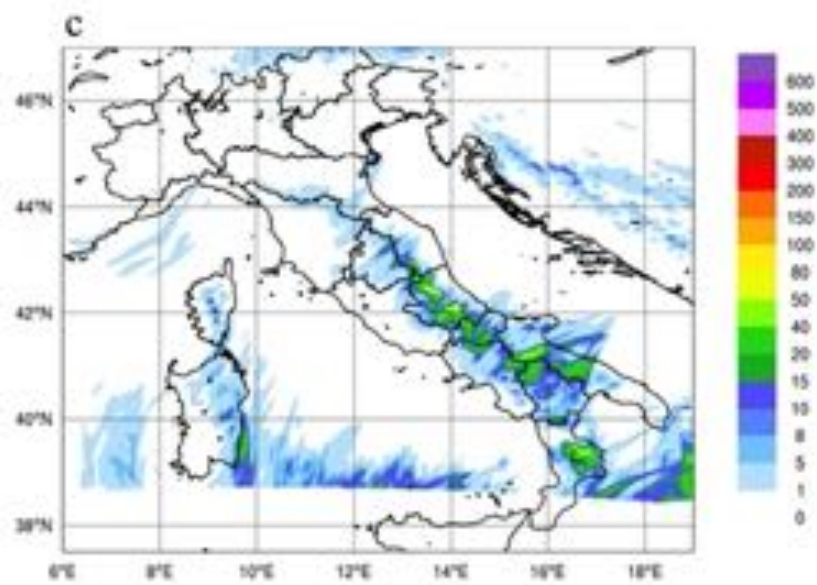
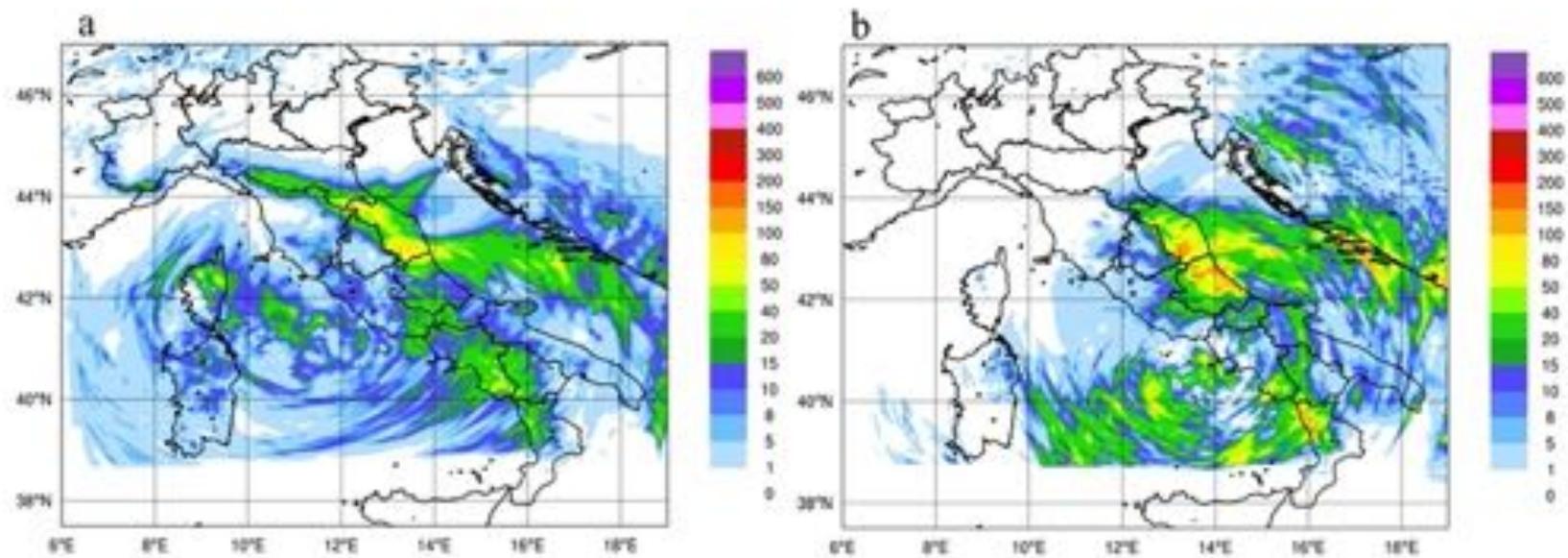


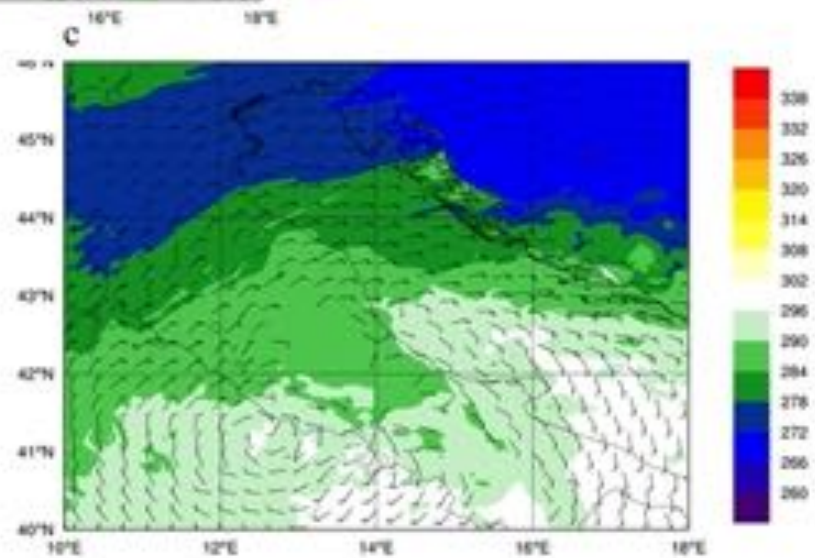
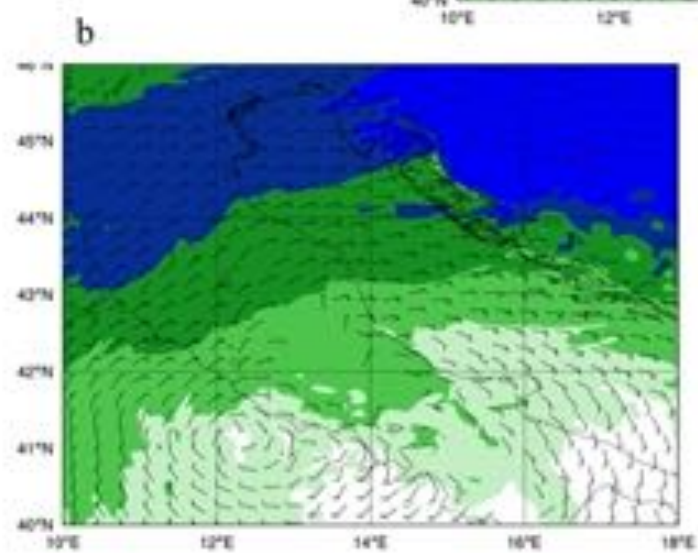
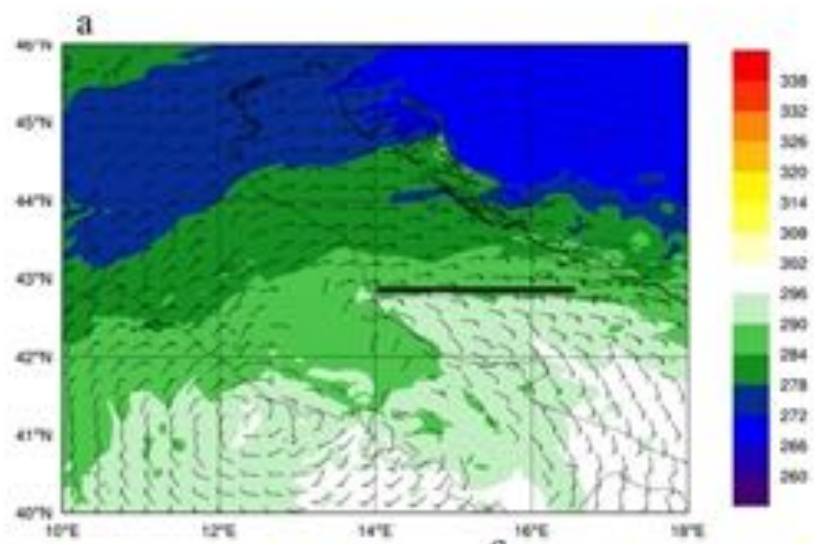


