

## Extensive Intraductal Component in Breast Cancer: What Role in Disease-Free Survival?



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#### ABSTRACT

Introduction: Extensive intraductal component (EIC) associated to early breast cancer could increase the risk locoregional recurrence, but its impact on distant metastases is still unclear. The aim of the present study was to assess the role of EIC on 5-year survival outcomes in patients affected by early breast cancer treated with breast-conserving surgery. Methods: A total of 414 consecutive patients with a minimum follow-up of 60 mo were collected from January 2007 to December 2015. Disease-free survival (DFS), distant metastasis-free survival (DMFS), and locoregional recurrence-free survival at 5 y were assessed considering the presence or absence of EIC and other clinical and pathological features.

Results: Absence of EIC was independently associated with worse 5-year DFS (hazard ratio [HR] 1.68, P = 0.008) and 5-year DMFS (HR 1.93, P = 0.007), whereas 5-year locoregional recurrence-free survival was not affected (HR 1.50, P = 0.16). Five-year DFS was increased by EIC in T1 patients (P = 0.03) but not in T2 stage. Moreover, EIC was associated to better DFS in G2 (P = 0.03) and G3 patients (P = 0.01) but not in G1 cases.

*Conclusions*: Our results suggest that EIC is independently correlated with increased 5-year DFS and in particular with 5-year DMFS.

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## Introduction

Ductal carcinoma in situ (DCIS) of the breast is a nonobligate precursor of invasive breast cancer, accounting for 20% of screening-detected breast lesions.<sup>1,2</sup> DCIS associated to invasive cancer, named extensive intraductal component (EIC), is a well-known recognized risk factor for positive margins after breast-conserving surgery and for local relapses, rising up to 26% if EIC is observed on final pathology.<sup>3,4</sup> Not only EIC is not palpable nor directly detectable by the operating surgeon during excision but invasive breast cancer with EIC is also most frequently prone to discrepancies between clinical size estimated on preoperative imaging, preoperative localization, and the pathological tumor size.<sup>5,6</sup> Furthermore, in case of EIC

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associated to early breast cancer, cavity shave of margins together with lumpectomy has been suggested by the American Society of Breast Surgeons, remarking that EIC is associated to a high risk of positive margins.<sup>7</sup> Therefore, it would be expected that EIC reduces long-term survival, by increasing the risk of locoregional recurrences.<sup>8,9</sup> However, its impact on survival is currently unclear because evidences suggest no role of EIC on survival, whereas other studies indicate even an unexpected improvement on long-term outcomes.<sup>10,11</sup> This could be explained by a biological distinction between pure invasive cancer with and without EIC because the former probably represents a tumor which is still evolving from DCIS into invasive ductal cancer, thus expectedly less aggressive.<sup>12</sup> The impact of EIC on survival in different biomolecular subtypes and pathological stages is even less clear, and long-term survival data are lacking. However, the presence of EIC at final pathology might be informative both on local relapse and distant metastasis risk and disease-free survival. The aim of the present study was to assess the role of EIC on 5-year survival outcomes in patients affected by early invasive breast cancer treated with breast-conserving surgery.

## **Methods and Materials**

#### Study population

Patients were retrospectively collected from the prospectively maintained database of the EUSOMA-accredited Breast Unit of ICS Maugeri Hospital, from January 2007 to December 2015. The study protocol was approved by the Ethical Committee of the Institution (VONEMA protocol, approval number 2590CE) and a signed informed consent was obtained and stored for every enrolled patient. Inclusion criteria were proven diagnosis of invasive breast cancer, undergoing breast-conserving surgery in first instance, radiotherapy and adjuvant treatments with curative intent, negative margins status at final pathology after surgery, and a minimum follow-up of 60 mo. Patients with involved or close margins at the final pathology were excluded. Patients with benign lesions or pure DCIS, T3-T4 carcinoma requiring total mastectomy, distant metastases at diagnosis, palliative treatment, a previous diagnosis of breast or other solid malignancies, neoadjuvant chemotherapy, or with relevant data missing were excluded from the study.

