

Risk-aware navigation in industrial plants at risk of NaTech accidents

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Abstract

Industrial plants are susceptible to NaTech disasters during earthquakes caused by damage to structural and non-structural components and the potential release of toxic materials. To mitigate and manage this risk, the ROSSINI project was initiated and its results are described here for what concerns industrial plant worker safety and their risk-based navigation in emergency situations. The project includes a data acquisition system consisting of a data acquisition board and an array of different sensor technologies, which process the seismic event and other meteorological information before passing them as inputs towards the risk identification and evaluation (RIE) modules. Here, the risks associated with structural and non-structural damage and health risks associated with the release of harmful substances are estimated and combined to form a navigable risk map. This map is used within a purpose-built risk-based navigation application for the safe egress of workers from an industrial plant. To demonstrate the implementation of this system, two case-study industrial plant layouts consisting of buildings, non-structural components, liquid storage tanks, piping systems, and chemical storage vessels, were devised. This paper describes the project's implementation in these contexts and illustrates the results via several example scenarios.

Keywords: risk-aware navigation; sensors; industrial plants; structural risk; environmental risk.

1. Introduction

Accidents initiated by natural hazards like earthquakes that trigger technological disasters are termed NaTech accidents, as listed on the eNatech Database (<https://enatech.jrc.ec.europa.eu/>). When occurring in industrial plants, these events have a high potential for structural and non-structural element damage and collapse, in addition to the release of toxic substances into the local environment. Akin to many countries around the world, Italy is also susceptible to NaTech events, especially harmful to large important facilities like industrial plants consisting of numerous buildings and hazardous equipment, the failure of which could result in significant impacts on human health to the point of serious injury or death. Furthermore, many processes within the industrial facilities are conducted in series, meaning the failure of one component could halt the entire process resulting in business interruption. Over the years, substantial amounts of failures leading to casualties in industrial plants have been documented following seismic events. Suzuki (2008) documented the destructive damage to industrial areas leading to long-term fires in petroleum refineries following the Niigata Earthquake in 1964; damage to power stations, and lifelines following the Tokachi-Oki Earthquake in 1968; damage to piping and large tanks due to sloshing following the Miyagi Ken-Oki Earthquake in 1978, among others. The 1999 Izmit earthquake in Turkey caused significant damage to the Tupras refinery following the structural collapse of a concrete chimney, and the subsequent release of a large volume of toxic substances into the surrounding environment (Erdik and Durukal 2003). More recently, chemical facilities were severely damaged following the L'Aquila Earthquake in 2009. Three silos storing polypropylene beads were significantly damaged leading to collisions with an adjacent warehouse resulting in the partial crushing of concrete walls (Grimaz 2014). Furthermore, pipelines transferring gas were damaged, releasing substances, which albeit not harmful, are symptomatic of the potential hazard to life safety in ensuing earthquakes should dangerous substances be stored (Esposito et al. 2013). The above was confirmed by the Emilia-Romagna earthquake in Italy in 2012, indicating the high vulnerability of facilities accentuated by the risk of dangerous substances released into the local

51 and surrounding environment because of pipelines rupturing or storage tanks failing. Additionally, the
52 collapse of buildings within the plant area led to simultaneous damage of pipelines amplifying the
53 implications of safety systems' failure through multiple accident chains. Grimaz (2014) highlights the
54 implications of collapsing components and the subsequent release of toxic substances for human health
55 potentially leading to death despite the moderate intensity of the earthquake, and hence must not be
56 ignored.

57 The observed severity of failures in industrial facilities and potential health safety concerns necessitate
58 the implementation of safety measures, such as passive control techniques for seismic protection, like
59 base isolation or other dissipation systems (Christopoulos and Filiatrault 2006; Spencer and Nagarajaiah
60 2003). Even though the implementation of such systems is of great importance, the safe egress of
61 workers remains a priority. Therefore, within this study, a risk-aware navigation system was developed
62 with the goal of managing and mitigating the seismic risk associated with human health in industrial
63 plants following seismic events. The collaborative efforts of the Scuola Universitaria Superiore IUSS
64 Pavia, the Eucentre Foundation, the University of Milan and the Italian National Institute for Insurance
65 against Accidents at Work (INAIL) led to the design, implementation, and testing of a prototype system
66 for integrated risk-aware navigation within industrial plants at risk of accidents following seismic
67 events, entitled ROSSINI (**R**ischi**O** **S**i**S**mico in industrie a rischio di **I**ncidente **r**ilevante), which is
68 described herein.

69 Within this study, the objectives of the ROSSINI project are illustrated along with the sensor
70 technologies utilised. Its implementation is detailed with the use of a multi-sensor array for integrated
71 risk computation in industrial plants at risk of NaTech accidents. A key part of the system involves the
72 Risk Identification and Evaluation (RIE) modules, where the risk metrics associated with structural and
73 non-structural failure are combined with the risks due to the release of dangerous substances into the
74 local environment, potentially impacting human health. For a given seismic shaking detected at an
75 industrial plant through the multi-sensor array, the system furnishes a real-time risk map for plant
76 workers to use, and be navigated by, to exit through the safest (i.e., minimal risk) route automatically
77 calculated by the system. Risks associated with the damage to elements such as piping systems,
78 industrial structures, tanks, and storage vessels are combined with the probability of toxic substances
79 being released and diffused into the local environment. Structural and non-structural failure risk
80 estimates are based on a classic fragility function approach, where the probability of a given damage
81 threshold, and subsequent consequence, are estimated from a database of collected fragility and
82 consequence functions and relayed to plant workers via the risk map. For what concerns the
83 environmental risk, atmospheric dispersion models are employed to simulate the accidental continuous,
84 transient, instantaneous, or catastrophic release of chemicals, to predict air concentration levels of toxic
85 substances in the surrounding environment. The latter are then compared against chemical-specific
86 toxicological thresholds to assess the severity of the impacts on human health. Finally, the
87 computational architecture developed for the identification of the safest exit route and its
88 implementation within a mobile application is described. Using the tools developed, the system within
89 the ROSSINI project is appraised via its application to case-study industrial plants considering ground
90 shakings of various intensities and other potential scenarios.

91 **2. Overview of the risk assessment framework**

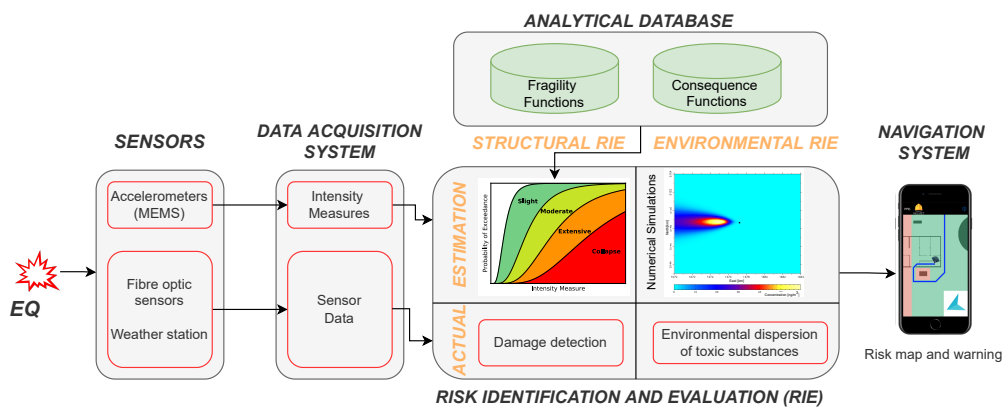
92 Estimation of the risk of casualties following an earthquake event requires knowledge of fragility
93 models related to the collapse probability of structural and non-structural components within the
94 industrial plant. Coburn and Spence (2002) noted the relationship between the number of casualties
95 after seismic events and the number of fully or partially collapsed buildings. Empirical fatality models
96 have been developed over the years to account for the extent of the collapse. For example, Crowley et
97 al. (2017) developed a semi-empirical framework to estimate fatality and consequence models leading
98 towards the computation of a risk metric termed "local personal risk" (LPR). The LPR is a combination
99 of the probability of dying inside or outside a building given collapse, identifying the risk a building
100 poses to a single person that is permanently located within or near a building. Similarly, Jonkman et al.
101 (2003) discussed several fatality risk metrics, including individual risk, defined as the probability of an
102 average unprotected person, permanently present at a certain location, being killed due to an accident

103 resulting from a hazardous event. The existing literature is mainly focused on casualty estimation based
 104 on the direct collapse of structural and non-structural components, either using empirical or analytical
 105 models depending on the location of a person relative to the building.

106 Coburn and Spence (2002) considered several factors, including the number of people inside the
 107 building at the time of seismic action, and the percentage of people trapped by collapse and unable to
 108 escape. In contrast, Taig and Pickup (2016) demonstrated the importance of potential casualties around
 109 the perimeter of the building because of falling debris. Therefore, an influence zone can be identified
 110 for components and buildings within the plant layout of the building. Additionally, instead of computing
 111 a probability of casualty based on relative probability dependent on inside or outside risk, a more direct
 112 risk is computed as the actual location of a person within the plant is known at any given time. To
 113 account for developments in past studies regarding consequence models, the navigation system
 114 described herein is assumed to be installed on a mobile device that each worker possesses and has an
 115 objective to estimate risk throughout the entirety of the industrial plant at any given time assuming a
 116 dynamically changing environment. The navigation system aims to devise a least-risk path towards
 117 emergency exits based on combined risks due to structural and environmental impacts as described
 118 within Section 5.1 and Section 5.2, respectively. The RIE framework architecture utilised as a part of
 119 the ROSSINI project is illustrated schematically in Figure 1 and is described in further detail in the
 120 following sections. Once an earthquake event is detected, the raw signal is processed through sensor
 121 technologies and propagated through the data acquisition board, where the ground motion intensity
 122 measures as well as other pertinent sensor data are passed to the RIE modules. Here, the RIE modules
 123 utilise the analytical database comprised of fragility and consequence functions and estimate damage
 124 and dispersions of toxic substances into the local environment. The analytical database is stored in the
 125 system while the computations of risks will be carried out and stored after each seismic event. Finally,
 126 the output of risks is passed to the navigation system, which maps the risks and provides the safest path
 127 for a given worker through the mobile app.