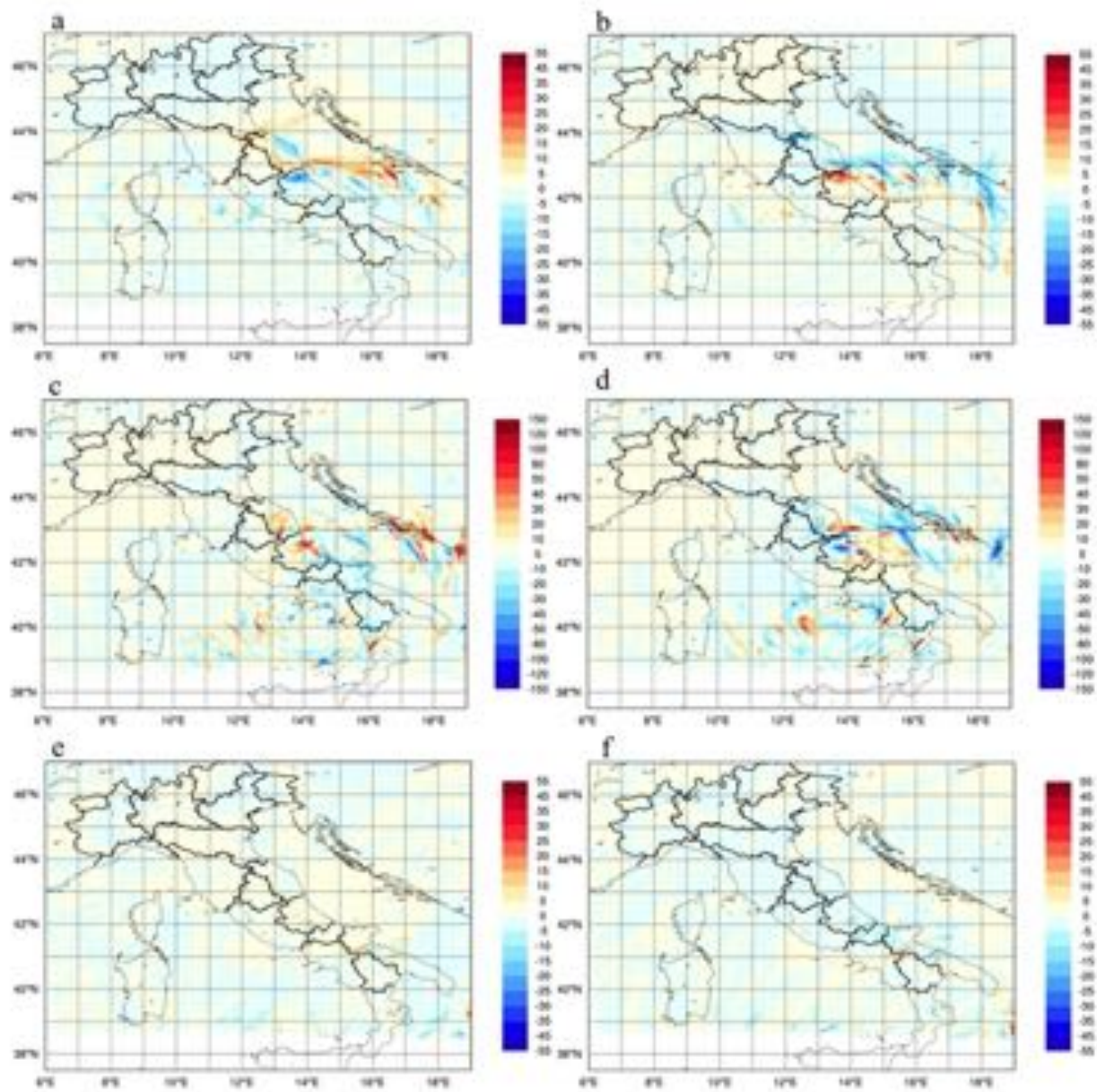


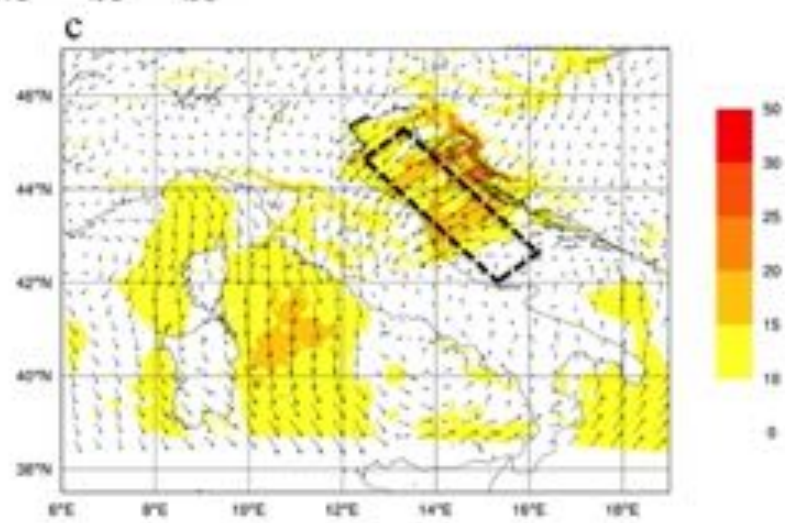
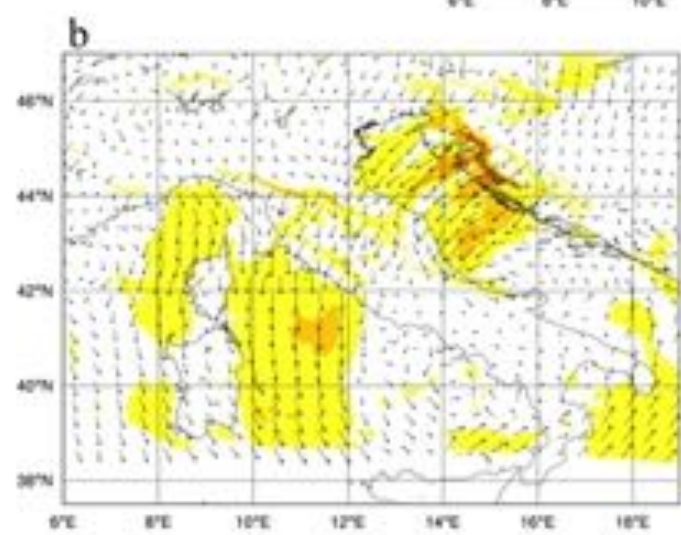
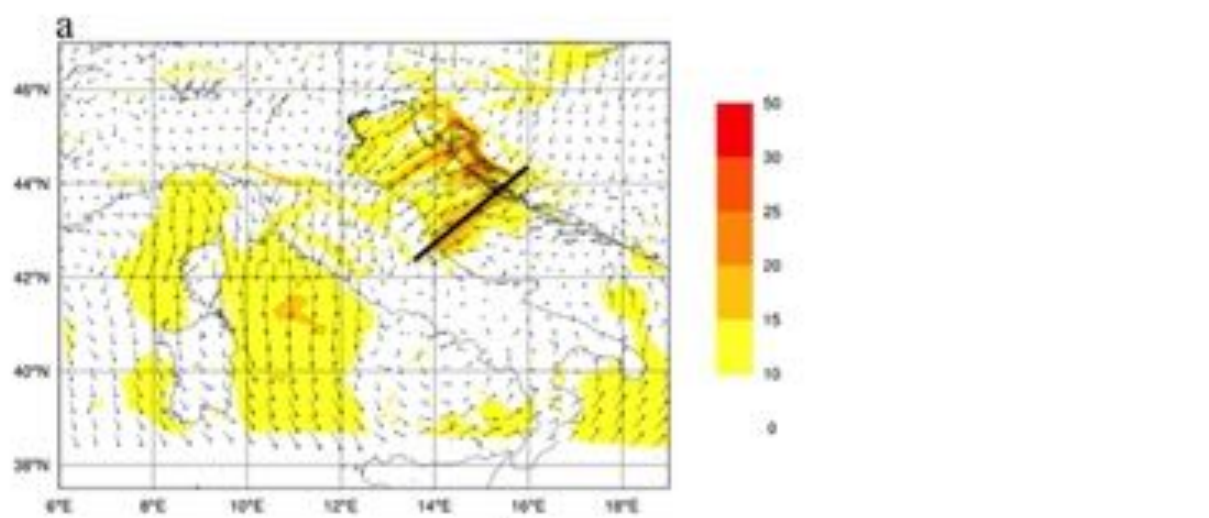