#### Study design and end points

Included patients were divided in two groups based on presence *versus* absence of EIC, defined as the observation of intraductal carcinoma in more than 25% of the total tumor on final pathology.<sup>13</sup> Every tumor specimen was observed and evaluated by the same pathologist, who scored the percentage of EIC on the most representative samples for each patient. The extension of EIC, expressed as its percentage within invasive cancer on representative slices, was reported. Locoregional recurrence (LRR) was defined as the occurrence of a biopsyproven ipsilateral breast cancer and/or axillary relapse, whereas distant metastasis (DM) was defined as the evidence of distant lesions on imaging (computed tomography and positron emission tomography), with features suggesting distant relapses, even if not histologically proven. The distribution of all relevant clinical and pathological variables was assessed between these two groups. Then, multivariate analyses were performed to assess the independent impact of EIC on long-term disease-free survival (DFS) and to rule out other independent predictors. Finally, the prognostic role of EIC was assessed in different categories of each significant predictor of survival, by stratifying presence versus absence of EIC in specific Kaplan-Meier curves. All the main clinical and pathological variables (pT stage, pN stage, grading, biomolecular subtype, etc.) between patients with and without EIC were balanced, thus a matching technique was not considered necessary. Furthermore, matching techniques (e.g., propensity score matching) are correctly applied to variables ideally measured prior to the exposure (i.e., preoperatively known variables only measured before detecting EIC, exposure in this case) to avoid accidently adjusting for potential mediators.<sup>14</sup> Postoperatively known variables have been therefore taken into account by multivariate regression.

The primary end point of the study was the 5-year DFS in breast cancer patients treated with breast-conserving surgery, with or without EIC. Secondary end points were (1) the 5-year LRR-free (LRRFS) and DM-free survivals (DMFS) with and without EIC and (2) the prognostic role of EIC on 5-year DFS in the context of specific other independent predictors of survival.

#### Statistical analysis

Differences between patients with and without EIC were assessed to verify the heterogeneity of the study population. Variables were reported as means and standard deviations or as absolute numbers and percentages. Categorical variables were compared using  $\chi^2$  test or Fisher's exact test when sample size was less than or equal to 5, whereas continuous variables were compared using Student's t-test or nonparametric Wilcoxon test in case of non-normal distribution of the variable. To identify possible effects of each variable significantly associated with the events (LRR, DM, or any first event) in a time-dependent setting, a Cox proportional hazard regression model was performed, after verifying the proportional hazard assumption of the model. The 5-year DFS, LRRfree, and DM-free survival probabilities were estimated by the Kaplan-Meier method both globally and in specific subsets. Statistical significance was set at P < 0.05 (two-tailed). Data analysis was performed using SAS software (v. 9.4, SAS Institute Inc, Cary).

## Results

# Baseline characteristics between patients with or without extensive intraductal component

A total of 414 consecutive patients with a minimum follow-up of 60 mo (mean 81 mo, standard deviation 35) were included in the study: in 166 cases (40.1%) EIC was observed, whereas in the remaining 248 cases (59.9%) no EIC was found at final pathology. Patients with EIC were younger (56 *versus* 60 y,

P = 0.003), characterized by a higher proportion of ductal histological type (85.5% versus 75.0%, P = 0.03), and a smaller pathological tumor size (11.9 versus 14.6 mm, P < 0.0001). All the other characteristics, in particular adjuvant treatments (radiotherapy, hormone therapy, and chemotherapy), were balanced between the two groups, as reported in Table 1.

#### Survival analyses

Administration of radiotherapy (P = 0.28), hormone therapy (P = 0.28), and chemotherapy (P = 0.63) was balanced between the two groups of patients, as reported in Table 1. In HER2positive breast cancer, anti-HER2 monoclonal antibody was administered in 96.0% of EIC patients versus 80.0% of cases without EIC (P = 0.20), as reported in Supplementary Table S1. At 5 y, 45 patients experienced local recurrence only, 63 patients experienced distant metastasis only, and 20 patients experienced both of them. DM were detected in 15.0% of EIC patients versus 28.4% of cases without EIC (P = 0.003), while no difference was observed in LRR rate (P = 0.17), as reported in Table 2. Patients with EIC showed an improved 5-year DFS compared to those without EIC (75.3% versus 62.1%, Log-rank P = 0.006), as reported in Figure 1. EIC was also associated with increased 5-year DMFS (85% versus 71.6 %, Log-rank P = 0.003), as reported in Figure 2. Conversely, no difference between presence and absence of EIC was observed on LRRFS (Log-rank P = 0.18), as reported in Figure 3. Kaplan-Meier curves for LRRFS seemed to overlap in the early period of follow-up, so we tested the proportional hazard assumption of the model and it was accepted (P = 0.73). DMFS and DFS curves had basically the same shape, did not cross, and started close and then diverged slowly through follow-up time, so proportional hazard assumption was verified.