128 3. Sensor technologies

129 The platform utilised in ROSSINI integrates structural and environmental RIE modules. The risks
 130 associated with the structural damage of buildings and damageable plant components, such as pipelines,
 131 tanks and vessels, are estimated within the structural RIE module. The environmental RIE module
 132 instead is based on estimating the concentration field of chemicals in the industrial plant due to the
 133 release from plant components and simulating its spatial diffusion over time. The input data for both
 134 RIEs are implemented within the ROSSINI platform, which acquires and analyses data from different
 135 sensor technologies, such as Micro-Electro-Mechanical-System (MEMS) accelerometers, fibre optic
 136 (FO) sensors (e.g., Fiber Bragg Grating, FBG, and distributed backscattering based), and a weather
 137 station, described in the following sections.



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Figure 1. Flowchart of the ROSSINI project

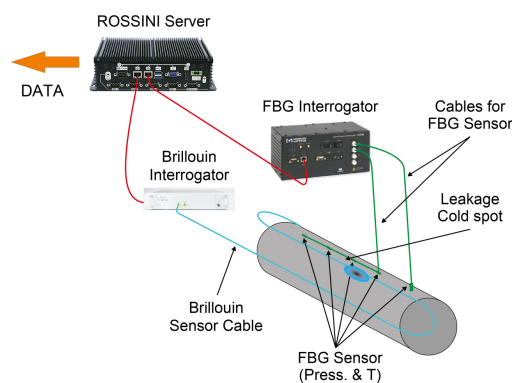
140 **3.1. Accelerometers (MEMS)**

141 Triaxial MEMS accelerometers located at various locations within the industrial plant are connected to
142 the dynamic acquisition system (dDAs) to monitor for excessive ground accelerations (i.e. initiation of
143 earthquakes) and to periodically store environmental vibration data useful for the identification of
144 structural dynamic parameters (O'Reilly et al. 2019). The dDAs consists of a standalone acquisition
145 board capable of acquiring, filtering and processing up to 32 simultaneous analogue channels with 24bit
146 precision analogue to digital converters. This module features sample rates of up to 1kHz for dynamic
147 acquisitions or periods from 1sec to 24hrs for static acquisitions. The signals recorded via these
148 accelerometers are used as inputs for the structural RIE module, as shown in Figure 1.

149 **3.2. Fibre optic sensors**

150 In recent years, the use of fibre optic (FO) sensor technologies in engineering and industrial applications
151 has been increasing (Barrias et al. 2016; Li et al. 2004; Marino and Ciucci 2019; Paolacci et al. 2021).
152 This is primarily attributed to the several advantages they hold with respect to traditional sensors, such
153 as being lightweight and durable, possessing immunity to electromagnetic fields, high sensitivity, good
154 embeddability, and the capability of covering wide areas. For example, FO sensors have been widely
155 applied in down-well temperature measurements, structural monitoring of oil rigs, and detection and
156 security monitoring of potential pipeline leakages. Given the notable possibilities of the FO sensors
157 (Butter and Hocker 1978; Glisic and Inaudi 2012), both Fiber Bragg Grating (FBG) and Brillouin
158 backscattering distributed sensors (Garus et al. 1996; Nikles et al. 1996) are used in the ROSSINI
159 platform to collect and provide the emission data necessary for the environmental RIE module (Figure
160 1). FBG sensors are point sensors available for a wide range of measurements that can be used to build
161 a large sensor network and be repeatedly queried at high frequencies. Brillouin distributed systems
162 exploit the full length of an optical fibre as a strain and temperature sensor and, consequently, they are
163 quite suitable for pipeline monitoring.

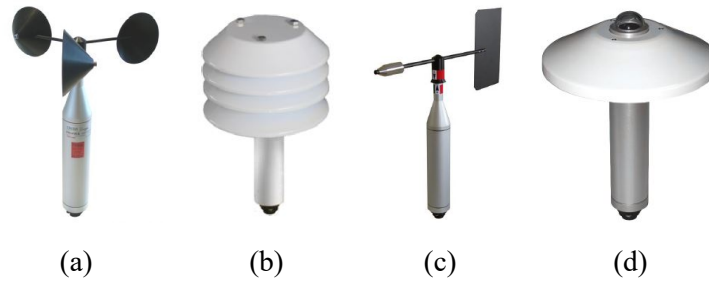
164 Because of depressurisation along with temperature drops following gas leakages from pressurised
165 vessels or pipes, both pressure and temperature are monitored to detect possible gas releases into the
166 local environment. For this purpose, FBG sensors can be used to measure localised pressure and
167 temperature variations at specific locations of the test vessel as part of the ROSSINI project. Distributed
168 Brillouin sensors may be employed to measure temperature variations on a wide area of the surface of
169 the same test vessel. Both types of sensors are connected to specific interrogation units through standard
170 optical cables and connectors. The interrogation units are then connected to the ROSSINI server,
171 capable of gathering data locally and sharing information throughout the online platform developed
172 within the ROSSINI project shown in Figure 2.



173
174 Figure 2. Schematic of the proposed FO system

175 **3.3. Weather station**

176 The environmental RIE shown in Figure 1 requires additional input data, which is to be provided via a
177 weather station equipped with a wind speed sensor, a thermogravimetric sensor, in addition to a wind
178 direction and a solar radiation sensor used to estimate the atmosphere stability, as depicted in Figure 3.



179 Figure 3. Weather station comprising of (a) wind speed sensor; (b) thermogravimetric sensor; (c) wind
180 direction sensor; and (d) solar radiation sensor

181 3.4. Data acquisition system

182 As illustrated in Figure 4, the data acquisition system consists of multiple sensors installed in the local
183 environment, distributed processing units (data acquisition board), and data integration and filtering
184 module running on the ROSSINI server, where robustness and redundancy are of prime importance in
185 the design phase.

186 In the field of data acquisition, the novelty of the ROSSINI system lies in the possibility of exploiting
187 different sensor technologies (i.e., MEMS accelerometers, FO sensors, and weather stations) depending
188 on the specific plant's needs and strategies agreed with the plant ownership. The hardware architecture
189 is designed to be robust and redundant to problems that can occur during earthquakes or serious
190 damages to facilities which can compromise the safety and functionality of the monitoring and alerting
191 system. To ensure the proper functionality in case of failure of the electrical system, the acquisition
192 board is also equipped with a battery that guarantees 12 hours of service and a solar panel to recharge
193 it during the sunlit hours of the day. Additionally, the board electronics are suitably protected from
194 accidental shocks or falling rubble by a rigid plastic box, allowing the system to operate in adverse
195 conditions and harsh environments. Finally, the acquisition board supports wired gigabit connection
196 to the local area network and wireless 4G/LTE modem. The physical connection is the most reliable and
197 efficient one currently available and it is used as principal connection, whereas the wireless one is used
198 as a fail-safe option. Although secondary, the wireless connection allows for sharing real-time data to
199 the ROSSINI server with minimum latency.

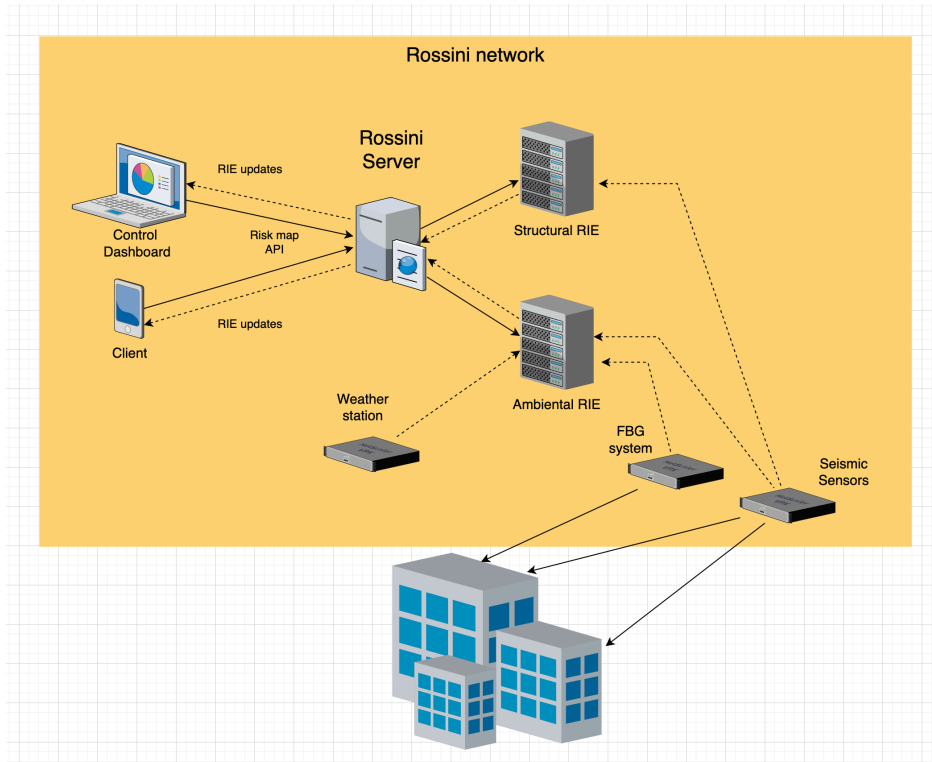


Figure 4. Illustration of sensors and their interaction within the RIE modules

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201

202 4. Construction of the database

203 Vulnerabilities and consequences of all components and buildings within the plant area are identified
 204 for risk estimation. All components identified have fragility functions based on an intensity measure
 205 (IM) of either peak ground acceleration (PGA) or spectral acceleration at a first mode period and with
 206 specified damping, $Sa(T_1, \xi\%)$. Since only the potential life safety risk of collapsing structural or non-
 207 structural components is necessary for the risk-aware navigation of plant workers, only the damage
 208 states (DSs) having potential harmful consequences in this context are considered.