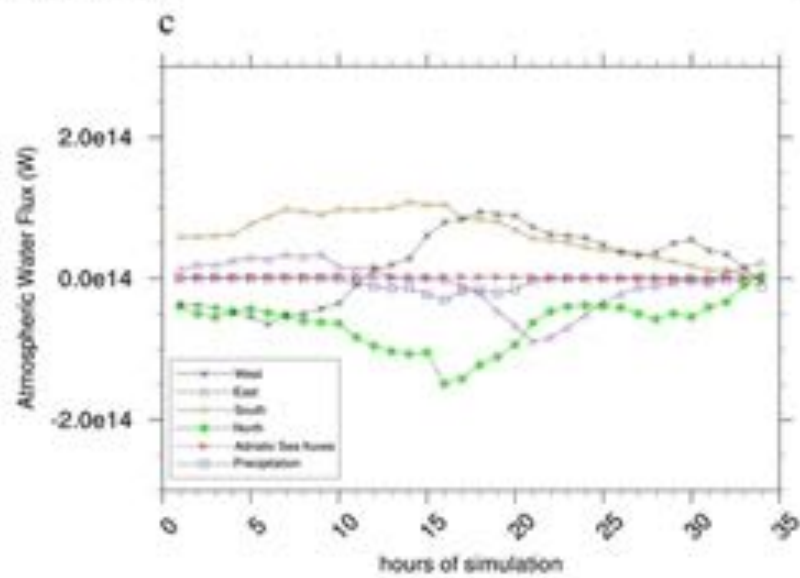
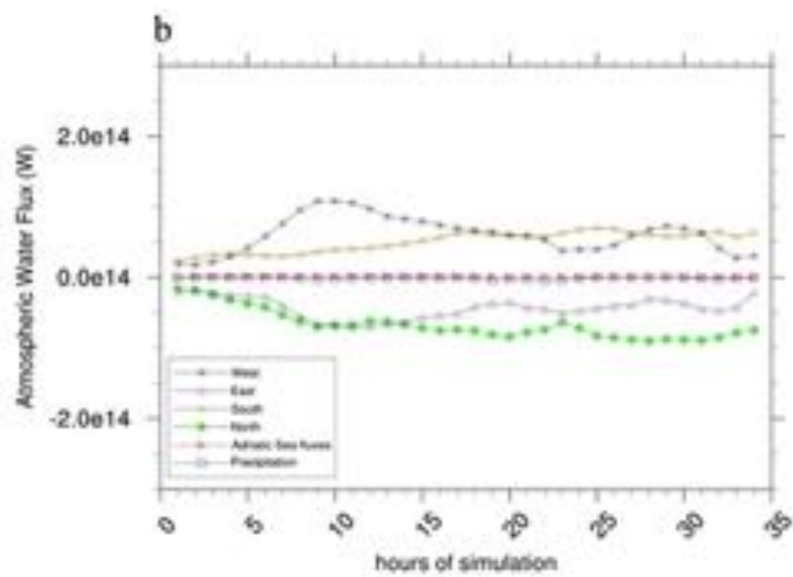
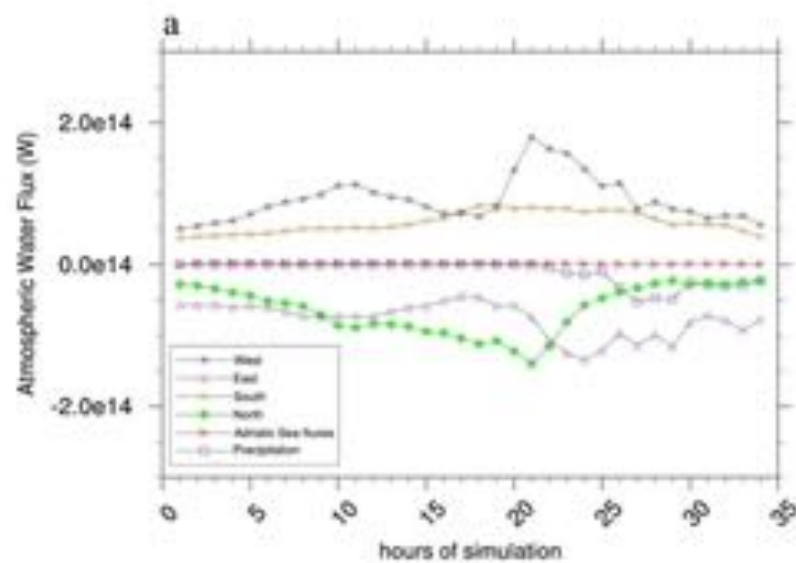


