

Asymptotic Stability of Solitons.

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Outline

- 1 The Problem
- 2 A model problem
- 3 Reduction to a fixed point
- 4 Marsden-Weinstein reduction
- 5 Almost smooth maps and the Darboux theorem
- 6 Perturbation theory

NLS

- Model problem

$$i\psi_t = -\Delta\psi - \beta'(|\psi|^2)\psi, \quad x \in \mathbb{R}^3,$$

$$\beta'(0) = 0, \quad \left| \beta^{(k)}(u) \right| \leq C_k |u|^{\tilde{p}-k}, \quad \tilde{p} \leq 1 + \frac{2}{d-2}, \quad d = 3$$

- Symmetries:

- translations $(\psi, q_i) \mapsto \psi(\cdot - q_i e^i)$, generated by $-i\partial_{x_j}$
- Gauge $(\psi, q_4) \mapsto e^{iq_4} \psi$, generated by i .

- Conservation laws:

$$\mathcal{P}^j(\psi) := \int \frac{\psi \partial_j \bar{\psi} - \bar{\psi} \partial_j \psi}{2}$$

$$\mathcal{P}^4(\psi) := \int |\psi|^2$$

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Ground States

- Look for special solutions

$$\psi(x, t) = e^{-iy_4(t)} \eta_p(x - \mathbf{y}(t)), \quad y_j(t) = \lambda_j t + q_j, \quad j = 1, \dots, n$$

- η_p is a critical point of

$$H := \int |\nabla \psi|^2 - \beta(|\psi|^2)$$

restricted to

$$\mathcal{S}_p := \{\psi : \mathcal{P}^j(\psi) = p^j, j = 1, \dots, 4\}$$

- **Ground state.** A ground state is the minimum.

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Assumptions

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- **Linearize:** insert

$$\psi(x, t) = e^{-iy_4(t)} [\eta_p(x - \mathbf{y}(t)) + \chi(x - \mathbf{y}(t))]$$

linearize in χ . Denote by

$$\dot{\chi} = L\chi$$

the linearized equations.

- **Linear assumptions**

- $\sigma(L) = \{0, \pm i\omega_1, \dots, \pm i\omega_K\} \cup \pm i[\Omega, +\infty)$. Minimal multiplicity of 0, namely 8.

$$\omega_1 \leq \omega_2, \dots, \leq \omega_K < \Omega$$

- For some large enough r , one has $\omega \cdot k \neq \Omega, \forall k \in \mathbb{Z}^K, |k| \leq r$

- **Fermi golden rule** (probably generic: work in progress)

- **Initial datum** $\inf_{p,q} \|\psi_0 - e^{-iq_4} \eta_p(\cdot - q_i \mathbf{e}^j)\|_{H^1} \ll 1$

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Theorem

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There exist functions $p(t)$ $q(t)$ $y(t)$ and a state ψ_∞ such that, one can decompose the solution as

$$\psi(x, t) = e^{-iq_4(t)} \eta_{p(t)}(x - \mathbf{q}(t)) + e^{-iy_4(t)} \chi(x - \mathbf{y}(t), t),$$

one has

$$\lim_{t \rightarrow +\infty} \|\chi(t) - e^{it\Delta} \psi_\infty\|_{H^1} = 0$$

The following limits exist

$$\lim_{t \rightarrow \infty} \dot{y}(t), \quad \lim_{t \rightarrow \infty} \dot{q}(t)$$

Comments

- **What's new?** Old results when the Floquet spectrum has at most 1 eigenvalue: Weinstein, Soffer-Weinstein, Buslaev-Perelman, Cuccagna, Perelman.
- **Ideas** from D.B.-Cuccagna (on Klein Gordon), Cuccagna (case with potential), Perelman (no eigenvalues, energy space).
- **Key difficulty:** the generators of the symmetries are unbounded.
 - Development of reduction theory, Darboux theory and Normal form theory with only continuous transformations.
 - Validity of Strichartz estimates for the relevant operators.