209 Fragility functions may be developed using either computational methods or experimental studies. For
 210 example, Buratti and Tavano (2014) employed incremental dynamic analysis (IDA) to derive fragility
 211 curves for the buckling limit state of anchored steel tanks; Di Sarno and Karagiannakis (2020) derived
 212 fragility curves of 3D RC pipe racks using IDA accounting for soil-structure interaction effects;
 213 Iervolino et al. (2004) utilised a regression-based approach to derive fragilities for steel tanks with
 214 various levels of oil filling and water storage; O'Rourke and So (2000) derived fragility functions based
 215 on seismic performance of over 400 tanks in 9 earthquake events, among others (FEMA 2003; PEC
 216 2017). Wang et al. (2019) derived fragility curves of piping systems for different grooved fit joints
 217 based on experimental results; Tian et al. (2014) developed fragility functions of fire sprinkler piping
 218 systems based on the results of experimental testing, while Soroushian et al. (2014) derived fragility
 219 parameters of fire sprinkler piping systems using a combination of shaking table experiments and
 220 numerical models. The approach adopted for ROSSINI herein employs both numerical analysis and
 221 available literature to construct the database of components with relevant fragility functions. Numerical
 222 models of precast concrete structures, ductile and non-ductile moment-resisting frame (MRF) structures
 223 were created to analyse various building typologies considered and the relevant DSs utilised within the
 224 scope of this project were identified.

225 Additionally, the behaviour of large liquid storage tanks during earthquakes has an importance far
 226 beyond the economic value of tanks and contents. For example, following the 1964 Niigata earthquake
 227 in Japan and the 1964 Alaska earthquake, the failure of tanks storing combustible materials, such as
 228 gasoline and other petroleum products, led to extensive, uncontrolled fires (Figure 5). Throughout the
 229 years, extensive damage has been found for steel tanks that were not properly designed or detailed

230 against seismic action (González et al. 2013; Manos 1991; Niwa and Clough 1982; Zareian et al. 2012),
231 with the most common types of damage and failures including the buckling of the tank shell, roof
232 damage due to convective wave motion, settlement of foundations, failure of piping systems connected
233 to the tanks, plastification of the base plate in unanchored tanks due to uplifting, sliding of the tank,
234 failure of anchor bolts and spillage of toxic material due to excessive sloshing (Brunesi et al. 2015;
235 Niwa and Clough 1982). To account for this, three different tank configurations with different aspect
236 ratios were considered, each having broad (Tk-1), intermediate (Tk-2), and slender (Tk-3) structural
237 configurations with different filling levels of 90% and 80% of their total capacity, given its impact on
238 the dynamic response of the structure. For example, an intermediate tank with 90% filling is generally
239 less susceptible to damage due to the yielding of the structural shell when compared to the elephant foot
240 buckling of the shell. In contrast, there is a significant increase in capacity of a latter with respect to the
241 former with reduction of liquid height. Given the increase in capacity with the reduction of liquid filling,
242 for the sake of simplicity, tanks with high liquid storage fillings were considered within the scope of
243 the study. Additionally, broad tanks have considerably lower capacity when compared with slender
244 tanks. The tanks were assumed to be anchored to the ground through Grade 8.8, M39 anchor bolts. Tank
245 1 was unanchored while tanks 2 and 3 were assumed to contain gasoline and a shell material of steel
246 (S235). The tanks were designed following Eurocode 8 Part 4 (CEN 2006), while the non-linear
247 dynamic analysis was carried out through OpenSees (McKenna 2011). Given the steel shell of the liquid
248 storage tanks, 2% damping was assumed (Calvi and Nascimbene 2011; Ozsarac et al. 2021).

249 Assuming hydrodynamic effects, the tanks were idealised by an equivalent spring-mass mechanical
250 model originally developed by Westergaard (1933) for dams and reservoir systems, which was later
251 modified into a simple two-degree-of-freedom mechanical model to simulate the tank-liquid system
252 response by Housner (1963). Extensive non-linear dynamic analyses using the incremental dynamic
253 analysis (IDA) (Vamvatsikos and Cornell 2002) method were carried out using the numerical models
254 of buildings and liquid storage tanks. To this end, the INNOSEIS record set (Vamvatsikos et al. 2017)
255 representative of the European medium seismicity context is selected as an appropriate strong motion
256 suite. The IDA results were then used to generate fragility functions characterising the interface between
257 structural response and expected levels of ground shaking intensities considering a specific IM of
258 choice. The fragility database was largely based on numerically generated fragility functions developed
259 either within the scope of ROSSINI or from available literature, which requires further work with
260 possible considerations of ageing and deterioration impacts on components' fragility function
261 parameters (Ghosh and Padgett 2010); however, for ROSSINI the derived and utilised fragility database
262 is deemed sufficient given the scope of the project. The fragility functions are assumed to follow a
263 cumulative lognormal distribution and their parameters developed within the scope of ROSSINI are
264 provided in Table 1 and Table 2. Similarly, the fragility functions associated with the process equipment
265 and pipelines were adapted from available literature (FEMA 2003, 2012; PEC 2017; Di Sarno and
266 Karagiannakis 2020) and are given in Table 2. Since risk-aware navigation aims to ensure the life safety
267 of plant workers, the performance limit states associated with slight or moderate damage are not of
268 direct interest, but rather the collapse limit state which has the potential to cause harm. The estimated
269 level of risk based on the fragility functions and associated input IM attained via the multi-sensor array
270 will allow for the assignment of risk levels to be utilised within the risk-aware navigation system.



271

272 Figure 5. Steel tanks on fire during the 1964 Alaska earthquake (<https://www.valdezmuseum.org>) (left),

273 steel tanks on fire during the 1964 Niigata earthquake (Suzuki 2008) (right)

274

Table 1. Derived fragility function parameters of the components considered

ID	Component	Source	DS	Consequence	IM	Median [g]	Dispersion
PC-1-3ST	Multi-storey (3 storeys) precast concrete structure	Numerical modelling	Collapse DS	Complete collapse of structural system	PGA	1.19	0.42
PC-2-5ST	Multi-storey (5 storeys) precast concrete structure				PGA	1.25	0.30
D-BF-RC-4ST	Ductile bare MRF structure				PGA	1.69	0.42
ND-IF-RC-2ST	Non-ductile infilled MRF structure				PGA	1.31	0.34
Tk-1-90	Broad liquid storage tank with 90% filling level (unanchored)		Elephant foot buckling of shell	Damage to structural shell	$Sa(0.23, 2\%)$	1.82	0.37
Tk-2-80	Intermediate liquid storage tank with 80% filling level (anchored)				$Sa(0.14, 2\%)$	2.52	0.17
Tk-2-90	Intermediate liquid storage tank with 90% filling level (anchored)		Yielding of structural shell	Panel joint failure as a result of excessive deformities in the structural shell	$Sa(0.16, 2\%)$	1.80	0.13
Tk-3-90	Slender liquid storage tank with 90% filling level (anchored)	$Sa(0.15, 2\%)$			2.97	0.14	

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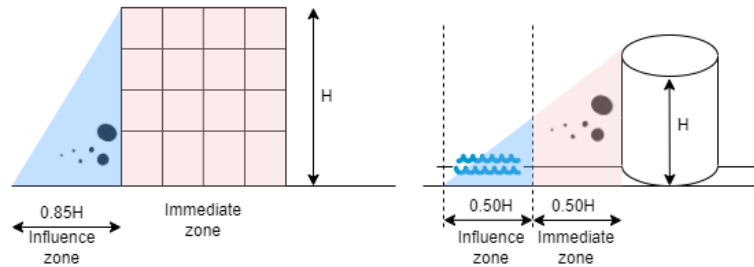
Table 2. Fragility function parameters of process equipment and pipelines adopted from literature

ID	Component	Source	DS	Consequence	IM, [g]	Median	Dispersion
PR-SSI	Pipe racks with soil-structure interactions considered	Di Sarno and Karagiannakis (Di Sarno and Karagiannakis 2020)	CLS	Complete failure, risk to human life, release of hazardous material	PGA	0.45	0.15
HZ1	Electrical equipment	HAZUS, MH 2.1 (FEMA 2003)	DS3	Failure of 40% of disconnect switches, or circuit breakers, or current transformers		0.50	0.60
HZ2	Boilers and pressure vessels	HAZUS, MH 2.1 (FEMA 2003)	DS3	Considerable damage		0.52	0.70
HZ4	Motor driven pumps	HAZUS, MH 2.1 (FEMA 2003)	DS4	Considerable damage		1.28	0.34

HZ7	Boiler building	HAZUS, MH 2.1 (FEMA 2003)	DS5	Complete DS of building		1.50	0.80
F1	Transformers, D5011.011d	FEMA P58-3 (FEMA 2012)	Inoperative	N/A		1.01	0.60
F2	Generator, D5092.031c	FEMA P58-3 (FEMA 2012)	Inoperative	N/A		0.90	0.40
E1-T	Ammonia storage vessel	PEC (PEC 2017)	PL2	Complete release of content and global collapse of the vessel		0.54	0.46
E8-T	Compressor intercooler	PEC (PEC 2017)	PL2			0.54	0.46
E13-T	Waste heat boiler	PEC (PEC 2017)	PL2			0.54	0.46
E10	Reactor	PEC (PEC 2017)	PL2	Global collapse of the vessel and the consequent release of fluid content		0.51	0.45
E7	1 st stage air compressor	HAZUS, MH 2.1 (FEMA 2003)	DS4	Building or pumps badly damaged beyond repair		0.77	0.65
E9	2 nd stage air compressor	HAZUS, MH 2.1 (FEMA 2003)	DS4			0.77	0.65
E21	Nitric acid unanchored tank (60%)	HAZUS, MH 2.1 (FEMA 2003)	DS4	Tank damaged and out of service		0.68	0.75
E28	Vertical water pump	HAZUS, MH 2.1 (FEMA 2003)	DS4	Considerable damage		1.25	0.60

277 * PL2 – complete release of content and global collapse of vessel, DS3 – moderate damage, DS4 – extensive damage, DS5 – complete damage, CLS – collapse
278 limit state

279 Additionally, an influence area based on component type and location was devised as a vulnerable zone,
 280 where possible debris falling can cause harm to workers. In case of damage, a risk value is assigned to
 281 the influence zone feeding toward the navigation system. Based on the available literature, the debris
 282 of collapsing reinforced concrete buildings with MRFs is assumed 85% of the total height of the
 283 building (Sediek et al. 2021). Based on engineering judgement and in lieu of available literature, the
 284 immediate risk area of equipment and non-structural components, such as liquid storage tanks, was
 285 taken as 50% of the total height of the component, and the influence zone was taken as 100% of the
 286 total height. Finally, the assumed value of influence area is not meant to be referential but rather a
 287 layered demonstration of the complex nature of the navigation system to consider various
 288 consequences. Further refinement based on more accurate data and observation can be easily utilised.