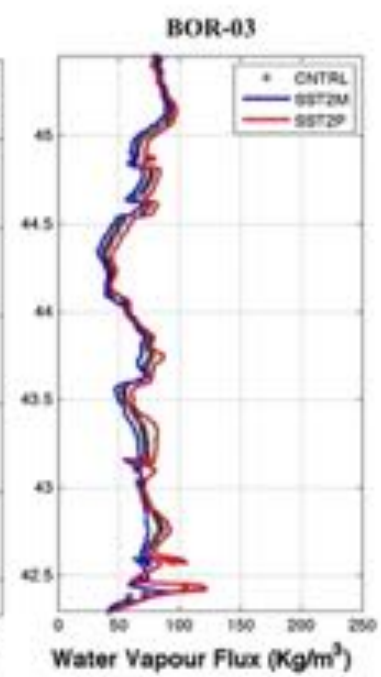
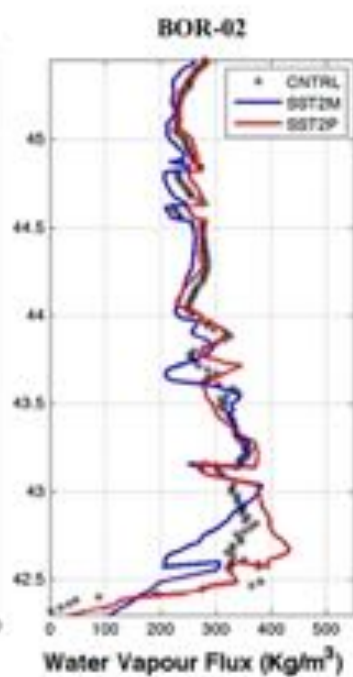
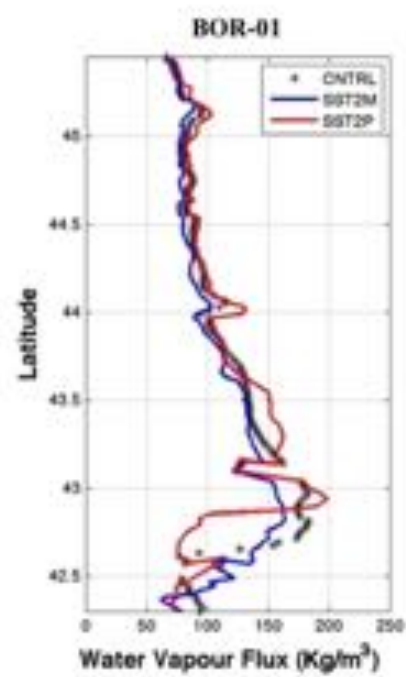
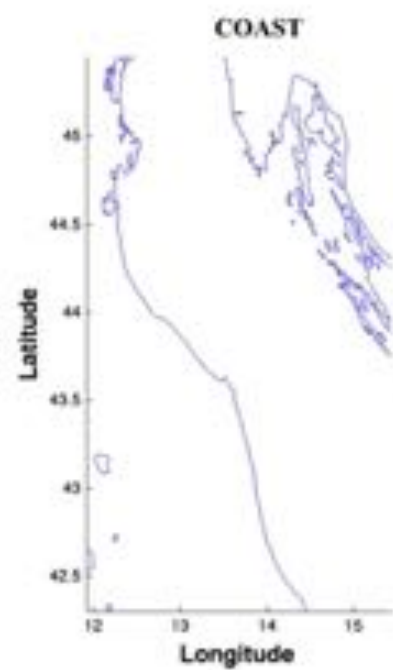


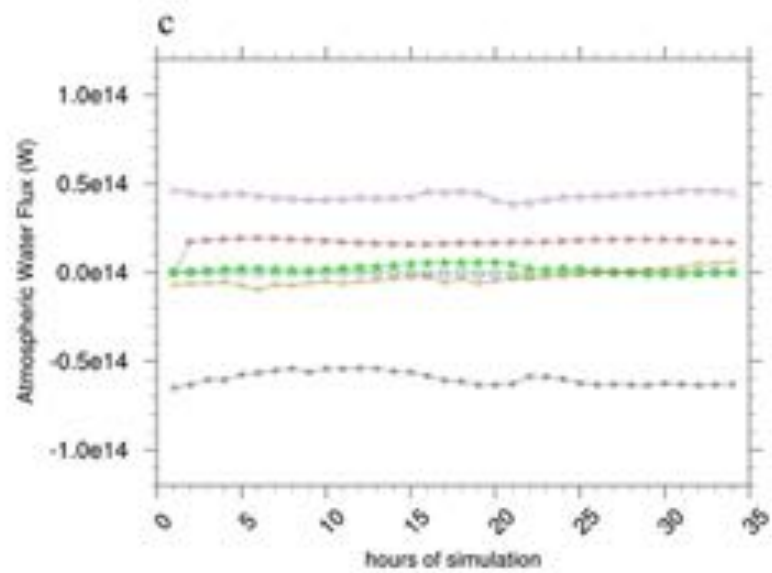
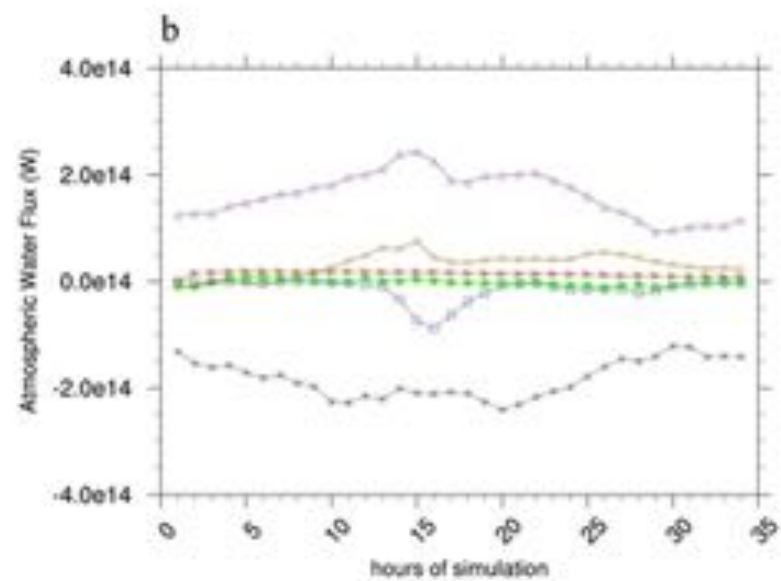
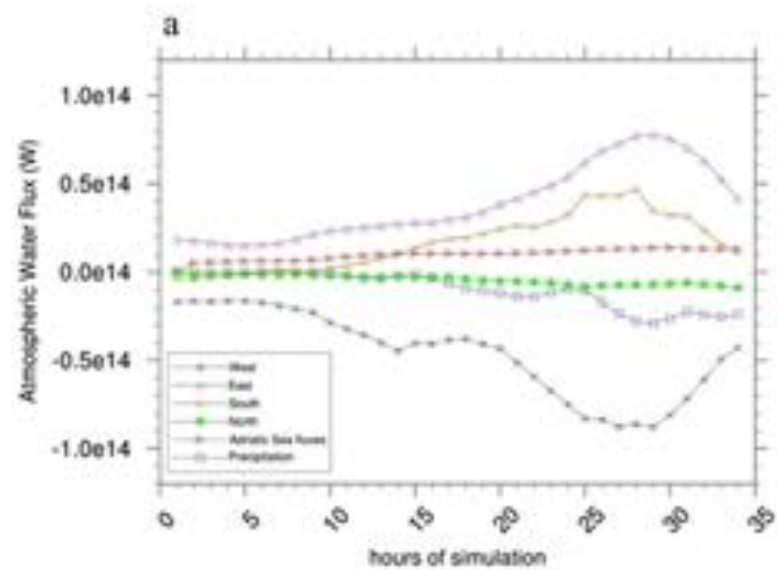