## Independent prediction of EIC and other features on DFS, LRRFS, and DMFS

Proportional hazard assumption was accepted for DFS, LRRFS, and DMFS Cox regression model (P = 0.79, P = 0.41, and P = 0.20, respectively). On multivariate Cox regression analysis, absence of EIC was independently associated with worse 5-year DFS (hazard ratio [HR] 1.68, 95% confidence interval [CI] 1.14-2.48, P = 0.008) and 5-year DMFS (HR 1.93, 95% CI 1.16-3.22, P = 0.01), while 5-year LRRFS was not affected by EIC (HR 1.50, 95% CI 0.86-2.61, P = 0.16). Main independent predictors for worse DFS were G2 and G3 grading in comparison with G1 (HR 3.30, P = 0.006 and HR 2.94, P = 0.03, respectively), other nonductal nonlobular histological types (HR 2.49, P = 0.02), pN2-3 stage (HR 2.13, P = 0.004), and not receiving radiotherapy nor hormone therapy (HR 2.26, P = 0.001 and HR 2.33, P = 0.003, respectively). Similar predictive factors were observed for DMFS, except for radiotherapy that was not statistically significant. Conversely, the only negative predictive features found for LRRFS were ER-/HER2-subtype (HR 3.55, P = 0.03), lacking of radiotherapy (HR 3.03, P = 0.007), chemotherapy (HR 3.94, P = 0.004), or hormone therapy (HR 3.40, P = 0.002), as reported in Table 3. For each significant predictor of DFS, presence of EIC identified a subset of patients with an improved DFS, as observed in Supplementary Figures S1-S4. Five-year DFS was increased by EIC in T1 patients

(Log-rank P = 0.03) but not in T2 stage (Log-rank P = 0.32). Furthermore, EIC was associated to better DFS in G2 (Log-rank P = 0.03) and G3 patients (Log-rank P = 0.01) but not in G1 cases (Log-rank P = 0.47). EIC was not a relevant predictor of better DFS when each biomolecular subtype of breast cancer was considered separately nor among pN0 patients (Log-rank P = 0.08), while a trend toward higher DFS with EIC was observed in pN + patients (Log-rank P = 0.05).

#### Discussion

Predictive and prognostic factors like hormone receptor status and HER2/neu overexpression are guiding our therapeutic behavior, but among the same subsets of patients' different outcomes are still observed, suggesting that other features might stratify the recurrence risk.<sup>15</sup> Such patients' stratification would allow to enhance or de-escalate adjuvant treatments and follow-up strategies. Novel promising approaches, such as liquid biopsy or genomics, have been proposed in recent years but their wide feasibility and cost-effectiveness are still questionable in several institutions.<sup>16,17</sup> Easily gettable prognostic features might therefore be useful in refinishing the prognostication of early breast cancer patients.

Some studies have suggested that presence of EIC has an independent prognostic value.<sup>8</sup> In the present study, breast cancer patients with an associated EIC showed a 5-year DFS strongly improved compared to patients without EIC, respectively, 75.3% versus 62.1% (P = 0.005), and a significantly increased DMFS (85% versus 71.6 %, respectively, P = 0.003). Moreover, despite the presence of an EIC has traditionally been considered a negative prognostic factor for local recurrence in a breast conservative setting, in the present cohort LRRFS rates were similar between the two groups (P = 0.18).