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290 Figure 6. Definition of influence zones for (left) structural and (right) non-structural components

291 5. Risk identification and evaluation (RIE)

292 5.1. Structural RIE

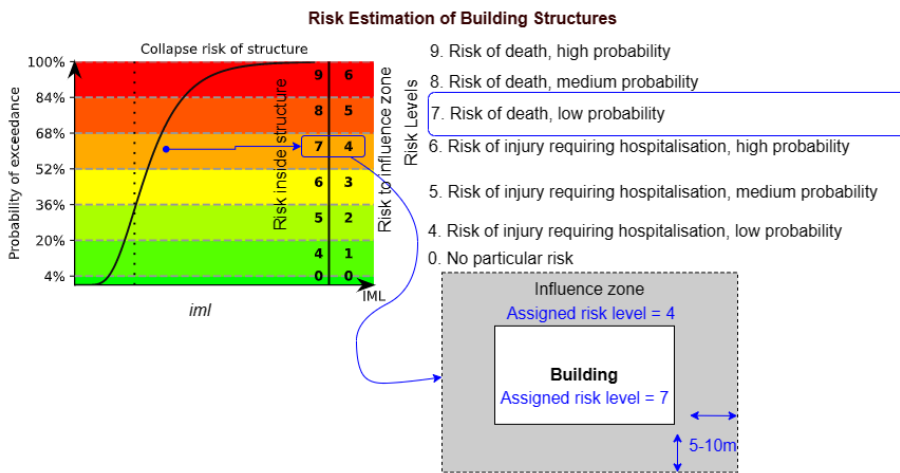
293 The structural RIE module computes risk based on the probability of exceedance of specific DSs with
 294 adverse consequences to industrial workers. This is for both the structural and non-structural
 295 components and is computed for a given IM attained via the array of sensor technologies installed
 296 throughout the industrial plant. This is instead of using empirical data to define the fatality model factors
 297 presented in the literature, as the specific risk at any given location and time is necessary to ensure the
 298 safety of a single person. The use of fragility functions allows the direct estimation of the likelihood of
 299 components failing and accounts for the various uncertainties inherently present (e.g., ground motion
 300 record variability, modelling uncertainty); hence, these probabilities are used to indicate the possible
 301 impacts on passable terrain industrial workers may use as part of their egress in stable non-emergency
 302 conditions within the industrial plant area. Additionally, the values within empirical fatality models
 303 related to persons trapped under debris are irrelevant for the navigation purpose, as the trapped worker
 304 will be unable to escape, and alternative safety objectives and methods should be employed beyond the
 305 scope of this study.

306 Figure 7 illustrates the risk estimation methodology utilised for the structural and non-structural
 307 components. Ten risk levels are assumed from 0 (no risk) to 9 (highest probability of risk of death) for
 308 the immediate zone, and 0 to 6 for the influence zone. Grouping them into bands of three, the risk levels
 309 from 1 to 3 describe low to high probability of risk of minor injury, which are not considered within the
 310 navigation system due to no distinguishable aspect with respect to risk 0. Risks from 4 to 9 are
 311 associated with risk of injury requiring hospitalisation all the way up, with increasing probability, to
 312 the risk of death. These risk levels were assumed at discrete thresholds of probability, the definitions of
 313 which should be studied further in future work. The collapse fragility function of vulnerable
 314 components is assumed to follow a typical lognormal distribution function given in Equation (1).

$$P[ds = DS | im] = \Phi \left(\frac{\ln im - \eta_{DS}}{\beta_{DS}} \right) \quad (1)$$

315 where η_{DS} is the mean value and β_{DS} is the logarithmic standard deviation for a given DS, P is the risk
 316 or probability of the actual ds being exceeded for a given im value, which is obtained from
 317 accelerometer multi-sensor array installed at various locations within the industrial plant. Integrating
 318 each component's collapse fragility function provided in Table 1 and Table 2 for a given level of seismic

319 shaking, a real-time estimate of the probability can be estimated within the structural RIE. In Figure 7,
 320 for a given *iml*, the probability of collapse is around 62%, which results in a structural risk level of 7
 321 and 4 for the inside and the outside (influence zone) of the building, respectively. A similar approach
 322 is devised for non-structural components, where, instead of using the inside of a liquid storage tank,
 323 which is non-traversable by a worker, the immediate vicinity is considered based on engineering
 324 judgement, and the influence zone is derived further away from the immediate vicinity (Figure 6).



325
 326 Figure 7. Estimation of structural risk metrics inside and outside (influence zone) of a hypothetical
 327 building

328 **5.2. Environmental RIE**

329 Environmental health risk values describing the contamination levels in the local environment are
 330 mapped for identifying hot spot areas with higher risks for the workers' health. Health risks are
 331 calculated starting from the spatially resolved concentration field obtained by the atmospheric
 332 dispersion and compared against a set of chemical-specific toxicological thresholds to finally derive the
 333 severity level of the impacts on human health. An example of these is listed in Table 3 for the case of
 334 benzene, but it is noted that the threshold values as well as the clinical manifestations will differ
 335 depending on the chemical substance. This environmental risk level is then combined with the structural
 336 risks computed in Section 5.1, to get a unified risk map, discussed in the next section, which provides
 337 guidance to minimise the overall health risk for workers. The goal of this section is to estimate the
 338 second part of the combined risk metric (environmental health) and provide it as input to the navigation
 339 system, which then calculates the safest exit path from the industrial plant (Section 6).

340 **Table 3.** Clinical manifestations severity based on benzene risks thresholds

Level	Concentration (ppm)	Clinical manifestations	Tier level	Level of disability (Tier and emergency intervention)
0	0.1 - 5	No medical problems	0	-
1	5-10	No medical problems	0	-
2	10-25	Mild skin and ocular irritation	1	(1): Can be managed at the pre-hospital level
3	25-52	Transient systemic alterations, primarily nausea, mild drowsiness and headache	1	(1): Can be managed at the pre-hospital level
4	52-100	Drowsiness, dizziness, headache, initial mental status alterations (e.g., euphoria), pronounced mucous membrane, skin, eye, nose, pulmonary irritation	2	(2): Require admission to hospital

5	100-300	Pronounced drowsiness preceded by excitatory symptoms, staggering, weakness, impaired ability to take protective action	2	(2): Require admission to hospital
6	300-800	Excitatory symptoms followed drowsiness, staggering, impaired ability to take protective action, palpitations, tightness of the chest, blurring vision	3	(3): Require prompt hospitalization and intensive care support especially in subjects presenting neurological and cardiac alterations
7	800-1,000	CNS depression, loss of consciousness, arrhythmias, shallow and rapid respiration, signs of pneumonitis, lethality in the most susceptible individuals	4	(4): Require on site stabilization and resuscitation measures
8	1,000-4,000	Severe CNS depression, coma, paralysis, convulsions, non-cardiogenic pulmonary edema, lethality	4	(4): Require on site stabilization and resuscitation measures
9	> 4,000	As above, rapid deterioration and loss of physiological function, lethality	4	(4): Require on site stabilization and resuscitation measures

341

342 5.2.1. Calculation of environmental dispersion of released toxic substances

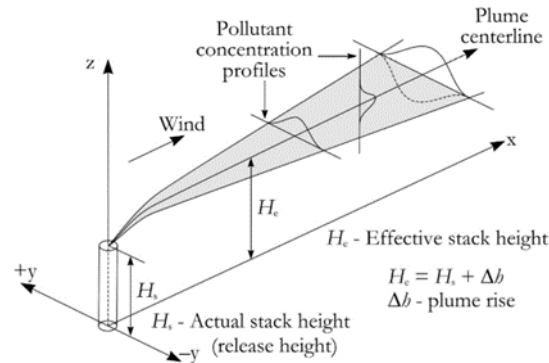
343 Atmospheric dispersion models are generally used to simulate the accidental continuous, transient,
 344 instantaneous, or catastrophic release of chemicals from industrial plants, to predict concentration levels
 345 of toxic substances in the surrounding environment. Following recommendations by the Environmental
 346 Protection Agency (EPA 1995), the Gaussian plume model ISCST3 has been selected among different
 347 dispersion models, also considering its fast execution time needed for real-time application, which is
 348 the case for the risk-based navigation system developed here. It has to be pointed out that the selected
 349 model has some limitations which include, for example, the inability to treat calm wind situation (i.e.,
 350 wind speed < 0.1 m/s), or the chemical transformations that may undertake the primary substance
 351 emitted or the fact that the turbulence processes within the atmospheric boundary layer are not taken
 352 duly into consideration. These limitations might be overcome by more sophisticated air quality models
 353 such as the photochemical Eulerian models which, on the other hand, need a huge number of input
 354 parameters not always available and long computational time that does not fit the need of a real-time
 355 application.

