

A short method for the synthesis of hydroxyoleic acids

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Abbreviations: CPDA, 15-hydroxypentadecanoic acid; DIBAL-H, Diisobutylaluminium hydride; TEMPO, (2,2,6,6-Tetramethylpiperidin-1-yl)oxyl; KHMDs, Potassium bis(trimethylsilyl)amide

Abstract

Enzymatic or microbiological oxidation of oleic acid can afford azelaic acid as a building block for bioplastics. However, during the oxidation, the formation of hydroxylated byproducts is observed. To better follow the oxidation reaction, the availability of reference compounds is of great importance. To this aim, the synthesis of a series of oleic acids hydroxylated at $\omega - 1$, $\omega - 2$, $\omega - 3$ positions is described without the use of protecting groups. The final products are obtained by partial lactone reduction to hydroxyaldehyde followed by Grignard addition, selective oxidation of the primary hydroxyl group, and Wittig reaction.

1 Introduction

Hydroxycarboxylic acids are a subclass of carboxylic acids of broad interest, ranging from short- (e.g. lactic acid) to long-chain components (Lipsa et al., 2010; Ranathunge et al., 2011), which can be obtained from natural sources or by chemical synthesis (Datta, 2004). Hydroxycarboxylic acids and their derivatives find multiple applications in different fields as dermatopharmaceuticals and cosmetics (Green et al., 2009; Dal Farra et al., 2002), in the chemical modification of surfaces due to their attitude to forming self-assembling monolayers, and in the perfumery industry. As an example, 15-hydroxypentadecanoic acid (CPDA) is an important precursor of the most widely produced macrocyclic synthetic musk lactone, cyclopentadecanolide (Williams, 1999, Iuchi et al.,

2010). Increasing interest towards hydroxycarboxylic acids derives from their use for the production of biodegradable polyesters which can substitute traditional plastics with lower environmental impact (Jem and Tan, 2020). Finally, many hydroxycarboxylic acids play important biological roles and are useful intermediates for organic synthesis (See e. g. Offermanns, 2017; Fráter, 1979). The biological relevance of hydroxylated fatty acids stimulated the development of their enantioselective preparation (Ren et al., 2010). In this context, we have been involved in a project for the exploitation of unsaturated fatty acids, derived from natural sources, for the synthesis of dicarboxylic acids as building blocks for polyesters.

2 Materials and methods

General methods

Flash column chromatography was performed on Merck silica gel 60 (0.040–0.063 mm) following the literature procedure (Still et al., 1978). Reactions were monitored by TLC on Merck silica gel 60 F₂₅₄ plates, and the compounds were detected by examination under UV light, when appropriate, and by charring with phosphomolybdate based reagent. Solvents were removed under reduced pressure below 40 °C. CH₂Cl₂ was dried and stored over 4 Å molecular sieves. All reactions (if not specifically including water as a reactant, solvent, or co-solvent) were performed under an Ar atmosphere, in oven-dried glassware. ¹H NMR spectra were recorded in CDCl₃ at 300 MHz, ¹³C NMR spectra at 75 MHz, and Tetramethylsilane was used as an internal reference. Chemical shifts (δ) are given in parts per million (ppm); multiplicities are indicated as s (singlet), d (doublet), t (triplet), q (quartet), m (multiplet), and br (broad). Coupling constants (*J*) are reported in hertz. MS spectra were recorded on a Thermo Quest Finnigan LCQ™DECA spectrometer using electrospray ionization as indicated.

General procedure for Bayer Villiger reaction

The Bayer Villiger oxidation of cycloheptanone and cyclooctanone was performed as previously described (Rajabi et al., 2014).

General procedure for lactone reduction

To a solution of the lactone (8 mmol) in dichloromethane (40 mL) under argon at -78°C, 1.1 equivalents of a 1 M solution of DIBAL-H in heptane was slowly added. After about 1 h TLC (cyclohexane/acetone 7:3) showed the almost complete disappearance of the starting lactone. The reaction was immediately quenched with methanol at -78°C and the mixture poured into 1 M aqueous HCl (100 mL), then extracted with dichloromethane (3x30 mL). The organic layer was washed with saturated NaCl (1 x 50 ml), dried with Na₂SO₄, and filtered. The organic layer was concentrated in vacuo at room temperature to yield about 750 mg of a colorless oil (the non-complete recovery of the product can be attributed to its partial solubility in water). Due to the instability observed during the attempted

purification of aldehydes 4a and 4b (see Results and discussion) all the aldehydes were directly used as crude after having recorded only the ¹H NMR spectrum.