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- The equation: $i\psi_t = -\Delta\psi + V\psi + \frac{\delta H_P}{\delta\psi(x)}$.
 $\mathcal{H}_0 := -\Delta + V$ with one eigenvalue $\mathcal{H}_0\mathbf{e} = \omega\mathbf{e}$
- Spectral coordinates $\psi = \xi\mathbf{e} + f$:
 $H_0 = \langle \bar{f}; Bf \rangle + \omega|\xi|^2$
- Model nonlinearity

$$H_P := \bar{\xi}^\nu \langle \bar{\Phi}; f \rangle + \xi^\nu \langle \Phi; \bar{f} \rangle$$

- Equations

$$\begin{aligned}\dot{\xi} &= -i\omega\xi - i\nu\bar{\xi}^\nu \langle \bar{\Phi}; f \rangle \\ \dot{f} &= -i(Bf + \xi^\nu\Phi)\end{aligned}$$

- Further decoupling $g = f + \xi^\nu\Psi$: if Ψ is such that

$$(B - \nu\omega)\Psi = \Phi$$

then $\dot{g} = iBg + O(|\xi|^\nu|f| + |\xi|^{2\nu-1})$

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Dissipation

- Define

$$R_\nu^\mp := \lim_{\epsilon \rightarrow 0^+} (B - \omega\nu \pm i\epsilon)^{-1}, \quad \Psi = R_\nu^- \Phi$$

- then $\Psi(x) \sim \langle x \rangle^{-1}$. Plug in the equation for ξ :

$$\dot{\xi} = -i\omega\xi - i|\xi|^{2\nu-1} \langle \bar{\Phi}; R_\nu^+ \Phi \rangle \xi + O(\xi^\nu |\langle \Phi; g \rangle|)$$

- Regularization of the resolvent

$$R_\nu^- \equiv (B - \nu\omega - i0)^{-1} = PV(B - \nu\omega) - i\pi\delta(B - \nu\omega).$$

implies $\langle \bar{\Phi}; R_\nu^- \Phi \rangle = a - ib$, $b \geq 0$

- $\dot{\xi} = -i\omega\xi - ia|\xi|^{2\nu-1}\xi - b|\xi|^{2\nu-1}\xi + \text{h.o.t.}$
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Marsden-Weinstein reduction - general facts

Connection between the two problems: Marsden-Weinstein reduction.

- **Symplectic manifold:** (\mathcal{M}, ω) , J Poisson tensor
- **Symmetry group:** $(q, u) \mapsto e^{qJA}u$, generated by

$$\mathcal{P}(u) := \frac{1}{2} \langle u; Au \rangle ,$$

- **Invariant Hamiltonian:** H , s.t. $H(u) = H(e^{qJA}u)$,
- **Reduced system:** $\mathcal{S}_p := \{u \in \mathcal{M} : \mathcal{P}(u) = p\}$ and

$$\mathcal{M}_p := \mathcal{S}_p / \simeq , \quad (u \sim u' \iff u' = e^{qJA}u)$$

- **Explicit construction:** see the blackboard!
- $\Omega := i^*\omega$, and $H_r := i^*H = H \circ i$.
- **Ground state, η_p :** minimum of H_r !

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Difficulty

Only continuous group actions:

$u(\cdot) \mapsto u(\cdot - q)$, generated by $\partial_t u = -\partial_x u$.

- (1) Does reduction theory holds, and in particular
 - Is the reduced manifold a manifold?
 - Can one define the reduced system?
- (2) Canonical coordinates are needed: is it possible to prove Darboux theorem?
- (3) develop transformation theory with unbounded generators
- (4) Dispersive estimates: do Strichartz estimates persist under unbounded perturbation?