356 In the ISCST3 model, concentration inside the plume is predicted by Gaussian statistics, with the
 357 centreline of the plume at the maximum of the Gaussian distribution and with the standard deviation of
 358 the Gaussian distribution increasing as a function of time or downtime distance. The ISCST3 model
 359 allows the three-dimensional concentration field to be described during the release of material,
 360 produced by a point source under steady-state emission and meteorological conditions (Sutton 1932)
 361 (Equation (2)).

$$c(x, y, z) = \frac{Q}{2\pi\sigma_y\sigma_z u} \exp\left(\frac{-y^2}{2\sigma_y^2}\right) \left(\exp\left(\frac{-(z-h)^2}{2\sigma_z^2}\right) + \exp\left(\frac{-(z+h)^2}{2\sigma_z^2}\right) \right) \quad (2)$$

362 where c is the pollutant concentration at a given location, Q is the source term, x is the downwind speed,
 363 y is the crosswind speed, z is the vertical direction and u is the wind speed at the height of the release
 364 h . The $\sigma_y = I_y x$ and $\sigma_z = I_z x$ deviations describe the crosswind and vertical mixing of the pollutant, where I_y
 365 and I_z are the turbulent wind speed fluctuations in the y and z directions, respectively. Values of
 366 dispersions are determined by the magnitude of the turbulence in the atmosphere based on the Pasquill
 367 method (Pasquill 1961). Figure 8 illustrates the spreading pattern of pollutants from a point source. The

368 concentration of pollution downwind from a source is treated as spreading outward from the centreline
 369 of the plume following a Gaussian distribution. The plume spreads both horizontally (y -direction) and
 370 vertically (z -direction).



371
 372 Figure 8. Diffusion of pollutants from a point source (Leelőssy et al. 2014)

373 5.2.2. Quantitative calculation of toxic releases based on data from sensors

374 The Gaussian plume model ISCST3, used for modelling the environmental dispersion of toxic
 375 substances, requires data on both emission (i.e., chemical name, release rate, the equivalent diameter of
 376 the leak area, height above the ground level of the release, geographical coordinates of the release point,
 377 temperature, physical state and exit velocity of the emitted substance) and meteorological (i.e., wind
 378 speed and direction, air temperature, atmospheric stability, Pasquill class and height of atmospheric
 379 mixing layer) as its input. Measurements from both FBG and distributed backscattering-based sensors
 380 can be exploited for assessing emission data (Section 3.2), while a meteorological station provides the
 381 necessary meteorological data (Section 3.3). To quantitatively compute the release of toxic substances
 382 based on data from sensors, a simplified analytical model for the analysis of the discharge process of a
 383 pressurised vessel has been developed. The proposed model allows the estimation of the average
 384 outflow rate and leak area based on pressure and temperature measurements acquired from FO sensors.
 385 The simplified model assumes a thermally and calorically perfect gas in the vessel. The average velocity
 386 of the fluid in the tank is considered negligible compared to the leakage velocity, and the contribution
 387 from gravitational potential energy is neglected. The leakage hole is modelled as a converging nozzle,
 388 with isentropic and quasi-unidimensional flow (Dutton and Coverdill 1997; Guo et al. 2021). By
 389 modelling the opening of a leak as a converging nozzle, the instant mass outflow rate (\dot{m}_{out}) can be
 390 quantified depending on sonic (Equation (3)) or subsonic conditions (Equation

391 (4)).

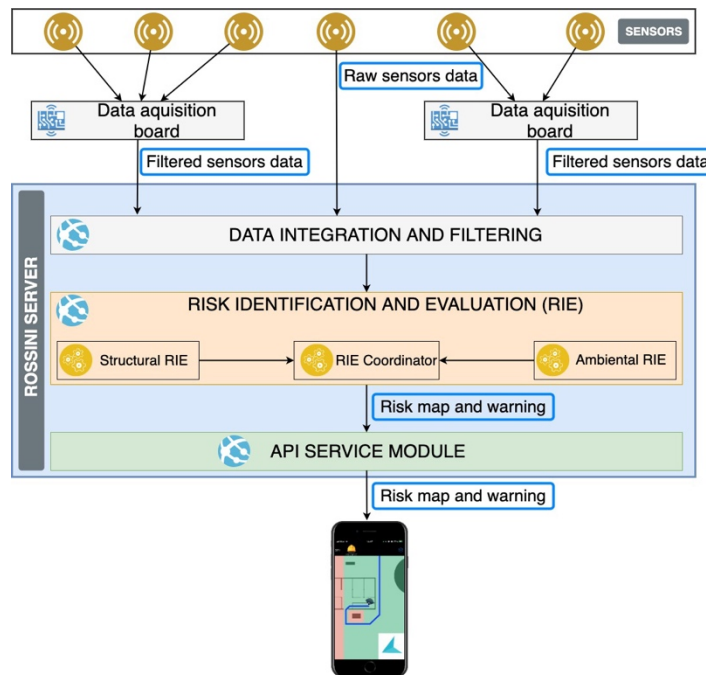
$$\dot{m}_{out} = \begin{cases} A_t P \sqrt{\frac{kM}{RT}} \cdot \sqrt{\left(\frac{2}{k+1}\right)^{\frac{k+1}{k-1}}} & (3) \\ A_t P \sqrt{\left(\frac{2k}{k-1}\right) \frac{M}{RT} \left(\frac{P_B}{P}\right)^{\frac{2}{k}} \left[1 - \left(\frac{P_B}{P}\right)^{\frac{k-1}{k}}\right]} & (4) \end{cases}$$

392 where A_t is the leak area; P and T are the pressure and the temperature of the gas in the vessel; P_B is the
 393 ambient pressure; k is the gas-specific heat ratio; M is the molar mass and R is the gas constant. The
 394 simplified analytical model also allows the discharge time and total gas mass out of the vessel to be
 395 assessed, which are essential for estimating the average outflow rate and in line with input data required
 396 by the Gaussian models. Sensor data from optical fibres are then coupled with meteorological
 397 measurements and numerical simulations for estimating concentrations of chemicals in the industrial
 398 plant and simulating their spatial diffusion over time, as required by the environmental part of the RIE
 399 module.

400 **5.3. Combined risk**

401 A combined risk metric is then computed based on the structural and environmental risks estimated in
 402 previous sections. In cases where two risk values are available from both structural and environmental
 403 RIEs, the maximum of the two is used to populate the map. This was a rather simple user choice made
 404 here to develop the system but more detailed consideration of the interaction of risks could be
 405 investigated in the future. For example, it was initially hypothesised to use an averaged value, but in
 406 cases where one risk was high (e.g., 9) and the other was low (e.g., 1), this would result in an artificially
 407 lower value (e.g., 5) that could indirectly lead user through an area with a high risk and expose them to
 408 harm. This combined map is then fed into the navigation system and communicated to the industrial
 409 plant worker’s mobile device. It is important to underline that structural and environmental health risk
 410 levels are not necessarily driven by the same causative factors, meaning that depending on the IM level
 411 of the recorded ground motion, the structural risk might be estimated as level 3, while due to the
 412 concentration levels of toxic substances released, the environmental health risk might be estimated as
 413 level 7, or vice versa. This is because the structural RIE is tied to the type of the building or the non-
 414 structural component, its functionality and type of collapse mechanism, whereas the environmental RIE
 415 is associated with the probability of release of toxic substances. Based on the example provided and the
 416 combination rule employed within this study, the combined risk of level 7 (i.e., computed as the
 417 maximum of 3 and 7) is fed into the navigation system for that particular cell of the map. A limitation
 418 of this approach stems from independent consideration of risk associated with structural and
 419 environmental risks. However, given the possibility of including more risk metrics, as well as the
 420 exploration of different pertinent aspects towards the computation of risk values (e.g., air pollution,
 421 leakages, structural, non-structural collapse), Graph Theory could be utilized more effectively in future
 422 expansions of the study.

423 Additionally, it is unlikely that environmental health risks will be present within an administrative
 424 building but more likely throughout the industrial plant where high-pressure piping and vessels are
 425 located. Furthermore, the spatial distribution and relative placement of structural and non-structural
 426 components act as key parameters that form the navigable area for identifying safe paths for workers to
 427 take for an exit. Finally, it is also assumed that the industrial plant worker can move freely with
 428 impediments and not be trapped under debris.



429

430

Figure 9. ROSSINI system architecture

431 6. Implementation of the navigation system

432 6.1. System architecture

433 With the risk across the industrial plant's layout estimated from the approaches defined previously, the
434 ROSSINI system now proceeds with the navigation of the industrial plant workers. The system
435 architecture is provided in Figure 9 and its main components are:

- 436 • A mobile device running the client application that guides the worker during emergencies;
- 437 • The ROSSINI server, that acquires sensor data and uses it to compute the combined risk-map;
438 the risk-map is then transmitted to the client;
- 439 • A set of sensors that communicate with the ROSSINI server either directly or through a data
440 acquisition board.

441 The ROSSINI server includes various modules, in particular:

- 442 • A data integration and filtering module, which receives raw data from the sensors, integrates
443 and filters the data before providing them to the RIE modules;
- 444 • The RIE module, which integrates the structural and environmental RIEs (Section 5.1 and 5.2,
445 respectively) combining the risk and creating the risk map;
- 446 • The API service module, which provides the risk-map to the mobile client, and raises a warning
447 when a potentially dangerous situation occurs.