8-hydroxyoctanal (4a). Prepared according to the general procedure. Crude compound **4a** was obtained in 74% yield as a colorless oil contaminated by a small amount of the starting lactone and of the over-reduced diol. ¹H NMR (300 MHz, CDCl₃): 9.62 (t, 1H, *J* = 1.8 Hz, CHO), 3.52 (br s 1H, OH) 3.45 (t, 2H, *J* = 6.6 Hz, CH₂OH), 2.31 (dt, 2H, *J* = 7.3, 1.8 Hz, CH₂CHO), 1.8-1.2 (m, 12H, CH₂).

7-hydroxyheptanal (4b). Prepared according to the general procedure. Crude compound **4b** was obtained in 76% yield as a colorless oil contaminated by a small amount of the starting lactone and of the over-reduced diol. ¹H NMR (300 MHz, CDCl₃): 9.72 (t, 1H, *J* = 1.7 Hz, CHO), 3.62 (t, 2H, *J* = 6.5 Hz, CH₂OH), 2.41 (dt, 2H, *J* = 7.3, 1.7 Hz, CH₂CHO), 1.8-1.2 (m, 9H, CH₂ and OH).

6-hydroxyhexanal (4c). Prepared according to the general procedure. Crude compound **4c** was obtained in 73% yield as a colorless oil contaminated by a small amount of the starting lactone and of the over-reduced diol. ¹H NMR (300 MHz, CDCl₃): 9.75 (t, 1H, *J* = 1.8 Hz, CHO), 3.63 (t, 2H, *J* = 6.4 Hz, CH₂OH), 2.43 (dt, 2H, *J* = 7.3, 1.8 Hz, CH₂CHO), 1.7-1.3 (m, 9H, CH₂ and OH).

General procedure for the Grignard addition to hydroxy aldehydes

To a solution of 4 equivalents of the proper Grignard reagent in 15 mL of diethyl ether under nitrogen atmosphere, at -20°C, a solution of 6 mmol of the hydroxy aldehyde in 10 ml of THF were slowly added. The resulting mixture was stirred 2 hours while allowing the mixture to warm to room temperature. The reaction was quenched with a saturated aqueous NH₄Cl (5 mL) and the pH adjusted to 2 with 1 M HCl. The mixture was extracted with ethyl acetate (3x30 ml). The organic solutions were combined, dried over Na₂SO₄, concentrated in vacuo, and purified by flash column chromatography (cyclohexane/ethyl acetate/acetone 7:2.5:0.5) to give the product as a colorless oil.

Nonane-1,8-diol (5a) (Hashimoto et al., 2014). Prepared according to the general procedure. Compound **5a** was obtained in 36% yield as a colorless oil. The data are in agreement with the

literature. ^1H NMR (300 MHz, CDCl_3): 3.75 (sext, 1H, $J = 6.5$ Hz, CHOH) 3.58 (t, 2H, $J = 6.6$ Hz, CH_2OH), 2.2-2.0 (br, 2H, OH), 1.6-1.2 (m, 12H, CH_2), 1.14 (d, 3H, $J = 6.3$ Hz, CH_3). ^{13}C NMR (75 MHz, CDCl_3): 68.3 (CHOH), 62.9 (CH_2OH), 39.2, 32.7, 29.6, 29.4, 25.7, 25.6 (CH_2), 23.5 (CH_3). EI-MS $m/z = 183.1$ [$\text{M}+\text{Na}^+$]. **Nonane-1,7-diol (5b)**. Prepared according to the general procedure. Compound **5b** was obtained in 41% yield as a colorless oil. ^1H NMR (300 MHz, CDCl_3): 3.56 (t, 1H, $J = 6.5$ Hz, CH_2OH) 3.45 (m, 1H, CHOH), 2.3 (br, 2H, OH), 1.6-1.2 (m, 12H, CH_2), 0.88 (t, 3H, $J = 7.4$ Hz, CH_3). ^{13}C NMR (75 MHz, CDCl_3): 73.2 (CHOH), 62.7 (CH_2OH), 36.8, 32.6, 30.1, 29.5, 25.8, 25.6, 9.9 (CH_3). EI-MS $m/z = 183.2$ [$\text{M}+\text{Na}^+$]. **Nonane-1,6-diol (5c)** (Perkins et al., 1990). Prepared according to the general procedure. Compound **5c** was obtained in 46% yield as a colorless oil. ^1H NMR (300 MHz, CDCl_3): 3.63 (t, 2H, $J = 6.5$ Hz, CH_2OH), 3.62 (m, 1H, CHOH), 1.6-1.3 (m, 12H, CH_2), 0.91 (t, 3H, $J = 7.8$ Hz, CH_3). ^{13}C NMR (75 MHz, CDCl_3): 71.4 (CHOH) 62.4 (CH_2OH), 39.7, 37.3, 32.6, 25.8, 25.4, 18.9, 14.2 (CH_3). EI-MS $m/z = 183.2$ [$\text{M}+\text{Na}^+$].