A possible explanation for these findings is that locoregional recurrence is currently a rare event in breast cancer: hormone therapy, preoperative localization, routine cavity shave of margins, and breast radiotherapy, considered a standard of care, have all decreased the occurrence of local relapse. One of our inclusion criteria was negative margins status at final pathology after surgery; however, the positive margins rate is particularly low in our Institute, since preoperative localization<sup>18-20</sup> and cavity shave of all margins during lumpectomy<sup>21</sup> are routinely performed in all patients. Therefore, the risk of residual in situ disease in the remnant breast after lumpectomy is generally low; thus, in the era of modern multimodal treatment of breast cancer, EIC should not be more considered a predictor of local failure.<sup>11,22</sup> Furthermore, EIC is known to impact on local recurrence rate in case of positive margins, but in the present cohort of patients, margins status was negative on final pathology in all cases. Conversely, presence of EIC associated to invasive cancer might suggest an initial stage of breast cancer, representing the beginning of progression from in situ to invasive cancer, thus possibly being a less aggressive disease.<sup>11,12,22</sup> Therefore, long-term survival, depending on occurrence of distant metastases, was increased in case of EIC. In recent literature it has increasingly emerged as a "protective" role of EIC for DFS, probably because the presence of EIC represents a more "benign" disease compared to pure invasive breast cancer,

Table 1 – Distribution of baseline variables in the study population ( $n = 414$ ).						
Variable Extensive intraductal						
	component (EIC)					
	Not evident (n = 248)	Present (n = 166)	P value			
Age at diagnosis (y)	60 (± 12.5)	56 (± 12.0)	0.003			
Multifocal disease						
No	227 (91.5%)	127 (76.5%)	0.14			
Yes	21 (8.5%)	39 (23.5%)				
Bilateral disease						
No	264 (96.6%)	80 (96.3%)	1			
Yes	9 (3.4%)	3 (3.7%)				
Histological type						
Ductal	186 (75%)	142 (85.5%)	0.03			
Lobular	46 (18.6%)	16 (9.6%)				
Others	16 (6.4%)	8 (4.9%)				
Grading						
G1	43 (17.4%)	25 (15.2%)	0.49			
G2	132 (53.4%)	98 (59.4%)				
G3	72 (29.2%)	42 (25.5%)				
Lymphovascular invasion						
No	169 (68.2%)	118 (72%)	0.41			
Yes	79 (31.9%)	46 (28.1%)				
Pathological tumor size (mm)	14.6 (± 6.8)	11.9 (± 6.3)	< 0.0001			
pT stage						
pT1	210 (84.7%)	151 (91.0%)	0.072			
pT2	38 (15.3%)	15 (9%)				
pN stage						
pN0	167 (67.3%)	118 (71.1%)	0.63			
pN1mic	3 (1.2%)	0 (0%)				
pN1	51 (20.6%)	35 (21.1%)				
pN2	14 (5.7%)	7 (4.2%)				
pN3	13 (5.2%)	6 (3.6%)				
ER						
Negative	42 (16.9%)	27 (16.3%)	0.86			
Positive	206 (83.1%)	139 (83.7%)				
PG						
Negative	56 (22.6%)	38 (22.9%)	0.94			
Positive	192 (77.4%)	128 (77.1%)				
HER2						
Negative	219 (89.8%)	141 (84.9%)	0.14			
Positive	25 (10.3%)	25 (15.1%)				
			(continued)			

Table 1 – (continued)				
Variable	Extensive intraductal component (EIC)			
	Not evident (n = 248)	Present (n = 166)	P value	
Ki67				
≤14%	146 (58.9%)	109 (65.7%)	0.16	
>14%	102 (41.1%)	57 (34.3%)		
Biomolecular subtype				
ER+/Her2-	192 (78.7%)	128 (77.1%)	0.35	
ER+/Her2+	13 (5.3%)	11 (6.6%)		
ER-/Her2-	27 (11.1%)	13 (7.8%)		
ER-/Her2+	12 (4.9%)	14 (8.4%)		
Radiotherapy				
No	31 (12.5%)	27 (16.3%)	0.28	
Yes	217 (87.5%)	139 (83.7%)		
Chemotherapy				
No	154 (62.1%)	107 (64.5%)	0.63	
Yes	94 (37.9%)	59 (35.5%)		
Hormone therapy				
No	59 (23.8%)	32 (19.3%)	0.28	
Yes	189 (76.2%)	134 (80.7%)		
Percentage of EIC associated to invasive cancer				
25%-50%	-	101 (60.8%)	-	
50%-75%	-	37 (22.3%)		
>75%	-	28 (16.9%)		

accordingly to the findings of the present study.<sup>22-24</sup> However, the specific role of EIC on survival in patients with negative margins status only after breast-conserving surgery has been previously poorly explored.