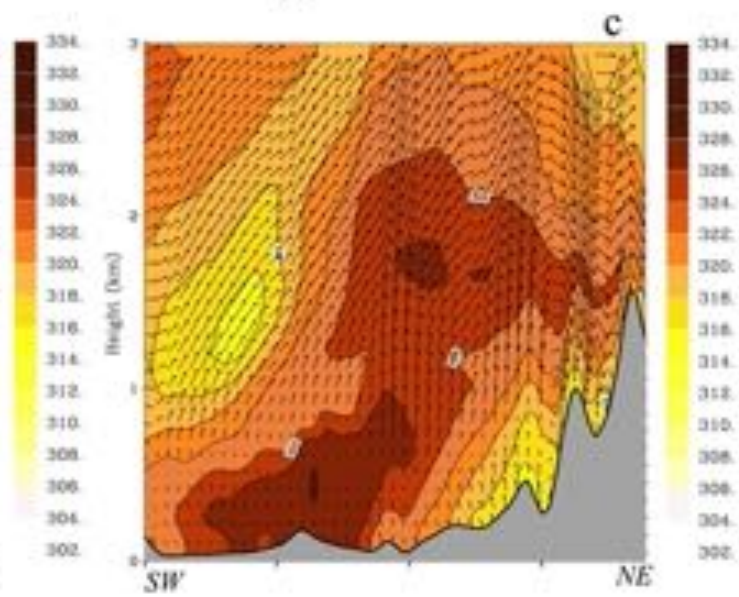
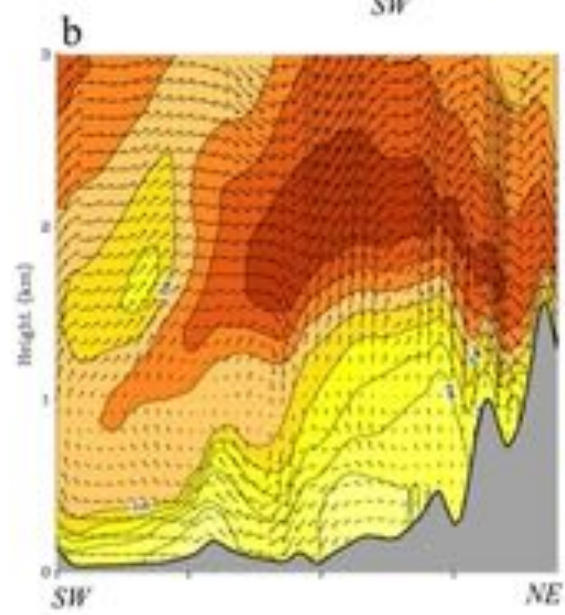
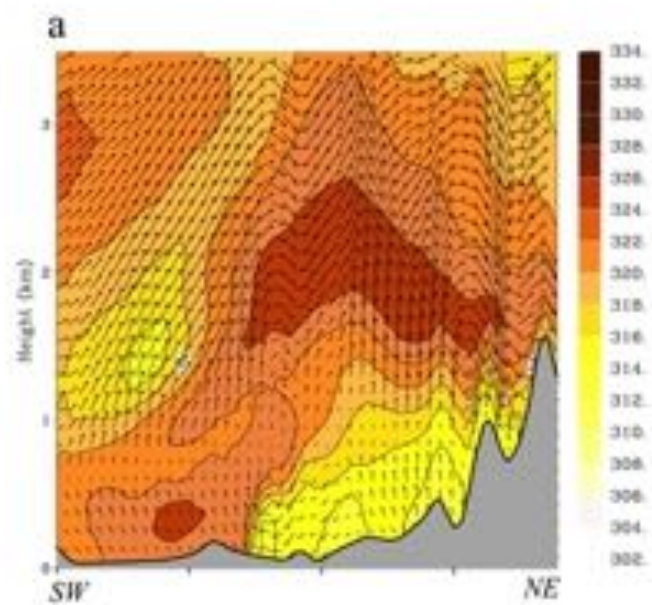


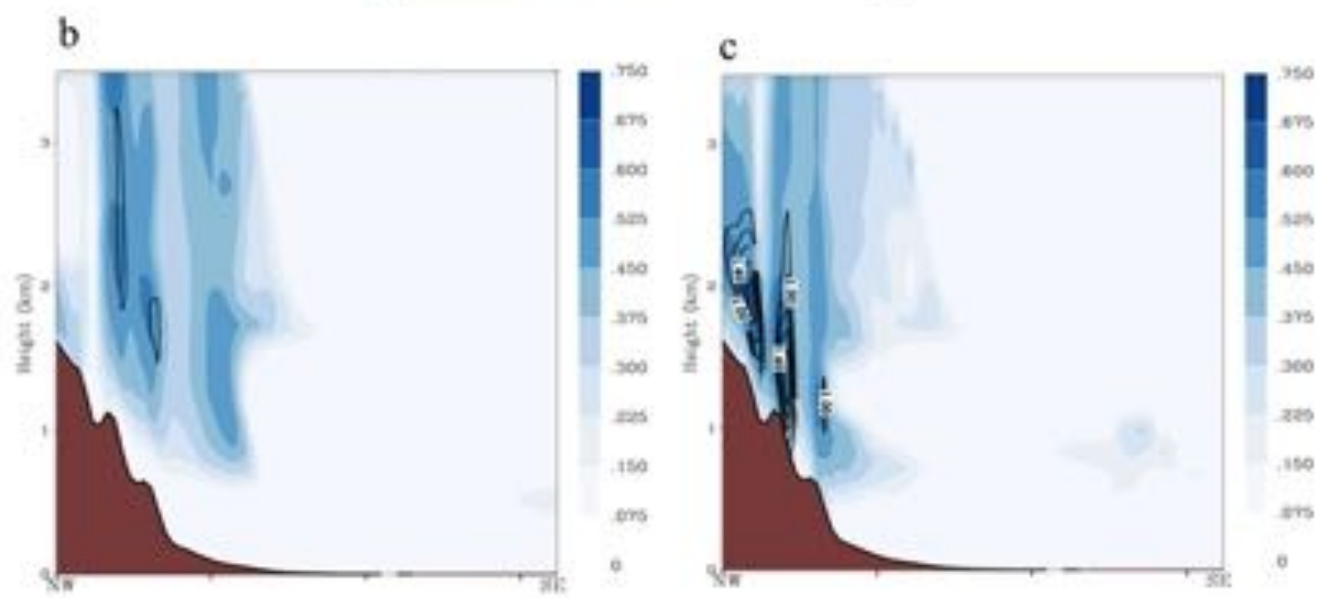
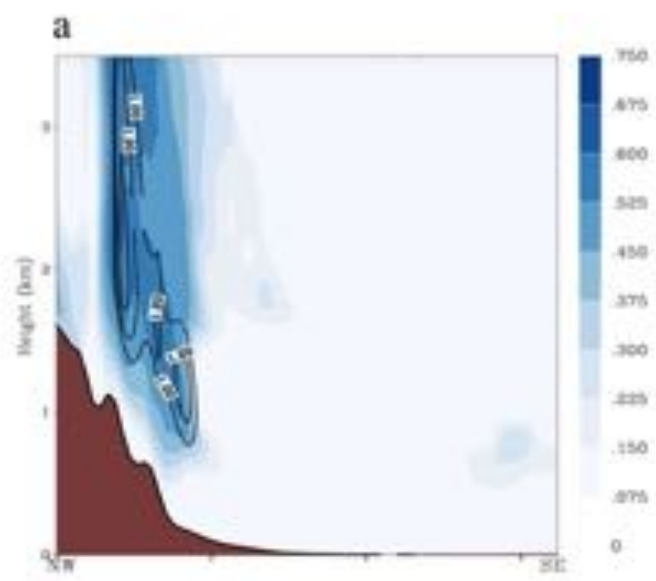