General procedure for the oxidation of diols 5a-c to hydroxy aldehydes 6a-c

To a solution of the diol (about 500 mg) in dichloromethane (16 mL), KBr (40 mg in 1 mL of water, 0.1 molar eq) and TEMPO (5.2 mg, 0.01 molar eq) were added. The mixture was cooled to in an ice bath and a mixture obtained from 7.9 mL of a commercial bleach solution and 7.9 mL of a saturated solution of NaHCO_3 (pH between 7 and 8) was slowly added while monitoring the reaction by TLC (cyclohexane/acetone 7:3). After 20 min TLC showed only a small amount of the starting diol. The reaction was quenched with FeSO_4 (5 mL, sat. aqueous solution), then extracted with dichloromethane (3x20 mL). The organic layer was washed with saturated NaCl (1 x 30 ml), dried with Na_2SO_4 , and filtered. The organic layer was concentrated in vacuo to yield about 460 mg of a colorless oil. The obtained hydroxy aldehydes were immediately used for the following Wittig reaction. The ^1H NMR revealed, as expected, the presence of some unreacted starting diol.

8-hydroxynonanal (6a). Prepared according to the general procedure. Compound **6a** was obtained in 82% yield as a colorless oil and immediately used for the following Wittig reaction.

^1H NMR (300 MHz, CDCl_3): 9.64 (t, 1H, $J = 1.7$ Hz, CHO), 3.63 (m, 1H, CHOH), 2.51 (dt, 2H, $J = 7.0, 1.7$ Hz, CH_2CHO), 1.6-1.2 (m, 10H, CH_2), 1.16 (d, 3H, $J = 6.5$ Hz, CH_3). The peak of OH group could not be assigned unambiguously.

7-hydroxynonanal (6b). Prepared according to the general procedure. Compound **6b** was obtained in 89% yield as a colorless oil and immediately used for the following Wittig reaction. ^1H NMR (300 MHz, CDCl_3): 9.76 (t, 1H, $J = 1.6$ Hz, CHO), 3.52 (m, 1H, CHOH), 2.43 (dt, 2H, $J = 7.2, 1.6$ Hz, CH_2CHO), 1.7-1.3 (m, 10H, CH_2), 0.93 (t, 3H, $J = 7.4$ Hz, CH_3). The peak of OH group could not be assigned unambiguously.

6-hydroxynonanal (6c). Prepared according to the general procedure. Compound **6c** was obtained in 86% yield as a colorless oil and immediately used for the following Wittig reaction. ^1H NMR (300 MHz, CDCl_3): 9.77 (t, 1H, $J = 1.6$ Hz, CHO), 3.64 (m, 1H, CHOH), 2.45 (dt, 2H, $J = 7.2, 1.6$ Hz, CH_2CHO), 1.6-1.3 (m, 10H, CH_2), 0.92 (t, 3H, $J = 6.7$ Hz, CH_3). The peak of OH group could not be assigned unambiguously.

9-Bromononanoic acid (8). 9-Bromononanoic acid **8** was prepared according to a literature procedure (Amara et al., 2009).

8-(Carboxyoctyl)triphenylphosphonium bromide (9). Compound **9** was prepared according to literature procedures (Wube et al., 2011; Thurnhofer and Vetter, 2007).

General procedure for the Wittig reaction

To a suspension of 2 equivalents of the phosphonium salt **9** in 15 mL of tetrahydrofuran, under nitrogen atmosphere, at -78°C , 3 equivalents of a 0.5 M solution of KHMDs in toluene was slowly added and the mixture warmed at 0°C and stirred for 30 min. After cooling down again the mixture to -78°C , 1 mmol of the hydroxy aldehyde in 4 mL of THF was slowly added. The mixture was stirred 2 hours while allowing it to warm slowly to -35°C . The reaction was quenched with a saturated aqueous NH_4Cl (5 mL) and the pH adjusted to 2 with 1 M HCl. The mixture was extracted with ethyl acetate (3x30 mL). The organic solutions were combined, dried over Na_2SO_4 , concentrated in vacuo, and purified by flash column chromatography (n-hexane/ethyl acetate 7:3 or cyclohexane/ethyl acetate 7:3) to give the product as a colorless oil.