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Framework

- **Phase space:**
 - H^k , $k \in \mathbb{Z}$ Scale of Hilbert spaces, $\langle \cdot, \cdot \rangle$ scalar prod of H^0
 - $J : H^k \mapsto H^{k-d}$ Poisson tensor
 - $E := J^{-1}$, $\omega(U_1, U_2) := \langle EU_1; U_2 \rangle$
 - $X_H := J\nabla H$ Hamiltonian vector field
- **The system:** $H := \mathcal{P}^0(\phi) + H_P(u)$;
 $\mathcal{P}^0(\phi) := \frac{1}{2} \langle A^0 u; u \rangle$,
- **Symmetries:** $\mathcal{P}^j(u) := \frac{1}{2} \langle A^j u; u \rangle$, $j = 1, \dots, n$;
- **Linear assumptions** $A^\mu : H^k \rightarrow H^{k-d_\mu}$, $d_0 \geq d_j$, $j = 1, \dots, n$
 JA^μ generate a flow: $e^{tJA^\mu} : H^\infty \mapsto H^\infty$, $\mu = 0, \dots, n$.
- **Nonlinear assumption** $\exists k_0: H_P \in C^\infty(H^{k_0}, \mathbb{R})$

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Ground state and decomposition of the space

- Ground states:

$$A^0 \eta_p + \nabla H_P(\eta_p) - \sum_j \lambda_j(p) A^j \eta_p = 0$$

- Assumptions:

(1) $\mathbb{R}^n \supset I \ni p \mapsto \eta_p \in H^\infty$ is smooth.

Normalization condition $\mathcal{P}^j(\eta_p) = p^j$.

(2) $\bigcup_{p \in I} \{\eta_p\}$ is isotropic

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Explicit construction of the reduced system

Fix $p_0 \in I$.

- Level surface of \mathcal{P} : $\mathcal{S}_{p_0} := \left\{ u : \mathcal{P}^j(u) = p_0^j, \forall j \right\} \ni \eta_{p_0}$
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The Darboux theorem

$$\Omega_\phi(\Phi; \Phi) = \langle \mathcal{E}(\phi)\Phi; \Phi \rangle, \quad \mathcal{E}(\phi) = E + O(\phi^2).$$

Darboux theorem

There exists a map of the form

$$\mathcal{F}(\phi) = e^{\sum_j q_j(N, \phi) J A^j} (\phi + S(N, \phi)), \quad N^j := \mathcal{P}^j(\phi'), \quad (2)$$

with the following properties

1. $q_j : \mathbb{R}^n \times \mathcal{V}^{-\infty} \rightarrow \mathbb{R}$
2. $S : \mathbb{R}^n \times \mathcal{V}^{-\infty} \rightarrow \mathcal{V}^\infty$ is smoothing.
3. $\mathcal{F}^* \Omega = \Omega_0$.

The function $e^{q_j J A^j} \phi$ is only continuous in q . **Not differentiable!**

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Almost smooth maps

- **Smoothing maps:** $S : \mathbb{R}^n \times \mathcal{V}^{-\infty} \mapsto S(N, \phi) \in \mathcal{V}^{\infty}$ or \mathbb{R} .
- **Almost smooth maps:** A map of the form $\mathcal{F}(\phi) = e^{\sum_j q_j(N, \phi) J A^j} (\phi + S(N, \phi))$ with smoothing q_j and S is said to be **almost smooth**.
- **Recovering smoothness:**

Lemma

If H is symmetric, namely $H(e^{q_j J A^j} u) = H(u)$, then there exists a smoothing \tilde{S} :

$$H(\mathcal{F}(\phi)) = H(\phi + \tilde{S}(N, \phi))$$

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- The Hamiltonian $H = H_{L0} + H_{L1} + H_N$,

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- Assumption There exist coordinates such that

$$H_{L0} = \sum_{l=1}^K \omega_l |\xi_l|^2 + \langle \bar{f}; Bf \rangle$$

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THANK YOU