On multivariate Cox analysis, absence of EIC was confirmed to independently predict a worse 5-year DFS (HR 1.68, P = 0.008) and DMFS (HR 1.93, P = 0.01). Since other classical predictors emerged from Cox analyses, such as grading, pT, and pN stage, EIC was specifically assessed in each category for these variables, to assess if EIC could predict DFS in patients irrespectively from staging and grading. On Kaplan–Meier curves, EIC confirmed to be a strong predictor of improved DFS in T1 (P = 0.03) but not in T2 cancers (P = 0.32), probably because in T2 cancers EIC is poorly represented, due to the greater pathological size of the invasive component; thus, the disease is expected to be more aggressive. EIC predicted better DFS also in G2 (P = 0.03) and G3 (P = 0.01) cancers but not in G1 (P = 0.47) because the latter lesions have

Table 2 - Crude event rates.						
Variable	Extensive	Extensive intraductal component				
	Not evident (n = 248)	Present (n = 166)	Total	P value		
Distant metastases						
No	154 (71.6%)	125 (85%)	279	0.003		
Yes	61 (28.4%)	22 (15%)	83			
Locoregional recurrence						
No	154 (78.6%)	125 (84.5%)	279	0.17		
Yes	42 (21.4%)	23 (15.5%)	65			
Any first event						
No	154 (62.1%)	125 (75.3%)	279	0.005		
Yes	94 (37.9%)	41 (24.7%)	135			

generally an indolent course *per se*, thus presence or absence of EIC is irrelevant to define prognosis. EIC did not affect survival considering each biomolecular subtype nor in pN0 patients, despite a trend toward significance was observed for pN + cases, where presence of EIC again predicted an improved DFS (P = 0.05).

The present study has some major limitations. First, its retrospective nature implies that presented data rely on pathologic reports after primary surgery, without any direct reassessment of surgical specimens. However, all specimens were sampled as per standard guidelines. Three categories were considered based on percentage of EIC, as previously reported and widely accepted: 25%-50%, 50%-75%, and > 75%.<sup>13</sup> Therefore, the evaluation of EIC was performed in a standardized way and easily reviewed from pathological reports. An important aspect is that genomic profiling has drawn interest in the field, especially to investigate key factors of DCIS progression to invasive carcinoma, where genetic alterations are generally considered to be the potential main triggers, or to identify prognostic factors of DCIS relapse.<sup>25-28</sup> However, to our knowledge, a guide on genomic testing is currently not available in standard clinical practice and therefore has not been included in our study for analysis of survival. Based on the retrospective nature of our study and on the fact that cases were unselected, we did not include any genomic analysis in the evaluation of the study population.

Another limitation is the long time period of the study and the possible changes in guidelines could have led to different treatments of such patients impacting on prognosis. To overcome this bias, a regression model was performed considering all possible confounders, including received treatments (radiotherapy, hormone therapy, and chemotherapy), despite they were balanced between groups. Anyway, further studies with larger populations will be needed to reveal more robust data.

Clinically compliant predictors of outcomes are highly desired in breast cancer because genomic testing is not routinely available in all Breast Units, especially in low-tomiddle income countries. Biomolecular subtype and pTNM stages are standard predictors and guide adjuvant treatments, but the present work demonstrates that EIC is an independent predictor of both distant metastases-free and disease-free survival. Therefore, it could be a useful feature to be evaluated during multidisciplinary decisions on adjuvant



Fig. 1 - Kaplan-Meier curves for disease-free survival.



treatments in the attempt to personalize treatments also in Breast Units where innovative techniques such as genomic testing is not available to predict the recurrence risk. In particular, the findings of the present study suggest that in patients affected by pT1, G2-G3 breast cancer with EIC, deescalation of adjuvant treatments might be reasonably



Product-Limit Survival Estimates With Number of Subjects at Risk and 95% Confidence Limits

Fig. 3 - Kaplan-Meier curves of locoregional recurrence-free survival.