(Z)-17-Hydroxyoctadec-9-enoic acid (1a). Prepared according to the general procedure. Compound **1a** was obtained in 28% yield as a colorless oil. ¹H NMR (300 MHz, CDCl₃): 5.33 (m, 2H, CH=CH), 3.79 (m, 1H, CHOH), 2.32 (t, 2H, *J* = 7.4 Hz, CH₂C=O), 1.99 (m, 4H, CH₂C=), 1.8-1.2 (m, 20H), 1.18 (d, 3H, *J* = 6.2 Hz, CH₃). ¹³C NMR (75 MHz, CDCl₃): 179.0 (COOH) 130.2, 129.8 (CH=CH), 68.4 (CHOH), 39.4, 34.2, 29.8, 29.75, 29.7, 29.5, 29.4, 29.3, 29.1, 29.0, 27.3, 25.9, 24.9, 23.5 (CH₃). EI-MS *m/z* = 297 [M-H⁻].

(Z)-16-Hydroxyoctadec-9-enoic acid (1b). Prepared according to the general procedure. Compound **1b** was obtained in 31% yield as a yellowish oil. ¹H NMR (300 MHz, CDCl₃): 6.5-6.0 (Br, 2H, OH and COOH), 5.32 (m, 2H, CH=CH), 3.52 (m, 1H, CHOH), 2.30 (t, 2H, *J* = 7.4 Hz, CH₂C=O), 1.99 (m, 4H, CH₂C=), 1.7-1.2 (m, 20H), 0.91 (t, 3H, *J* = 7.4 Hz, CH₃). ¹³C NMR (75 MHz, CDCl₃): 179.2 (COOH) 129.9 (CH=CH), 73.5 (CHOH), 36.8, 34.1, 30.0, 29.8, 29.7, 29.4, 29.2, 29.1, 29.0, 27.22, 27.17, 25.6, 24.8, 9.9 (CH₃). EI-MS *m/z* = 297 [M-H⁻].

(Z)-15-Hydroxyoctadec-9-enoic acid (1c). Prepared according to the general procedure. Compound **1c** was obtained in 35% yield as a colorless oil. ¹H NMR (300 MHz, CDCl₃): 6.5-6.0 (Br, 2H, OH and COOH), 5.34 (m, 2H, CH=CH), 3.61 (m, 1H, CHOH), 2.33 (t, 2H, *J* = 7.4 Hz, CH₂C=O), 2.01 (m, 4H, CH₂C=), 1.7-1.2 (m, 20H), 0.92 (t, 3H, *J* = 6.8 Hz, CH₃). ¹³C NMR (75 MHz, CDCl₃): 179.3 (COOH) 130.1 and 129.8 (CH=CH), 71.9 (CHOH), 39.7, 37.4, 34.1, 29.9, 29.6, 29.1, 29.04, 29.0, 27.3, 27.2, 25.4, 24.8, 18.9, 14.2 (CH₃). EI-MS *m/z* = 297 [M-H⁻].

3 Results and discussion

Starting from esters rich in oleic acid, oxidative cleavage of the double bond produces nonanedioic (azelaic) acid together with nonanoic (pelargonic) acid. Azelaic acid can be used for polyester production while pelargonic acid can be considered as a by-product. Chemical oxidation is industrially feasible (Bieser et al., 2018) but a possible alternative is the biological oxidation, which can become economically and environmentally convenient (see e. g. Brenna et al., 2020). Our group has been involved in a project for the exploitation of oleic acid from natural sources for the synthesis of dicarboxylic acids as building blocks towards biodegradable polyesters. Within

this context, we published the synthesis of a series of nonanoic acids, hydroxylated at different positions (Rajabi et al., 2014).

Among the possible byproducts generated by biological oxidation of oleic acid, hydroxylated derivatives at the terminal positions can be found in the oxidized products mixture (see e. g. Garg et al., 2016; Aranda et al., 2018). Hydroxylated oleic acids can also be found in sophorolipids (Zerkowski and Solaiman, 2006).

To help the systematic screening of products and byproducts formed during wild type or mutant microbiological oxidation of oleic acid, it is therefore of great utility to have in-hand standard compounds for reaction monitoring and precise product quantification.