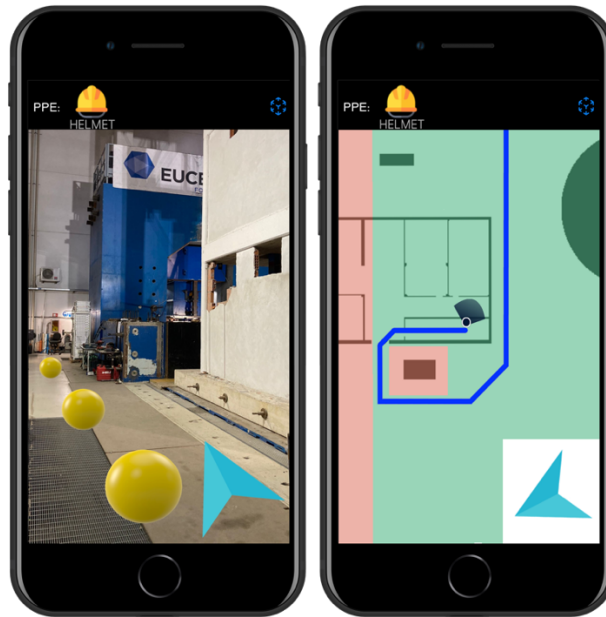
- 448 •
- 449 • Figure 10. Indoor positioning technique via ad-hoc solution based on fiducial markers and
450 visuo-inertial navigation

451 6.2. Mobile app

452 When creating the navigation app, two primary issues have emerged: 1) how to reliably compute the
453 precise user location (including their position and orientation); and 2) how to interact with the user to
454 effectively guide them along the safest route. After considering the state of the art, two solutions
455 addressing these problems were devised for the purposes of this project:

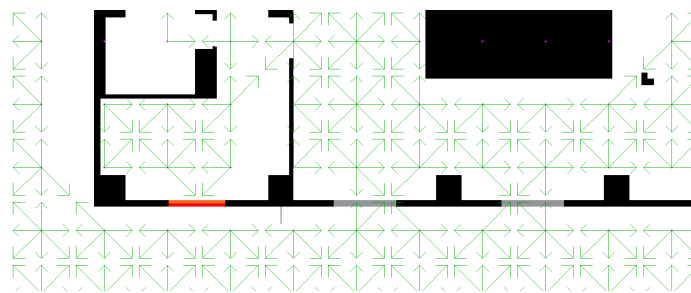
- 456 • **Positioning:** a hybrid solution based on a combination of indoor and outdoor positioning
457 techniques is used. The outdoor solution uses the operating system APIs (which combine
458 GNSS, WiFi and cellular positioning), and the indoor solution uses an *ad-hoc* technique based
459 on fiducial markers and visuo-inertial navigation (Figure 10). This solution provides an
460 advantage of avoiding the use of external radio signals (which might not be available in
461 emergencies) and allows computation of the user's orientation, in addition to their location.
462 Additionally, the solution relies on augmented reality, which is implemented in stable and well-
463 maintained libraries.
- 464 • **Navigation instructions:** a solution was devised and depicted in Figure 11, which is based on
465 both allocentric and egocentric maps. When the user's location and orientation are known with
466 high precision, the system shows navigation information using an egocentric map and an
467 augmented reality to better guide the user (Figure 11(left)). Otherwise, the system shows the
468 map with an egocentric approach (Figure 11(right)). In both cases, a multi-modal approach is
469 adopted, combining visual information with audio and haptic information. In particular, the app

470 adopts sonification techniques derived from the literature in the field of assistive technologies
471 for people with visual impairments (Ahmetovic et al. 2019; Presti et al. 2021).



472
473 Figure 11. ROSSINI mobile Client. Egocentric navigation (left); allocentric navigation (right)

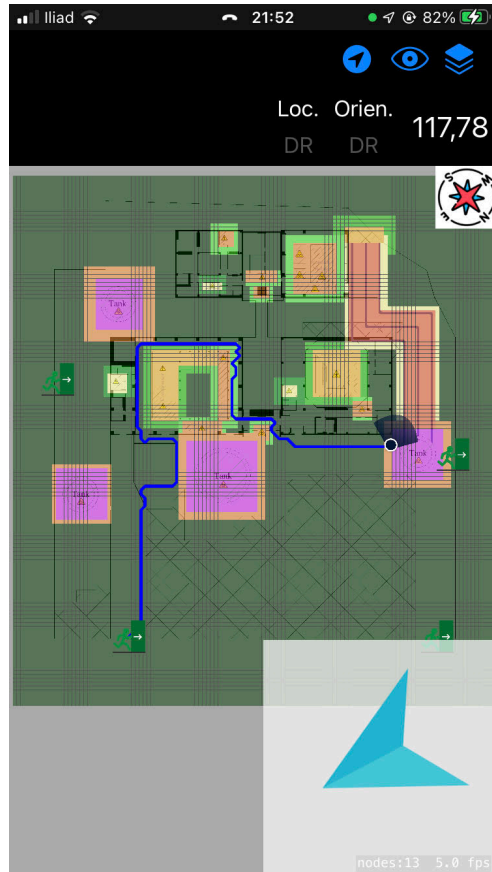
474 The risk-aware route is computed based on the client’s starting position from two data structures: the
475 *risk-map* and the *routes-graph*. The latter is a directed graph representing all the walkable paths. It
476 models the area into discrete cells and considers the physical characteristics of the environment, like
477 the walls and the emergency door (that can be traversed in one direction only). Starting from an area
478 planimetry, an external app (i.e., not mobile) discretises the space into cells and creates a node for each
479 cell as well as the connections between nodes (e.g., two adjacent nodes are connected if there is no wall
480 between them). Figure 12 shows an example of the discretised map, where black pixels represent walls,
481 while the green arrows start from the centre of a cell and indicate which adjacent cells are connected.
482 Red segments represent emergency doors that can be traversed in one direction only (Note: two colours
483 are used, lighter and darker red, to represent the direction in which the door can be traversed; the
484 difference is hard to perceive visually but this is irrelevant as this information is processed by a program,
485 not by a human), while grey segments represent doors that can be traversed in both directions. This
486 graph is then serialised as a file and transferred to the mobile device, where it is loaded when the app
487 runs.



488
489 Figure 12. Example of the area discretised into cells and their connections

490 While running, the app receives a new risk map as soon as it is available on the server. Once a risk map
491 is received, the mobile app updates the weight of nodes in the routes-graph (e.g., if an area in the risk-
492 map has a high risk, the nodes in the *routes-graph* contained in that area are updated to have a high
493 weight). Then, using an adaptation of the A* algorithm, the best route is computed from the current
494 user’s position to each safe area and the best route among them is selected. The “best route” is intended

495 to mean the route that minimises the maximum risk value encountered, which is different to the typical
496 implementation of A*, where the aim is to minimise the sum of the risk along the route. An example is
497 shown in Figure 13. While the user is closer to the exit on the right-hand side of the map, the route to
498 reach that exit would require to transit an area with risk level 9 (i.e., the purple colour that corresponds
499 to tank). For this reason, the app suggests the route (i.e., the blue line) to the exit on the bottom-left,
500 which is much further away but requires the worker to transit areas with maximum risk 3.



501
502 Figure 13. Example illustration of how the navigation system takes the industrial plant worker along
503 the safest path and not necessarily the closest

504 7. Case study application

505 7.1. Industrial plant layouts

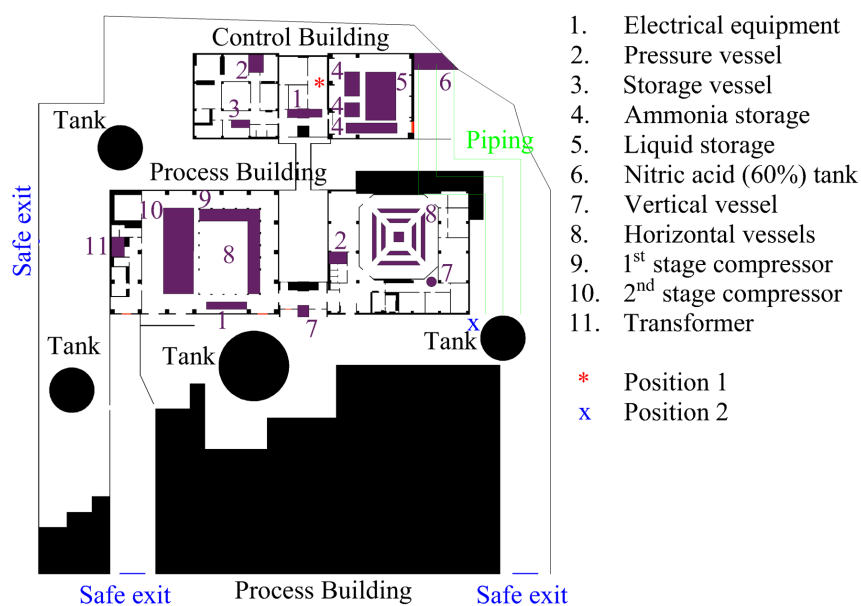
506 For the demonstration of the risk-aware navigation system utilising integrated risks, a case study
507 industrial plant layout was devised. Based on the review of past studies analysing the seismic risk of
508 industrial plants (Caputo et al. 2020; Kalemi et al. 2019), several representative industrial plant
509 processes that can be considered typical for the scope of the ROSSINI project were identified. In these
510 aforementioned studies, the conducted plant processes were typically described, and a subsystem of
511 components was analysed. However, a complete spatial description of the entire plant's components
512 and relative positioning was generally not available. The spatial description of the plant components
513 and equipment with respect to one another was deemed a critical aspect necessary for the ROSSINI
514 project. This is due to the navigation system requiring not only an individual measure of damage and
515 potential risk of different parts of the plant but also their positioning, in addition to meteorological
516 information to determine the likely patterns of potentially toxic material released into the local
517 atmosphere.

518 To fill the missing gap, available plant layouts of several industrial facilities were made available to the
519 ROSSINI project partners and analysed, where the relative distributions of the plant's components and
520 buildings were deduced based on engineering judgement to provide a navigable area for a hypothetical

521 worker. The case study plant consists of several buildings of various processes, multiple liquid storage
 522 tanks, storage vessels, piping arrangements as well as an electrical substation. The emergency exits
 523 have been hypothesised as external environments toward which the worker is navigated to avoid any
 524 risk of potential harm within the industrial plant. The plant layout allows a hypothetical scenario that
 525 could satisfy the needs of the ROSSINI project in terms of spatial distribution, damageable component
 526 details, positioning to identify meteorological and seismic parameters and overall scale. The scale of
 527 the industrial plant refers to the overall footprint area of the plant and the number of pertinent areas to
 528 be studied. The objective was not to overly complicate the case study and instead focus on a simple and
 529 clear illustration that could effectively illustrate the functionality of the ROSSINI system developed,
 530 with a view of future extension to other more complex and particular plant layouts.