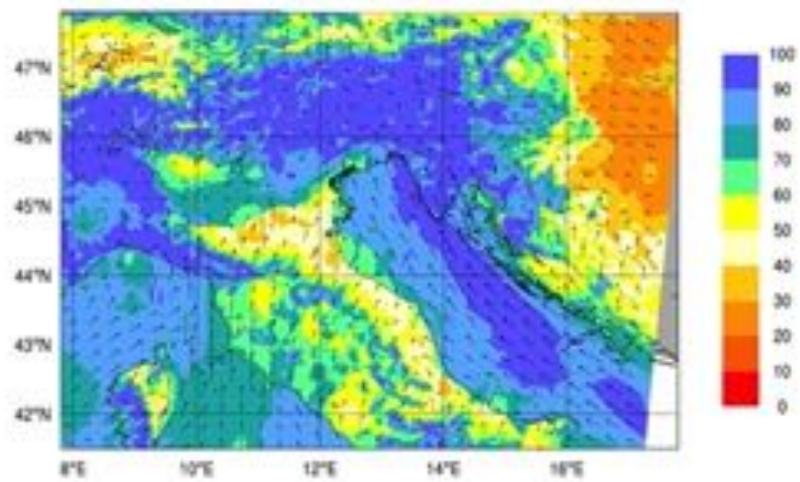
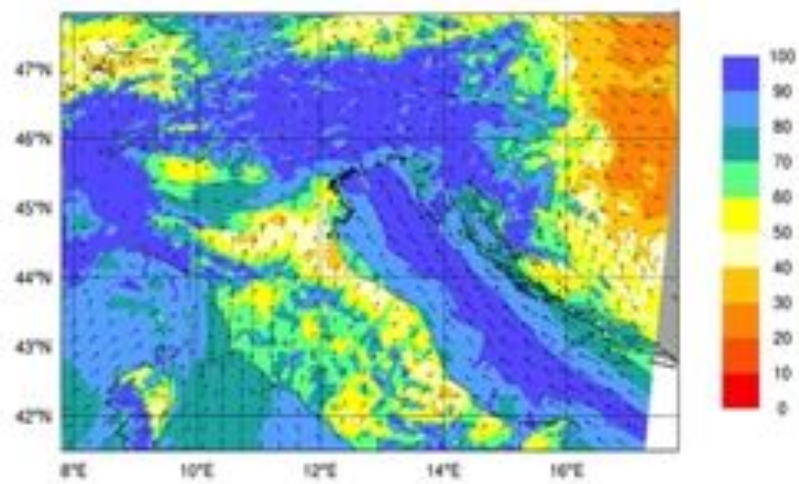
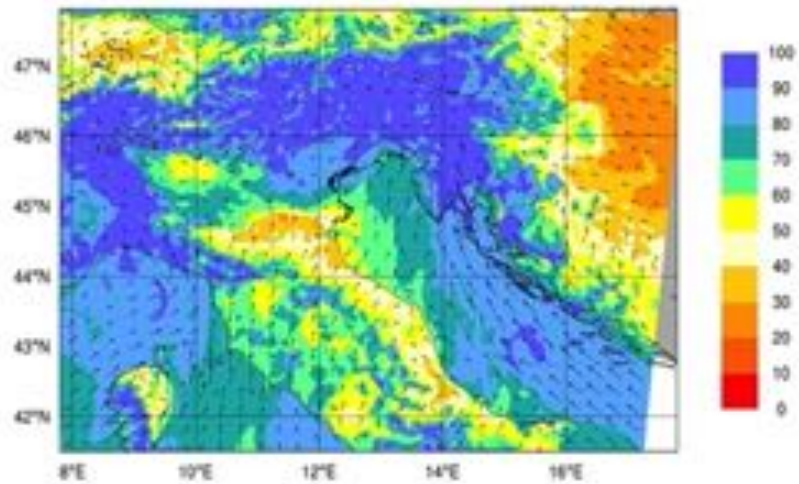


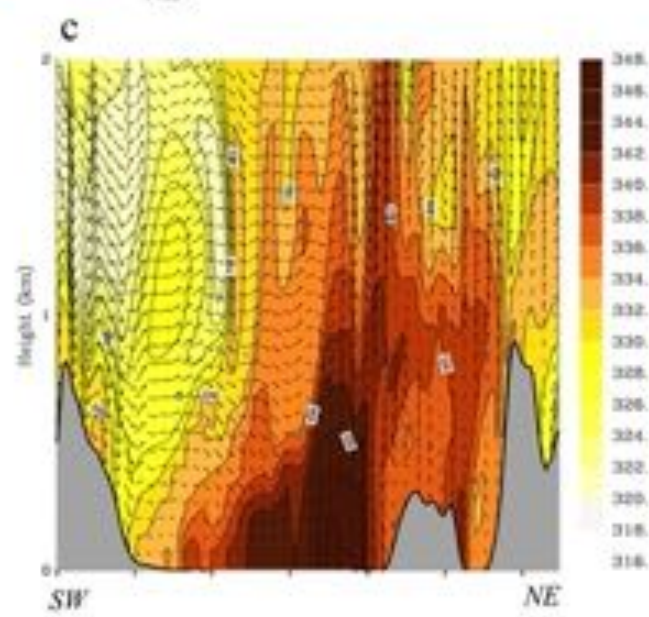
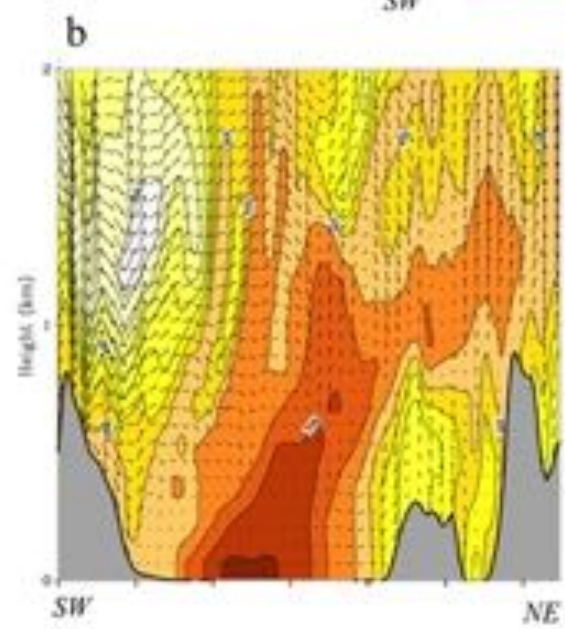
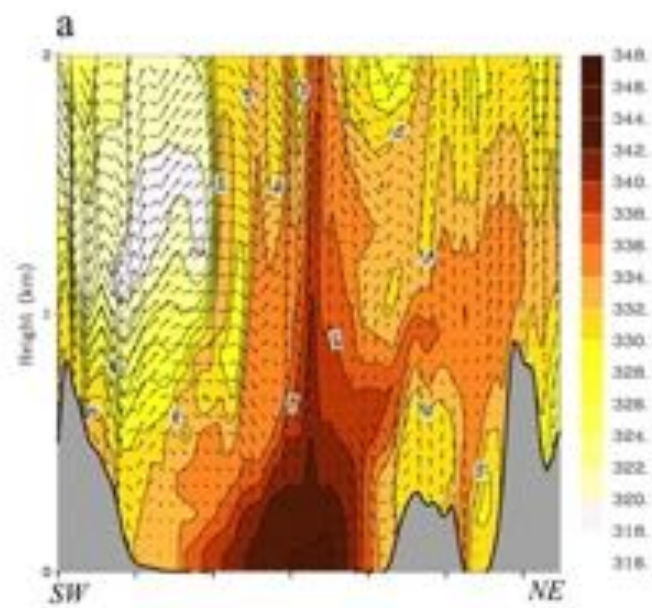