In this paper, we describe the synthesis of ω-1, ω-2, and ω-3 hydroxy oleic acids **1a-c** (Figure 1) to be used as standards for the biological oxidation of oleic acid.

Insert Figure 1

To the best of our knowledge, mainly enzymatic or microbiological production of these compounds is described (Brenna et al., 2020), although an example of the synthesis of 16-hydroxyoleic (paleic) acid appeared in the literature (Watanabe et al., 2010).

The synthesis of ω - 1, ω - 2, and ω - 3 hydroxy oleic acids **1a-c** was planned as shown in retrosynthetic Scheme 1.

Insert Scheme 1

Our strategy was planned to avoid the use of protecting groups and to use, whenever possible, environmentally friendly reactions. The hydroxy aldehydes **6a-c** were identified as key intermediates (Scheme 2). Their reaction with the Wittig reagent obtained from (8-carboxyoctyl)triphenylphosphonium bromide **9** (Scheme 3) will give the desired hydroxyoleic derivatives.

The synthesis of hydroxy aldehydes **6a-c** started with the Baeyer-Villiger oxidation of cyclooctanone **2a** and cycloheptanone **2b** respectively, to produce the eight- and seven-carbon lactones **3a-b** as previously described (Rajabi et al., 2014). ε-Caprolactone **3c** is commercially available and was

used as purchased. The reduction of lactones **3a-c** to the corresponding hydroxy aldehydes **4a-c** was performed with DIBAL-H as described in the literature (Soubhye et al., 2010). Some difficulties were found during this reduction for 8 and 9 membered lactones, as the formation of some diol, was observed before the complete disappearance of the starting lactone. Moreover, the corresponding hydroxy aldehydes were unstable, especially 7- and 8-hydroxyhexanal (**4a** and **4b** respectively). Attempts to purify the hydroxy aldehydes gave insoluble solid degradation products. Therefore, the crude product obtained from DIBAL-H was directly submitted to the Grignard reaction with an excess of the proper Grignard reagent allowing to obtain the diols **5a-c** in moderate yields.

Insert Scheme 2

The selective oxidation of the primary hydroxyl group of the diols **5a-c** to the corresponding hydroxy aldehydes **6a-c** was performed with (2,2,6,6-tetramethylpiperidin-1-yl)oxyl (TEMPO) and sodium hypochlorite giving satisfactory results (Anelli et al., 1987). The reaction was very fast, selective, and exploited cheap reagents being the only expensive TEMPO used in catalytic amount (0.01 molar equivalents with respect to the diol).

The Wittig reagent required for the final step of the synthesis, 9-(triphenylphosphoranylidene) nonanoic acid, was obtained starting from 9-bromononanol **7**, considering that 9-bromononanoic acid **8** is very expensive (Scheme 3).

Insert Scheme 3

9-Bromononanol **7** was oxidized to 9-bromononanoic acid **8** in almost quantitative yield by the action of nitric acid as described in the literature (Amara et al., 2009). Treatment of **8** with triphenylphosphine gave the corresponding phosphonium salt **9** as a yellow wax (Wube et al., 2011).

Eventually, the Wittig reaction between the ylide, generated by treatment of 2 equivalents of the phosphonium salt **9** with potassium

bis(trimethylsilyl)amide (KHMDs), and the hydroxy aldehydes **6a-c** gave the desired hydroxyoleic acids **1a-c**. It has to be noted that, although the Wittig reagent formed with KHMDs is described to give the double bond exclusively as *Z* isomer (Watanabe et al., 2010), we also observed the formation of a small amount of the *E* isomer (For a discussion of the stereoselectivity of the Wittig reaction with carboxy ylides, published after we finished our work, see Suganuma and Kobayashi, 2019).

4 Conclusions

In conclusion, a common strategy for the synthesis of a series of hydroxyoleic acids was accomplished without the use of protecting groups. The obtained compounds will be used as reference compounds for the study of enzymatic or microbiological oxidation of oleic acid.