531 With the above considerations in mind, two industrial plant layouts were devised. The first map, termed
 532 Map A (Figure 14), was created for actual simulation purposes and testing of the navigation instructions
 533 communicated to the app for various scenarios. Specifically, two real buildings located in Pavia (Italy)
 534 were used as pseudo layouts for the industrial plant to simulate the application for terrain easily
 535 traversable in reality. This was deemed a fair compromise to test the functionality of the system and
 536 circumvented the need to obtain clearance access to actual industrial facilities several times over the
 537 course of the project’s duration in order to test a navigation system. Figure 14 depicts the non-
 538 traversable terrain (i.e., structural, and non-structural elements) in black, while the terrain surrounding
 539 the buildings as well as within a traversable area of the buildings was used for analysis until the user
 540 reaches the safe zones (blue) in case of hazard. Emergency exits were used within the buildings for safe
 541 exits and have a one-way direction, from orange to red as previously noted. While Map A is based on
 542 a real terrain readily accessible for testing to the project consortium, it contains virtual components
 543 pertinent to industrial plants and aims to provide complex yet fictitious scenarios that can be navigated
 544 and extensively tested.

545 More in line with the layouts of actual industrial plants obtained during the project, the base map
 546 presented in Figure 14 was enhanced to include various non-structural components such as tanks, piping
 547 racks, and storage vessels to simulate the effects of damaged components on the environment and
 548 workers’ health in a virtual environment within a larger setting to form what is termed Map B, depicted
 549 in Figure 15. In reality, Map A is an accessible subset of the much larger Map B. The advantage of
 550 having Map B is mainly for illustration and testing purposes with a larger set of damageable inventory
 551 and navigable area, to further demonstrate the navigation software’s capabilities.



552
 553 Figure 14. Map A for on-site simulation purpose

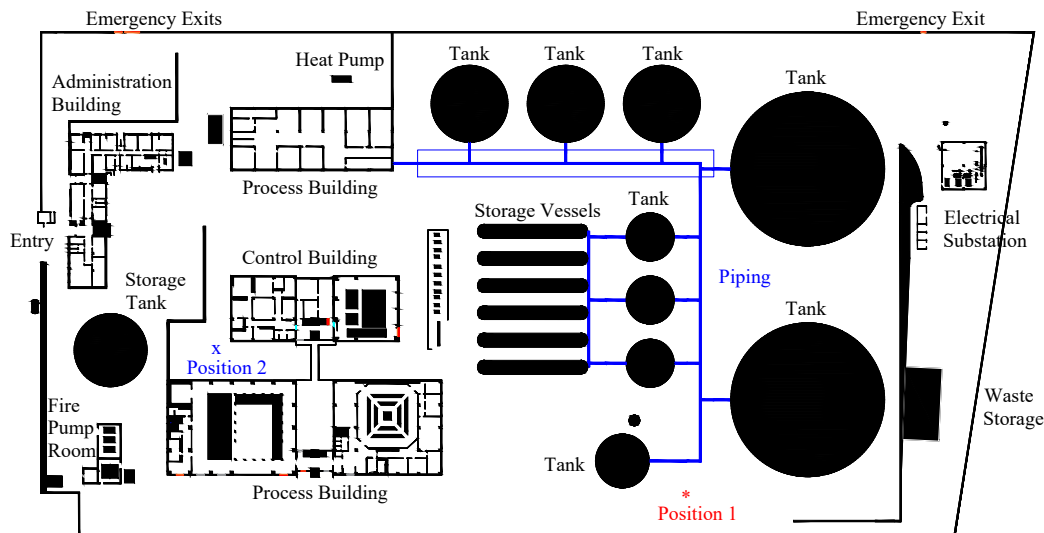


Figure 15. Map B for simulation purposes in a virtual environment

7.2. Testing scenarios

To demonstrate the practicality of the ROSSINI project presented in Figure 1, it is crucial to do some functionality tests and confirm its capabilities against prototype case study industrial plant layouts presented in Figure 14 and Figure 15. Several scenarios were developed to demonstrate the RIE and navigation capabilities of the ROSSINI project. The scenarios varied in terms of map type, earthquake intensity, extent of release of the chosen substance, benzene, into the local atmosphere, and starting position of a worker within the map area. The ground motion recorded in L'Aquila, Italy, in 2009, with a PGA of 0.66g, was selected from the NGA-West2 Database (Ancheta et al. 2014) and used as the reference seismic input triggering an emergency situation that industrial plant workers would need to navigate. As a result, five distinct scenarios were identified and are given in Table 4, where the different starting parameters are listed. All scenarios pertinent to Map A were tested on a local setting in person. This was done also through a series of in-situ experimental tests at the Eucentre Foundation's 9DLab shaking table (Figure 16) and simulated environmental releases to test and verify the various sensors' (Section 3) functionality and implementability within such a system (Figure 1 and Figure 17). In contrast, the scenario pertinent to Map B was tested in a digital setting.

With regards the ground motion scaling factor, this comprised of a simple amplitude scaling of the input ground motion so that different levels of structural risk would result from the structural and non-structural components based on the fragility functions adopted. For the environmental parameters, a baseline scenario which reflected the worst-case scenario determined after comparing the results of several simulation tests was considered and comprised the following assumptions: (i) emission rate = 43.66 g/s; (ii) height above the ground of the release = 1 m; (iii) temperature of the chemical released = 80°C; (iv) exit velocity of the release = 10 m/s; (v) diameter equivalent of the rupture = 0.0019 m. In addition, to simulate a scenario with a lower environmental impact, an emission rate equal to 1.31 g/s was used, keeping all the other parameters reported above the same for the worst-case scenario. The ratio between this emission rate and the worst-case emission rate is then 0.03 as reported in Table 4.

The starting position of the user was also varied between scenarios so that different exit paths would result and illustrate the navigation system's ability to identify not the shortest path, but rather the lowest risk path, which was already discussed in Figure 13.

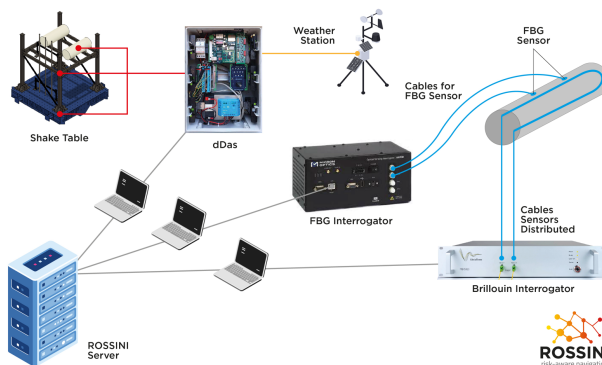
Scenario 1 represents the baseline scenario with both structural and environmental RIE activation. In other words, the structural risk map was associated with the estimated and measured damage level, while the environmental risk map was associated with the measured release level of a toxic substance. The scenarios from 1 to 4 represent different variations via the scaling of the input ground motion and/or toxic substance release, as well as starting position of the user. Scenarios 5 to 8 are the demonstration

589 of the navigation using Map B with similar variations to Map A in addition to inclusion of two sources
 590 of environmental releases within the map area considered for scenarios 7 and 8.



591
 592

Figure 16. Shake table tests



593
 594

Figure 17. Sensors' layout and connection to ROSSINI server

595

Table 4. Case study application scenarios

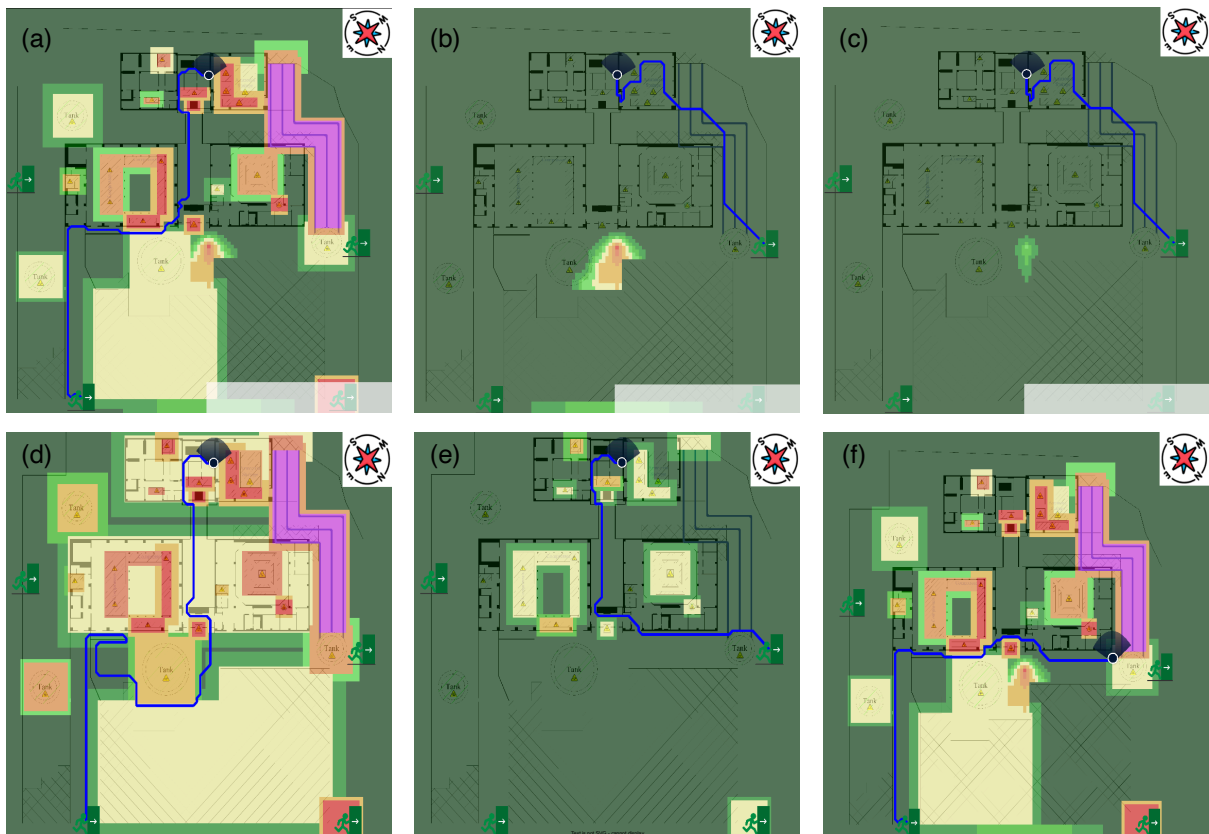
Scenario	Map	Ground motion scaling factor	Environmental release scaling factor	Starting position
1	A	1.0	1.0	Position 1
2	A	0.0	1.0	Position 1
2a	A	0.0	0.03	Position 1
3	A	1.2	0.0	Position 1
3a	A	0.5	0.0	Position 1
4	A	1.0	1.0	Position 2
5	B	1.0	0.0	Position 2
6	B	0.8	1.0	Position 2
7	B	0.8	1.0*	Position 1
8	B	0	1.0*	Position 1

596 * two sources of environmental release

597 Each scenario with the navigation path computed and communicated to the mobile app are presented in
 598 Figure 18. The map visible to the user on the app is the integration of both structural and environmental
 599 RIE maps. Within the map, the risk levels with an index from 0 to 9 are shown. The user frames the
 600 closest markers, as shown in Figure 10, and the app calculates the user's current position, which is
 601 shown on each map. Based on the current position, the navigation system devises the least dangerous
 602 path to follow to the nearest safe exit, quantified as the one with the lowest maximum risk.