Table 3 – Cox proportior	nal haza	rd regression	model.							
Variable		5-year DMFS			5-year LRRFS			5-year DFS		
	HR	95% CI	P value	HR	95% CI	P value	HR	95% CI	P value	
Extensive intraductal component										
Not evident	1.93	(1.16-3.22)	0.01	1.50	(0.86-2.61)	0.16	1.68	(1.14-2.48)	0.008	
Present	-	-	-	-	-	-	-	-	-	
Histological type										
Ductal	1.52	(0.75-3.09)	0.25	1.09	(0.48-2.47)	0.84	1.20	(0.70-2.03)	0.51	
Others	3.33	(1.17-9.46)	0.02	2.01	(0.59-7.01)	0.27	2.49	(1.13-5.46)	0.02	
Lobular	-	-	-	-	-	-	-	-	-	
Grading										
G2	5.99	(1.41-24.48)	0.01	2.62	(0.89-7.68)	0.08	3.30	(1.40-7.77)	0.006	
G3	5.57	(1.18-26.27)	0.03	2.91	(0.79-10.07)	0.11	2.94	(1.11-7.78)	0.03	
G1	-	-	-	-	-	-	-	-	-	
Lymphovascular invasion										
Present	1.41	(0.84-2.39)	0.19	1.32	(0.74-2.38)	0.35	1.35	(0.91-2.00)	0.13	
Not evident	-	-	-	-	-	-	-	-	-	
pT stage										
pT2	1.82	(1.09-3.01)	0.02	1.06	(0.46-2.47)	0.88	1.54	(0.99-2.39)	0.05	
pT1	-	-	-	-	-	-	-	-	-	
pN stage										
pN2/3	2.57	(1.40-4.70)	0.002	2.07	(0.83-5.21)	0.12	2.13	(1.26-3.59)	0.004	
pN0/1mic/1	-	-	-	-	-	-	-	-	-	
Ki67										
>14%	1.33	(0.77-2.29)	0.31	2.01	(1.01-4.00)	0.05	1.55	(1.00-2.40)	0.05	
$\leq$ 14%	-	-	-	-	-	-	-	-	-	
Biomolecular subtype										
ER+/Her2+	0.49	(0.18-1.35)	0.17	1.89	(0.50-7.21)	0.35	0.69	(0.30-1.62)	0.40	
ER-/Her2+	0.39	(0.14-1.12)	0.08	1.43	(0.40-5.03)	0.58	0.62	(0.26-1.47)	0.28	
ER-/Her2-	0.91	(0.35-2.40)	0.85	3.55	(0.13-11.12)	0.03	1.39	(0.62-3.07)	0.43	
ER+/Her2-	-	-	-	-	-	-	-	-	-	
Radiotherapy										
No	1.88	(0.88-4.01)	0.10	3.03	(1.59-5.76)	0.007	2.26	(1.39-3.66)	0.001	
Yes	-	-	-	-	-	-	-	-	-	
Chemotherapy										
No	0.73	(0.38-1.40)	0.34	3.94	(1.57-9.89)	0.004	1.03	(0.61-1.75)	0.91	
Yes	-	-	-	-	-	-	-	-	-	
Hormonotherapy										
No	2.97	(1.45-6.08)	0.003	3.40	(1.55-7.44)	0.002	2.33	(1.33-4.08)	0.003	
Yes	-	-	-	-	-	-	-	-	-	

discussed, especially when the multidisciplinary decision is not unanimous.

## Conclusions

EIC should be therefore carefully taken into account not to modify the surgical approach but to stratify the risk of distant relapses to guide the follow-up management.

EIC seems to be independently correlated with increased 5-year DFS and DMFS. In the era of multimodal treatment of breast cancer, EIC should not be considered reductively as a risk factor for local relapse and they should be evaluated more broadly.

## **Supplementary Materials**

Supplementary data to this article can be found online at https://doi.org/10.1016/j.jss.2022.10.094.

## **Author Contributions**

Fabio Corsi: Conception and design of the study and interpretation of data. Sara Albasini: Analysis and interpretation of data. Simone Ciciriello: Acquisition of data. Laura Villani: Design of the study. Marta Truffi: Design of the study. Marta Sevieri: Design of the study. Luca Sorrentino: Conception and design of the study and interpretation of data.

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