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Figures

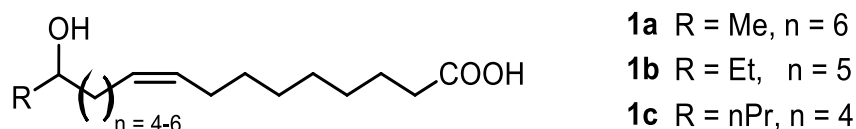
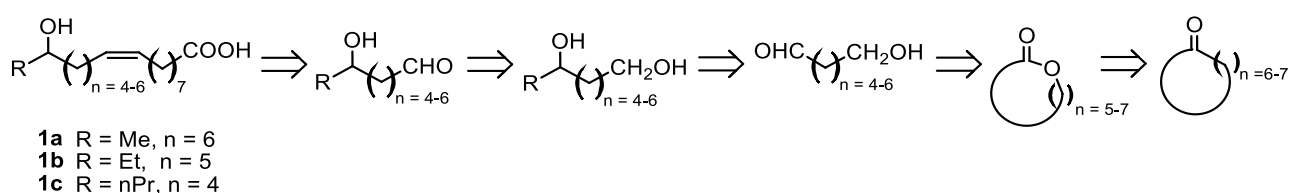
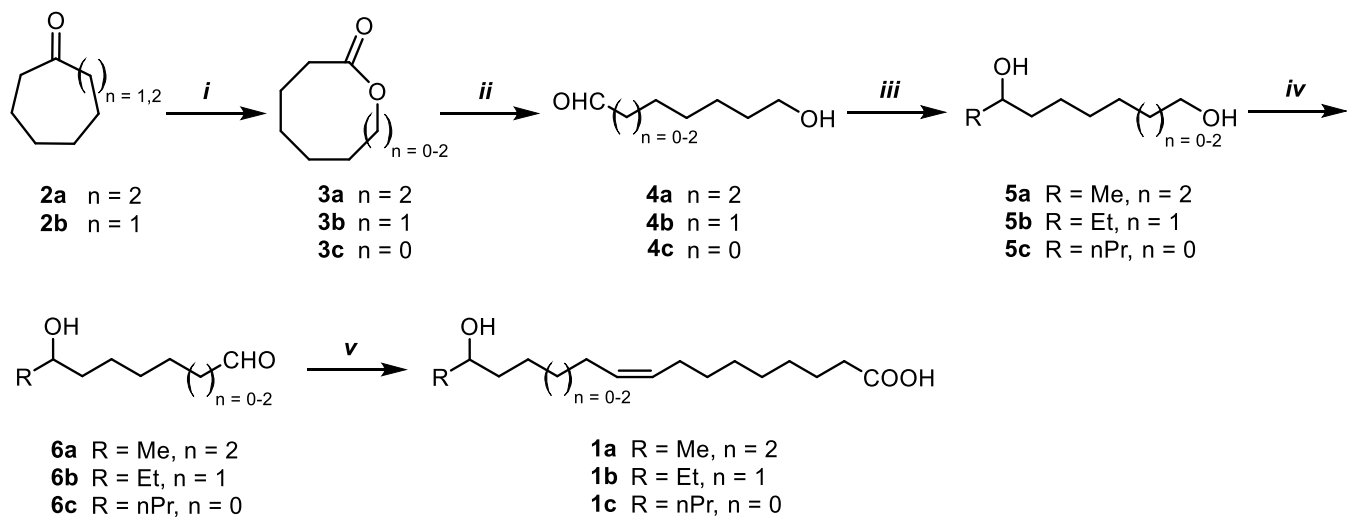


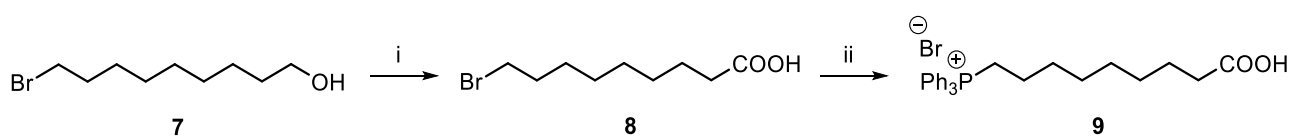
Figure 1



Scheme 1



Scheme 2



Scheme 3

Figure legends

Figure 1. Hydroxylated oleic acids to be used as standard products

Scheme 1. Retrosynthetic approach

Scheme 2. (i) mCPBA, CH_2Cl_2 , r.t. several days, (ii) DIBAL-H, CH_2Cl_2 , -78°C , (iii) PrMgBr for $n = 0$, EtMgBr for $n = 1$, or MeMgI for $n = 2$, THF, Et_2O , -20°C , (iv) NaClO, TEMPO, CH_2Cl_2 , 0°C . (v) **8**, KHMDS, THF, -78°C .

Scheme 3. (i) HNO_3 , r.t. to 80°C . (ii) Ph_3P , toluene, reflux.