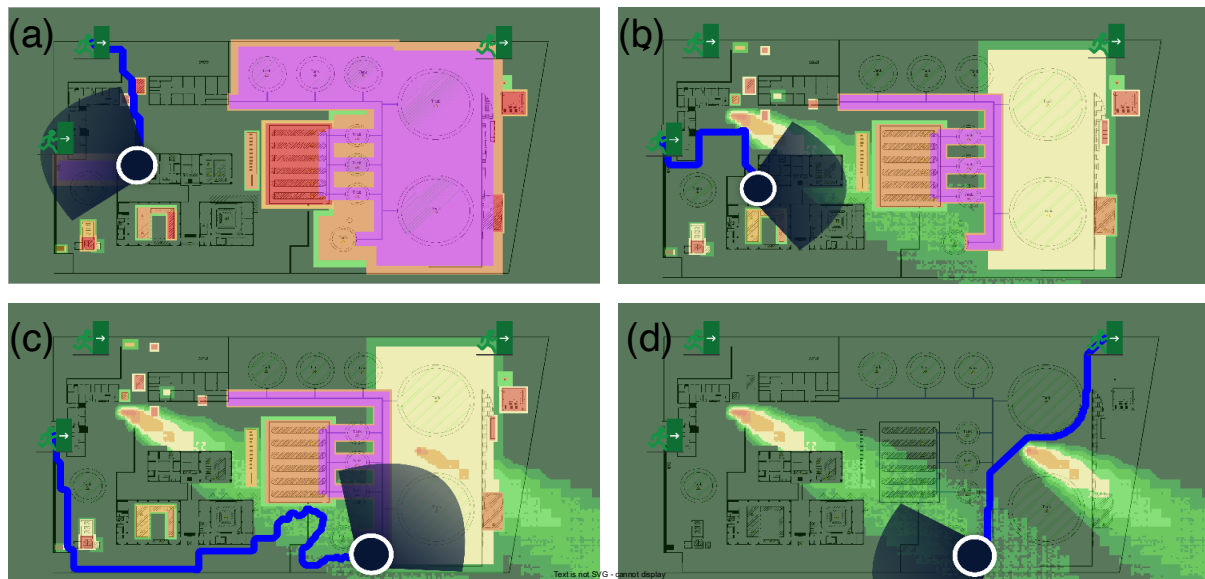
603 As observed from Figure 18(a), with both structural and environmental risks, the user is forced towards
 604 the furthest possible exit, as the higher risk associated with the pipelines on the west side as well as the
 605 blocked passageways because of risks associated with liquid storage tanks make the nearby exits less
 606 desirable. Additionally, the building near the north side exit is at high risk of collapse, therefore the exit
 607 is not deemed a desirable target for the navigation system. Figure 18(b) and Figure 18(c) present
 608 Scenarios 2 and 2a, where the environmental hazards due to the release of toxic substances are activated
 609 only with different concentration levels. Here, due to no earthquake excitation, the buildings and non-
 610 structural components are unaffected, therefore, the navigation system directs the user towards the
 611 nearest safe exit. This scenario could also be considered representative of a non-seismic event situation
 612 that would require navigation. That is, through the array of sensors installed throughout an industrial
 613 plant, the ROSSINI system is capable of detecting leakages and toxic releases caused by other external
 614 impacts, communicating their occurrence to the workers, and providing instructions on how one may
 615 navigate to safety.

616 Figure 18(d) presents Scenario 3, which is a variation of Scenario 1, where no release of toxic
 617 substances is foreseen, and the level of ground motion is increased through a scaling factor of 1.2, as a
 618 result, the entire Map A is coloured with notable risk levels. However, since the approach of the
 619 navigation system is not the selection of the lowest cumulative risk, but rather the minimal risk pathway,
 620 it returns an updated pathway compared to Scenario 1. In this case, the user is forced to circumvent the
 621 tank in the middle of the map through its northeast side and re-enter the building. Finally, the user exits
 622 the building again at a nearby gate leading towards the same safe exit as in Scenario 1. In contrast to
 623 Scenario 3, Figure 18(e) presents Scenario 3a, where the intensity of the ground motion is reduced with
 624 respect to Scenario 3, resulting in lower risks throughout the entire map, which eventually led the user
 625 towards the nearest exit on the northwest side of the map. Figure 18(f) demonstrates Scenario 4, which
 626 is a variation of Scenario 1, as it uses the same risk but a different position of a user. Even though the
 627 user is nearby the northwest safe exit, the navigation app recognises very high risk due to nearby
 628 pipelines and tanks failing, which eventually results in a designated least-risk path towards the east exit,
 629 which was previously discussed in Section 6.



630
 631 Figure 18. Case study applications for Map A: (a) scenario 1; (b) scenario 2; (c) scenario 2a; (d) scenario
 632 3; (e) scenario 3a; (f) scenario 4

633 Figure 19 presents the scenarios associated with Map B. Figure 19(a) demonstrates Scenario 5, where
 634 only the structural risk is triggered. Here, the user is located in the proximity of two emergency exits,
 635 however, due to the vicinity of highly seismic zone near the emergency exit to the left of the map, the
 636 user is directed towards a slightly further exit at the top of the map. Scenario 6 varies with respect to
 637 Scenario 5, with the addition of environmental releases near the position of the user, and a reduced
 638 structural risk, as a result of which the user is directed towards the leftmost exit (Figure 19(b)). To have
 639 further variations for the navigation, the user is assumed to be located near the highly congested area to
 640 the right bottom of the map. A second source of environmental release is introduced with the same
 641 characteristics. As a result of the new source of release as well as the position of the user, Scenario 7
 642 (Figure 19(c)) demonstrates a navigation path towards the leftmost exit through some areas with minor
 643 risk. In contrast, Figure 19(d) includes only the environmental risks (Scenario 8) resulting in a shorter
 644 path towards the upper emergency exit as the tanks and piping systems are no longer dangerous for the
 645 safety of the user due to no earthquake shaking.



646
 647 Figure 19. Case study applications for Map B: (a) scenario 5; (b) scenario 6; (c) scenario 7; (d) scenario
 648 8

649 8. Summary and conclusions

650 An overview of the ROSSINI project's development was presented along with its implementation in
 651 the context of risk-aware navigation for industrial plant workers exposed to harm during seismic events.
 652 This implementation is enabled through various components of the system explained throughout the
 653 paper. The sensor technologies utilised were described in detail to demonstrate their integration as part
 654 of smart technologies to mitigate and manage risk following hazardous events. The component
 655 inventory database was compiled based on available literature and through a computational approach
 656 within the scope of ROSSINI. The structure risk identification and evaluation (RIE) module then
 657 estimates structural risk from the database of components posing a risk to workers. Similarly, the
 658 environmental RIE computes the risk by coupling sufficient theory on the diffusion of harmful
 659 substances with the sensor array that can detect leakages and other similar incidents. Finally, both
 660 structural and environmental risks are enveloped and the risks are mapped into a pre-selected plant
 661 layout for the navigation software to use. The architecture of the system was detailed through the
 662 introduction of two novel solutions to resolve potential issues associated with the location of a user
 663 within a plant area and the interaction with the user guiding them towards the exit via the safest route.

664 To demonstrate the capabilities of the ROSSINI project, the system was applied to two case study plant
 665 layouts. Multiple scenarios were employed, where the plant layout was varied, along with different
 666 scaling factors of ground motion records and environmental release, and a starting position of a user.
 667 The scenarios demonstrated the capability of the ROSSINI platform to generate the lowest-risk path for

668 the users independent of whether one or both RIEs were activated and the level of activation. The
669 navigation software as well as the combined risk computation techniques employed highlight an
670 important step for increasing the safety of workers and managing the risk in industrial plants following
671 hazardous events.

672 Overall, the ROSSINI project allowed the partners with notably different backgrounds to develop and
673 implement their respective know-how in relation to: the combination of different sensor technologies
674 on a single platform, the evaluation of seismic risk in an industrial plant context via a data-stream of
675 combined risk estimates, the geolocation of risks within an industrial plant's layout and its
676 communication to a navigation system built using different algorithms and libraries to arrive a final set
677 of risk-based navigation instructions for the industrial plant workers.

678 The ROSSINI project served as a stepping stone to develop a prototype system capable of implementing
679 each step of the process described here. Several aspects may be improved with further research and
680 development. For example, the development of a more extensive and representative library of fragility
681 and consequence functions to estimate structural risks with support of further through experimental
682 testing. Also, the utilisation of further sensor typologies that may be required in addition to those
683 examined. The combination of risks was introduced here as a novel concept that could be further
684 developed and refined. Regarding navigation, developing a means of initial user positioning that does
685 not rely on specific markers could be explored along with where the computation of navigable paths is
686 conducted (i.e., centralised server or local client) to handle situations where server-to-client connection
687 cannot be guaranteed. Again, this is a prototype development with promising results and can be easily
688 transferred and tested on real and larger industrial plants.

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696 10. Declarations

697 **Competing interests:** The authors declare no competing interests.

698 11. References

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