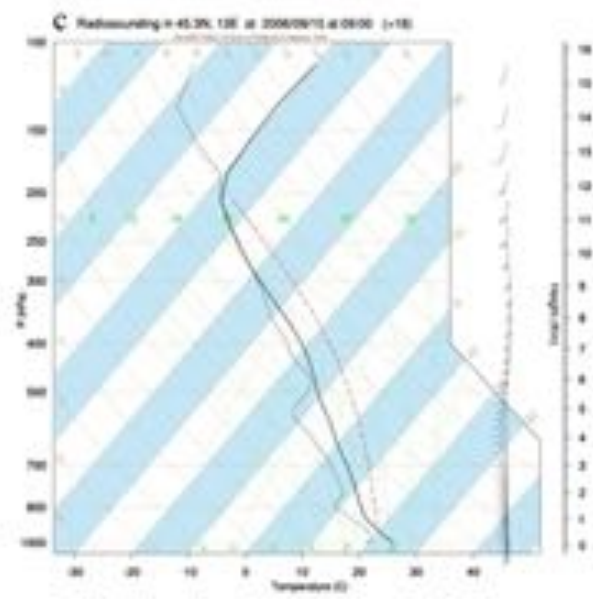
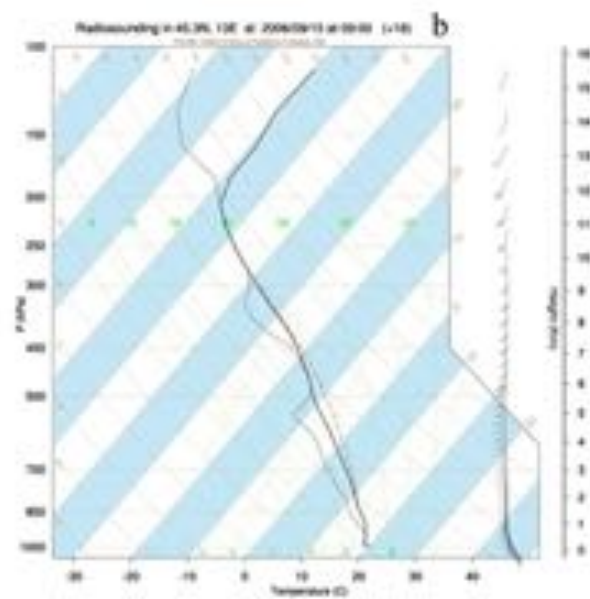
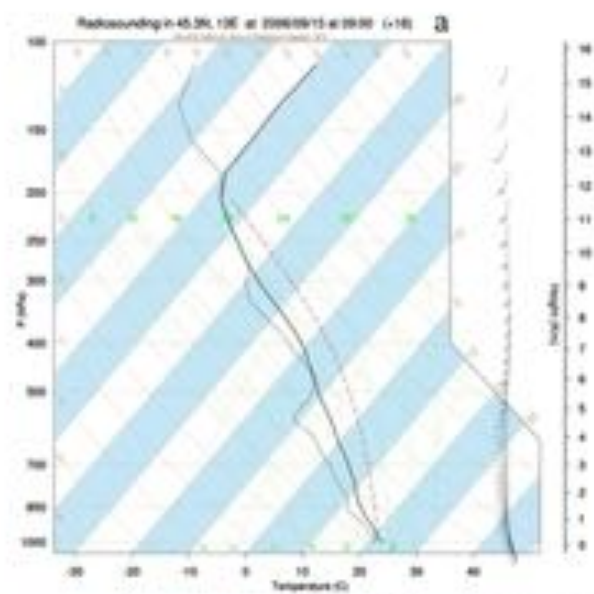




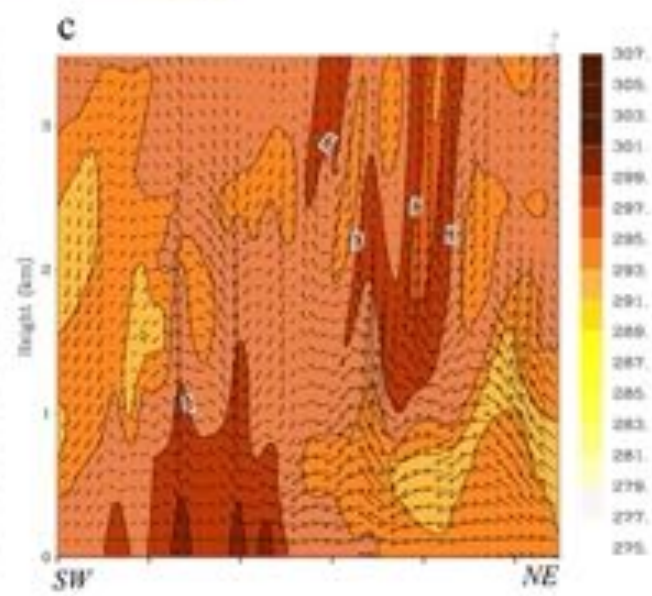
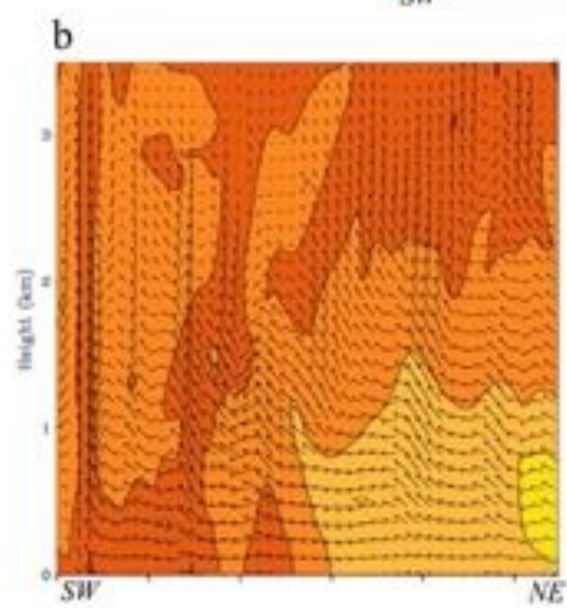
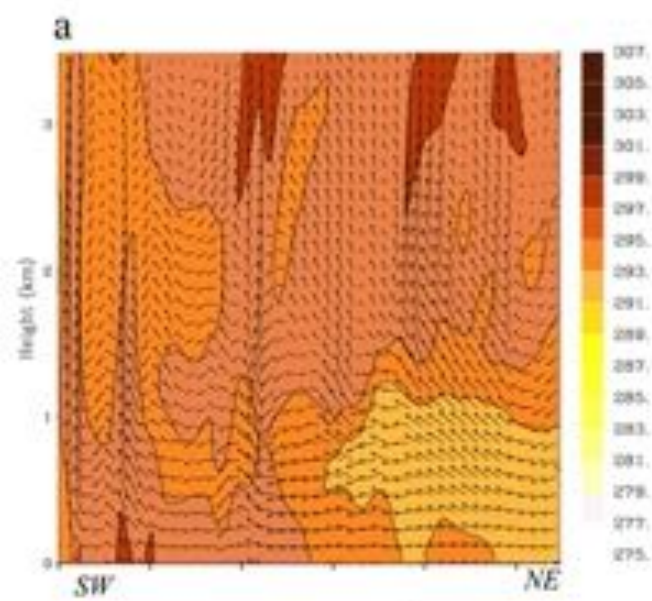


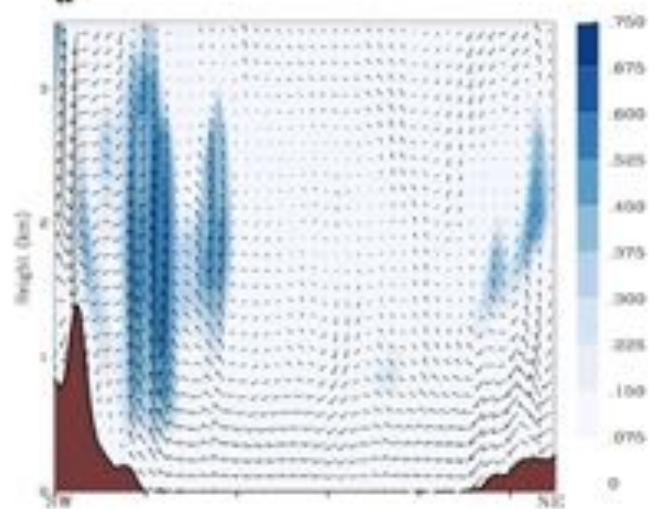
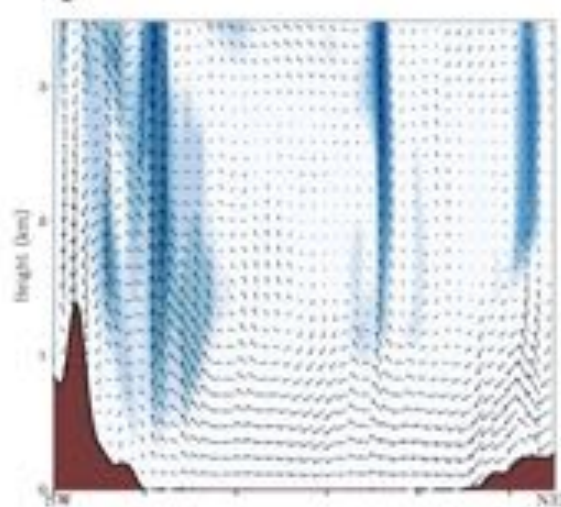
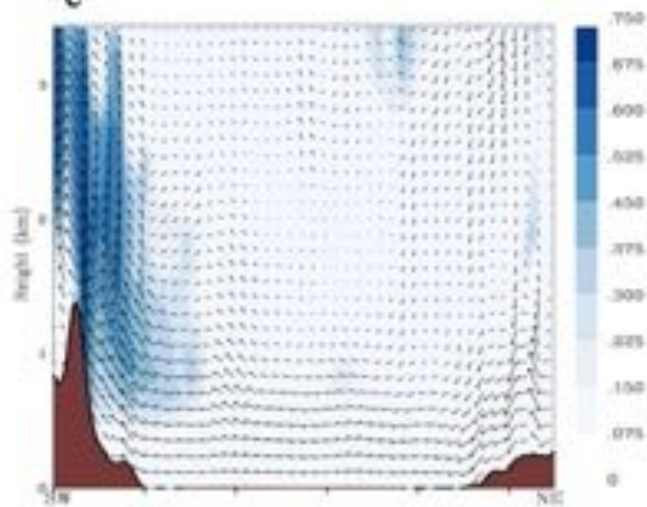
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**a****b****c**

Case study	Bolam initial condition	Accumulated rainfall period	Type of event	Max LH flux contribution (W)
SIR-01	10 Nov. 2012 1200 UTC	11 Nov. 0000 UTC – 12 Nov. 0000 UTC	Sirocco and orographic precipitation	$2.19 \times 10^{12}$
SIR-02	30 Oct. 2010 1800 UTC	31 Oct. 1200 UTC – 01 Nov. 1200 UTC	Sirocco and orographic precipitation	$1.51 \times 10^{12}$
SIR-03	14 Sep. 2006 1200 UTC	15 Sep. 0000 UTC – 16 Sep. 0000 UTC	Sirocco and convective precipitation	$2.44 \times 10^{12}$
BOR-01	9 Feb. 2012 1200 UTC	10 Feb. 0000 UTC – 11 Feb. 0000 UTC	Cyclonic Bora	$1.38 \times 10^{13}$
BOR-02	13 Sep. 2012 1200 UTC	14 Sep. 0000 UTC – 15 Sep. 0000 UTC	Cyclonic Bora	$1.94 \times 10^{13}$
BOR-03	30 Dec. 2014 0000 UTC	31 Dec. 0000 UTC – 01 Jan. 0000 UTC	Anticyclonic Bora	$1.94 \times 10^{13}$

Table 1: Selected Sirocco and Bora events. Initial conditions for BOLAM simulations (MOLOCH is initialized 3 hours later) are reported, together with the indication of the period of intense precipitation and of the type of event. The last column shows the maximum contribution to the atmospheric water budget provided by the surface latent heat fluxes from Adriatic Sea (see Sect. 5.2.2).

## 1 **Highlights**

- 2 • Numerical simulations of heavy precipitation associated with intense air-sea interaction over  
3 the Adriatic Sea.
- 4 • Sensitivity of forecast precipitation to SST **uncertainty**.
- 5 • The response of intense precipitation patterns to SST uncertainties is complex.
- 6 • SST impact on dynamics and on orographic flow regime and, in turn, on precipitation.